**Data Validation, Consistency &**

**Defaulting Rules**

**IS/24.12.0003**

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Contents

[1 Introduction 4](#_Toc161739102)

[1.1 Purpose 4](#_Toc161739103)

[1.2 Scope 4](#_Toc161739104)

[1.3 Definitions and Abbreviations 4](#_Toc161739105)

[1.4 Related Documents 6](#_Toc161739106)

[2 Differences between EDL and EDT 8](#_Toc161739107)

[3 Validation 9](#_Toc161739108)

[3.1 Timing Conventions **Error! Bookmark not defined.**](#_Toc161739109)

[3.2 Valid Date/Times 9](#_Toc161739110)

[3.2.1 EDT Date/Time Formats 9](#_Toc161739111)

[3.2.2 EDL Date/Time Formats 9](#_Toc161739112)

[3.3 Other General Validation Rules 9](#_Toc161739113)

[3.4 Valid Physical Notifications 10](#_Toc161739114)

[3.5 Valid Quiescent Physical Notifications 11](#_Toc161739115)

[3.6 Valid Bid-Offer Data 11](#_Toc161739116)

[3.7 Valid Maximum Export Limits 12](#_Toc161739117)

[3.8 Valid Maximum Import Limits 13](#_Toc161739118)

[3.9 Valid Run-up and Run-down Rates 14](#_Toc161739119)

[3.9.1 Valid Run-up Rates for an Exporting BM Unit 15](#_Toc161739120)

[3.9.2 Valid Run-down Rates for an Exporting BM Unit 16](#_Toc161739121)

[3.9.3 Valid Run-up Rates for an Importing BM Unit 17](#_Toc161739122)

[3.9.4 Valid Run-down Rates for an Importing BM Unit 18](#_Toc161739123)

[3.10 Valid Notice to Deviate from Zero 20](#_Toc161739124)

[3.11 Valid Notice to Deliver Offers 20](#_Toc161739125)

[3.12 Valid Notice to Deliver Bids 20](#_Toc161739126)

[3.13 Valid Minimum Zero Time 20](#_Toc161739127)

[3.14 Valid Minimum Non-Zero Time 21](#_Toc161739128)

[3.15 Valid Stable Import Limit 21](#_Toc161739129)

[3.16 Valid Maximum Delivery Volume 22](#_Toc161739130)

[3.17 Valid Maximum Delivery Period 22](#_Toc161739131)

[4 Consistency 24](#_Toc161739132)

[4.1 Physical Notification Consistency Rules 24](#_Toc161739133)

[4.2 Quiescent Physical Notification Rules 25](#_Toc161739134)

[4.3 Bid-offer Consistency Rules 25](#_Toc161739135)

[4.4 Maximum Export Limit Consistency Rules 26](#_Toc161739136)

[4.5 Maximum Import Limit Consistency Rules 26](#_Toc161739137)

[5 Defaults 27](#_Toc161739138)

[5.1 Default Data 27](#_Toc161739139)

[5.2 Defaulting and Clock change Days 27](#_Toc161739140)

[5.3 Default Rule for Physical Notifications 28](#_Toc161739141)

[5.4 Default Rule for Quiescent Physical Notifications 28](#_Toc161739142)

[5.5 Default Rule for Maximum Export Limit 29](#_Toc161739143)

[5.6 Default Rule for Maximum Import Limit 29](#_Toc161739144)

[5.7 Default Rule for Planning Dynamic Data **Error! Bookmark not defined.**](#_Toc161739145)

[5.8 Default Rules for Bid-Offer Data 29](#_Toc161739146)

[6 Initial Data 31](#_Toc161739147)

[DOCUMENT STATUS 34](#_Toc161739148)

[**PRODUCT DESCRIPTION REFERENCE** 34](#_Toc161739149)

[IS/24.22.0023 34](#_Toc161739150)

[**AMENDMENT RECORD** 34](#_Toc161739151)

# Introduction

## Purpose

This document defines the rules for data validation and consistency checking which are applied to Balancing Mechanism data received from Trading Agents and Control Points under the terms of the Grid Code [2]. It also covers defaulting rules to be applied in the absence of expected data. This document forms one of the Grid Code’s “associated documents” and is referenced from the Grid Code [2].

The two mechanisms used for data transfer are EDT (for Trading Agents, see [3]) and EDL (for Control Points, see [4]). It should be noted that with respect to EDL, only submission messages to National Grid will be covered in this document; no information is given concerning Bid-Offer Acceptances and Ancillary Service instructions sent to Control Points.

## Scope

The normal definition of terms still applies. That is, data validation is concerned with checking that data is in the correct format and within the correct limits, e.g. is it an integer, is it between given limits etc.

Data consistency concerns itself with checking if a particular data record is consistent with other data records and defaulting rules are applied in cases of missing data which should have been submitted.

