

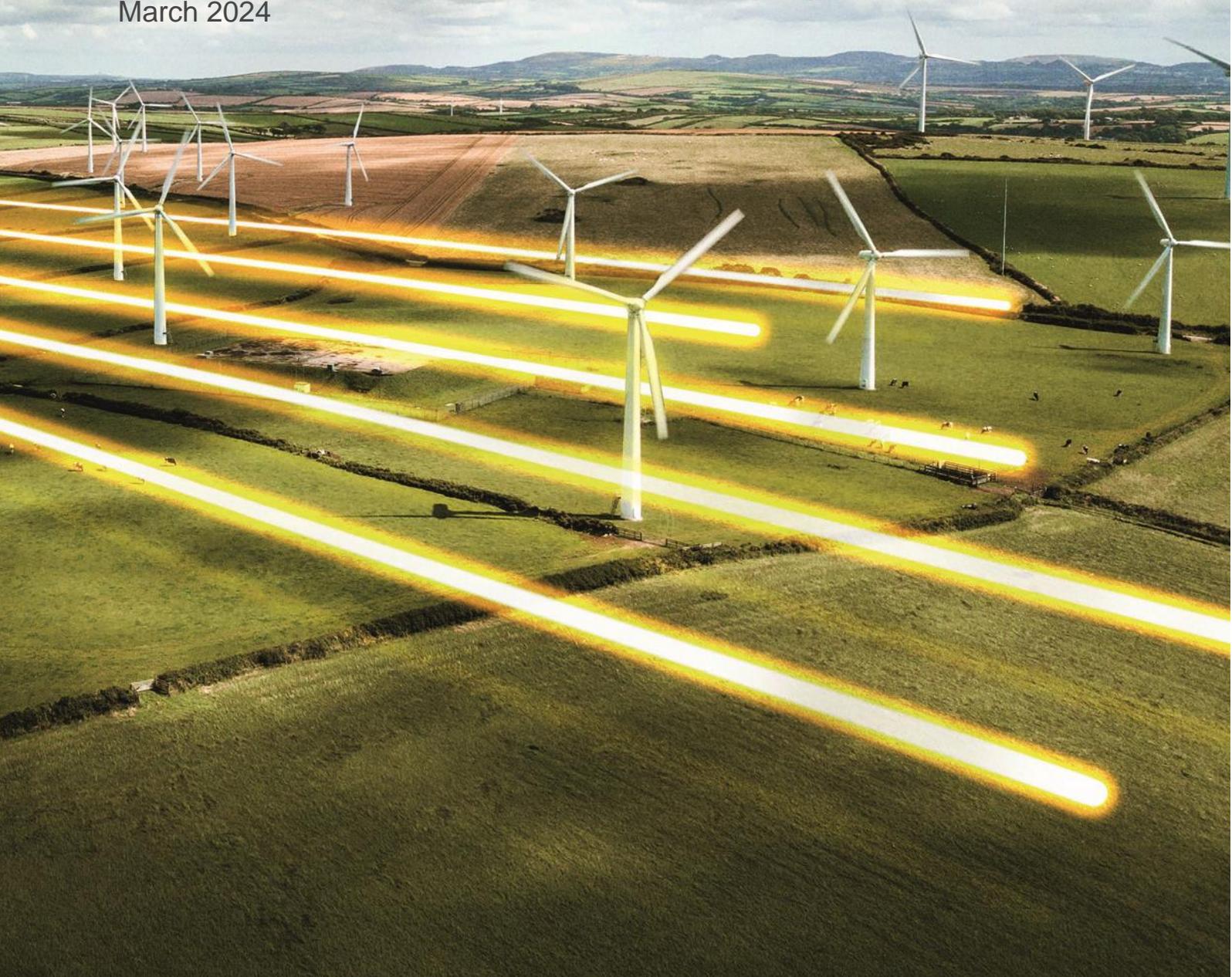
Publicly Available

Allocation Round 6 (AR6) Common Application Errors

Guidance Document

Version 1.0

March 2024



Contents

- 1. Purpose3
- 2. Considerations.....3
- 3. Applicable CfD Regulations / Rules3
 - 3.1 Applicable Regulations.....3
 - 3.2 Applicable Rules3
- 4. The Registration Process3
- 5. The Application Process4
- 6. Application Form Commons Errors and Key Points.....4
 - 6.1 Key Documents Required for the Application4
 - 6.2 CfD Contract Details6
 - 6.3 CfD Unit Coordinates6
 - 6.4 Solar PV Initial Installed Capacity Estimate7
 - 6.5 Additional Requirements with the Application for Technology Specific CfD Units7
- Guidance Documents for AR68
- 7. Legal Disclaimer and Copyright.....9
 - 7.1 Disclaimer9
 - 7.2 Confidentiality9

1. Purpose

The purpose of the guidance document is to outline some of the common errors that can be made by Applicants when completing the registration and application processes for the Contracts for Difference (CfD) Allocation Round. The guidance document is intended to notify applicants of the common error and to assist applicants in not making these common errors when completing one of the latter mentioned actions.

2. Considerations

This document is accurate at the time of publishing and is based on the information provided in the final Allocation Framework. When the finalised Allocation Framework is published in March 2023, any applicable elements of all guidance documents will be updated in line with applicable changes.

This guidance document serves as a reference tool and is intended for information only. Scope

This guidance document is intended to be used by organisations:

- a) considering whether to make an application to participate in a CfD Allocation Round.
- b) that have registered a company on the EMR Portal.

This document is to be used in conjunction with the CfD (Allocation) Regulations and Allocation Framework relevant to Allocation Round 6. Applicants will still need to familiarise themselves with the Allocation Framework and eligibility requirements of the scheme when applying for a CfD, as this serves as a supplementary document and is not an exhaustive list of the eligibility requirements. Referring to Schedule 5 of the Allocation Framework provides a transparent view of the checks that the Delivery Body will undertake at the Qualification Assessment stage.

