

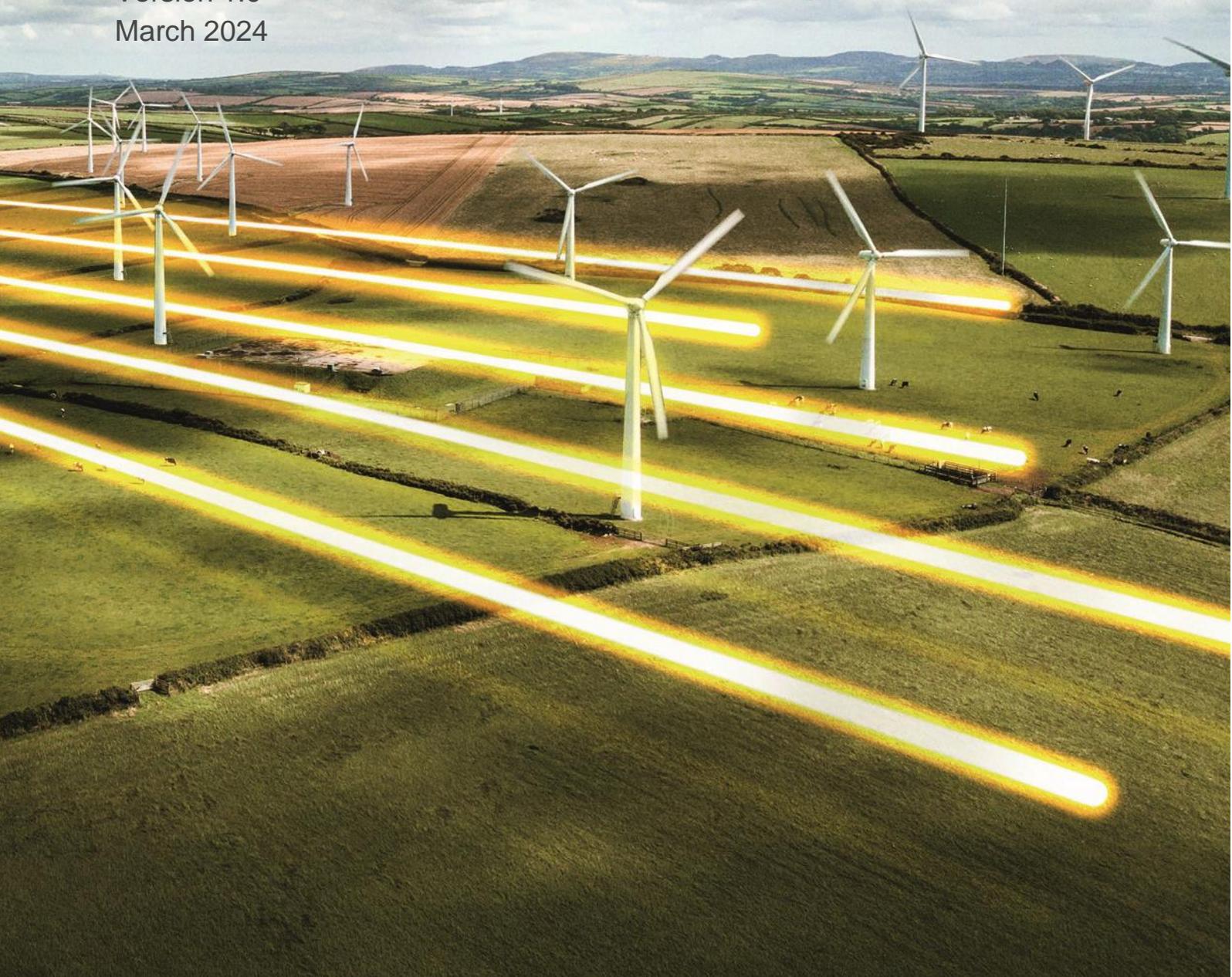
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Allocation Round 6 (AR6) Sealed Bids Guidance

Sealed Bids Guidance Document

Version 1.0

March 2024



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Purpose

This guidance document is intended to be used by organisations who have been invited to submit sealed bids in the Contracts for Difference auction.