Failure to comply with the validation or consistency rules will result in rejection of the submission for the BM Unit affected. Section 3 of this document details the validation checks for data submissions by EDL and EDT and section 4 the consistency checks for data submitted by these means. Section 5 details the default rules that apply where data submissions are incomplete and which are independent of the data submission method.

This document does not cover physical data formats for EDT or EDL. These issues are covered in references [3,4].

## Definitions and Abbreviations

|  |  |
| --- | --- |
| Automatic Logging Device | As defined in the Grid Code. It is instruction-receiving part of EDL |
| Balancing Mechanism Window | The period of time from time now to the end of the latest Settlement Period for which gate Closure has occurred. |
| BM Unit | Balancing Mechanism Unit |
| BST | British Summer Time - time set one hour ahead of Greenwich Mean Time (GMT) |
| CEC | Connection Entry Capacity, as defined in the CUSC |
| EDL | Electronic Dispatch Logging – a bi-directional message transfer mechanism. National Grid uses it to send instructions to Control Points and they use it to send BM Unit Data to National Grid. The instruction –issue/receiving part of EDL is referred to as an Automatic Logging Device (EDL) in the Grid Code, while the data submission part of EDL is referred to as Electronic Data Communication Facilities (EDL & EDT). |
| EDT | Electronic Data Transfer – Flat file transfer of submissions from the BM Participant to National Grid. This is referred to as Electronic Data Communication Facilities (EDL & EDT) in the Grid Code. |
| Gate Closure | Gate Closure for a Settlement Period is the spot time one Gate Closure Period in advance of the spot time at the start of that Settlement Period (see appendix A for further explanation) |
| Gate Closure Period | The Gate Closure Period is the length of time between Gate Closure and the spot time at the start of the associated Settlement Period (see appendix A for further explanation) |
| M | A parameter used for some of the following validation rules – initially set to 239 |
| MEL | Maximum Export Limit |
| MDO | Maximum Delivery Offer |
| MDB | Maximum Delivery Bid |
| MIL | Maximum Import Limit |
| MNZT | Minimum Non-Zero Time |
| MZT | Minimum Zero Time |
| N | A parameter used for some of the following validation rules – set to 59 |
| NDZ | Notice to Deviate from Zero |
| NETA | New Electricity Trading Arrangements |
| Notification Time | The time at which the transfer of a submission to the National Grid System is completed. |
| NTB | Notice to Deliver Bids |
| NTO | Notice to Deliver Offers |
| Operational Day | Runs from 05:00 to 05:00 local time |
| Submission Maximum Date | A maximum limit will be placed on the date/times allowed in a given submission. From 05:00 to 11:00 local time the Submission Maximum Date is set equal to the end of the current Operational Day + 4 days. From 11:00 to 05:00 local time the Submission Maximum Date is equal to the end of the current Operational Day + 5 days. If a single record within a submission extends beyond this date the entire submission for the BM Unit would be rejected (see appendix A for further explanation) |
| PN | The Physical Notification (PN) for a BM Unit is the expected level of export or import for that BM Unit in the absence of any Balancing Mechanism Bid-Offer Acceptances from ESO. The submissions of PN provided at the day-ahead stage for the following Operational Day are termed the Initial Physical Notification (IPN). It is expected that further PNs will be submitted after this time. At Gate Closure, the PN submissions applicable for the period for which the gate has closed then become the Final Physical Notification (FPN) for that period. |
| QPN | A Quiescent Physical Notification is a MW value expressing the level of demand expected to be consumed by an underlying process that forms part of the operation of a particular BM Unit at any particular time |
| RDRE | Run-down Rates for an Exporting BM Unit |
| RDRI | Run-down Rates for an Importing BM Unit |
| RURE | Run-up Rates for an Exporting BM Unit |
| RURI | Run-up Rates for an Importing BM Unit |
| SEL | Stable Export Limit |
| SIL | Stable Import Limit |
| U | A parameter used for some of the following validation rules – initially set to –99999 |
| V | A parameter used for some of the following validation rules – initially set to 99999 |

The majority of the terms used here are as defined in reference 1 and 2.

## Related Documents

1. Balancing and Settlement Code, Elexon.
2. The Grid Code, National Grid.
3. EDT Interface Specification, CT/24.12.0002.
4. EDL Message Specification, CT/24.13.0013.