3. Applicable CfD Regulations / Rules

3.1 Applicable Regulations

Regulation 14 of The Contracts for Difference (Allocation) Regulations 2014 (as amended).

Regulation 16 of The Contracts for Difference (Allocation) Regulations 2014 (as amended).

3.2 Applicable Rules

Rule 3. Determination of eligibility

Rule 4. Supplemental requirements

Rule 5. Excluded Applications

4. The Registration Process

The registration window will be open from 08 February 2024 until the application closing date. It is advised to register as soon as possible before Allocation Round 6 opens for applications.

When you register on the [EMR Delivery Body Portal](#) you will be required to submit your Companies House registration number. The details which are held on the [Companies House Register](#) will be used to verify your company and the verified Company Director or Secretary. This will need to be kept up to date during the process of the round as we are only able to correspond with the Main Admins and Deputy Main Admins.

5. The Application Process

Once a company has been registered on the EMR Delivery Body Portal, the companies’ Main Admin or Deputy Main Admin will be able to make an application to participate in the CfD Allocation Round.

To ensure that the application meets the criteria and eligibility set out in the Allocation Framework and Contracts for Difference Regulations, it is essential that the correct documentary evidence that is applicable to the technology type and individual project requirements are provided at the application stage.

It is the responsibility of the applicant to check the completed application form before submission to ensure that:

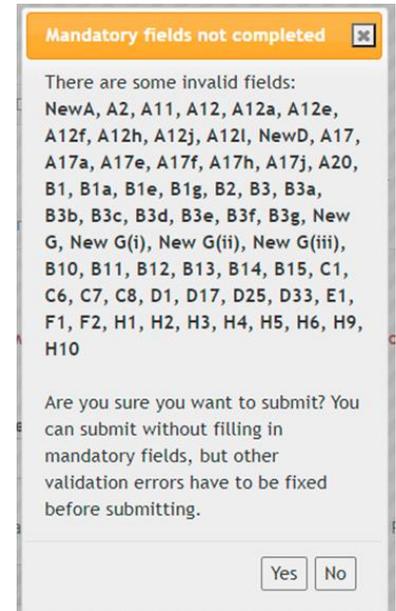
- all the mandatory fields have been completed.
- all declarations are completed.
- all uploaded documents provided on the application form are signed and dated (where necessary).