Considerations

This guidance serves as a reference tool and is intended for information only, it is imperative for Customers to seek independent advice were deemed necessary.

This document is accurate at the time of publishing and is based on the information provided in the draft Allocation Framework. When the finalised Allocation Framework is published in March 2024, any applicable elements of all guidance documents will be updated in line with applicable changes.

Overview

3.1 Who can submit sealed bids?

Where an Auction is required, the Delivery Body, in accordance with the Round specific Allocation Framework, will issue a "Notice of Auction" to all Qualifying and Pending Applicants, inviting them to submit sealed bids via the EMR Delivery Body Portal ([EMR Delivery Body Portal](#)).

3.2 When can sealed bids be submitted?

Sealed bids must be submitted during a five working day sealed bid Submission Window, details of which shall be set out in the Notice of Auction.

Once the window has closed the Delivery Body shall not be able to accept any further bids.

3.3 How are sealed bids submitted?

Sealed bids are submitted using the [EMR Portal](#). Applicants can withdraw bids and resubmit during and up until the end of the sealed bids submission window.

3.4 What changes can be made to sealed bids?

Applicants can vary the Capacity, Target Commissioning Date (TCD), Target Commissioning Window Start Date (TCWSD) and Strike Price, in 2012 Values, of each sealed bid.

Sealed bid validation rules are outlined in the [Contracts for Difference Allocation Round 6, Draft Allocation Framework](#) and also covered in this guidance document.

3.5 Consequences of not submitting or withdrawing sealed bids

Where no sealed bid has been submitted by an Applicant, or if all sealed bids are withdrawn and not re-submitted by an applicant by the submission window closing date, the Delivery Body will assign the Applicant a default bid.

A default bid is a single sealed bid which contains the applicable Administrative Strike Price, TCD, TCWSD and Capacity, as specified in the Original Application.

Sealed Bid Submission Validation Rules

4.1 General Validation Rules – All Applications

- Applicants can submit a maximum of four sealed bids and up to two bids for the same Delivery Year.
- Applicants may only submit a maximum of two sealed bids where the TCWSD of the Original Application is in the final Delivery Year.
- Applicants may withdraw and re-submit sealed bids during the five working days sealed bids Submission Window.
- Only one sealed bid can have the same Target Dates and Capacity as specified in the Original Application.
- Each sealed bid must have a different combination of Strike Price, Capacity, and/or Target Dates as specified in the Original Application.
- Applicants who do not submit any sealed bids will be assigned a single bid using the ASP, the TCD, TCWSD and the Capacity, as specified in the Original Application.

4.2 General Validation Rules – Additional Phased Application Rules

- All phases are considered when assessing Budget impact against the Budget Profile.
- The number of phases submitted for each sealed bid must match the number of phases specified in the Original Application.

4.3 Strike Price Validation Rules – All Applications

- Strike Price in each sealed bid must be in pounds sterling (in 2012 prices).
- The lowest Strike Price bid in each Delivery Year must be expressed to the nearest whole penny (i.e., £0.01). All flexible bids must be to a tenth of a penny (i.e., £0.001).
- The Strike Price of each sealed bid cannot exceed the relevant ASP.

4.4 Strike Price Validation Rules – Additional Phased Application Rules

- All phases are considered when assessing budget impact against the budget profile.
- The number of phases submitted for each sealed bid must match the number of phases specified in the Original Application.

4.5 Capacity Validation Rules – All Applications

- The capacity for each sealed bid cannot exceed the capacity of the Original Application.
- Where the technology type of any given CFD Unit must have a capacity greater than 5MW, sealed bids cannot be submitted below this threshold.
- The Strike Price of each sealed bid cannot exceed the relevant ASP.

4.6 Capacity Validation Rules – Additional Phased Application Rules

- Total capacity of all phases in each sealed bid cannot be greater than 1500 MW.
- Total capacity of all phases in each sealed bid cannot exceed the total capacity stated in the Original Application.
- For each sealed bid, the capacity of phase one cannot be greater than the capacity of phase one of the Original Application.

- The capacity of the first phase must represent at least 25% of the total capacity of the CFD Unit.
- The capacity of each phase must be greater than 5MW.