# Differences between EDL and EDT

The physical data formats for EDL and EDT are covered in references [3,4]. EDL is the primary mechanism by which Control Points inform NGC of changes to their operating conditions while EDT is used by Trading Agents to inform National Grid of changes to other data. As a result, the two mechanisms can have different validation and consistency rules applied to the data submitted. The following table summarises these differences.

|  |  |  |
| --- | --- | --- |
| Data Item | EDL | EDT |
| Physical Notifications | Not submitted by EDL | Can be submitted by EDT but only for certain date/times enforced by the rules contained in this document |
| Quiescent Physical Notifications | Not submitted by EDL | Can be submitted by EDT but only for certain date/times enforced by the rules contained in this document |
| Bid-Offer Data | Not submitted by EDL | Can be submitted by EDT but only for certain date/times enforced by the rules contained in this document |
| Maximum Export Limits & Maximum Import Limits | Can be submitted by EDL. | Can be submitted by EDT but only for certain date/times enforced by the rules contained in this document |
| Dynamic Data, i.e. SEL, SIL, RURE, RDRE, RURI, RDRI, NDZ, NTO, NTB, MZT, MNZT, MDO & MDB | Can be submitted by EDL and will be applicable from the Notification Time | Only Day Ahead Dynamic Parameters can be submitted by EDT, but they have been removed from the Grid Code and are not used by National Grid. Any Day Ahead Dynamic Parameters submitted to National Grid via EDT will be accepted by National Grid without any data validation or consistency checks. |

It is also worth noting that EDL is a message based system while EDT is a file based system. As a result, data records sent via EDL are processed separately and will have distinct notification times. However data records sent via EDT are part of a single file and so will have the same notification time. The notification time is important because it determines the precedence of different submissions.

# Validation

## Valid Date/Times

|  |  |
| --- | --- |
| Rule Number | Description |
| V\_GEN\_1 | All date/times must obey the formats given below |
| V\_GEN\_2 | Any submitted date/times must be valid calendar date/times |

Fields designated as date/times must be in GMT and must be to a resolution of one minute.

Rule V\_GEN\_2 ensures that a date such as 2000-02-31 will be rejected.

### EDT Date/Time Formats

EDT date/time formats follow the convention

YYYY-MM-DD HH:MI

Where the following definitions apply:

|  |  |
| --- | --- |
| YYYY | A 4 digit integer |
| MM | A 2 digit integer from the set {01..12} |
| DD | A 2 digit integer from the set {01..31} |
| HH | A 2 digit number from the set {00..23} |
| MI | A 2 digit number from the set {00..59} |

### EDL Date/Time Formats

EDL date formats follow the convention

DD-MON-YYYY HH:MI

Where the following definitions apply:

|  |  |
| --- | --- |
| DD | A 2 digit integer from the set {01..31} |
| MON | From the set {JAN, FEB, MAR, APR, MAY, JUN, JUL, AUG, SEP, OCT, NOV, DEC} |
| YYYY | A 4 digit integer |
| HH | A 2 digit number from the set {00..23} |
| MI | A 2 digit number from the set {00..59} |

## Other General Validation Rules

The following rules enforce checks on the BM Unit names and the relationship between BM Unit and Trading Agent or Control Point. Also there could be general format problems with the submitted data, meaning that validation and consistency rules cannot be applied.

|  |  |
| --- | --- |
| Rule Number | Description |
| V\_GEN\_3 | Submissions will be checked to ensure that the submitter has the right to send data for a given BM Unit |
| V\_GEN\_4 | The BM Unit must have a valid name |
| V\_GEN\_5 | It must be possible to process a submission in order to check its validity or consistency.  The rule covers cases where the data submission does not follow basic EDT or EDL formats and therefore cannot be checked.  For example an EDT file could be so corrupted that individual data items may not be identifiable. |

## Valid Physical Notifications

Physical Notifications (PN) can only be submitted via EDT, i.e. EDL does not have the capability to handle this information.

A Physical Notification record consists of the following fields.

* A date/time from.
* A PN level from (units of MW).
* A date/time to.
* A PN level to (units of MW).

| Rule Number | Description |
| --- | --- |
| V\_PN\_1 | A PN level must be an integer greater than or equal to –9999MW and less than or equal to the Connection Entry Capacity (CEC) of the BM Unit where such a value exists or an alternative value agreed with the Lead Party where such a value does not exist. In either case, the Lead Party may from time to time choose to submit alternative lower values to validate against. |
| V\_PN\_2 | Null fields are not allowed |
| V\_PN\_3 | A Physical Notification “date/time from” must be earlier than its “date/time to” |
| V\_PN\_4 | The Physical Notification “date/time from” field must be later than or equal to the end of the Balancing Mechanism Window. |
| V\_PN\_5 | The Physical Notification “date/time to” field must be earlier than or equal to the Submission Maximum Date |

MW levels for exporters of power would be expected to be positive. MW levels for importers of power would be expected to be negative.

## Valid Quiescent Physical Notifications

Quiescent Physical Notifications (QPN) can only be submitted via EDT, i.e. EDL does not have the capability to handle this information.

A quiescent physical notification record consists of the following fields.