Before you confirm submission of an application, a pop-up screen (as shown) will show all the fields that are not valid.

The application form can be saved before final submission to allow for additional checks to be performed by other users on the company account.

Once the application has been submitted and the application window has closed, applicants will not be able to make any changes to the application form. The application will be assessed based on the details provided.

Applicants can withdraw the application before the end of the application window. If applicants wish to resubmit the application, they can do so by creating a new application.



6. Application Form Commons Errors and Key Points

6.1 Key Documents Required for the Application

Below are some of the key documents which applicants must provide in support of the application. Documents must be appropriately signed and dated and some of the referenced documents may not be applicable to certain applications, based on technology type, location, or capacity.

6.1.0 Exemption Certificate

If any part of the CfD Unit overlaps with an excluded site then an exemption certificate, given by the Secretary of State (SoS), must be provided by the applicant. Regulation 14A states that during the exclusion period, no application may be made in respect of a CfD unit where the site of the main structures of the eligible generating station under that application is the same as, or includes any part of, the excluded site (“a temporary site exclusion”), unless an exemption certificate, given by the Secretary of State, is held by the applicant.

An eligible generator may in an allocation round make an application to which a temporary site exclusion applies, if the eligible generator—

- a) holds an exemption certificate in respect of the application; and
- b) provides that certificate to the delivery body with the application.

6.1.1 Supply Chain Approval Statement

The Statement in relation to supply chains should be provided with the application where the threshold generating capacity of the CfD unit is to be 300 megawatts or more, or the application being made is for a floating offshore wind CfD unit, under 300 megawatts.

6.1.2 Certificate of Incorporation

The Certificate of Incorporation provided with the application form should be for the applicant company and not for the parent company. Schedule 5 of the Allocation framework states that where the Applicant has specified in the Application that the Applicant is a UK registered company: (a) a copy of the Applicant's Certificate of Incorporation is included with the Application; and (b) the company registration number specified in the Certificate of Incorporation is the same as the Applicant's company registration number specified in the Application.

6.1.3 VAT Certification or Tax Registration Certificate

The VAT Certificate of Registration provided with the application form should be for the applicant company and not for the parent company. If a group certificate of registration is provided, then the applicant company must be referenced on this certificate.

Schedule 5 of the Allocation framework states that where the Applicant has specified in the Application that the Applicant is VAT registered: (a) a copy of the Applicant's VAT Certificate of Registration is included with the Application; and (b) the company registration number specified in the VAT Certificate is the same as the Applicant's company registration number specified in the Application.

6.1.4 Map of CfD Unit

A map of the CfD Unit must be provided with the application and must be provided in the format as per the Allocation Framework definition. The map provided must be labelled with the CfD unit name and show the shape, scale, ordnance survey reference for the centre of the site and the extreme coordinates of the CfD unit.

The Schedule 1 definition of "Map" means a map showing the scale, name, shape of the CfD Unit and the Longitude and Latitude (in WGS84 format to 3 decimal places) of the Northerly, Easterly, Southerly and Westerly extreme coordinates of the site where the CfD Unit is located. The Ordnance Survey Grid Reference(s) in question B2 of the Application should be for the centre of the site where the CfD Unit is located.

6.1.5 Planning Documentation

Each of the applicable planning consents referenced in Schedule 5 of the final Allocation Round 6 Allocation Framework that apply to the CfD Unit should be provided.

Some common errors;

- Where the planning consents set out the capacity, that capacity should be equal to or more than the Initial Installed Capacity Estimate of the CfD Unit and the date of the Application must be before the date on which applicable planning consent(s) expire.
- If the applicable planning consent(s) have expired, then evidence of an extension or evidence that construction has commenced must be provided with the application.