4.7 Target Dates Validation Rules – All Applications

- The Target Dates cannot be earlier than the Target Dates specified in the Original Application.
- The TCD for each sealed bid must fall within the TCW.
- The TCD for the first phase of each sealed bid cannot fall outside of the applicable Delivery Year Window for the Pot.
- There can be a maximum of two TCWSDs per Delivery Year.
- The TCW for most technology's sealed bid is set at one year.
 - Solar PV (>5MW) it is set at 0.25 (3 months)
 - Landfill Gas it is set at 0.5 (6 months).

4.8 Target Dates Validation Rules – Additional Phased Application Rules

- The Target Dates of the first phase will be treated as the first Target Dates.
- The TCD of the final phase can be no later than 2 years after the TCD of the first phase.
- The TCD for each phase must be covered by the TCW for that phase.
- The TCWSD for phases two and three can be placed in either the Delivery or Valuation years.
- The first phase TCD must be targeted to complete by no later than 31 March of the last applicable Delivery Year. For Allocation Round 6 this will be 31 March 2029.
- No phase of a phased project can have earlier Target Dates than the Target Dates of the first phase, as stated in the Original Application.

EMR Portal Sealed Bid Submission Process

Sealed bids are to be submitted via the [EMR portal](#). This section provides Applicants with the process steps required to complete the sealed bid submission process.

5.1 Accessing the Sealed Bid Module

- 5.1.1 The company's [Main Admin or Deputy Main Admin](#) should log in to the [EMR Portal](#) and navigate to the My EMR homepage.
- 5.1.2 The [Main Admin or Deputy Main Admin](#) should then [select](#) the Sealed Bids icon on the My EMR Homepage.

5.2 Creating the Sealed Bid Form

- 5.2.1 To create the sealed bid form the [Main Admin or Deputy Main Admin](#) should now [select](#) the CfD Application from the drop-down menu. The companies' Qualifying and/or Pending Applications will be visible on the expanded list.
- 5.2.2 Once the applicable Application has been selected, the [Main Admin or Deputy Main Admin](#) should [click](#) on the 'Create Sealed Bid Form' button.



Figure 1 - EMR Portal Sealed Bid Screenshot 1

5.3 Editing the Sealed Bid Form

- 5.3.1 The [Main Admin or Deputy Main Admin](#) should now [select](#) the newly created sealed bid form for the Application.
- 5.3.2 The sealed bid form can be viewed or edited from this menu.
- 5.3.3 To input the details for the sealed bid submission, the [Main Admin or Deputy Main Admin](#) should [select](#) the 'Edit' button.

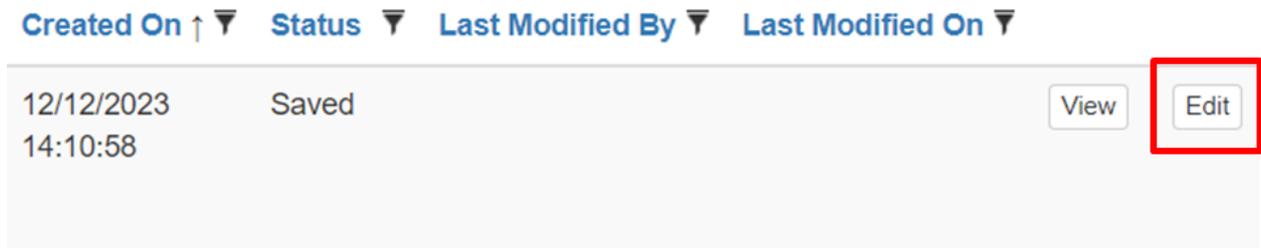


Figure 2 - EMR Portal Sealed Bid Screenshot 2

5.4 Adding a Sealed Bid

- 5.4.1 The CFD Unit details submitted at the application stage will be visible in the section titled 'Original Application'.
- 5.4.2 [The Main Admin or Deputy Main Admin](#) should scroll down the page to the Flexible Bids section and [select](#) the 'Add Sealed Bid' button.