* A date/time from.
* A QPN level from (units of MW).
* A date/time to.
* A QPN level to (units of MW).

| Rule Number | Description |
| --- | --- |
| V\_QPN\_1 | A QPN level must be an integer greater than or equal to –9999MW and less than or equal to 0MW |
| V\_QPN\_2 | Null fields are not allowed |
| V\_QPN\_3 | A Quiescent Physical Notification “date/time from” must be earlier than its “date/time to” |
| V\_QPN\_4 | The Quiescent Physical Notification “date/time from” field must be later than or equal to the end of the Balancing Mechanism Window |
| V\_QPN\_5 | The Quiescent Physical Notification “date/time to” field must be earlier than or equal to the Submission Maximum Date |

From the ranges allowed for QPN levels it can be deduced that only importers of power are expected to submit non-zero values for Quiescent Physical Notifications.

## Valid Bid-Offer Data

Bid-offer data can only be submitted via EDT, i.e. EDL does not have the capability to handle this information.

A bid-offer record consists of the following fields.

* A date/time from.
* A date/time to.
* A bid-offer pair number.
* A bid-offer level from (units of MW).
* A bid-offer level to (units of MW).
* An offer price (units of £/MWh).
* A bid price (units of £/MWh).

| Rule Number | Description |
| --- | --- |
| V\_BOD\_1 | The fields “date/time from” and “date/time to” must correspond to settlement half hour period boundaries |
| V\_BOD\_2 | The field “date/time from” must be earlier than the field “date/time to” |
| V\_BOD\_3 | The “bid-offer pair number” must be an integer greater than or equal to –5 and less than or equal to 5 BUT must not have the value 0 |
| V\_BOD\_4 | The fields “bid-offer level from” and “bid-offer level to” must be an integer greater than or equal to –9999MW and less than or equal to 9999MW |
| V\_BOD\_5 | The fields “bid-offer level from” and “bid-offer level to” must be equal |
| V\_BOD\_6 | If the “bid-offer pair number” is positive then the values of the fields “bid-offer level from” and “bid-offer level to” must also be positive or zero.  If the “bid-offer pair number” is negative then the values of the fields “bid-offer level from” and “bid-offer level to” must also be negative or zero. |
| V\_BOD\_7 | Null fields are not allowed |
| V\_BOD\_8 | All “offer prices” and “bid prices” must be a real number, accurate to 2 decimal places, which must be greater than or equal to –99999.00 £/MWh and less than or equal to 99999.00 £/MWh |
| V\_BOD\_9 | The bid-offer “date/time from” field must be later than or equal to the end of the Balancing Mechanism Window |
| V\_BOD\_10 | The bid-offer “date/time to” field must be earlier than or equal to the Submission Maximum Date |
| V\_BOD\_11 | Bid Offer Data can only be submitted in respect of BM Units which have an Automatic Logging Device |

## Valid Maximum Export Limits

A Maximum Export Limit (MEL) record consists of the following fields.

* A date/time from.
* A MEL level from (units of MW).
* A date/time to.
* A MEL level to (units of MW).

| Rule Number | Description |
| --- | --- |
| V\_MEL\_1 | The fields “MEL level from” and “MEL level to” must be integers greater than or equal to 0MW and less than or equal to 9999MW |
| V\_MEL\_2 | Null fields are not allowed |
| V\_MEL\_3 | The field “date/time from” must be earlier than the field “date/time to” |
| V\_MEL\_4 | If the submission has been received via EDT then the MEL “date/time from” field must be later than or equal to the end of Balancing Mechanism Window. |
| V\_MEL\_5 | The MEL “date/time to” field must be earlier than or equal to the Submission Maximum Date |
| V\_MEL\_6 | The MEL “date/time from” field must be later than or equal to the Notification Time. |

## Valid Maximum Import Limits

A Maximum Import Limit (MIL) record consists of the following fields.

* A date/time from.
* A MIL level from (units of MW).
* A date/time to.
* A MIL level to (units of MW).

| Rule Number | Description |
| --- | --- |
| V\_MIL\_1 | The fields “MIL level from” and “MIL level to” must be integers greater than or equal to –9999MW and less than or equal to 0MW |
| V\_MIL\_2 | Null fields are not allowed |
| V\_MIL\_3 | The field “date/time from” must be earlier than the field “date/time to” |
| V\_MIL\_4 | If the submission has been received via EDT then the MIL “date/time from” field must be later than or equal to the end of the Balancing Mechanism Window |
| V\_MIL\_5 | The MIL “date/time to” field must be earlier than or equal to the Submission Maximum Date. |
| V\_MIL\_6 | The MIL “date/time from” field must be later than or equal to the Notification Time. |

## Valid Run-up and Run-down Rates

Submissions can be made for run-up and run-down rates which correspond to changes in the production and consumption of power. Hence a given BM Unit can have four sets of rates and breakpoints in the following way.