The applicable planning consents are:

- Development Order under section 114 of Planning Act
- Transport and Works Act Order under section 3 of the Transport and Works ACT 1992
- Planning Permission under Part 3 of the Town and Country Planning Act 1990 (England and Wales) or Act 1997 (Scotland).

- Section 36 Consent
- Marine Licence under Part 4 of the Marine and Coastal Act 2009 (England and Wales) or in Scottish marine are Part 4 of the Marine (Scotland Act 2019)

6.1.6 Connection Agreement Documentation

Regulation 25 (6) defines the connection agreement as “an agreement (including a countersigned offer) to connect to (a) the national transmission system for Great Britain; or (b) the distribution system, entered into by the operator of the relevant system.” The connection agreement provided with the application must satisfy the checks stated in Schedule 5 of the Allocation Framework, including the MW and the dates criteria.

- For a CfD unit that will be connected to the national transmission system of Great Britain, evidence of the signed connection agreement between the owner of the CfD Unit and the owner of the transmission system should be provided. Documentary evidence should include all appendices, as well as evidence of any variations or novations.
- For a CfD unit connected to the distribution system then evidence of the signed connection agreement between the owner of the CfD Unit and the owner of the distribution system should be provided. This can include a countersigned offer between the applicant and the operator of the relevant distribution system.
- Where a Private Network connection applies or is to apply, unless the owner of the CfD Unit is also the owner of the Private Network, a copy of the Private Network Use Agreement applicable to the CfD Unit which allows the CfD Unit to connect to the Private Network, must be provided.

6.2 CfD Contract Details

Applicants must ensure the data provided in the incorporation section regarding the contract is accurate and filled in correctly. These details are used to determine who the contract is addressed to and who will receive the contract. The sections in the application form are found on the Incorporation Tab; Applicant details section(s) [A6-A7] and Phases Details section(s) [A11-New F].

If successful in the Allocation process, the Low Carbons Contract Company will issue the contract notices to the details stated on the application form. It will not necessarily be the Main Admin on the account at the time and therefore it is important to check the details on the application from throughout the allocation process.

Please refer to the [Delivery Body's Registration and User Guidance](#) to review the process for updating these details.

6.3 CfD Unit Coordinates

Applicants must provide the latitude and longitude for the northerly, easterly, southerly, and westerly extreme coordinates of the CfD unit with the application. The grid coordinates are requested in WGS84 format to 3 decimal places.

Applicants should carefully review this information prior to submission to ensure for accuracy. The CfD unit details provided will be checked against the applicant provided map, as well as other documentation provided with the application such as the applicable planning consents and connection agreement. Discrepancies with this data could lead to a non-qualification outcome due to the Delivery Body not being able to satisfy the checks as stated in Schedule 5 of the Allocation Framework.

6.4 Solar PV Initial Installed Capacity Estimate

The Provisional Capacity Estimate for Solar PV CfD Units should be provided in MW of AC power (MWAC). This is from advice provided by the Low Carbons Contract Company and can be found in the [CfD Installed Capacity and Final Installed Capacity Guidance](#).

6.5 Additional Requirements with the Application for Technology Specific CfD Units

6.5.0 Offshore Generating Stations

Where the Application is for an Offshore Generating Station, the Applicant must demonstrate that a lease or an agreement for lease has been granted by the Crown Estate in respect of the location of the relevant CfD Unit.

New for Allocation Round 6

Where the applicant is an Offshore Generating Station, the applicant must confirm that it is aware that an exclusivity agreement granted by Crown Estate Scotland through the Innovation and Targeted Oil and Gas leasing round does not satisfy Regulation 27(2) of the Contracts for Difference Allocation Regulations 2014. This requirement is also stated in Rule 4.1(g) of the final Allocation Round 6 Allocation Framework.