5.4.3 This will result in a pop-up box being displayed on screen where the user will be able to enter the required details for the bid. The original application details will be shown, along with the ASP for the application’s technology type.

Original Application Submit Withdraw SB Print

Company Name	Application ID	Sealed Bids Form ID	Number of Phases	Round Name	Pot Name	Technology Type	Application Type	Name of CfD Unit	TCW - Start Date	TCW - End Date
								Single Phase	Single Phase	Single Phase
CID TEST COMPANY	CFD-2023-42-7740	CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01	1	cfd-ar6-sealed-bids-guidance-1	pot-x-sb-1	Onshore Wind (>5MW)	General	ar6-sb-guidance-onw1	01/04/2026	31/03/2027

Flexible Bids
Created on 12/12/2023 14:10:58 by george.hunt@dep

Action	Sealed Bid ID	TCW - Start Date	TCW - End Date	TCD	Delivery Year	Administrative Strike Price (£/MWh)	Lowest Strike Price Bid for Delivery Year	Lowest Strike Price for Delivery Year (£/MWh)	Strike Price Bid (£/MWh)	Initial Installed Capacity Estimate (MW)
		Single Phase	Single Phase	Single Phase	Single Phase	Single Phase	Single Phase	Single Phase	Single Phase	Single Phase
No Sealed Bids										
Add Sealed Bid										

Figure 3 - EMR Portal Sealed Bid Screenshot 3

5.4.4 The Main Admin or Deputy Main Admin will now be able to enter the required details of the bid:

- (a) Strike Price Bid (£/MWh) – entered in 2012 values.
- (b) Capacity (MW)
- (c) Target Commissioning Window Start Date (TCWSD)
- (d) Target Commissioning Date (TCD)

5.4.5 Once satisfied with the inputs then the user should select the save button.

Add New Sealed Bid
✕

Strike Price Bid (£/MWh)

Capacity (MW)

TCW Start Date

TCD

Save

Cancel

Figure 4 - EMR Portal Sealed Bid Screenshot 4

5.5 Adding a Flexible Bid

- 5.5.1 If the TCWSD the CfD Unit is not in the final Delivery Year, Applicants can have up to four sealed bids, with a maximum of two sealed bids in the same Delivery Year for the project.
- 5.5.2 If the TCWSD for the CfD Unit is in the final Delivery Year, Applicants can have a maximum of two sealed bids for the project.

Name of CfD Unit	TCW - Start Date	TCW - End Date	TCD	Delivery Year	Initial installed Capacity Estimate (£/MWh)	Administrative Strike Price (£/MWh)
Single Phase	Single Phase	Single Phase	Single Phase	Single Phase	Single Phase	
ar6-sb-guidance-onw1	01/04/2026	31/03/2027	31/03/2027	2026/27	30.00	64.00

Figure 5 - EMR Portal Sealed Bid Screenshot 6

- 5.5.3 View the first bid submitted; any sealed bids entered for the CFD Unit will be displayed in this section.
- 5.5.4 [The Main Admin or Deputy Main Admin](#) should scroll down the page and **select** the add 'add sealed bid' to add another bid.

Flexible Bids

Created on 12/12/2023 14:10:58 by george.huntcfdep

Last updated on 12/12/2023 14:14:29 by george.huntcfdep

Action	Sealed Bid ID	TCW - Start Date	TCW - End Date	TCD	Delivery Year	Administrative Strike Price (£/MWh)	Lowest Strike Price Bid for Delivery Year
		Single Phase	Single Phase	Single Phase	Single Phase		
 	CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01-01	01/04/2026	31/03/2027	31/03/2027	2026/27	64.00	Yes



Figure 6 - EMR Portal Sealed Bid Screenshot 7

- 5.5.5 [The Main Admin or Deputy Main Admin](#) will now be able to enter the required details of the bid:
 - (a) Strike Price Bid (£/MWh) – entered in 2012 values
 - (b) Capacity (MW)
 - (c) TCWSD
 - (d) TCD
- 5.5.6 Once satisfied with the inputs made on the sealed bid then the [the Main Admin or Deputy Main Admin](#) should **select** the save button.