* A set of parameters describing run-up rates when exporting.
* A set of parameters describing run-down rates when exporting.
* A set of parameters describing run-up rates when importing.
* A set of parameters describing run-down rates when importing.

Reference 1 uses the abbreviation gRUR and gRUE for the run-up rates and the elbow points for a given BM Unit. The g superscripts for the run-up dynamics of an exporting BM Unit are described in detail but the use of this superscript for an importing BM Unit is less well defined (there is a simple statement that in this case g will be less than zero). Similar abbreviations are proposed for run-down dynamics.

It has proved very difficult to use the proposed negative g superscripts in a logical way and as a result this document deviates from the proposal in reference 1 and will instead use the abbreviations given below.



### Valid Run-up Rates for an Exporting BM Unit

A record for the Run-up Rates of an Exporting BM Unit (RURE) consists of the following fields.

* First Run-up Rate (abbreviation RURE\_R1, units MW/minute).
* Second Run-up Rate Breakpoint (abbreviation RURE\_BP2, units MW).
* Second Run-up Rate (abbreviation RURE\_R2, units MW/minute).
* Third Run-up Rate Breakpoint (abbreviation RURE\_BP3, units MW).
* Third Run-up Rate (abbreviation RURE\_R3, units MW/minute).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_RURE\_2 | The following are the only valid combinations of rates and breakpoint fields allowed  1st valid combination  RURE\_R1 = NOT NULL  RURE\_BP2 = NULL  RURE\_R2 = NULL  RURE\_BP3 = NULL  RURE\_R3 = NULL  2nd valid combination  RURE\_R1 = NOT NULL  RURE\_BP2 = NOT NULL  RURE\_R2 = NOT NULL  RURE\_BP3 = NULL  RURE\_R3 = NULL  3rd valid combination  RURE\_R1 = NOT NULL  RURE\_BP2 = NOT NULL  RURE\_R2 = NOT NULL  RURE\_BP3 = NOT NULL  RURE\_R3 = NOT NULL |
| V\_RURE\_3 | If a run-up rate field is not null it must be a real number, accurate to 1 decimal place, greater than or equal to 0.2MW/minute and less than or equal to 999.0MW/minute. |
| V\_RURE\_4 | If a run-up rate breakpoint field is not null it must be an integer greater than or equal to 1MW and less than or equal to 9999MW |
| V\_RURE\_5 | If both run-up rate breakpoints are not null then the field “Second Run-up Rate Breakpoint” must be less than the field “Third Run-up Rate Breakpoint” |

### Valid Run-down Rates for an Exporting BM Unit

A record for the Run-down Rates of an Exporting BM Unit (RDRE) consists of the following fields.

* First Run-down Rate (abbreviation RDRE\_R1, units MW/minute).
* Second Run-down Rate Breakpoint (abbreviation RDRE\_BP2, units MW).
* Second Run-down Rate (abbreviation RDRE\_R2, units MW/minute).
* Third Run-down Rate Breakpoint (abbreviation RDRE\_BP3, units MW).
* Third Run-down Rate (abbreviation RDRE\_R3, units MW/minute).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_RDRE\_2 | The following are the only valid combinations of rates and breakpoint fields allowed  1st valid combination  RDRE\_R1 = NOT NULL  RDRE\_BP2 = NULL  RDRE\_R2 = NULL  RDRE\_BP3 = NULL  RDRE\_R3 = NULL  2nd valid combination  RDRE\_R1 = NOT NULL  RDRE\_BP2 = NOT NULL  RDRE\_R2 = NOT NULL  RDRE\_BP3 = NULL  RDRE\_R3 = NULL  3rd valid combination  RDRE\_R1 = NOT NULL  RDRE\_BP2 = NOT NULL  RDRE\_R2 = NOT NULL  RDRE\_BP3 = NOT NULL  RDRE\_R3 = NOT NULL |
| V\_RDRE\_3 | If a run-down rate field is not null it must be a real, accurate to 1 decimal place, greater than or equal to 0.2MW/minute and less than or equal to 999.0MW/minute. |
| V\_RDRE\_4 | If a run-down rate breakpoint field is not null it must be an integer greater than or equal to 1MW and less than or equal to 9999MW |
| V\_RDRE\_5 | If both run-down rate breakpoints are not null then the field “Second Run-down Rate Breakpoint” must be greater than the field “Third Run-down Rate Breakpoint” |

### Valid Run-up Rates for an Importing BM Unit

A record for the Run-up Rates of an Importing BM Unit (RURI) consists of the following fields.