6.5.1 Remote Island Wind (RIW)

The Applicant must provide a schematic diagram demonstrating the CfD Unit meets the RIW Conditions:

- Where the relevant CfD unit is connected to the national Transmission System, a schematic diagram demonstrating that the Generation Circuit between the CfD Unit and the Main Interconnected Transmission System consists of not less than 50 km of Cabling, not less than 20 km of which is subsea Cabling.
- Where the relevant CfD Unit is connected to the Distribution System, a schematic diagram showing the relevant Grid Supply Point and the Main Interconnected Transmission System, confirming that between the two points there is not less than 50 km of cabling, which of not less than 20 km of which is Subsea Cabling.

6.5.2 Offshore Wind (Fixed Bottom Offshore Wind)

Applicants for an offshore wind CfD unit should note that the additional requirements of the application include:

- The Target Commissioning Date of the final phase is no later than 2 years after the Target Commissioning Date of the first phase.
- Provision of a lease document or an agreement for lease granted by the Crown Estate in respect of the location of the relevant CfD Unit.

6.5.3 Floating Offshore Wind

Applicants for a Floating offshore wind CfD unit should note that the additional requirements of the application include:

- A diagram to show that all turbines forming part of the relevant CfD unit are situated in offshore waters of at least 45 metres depth (measured from the seabed to chart datum)
- A Directors signed declaration stating that the relevant CfD Unit will meet the Floating Offshore Wind conditions.
- Provision of a lease document or an agreement for lease granted by the Crown Estate in respect of the location of the relevant CfD Unit.

Guidance Documents for AR6

For further support with your application please refer to the Allocation Round guidance documents and videos on the EMR portal: <https://www.emrdeliverybody.com/CfD/Round-6.aspx>.

ESO CfD Allocation Round 6 - Key Questions Checklist for Qualification			
Question	Delivery Body: Requested Information	Applicant Response: What to provide	Checklist
General Tab			
NEW A - B	Does the CfD Unit overlap with any of the excluded sites to which a temporary site exclusion applies?	"Yes" or "No" <i>If No then then a copy of the Exemption Certificate must be provided</i>	<input type="checkbox"/>
NEW C	Is the CfD Unit located in Great Britain?	Auto populated to "Great Britain".	<input type="checkbox"/>
A9	Technology Type	This field will be auto populated from the "Confirm Technology Type" field as stated when creating the application.	<input type="checkbox"/>
A10	Number of CfD Phases	Selection of 1,2 or 3 can only be made by Offshore Wind Technology Type, otherwise N/A.	<input type="checkbox"/>
General Tab complete		All Mandatory Fields have been filled in and checked	<input type="checkbox"/>

7. Legal Disclaimer and Copyright

7.1 Disclaimer

This document has been prepared by National Grid Electricity System Operator Limited (NGESO) and is provided voluntarily and without charge. Whilst NGESO has taken all reasonable care in preparing this document, no representation or warranty either expressed or implied is made as to the accuracy or completeness of the information that it contains and parties using information within the document should make their own enquiries as to its accuracy and suitability for the purpose for which they use it.

Neither NGESO nor any other companies in the National Grid plc group, nor any Directors or employees of any such company shall be liable for any error or misstatement or opinion on which the recipient of this document relies or seeks to rely other than fraudulent misstatement or fraudulent misrepresentation and does not accept any responsibility for any use which is made of the information or the document or (to the extent permitted by law) for any damages or losses incurred.

In the event of any conflict or inconsistency between this document and the relevant CfD Allocation Round Framework or the Contract for Difference (Allocation) Regulations, the latter documents take precedence. Capitalised terms used in this document shall have the same meanings given in the Contracts for Difference Framework.

7.2 Confidentiality

No part of this document may be reproduced in any material form (including photocopying and restoring in any medium or electronic means and whether or not transiently or incidentally) without the written permission of NGESO.

Copyright National Grid 2024, all rights reserved.

If you have any questions on the information provided in this document, or feedback on its content, please contact us at box.EMR.CFD@nationalgrid.com or Call: 01926 655300 – Option 3

The Contracts for Difference Team
EMR Delivery Body.

Faraday House, Warwick Technology Park,
Gallows Hill, Warwick, CV346DA

nationalgrideso.com

ESO