Add New Sealed Bid ✕

Strike Price Bid (£/MWh)

Capacity (MW)

TCW Start Date

TCD

Figure 7 - EMR Portal Sealed Bid Screenshot 8

- 5.5.7 Repeat the process until you have submitted the desired number of bids (up to a maximum of four).
- 5.5.8 The [Main Admin or Deputy Main Admin](#) should scroll back to the top of the sealed bid page and select the 'Submit' button once all bids have been created and reviewed.

Flexible Bids

Created on 12/12/2023 14:10:58 by george.huntcdep

Last updated on 12/12/2023 14:23:17 by george.huntcdep

Action	Sealed Bid ID	TCW - Start Date	TCW - End Date	TCD	Delivery Year	Administrative Strike Price (£/MWh)	Lowest Strike Price Bid for Delivery Year	Lowest Strike Price for Delivery Year (£/MWh)	Strike Price Bid (£/MWh)	Initial Installed Capacity Estimate (MW)
		Single Phase	Single Phase	Single Phase	Single Phase					Single Phase
	CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01-01	01/04/2026	31/03/2027	31/03/2027	2026/27	64.00	Yes	57.00	57.00	30.00
	CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01-02	01/04/2026	31/03/2027	31/03/2027	2026/27	64.00	No	57.00	58.123	25.00
	CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01-03	01/04/2027	31/03/2028	31/03/2028	2027/28	64.00	Yes	60.00	60.00	20.00

Figure 8 - EMR Portal Sealed Bid Screenshot 9

5.6 Submit the Sealed Bid Form

- 5.6.1 The [Main Admin or Deputy Main Admin](#) should scroll back to the top of the sealed bid page and select the 'Submit' button once all bids have been created and reviewed.

Sealed Bids CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01

[← Back to My Applications](#)

Original Application

Bid form CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01 was successfully submitted

Print

Figure 9 - EMR Portal Sealed Bid Screenshot 5

5.7 Clearing Validation Errors

- 5.7.1 If validation errors are shown when the sealed bid form has been submitted, this is because the one or more sealed bid requirements have not been met. The validation errors will be shown post attempting to submit the sealed bids.
- 5.7.2 To clear the validation errors, the [Main Admin or Deputy Main Admin](#) select the edit (pen) button in the action column to edit the inputs on the sealed bid ID that is associated with a validation error.
- 5.7.3 The [Main Admin or Deputy Main Admin](#) should repeat this process for all the flexible bids containing errors and then re-submit until a successful submission notification is received.

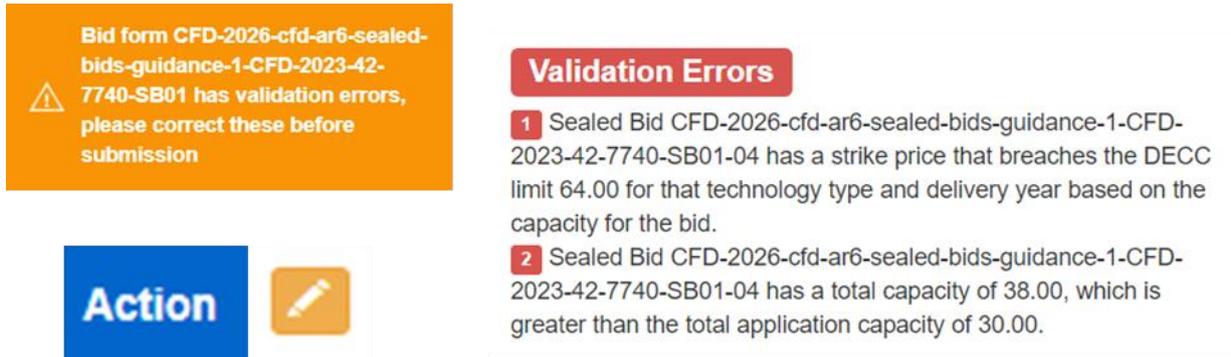


Figure 10 - EMR Portal Sealed Bid Screenshot 10

5.8 Withdrawing a Sealed Bid Form

- 5.8.1 On the sealed bid home page, view your submitted sealed bids and then [the Main Admin or Deputy Main Admin](#) should select **Withdraw SB** to remove the applicable sealed bid form.
- 5.8.2 This action will take the user into the sealed bid form, and to complete the process, the [Main Admin or Deputy Main Admin](#) should select the **Withdraw SB** icon.
- 5.8.3 The user should now navigate back to 'My Applications' and view the status of the sealed bid form. This status of the sealed bid form will now be 'Withdrawn'.
- 5.8.4 The [Main Admin or Deputy Main Admin](#) will now be able to submit a new sealed bid form for the Application.
- 5.8.5 There is no limit to the number of times forms can be submitted and withdrawn during the sealed bid submission window.