* First Run-up Rate (abbreviation RURI\_R1, units MW/minute).
* Second Run-up Rate Breakpoint (abbreviation RURI\_BP2, units MW).
* Second Run-up Rate (abbreviation RURI\_R2, units MW/minute).
* Third Run-up Rate Breakpoint (abbreviation RURI\_BP3, units MW).
* Third Run-up Rate (abbreviation RURI\_R3, units MW/minute).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_RURI\_2 | The following are the only valid combinations of rates and breakpoint fields allowed  1st valid combination  RURI\_R1 = NOT NULL  RURI\_BP2 = NULL  RURI\_R2 = NULL  RURI\_BP3 = NULL  RURI\_R3 = NULL  2nd valid combination  RURI\_R1 = NOT NULL  RURI\_BP2 = NOT NULL  RURI\_R2 = NOT NULL  RURI\_BP3 = NULL  RURI\_R3 = NULL  3rd valid combination  RURI\_R1 = NOT NULL  RURI\_BP2 = NOT NULL  RURI\_R2 = NOT NULL  RURI\_BP3 = NOT NULL  RURI\_R3 = NOT NULL |
| V\_RURI\_3 | If a run-up rate field is not null it must be a real number, accurate to 1 decimal place, greater than or equal to 0.2MW/minute and less than or equal to 999.0MW/minute. |
| V\_RURI\_4 | If a run-up rate breakpoint field is not null it must be an integer greater than or equal to -9999MW and less than or equal to  -1MW |
| V\_RURI\_5 | If both run-up rate breakpoints are not null then the field “Second Run-up Rate Breakpoint” must be less than the field “Third Run-up Rate Breakpoint” |

### Valid Run-down Rates for an Importing BM Unit

A record for the Run-down Rates of an Importing BM Unit (RDRI) consists of the following fields.

* First Run-down Rate (abbreviation RDRI\_R1, units MW/minute).
* Second Run-down Rate Breakpoint (abbreviation RDRI\_BP2, units MW).
* Second Run-down Rate (abbreviation RDRI\_R2, units MW/minute).
* Third Run-down Rate Breakpoint (abbreviation RDRI\_BP3, units MW).
* Third Run-down Rate (abbreviation RDRI\_R3, units MW/minute).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_RDRI\_2 | The following are the only valid combinations of rates and breakpoint fields allowed  1st valid combination  RDRI\_R1 = NOT NULL  RDRI\_BP2 = NULL  RDRI\_R2 = NULL  RDRI\_BP3 = NULL  RDRI\_R3 = NULL  2nd valid combination  RDRI\_R1 = NOT NULL  RDRI\_BP2 = NOT NULL  RDRI\_R2 = NOT NULL  RDRI\_BP3 = NULL  RDRI\_R3 = NULL  3rd valid combination  RDRI\_R1 = NOT NULL  RDRI\_BP2 = NOT NULL  RDRI\_R2 = NOT NULL  RDRI\_BP3 = NOT NULL  RDRI\_R3 = NOT NULL |
| V\_RDRI\_3 | If a run-down rate field is not null it must be a real, accurate to 1 decimal place, greater than or equal to 0.2MW/minute and less than or equal to 999.0MW/minute. |
| V\_RDRI\_4 | If a run-down rate breakpoint field is not null it must be an integer greater than or equal to -9999MW and less than or equal to –1MW |
| V\_RDRI\_5 | If both run-down rate breakpoints are not null then the field “Second Run-up Rate Breakpoint” must be greater than the field “Third Run-up Rate Breakpoint” |

## Valid Notice to Deviate from Zero

A Notice to Deviate from Zero (NDZ) record consists of the following field.

* An NDZ value (units of minutes).

|  |  |
| --- | --- |
| Rule Number | Description |
|  |  |
| V\_NDZ\_2 | The field “NDZ value” cannot be null and must be an integer greater than or equal to 0 minutes and less than or equal to 999 minutes |

## Valid Notice to Deliver Offers

A Notice to Deliver Offers (NTO) record consists of the following field.

* An NTO value (units of minutes).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_NTO\_2 | The field “NTO value” cannot be null and must be an integer greater than or equal to 0 minutes and less than or equal to N minutes |

## Valid Notice to Deliver Bids

A Notice to Deliver Bids (NTB) record consists of the following field.

* An NTB value (units of minutes).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_NTB\_2 | The field “NTB value” cannot be null and must be an integer greater than or equal to 0 minutes and less than or equal to N minutes |

## Valid Minimum Zero Time

A Minimum Zero Time (MZT) record consists of the following field.

* An MZT value (units of minutes).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_MZT\_2 | The field “MZT value” cannot be null and must be an integer greater than or equal to 0 minutes and less than or equal to 999 minutes |

## Valid Minimum Non-Zero Time

A Minimum Non-zero Time (MNZT) record consists of the following field.

* An MNZT value (units of minutes).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_MNZT\_2 | The field “MNZT value” cannot be null and must be an integer greater than or equal to 0 minutes and less than or equal to 999 minutes |

## Valid Stable Export Limit

A Stable Export Limit (SEL) record consists of the following fields.

* An effective time (EDT submissions use this field - the concept does not exist in EDL).
* An SEL value (units of MW).

| Rule Number | Description |
| --- | --- |
| V\_SEL\_1 | The “effective time” field is only relevant to EDT submissions and can only have a value corresponding to the start date/time of a future Operational Day (note times are in GMT). The “effective time” cannot be later than the Submission Maximum Date.  If the field has a valid Operational Day start date/time then this submission will only be used for planning purposes. |
| V\_SEL\_2 | The field “SEL value” cannot be null and must be an integer greater than or equal to 0MW and less than or equal to 9999MW |

## Valid Stable Import Limit

A Stable Import Limit (SIL) record consists of the following field.

* An SIL value (units of MW).

| Rule Number | Description |
| --- | --- |
|  |  |
| V\_SIL\_2 | The field “SIL value” cannot be null and must be an integer greater than or equal to  –9999MW and less than or equal to 0MW |

## Valid Maximum Delivery Offer

A Maximum Delivery Offer (MDO) record consists of the following fields.

* A date/time from.
* A MDO level from (units of MWh).
* A date/time to.
* A MDO level to (units of MWh).

| Rule Number | Description |
| --- | --- |
| V\_MDO\_1 | The fields “MDO level from” and “MDO level to” must be integers greater than or equal to 0MWh and less than or equal to 9999MWh |
| V\_MDO\_2 | Null fields are not allowed |
| V\_MDO\_3 | The field “date/time from” must be earlier than the field “date/time to” |
| V\_MDO\_4 | The MDO “date/time to” field must be earlier than or equal to the Submission Maximum Date |
| V\_MDO\_5 | The MDO “date/time from” field must be later than or equal to the Notification Time. |

## Valid Maximum Delivery Bid

A Maximum Delivery Bid (MDB) record consists of the following fields.

* A date/time from.
* A MDB level from (units of MWh).
* A date/time to.
* A MDB level to (units of MWh).

| Rule Number | Description |
| --- | --- |
| V\_MDB\_1 | The fields “MDB level from” and “MDB level to” must be integers greater than or equal to –9999MWh and less than or equal to 0MWh |
| V\_MDB\_2 | Null fields are not allowed |
| V\_MDB\_3 | The field “date/time from” must be earlier than the field “date/time to” |
| V\_MDB\_4 | The MDB “date/time to” field must be earlier than or equal to the Submission Maximum Date. |
| V\_MDB\_5 | The MDB “date/time from” field must be later than or equal to the Notification Time. |

# Consistency

## Physical Notification Consistency Rules

Physical Notification records are submitted via EDT flat files. Within an EDT file there is no implied ordering and as a result records for the same BM Unit cannot cover the same time period. If the records did cover the same time period it would be impossible to determine which record took precedence.

There is also a requirement that a Physical Notification for a given BM Unit must be submitted for every half hour period start “date/time” covered by the submission and that the records submitted must cover complete half hour periods. For example the following combination of to and from date/times is acceptable

“from date/time” “to date/time”

2000-02-07 10:00 2000-02-07 10:15

2000-02-07 10:15 2000-02-07 10:30

2000-02-07 10:30 2000-02-07 11:00

However this combination

“from date/time” “to date/time”

2000-02-07 10:00 2000-02-07 10:15

2000-02-07 10:16 2000-02-07 10:31

2000-02-07 10:31 2000-02-07 10:59

will fail consistency checking because there is a gap in the records between 10:15 and 10:16, the record starting at 10:16 and extending to 10:31 goes beyond the settlement half hour end time of 10:30, there is no record corresponding to the settlement period start of 10:30, and there is a gap between 10:59 and the end of the settlement period given by 11:00.

| Rule Number | Description |
| --- | --- |
| C\_PN\_1 | Physical Notification records, for the same BM Unit, with the same Notification Time must cover distinct time ranges. |
| C\_PN\_2 | Physical Notification records, for the same BM Unit, with the same Notification Time must cover complete settlement half hour periods.  In addition a sub-set of the records must have “date/time” fields corresponding to the start of each half hour period covered. |

## Quiescent Physical Notification Rules

The clarification comments given in section 4.1 for physical notifications are equally applicable to quiescent physical notifications.

| Rule Number | Description |
| --- | --- |
| C\_QPN\_1 | Quiescent Physical Notification records, for the same BM Unit, with the same Notification Time must cover distinct time ranges. |
| C\_QPN\_2 | Quiescent Physical Notification records, for the same BM Unit, with the same Notification Time must cover complete settlement half hour periods.  In addition a sub-set of the records must have “date/time” fields corresponding to the start of each half hour period covered. |

## Bid-offer Consistency Rules

A bid-offer set is defined as those bid-offer records, for a given BM Unit, that have the same Notification Times, the same “date/time from” fields, and the same “date/time to” fields.