5.9 Sealed Bid Notifications

- 5.9.1 Sealed Bid notifications are sent to the Applicant company via email and the [EMR Portal](#).
- 5.9.2 Upon submission of a sealed bid, the [Main Admin and Deputy Main Admins](#) for the company account will receive an email confirming submission.
- 5.9.3 The users for the company should review the notification to ensure confirmation has been received for the submission or withdrawal action.
- 5.9.4 If no notification has been received, then please get in contact with the Delivery Body CfD Team using the details provided at the end of this guidance document.

Notifications

Title	Round	Pot	From	Date Received
Application CFD-2023-42-7740: Sealed bid form CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01 has been submitted on 12/12/2023 14:35:20	cfd-ar6-sealed-bids-guidance-1	pot-x-sb-1	no-reply-UAT4_emr@emr.national	12/12/2023 14:52:49

Figure 11 - EMR Portal Sealed Bid Notification Screenshot 11

[EXTERNAL] Application CFD-2023-42-7740: Sealed bid form CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01 has been submitted or



no-reply-UAT4_emr@emr.nationalgrideso.com
To ● George Hunt (ESO)

Reply Reply All Forward

Tue 12/12/2023 14:53

CAUTION: This email originated from outside of the organisation. Do not click links or open attachments unless you recognise the sender and know the content is safe. If you suspect this email is malicious, please use the 'Report Phish' button.

nationalgridESO | Electricity Market Reform
Delivery Body

CFD-2023-42-7740 CFD-2026-cfd-ar6-sealed-bids-guidance-1-CFD-2023-42-7740-SB01 12/12/2023 14:35:20

Figure 12 - EMR Portal Sealed Bid confirmation email Screenshot 12

Withdrawing a CfD Application

- 6.1.1 Applicants with the status of Qualifying and Pending can withdraw their Application from the CfD process in the sealed bid submission window, without incurring the Non-Delivery Disincentive.
- 6.1.2 When an Application is withdrawn during the sealed bids submission window, it cannot be re-submitted. Any sealed bids associated to a withdrawn Application will become void and not considered during the remainder of the Allocation process.
- 6.1.3 To withdraw an Application the [Main Admin or Deputy Main Admin](#) must **select** the CfD Application from the sealed bid drop-down menu and then **select** the 'Withdraw Qualification Application' button.
- 6.1.4 **Select** 'withdraw' to remove your application from the process.
- 6.1.5 To complete the process, follow through the pop-up messages and **select** 'Yes' to the final warning message which is "Are you sure you wish to withdraw the application".
- 6.1.6 Once this action has been completed then the [Main Admin or Deputy Main Admin](#) should navigate back to the My Applications section to view the withdrawn Application. The status of the Application will now be 'Application Withdrawn during Sealed Bid Window'.

My Applications

CFD-2023-42-7740

Withdraw Application

Application ID CFD-2023-42-7740

Notes:

- When an application is withdrawn, the status cannot be reversed.
- An application ID associated to a withdrawn application cannot be reused by another application.
- When an application is withdrawn during the sealed bids submission window, it cannot be re-submitted.
- Any sealed bids associated to a withdrawn application will become void and not considered during allocation.

[Back to previous page](#)

Withdraw

Are you sure you wish to Withdraw the application?

Figure 13 - EMR Portal Application Withdrawal Screenshot 13

Frequently Asked Questions

7.1.1 Question: When I can submit Sealed Bids?

The Delivery Body will notify applicants when the sealed bid submission window opens and closes. Bids must be submitted within this window which runs from 9am to 5pm during the defined five working day period.

More up-to-date information regarding the timeline will be published on the [CfD Allocation Round website](#).

7.1.2 Question: Can I enter a capacity greater than the Initial Installed Capacity Estimate IICE provided with the original application?

The capacity value entered at the sealed bid stage cannot be greater than the capacity specified in the Original Application. This is defined in Rule 11.6(d) of the Contracts for Difference Allocation Round 6 Allocation Framework.

7.1.3 Question: Can all the flexible bids have different capacity and/or Target Dates to your application, or does at least one of your flexible bids have to have the same capacity and Target Dates as your application?

It is not a requirement for a bid to have the same capacity and/or Target Dates as the original application. Rule 11.2 states that (subject to Rule 13), for each application, the applicant may submit only one sealed bid (and one strike price) for the same TCWSD and for the same capacity as specified in the original application.

None of the sealed bids are required to have the same capacity or Target Dates as the application, meaning up to a total of four flexible bids may have different capacity and/or target dates, subject to Rule 11 of the Contracts for Difference Allocation Round 6 [Draft] Allocation Framework, which details the requirements for submission of sealed bids.

7.2 Question: Which date is used to do the value assessment within the delivery year?

The TCWSD is used to determine the relevant Delivery Year of the application and bids. The TCWSD is then used in the valuation formula to calculate the budget impact based on the Year 1 Factor.

Glossary of Terms

ASP: “Administrative Strike Price” has the meaning given in Regulation 2(1).

Bid: “Flexible Bid” has the meaning given in Regulation 51(10).

Capacity: “Initial Installed Capacity Estimate” means the Generator’s initial estimate of the Installed Capacity as notified to the Delivery Body in an Application or Flexible Bid.

Default Bid: Rule 11.8 states that where no sealed bid is submitted by the Applicant by the Submission Closing Date, subject to Rule 13.1, the Delivery Body must assign the Application a bid of the relevant Administrative Strike Price for its Technology Type, and the Target Dates and capacity, as specified in the Original Application.

Delivery Year: “Delivery Year” has the meaning given in Regulation 2(1).

EMR Portal: The Delivery Body “EMR Portal” is the website that Applicants use to perform actions related to the Contracts for Difference Allocation Round. Activities include company registration, application submission, non-qualification reviews and the submission of sealed bids.

Main Admin and Deputy Main Admin: The Main Admin and Deputy Main Admin are users on the EMR Portal who are associated with a company. These users have elevated privileges for the company and can therefore complete action such as submitting applications and submitting sealed bids.

Relevant Delivery Year: “Relevant Delivery Year” means the Delivery Year in which the Target Commissioning Window Start Date specified in an Application falls, unless the Target Commissioning Window Start Date falls before the first applicable Delivery Year, in which case the Relevant Delivery Year will be the first applicable Delivery Year (applicable Delivery Years are set out in the Budget Notice and also at Schedule 4).

Rules: “Rules” means the rules set out in the Allocation Framework and a reference to any particular Rule is a reference to these rules.

Target Dates: “Target Dates” has the meaning given in Regulation 2(1).

TCD: “Target Commissioning Date” has the meaning given in Regulation 2(1). The earliest date that the Target Commissioning Date can fall is the first day of the first Delivery Year, and (with the exception of later phases of Phased Offshore Wind CFD Units) the latest date that the Target Commissioning Date can fall is the last day of the final Delivery Year;

TCW: “Target Commissioning Window” has the meaning given in Regulation 2(1).

TCWSD: “Target Commissioning Window Start Date” has the meaning given in Regulation 2(1). Following amendments to Regulation 51, The Target Commissioning Window Start Date now determines the initial point of valuation and the Relevant Delivery Year. The earliest date that the Target Commissioning Window Start Date can fall is such that the final day of the Target Commissioning Window falls on the first day of the applicable Delivery Year.

Abbreviation/Acronym 1: Full text description

Abbreviation/Acronym 2: Full text description

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The Contracts for Difference Team
EMR Delivery Body.

Faraday House, Warwick Technology Park,
Gallows Hill, Warwick, CV346DA

nationalgrideso.com

ESO