| Rule Number | Description |
| --- | --- |
| C\_BOD\_1 | Bid-offer sets must cover distinct time ranges. |
| C\_BOD\_2 | For a given bid-offer set “offer prices” submitted must not decrease as the values of the “bid-offer pair number” increases, i.e. prices must be monotonically non-decreasing |
| C\_BOD\_3 | For a given bid-offer set “bid prices” submitted must not decrease as the values of the “bid-offer pair number” increases, i.e. prices must be monotonically non-decreasing |
| C\_BOD\_4 | Each bid-offer set must contain bid-offer records corresponding to the “bid-offer pair numbers” +1 and –1 and for a given bid-offer set the “bid-offer pair numbers” must be continuous (with the exception that 0 is not an allowed value). |
| C\_BOD\_5 | For a given bid-offer set the “offer price” must be equal to or greater than the “bid price” for each individual “bid-offer pair number”. |
| C\_BOD\_6 | For a given bid-offer set, the fields “bid-offer level from” and “bid-offer level to”, for all bid-offer pairs other than the pair with the highest positive “bid-offer pair number” and the pair with the lowest negative “bid-offer pair number”, must not be zero. |

## Maximum Export Limit Consistency Rules

|  |  |
| --- | --- |
| Rule Number | Description |
| C\_MEL\_1 | Maximum Export Limit records, for the same BM Unit, with the same Notification Time must cover distinct time ranges. |

## Maximum Import Limit Consistency Rules

|  |  |
| --- | --- |
| Rule Number | Description |
| C\_MIL\_1 | Maximum Import Limit records, for the same BM Unit, with the same Notification Time must cover distinct time ranges. |

# Defaults

## Default Data

It should be noted that, in general, if defaulted data is not overwritten by subsequent submissions it will become operational data.

There is a single defaulting rule for Bid-Offer Data. However, for Physical Notifications, Quiescent Physical Notifications, Maximum Export Limit and Minimum Import Limit, there are two different defaulting rules available. For each BM Unit and all relevant data types only one rule will be applied. In the absence of any request to apply a specific rule for any BM Unit data, the first rule (C) will be applied as a matter of course., unless it relates to an External Interconnection, in which case the second rule (Z) will in the absence of any such request apply. The decision as to which rule can be applied to a specific BM Unit and data type is the responsibility of the System Operator.

Data defaulting is applied where the submitted data is not complete for any Operational Day at the relevant time. For example, at 1999-12-06 11:00 if there were a gap in data covering a period from 1999-12-07 13:00 to 1999-12-07 14:00 (that is in the following Operational Day) then default data would be generated to fill the gap. Using the first rule, the data from 1999-12-06 13:00 to 1999-12-06 14:00 would be copied to fill the gap. This data would include all updates that had been made up to 1999-12-06 11:00 for that time period. Using the second rule this gap would be filled with zero level data.

## Defaulting and Clock change Days

For real-time systems operating twenty-four hours per day in local time, an issue exists with duplicated and missing hours as local time changes between time standards. In general, the clock change occurs in the early hours (at 01:00 GMT) on a Sunday. The nature of the Operational Day (05:00 to 05:00 local time) means that the clock change occurs towards the end of the Saturday Operational Day. As a result of the clock change, a Short Day (23hrs) occurs in spring and a Long Day (25hrs) occurs in autumn.

On the basis of these assumptions, the following table describes the mechanism used to generate default data where gaps exist in the data submitted. The table defines the mechanism for each of the Operational Days before, during and after each clock change. The last column defines, for each day, the source of data for any gaps in that Operational Day for which defaulting is done. Note that all times shown in the table are in local time.

The method adopted preserves the local time profiles for data from Operational Days before to those after the Operational Day in which the clock change falls. However, on the clock change Operational Days themselves, there is a shift in data for part of the day. In the case of the spring clock change, periods after the clock change are shifted one hour later according to local time. In the case of the autumn clock change, periods before the clock change are shifted one hour later according to local time.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Clock Change | Operational Day | Day Type | When Defaulted | Default Mechanism |
| Spring | Friday | GMT | 11:00 Thursday | Copy data from period 24 hours earlier |
| Saturday | Short Day | 11:00 Friday | Copy data from period 24 hours earlier |
| Sunday | BST | 11:00 Saturday | For periods from 05:00 to 04:00:  Copy data from period 23 hours earlier For periods from 04:00 to 05:00:  Copy data from period 47 hours earlier |
| Autumn | Friday | BST | 11:00 Thursday | Copy data from period 24 hours earlier |
| Saturday | Long Day | 11:00 Friday | Copy data from period 25 hours earlier |
| Sunday | GMT | 11:00 Saturday | Copy data from period 24 hours earlier |

## Default Rule for Physical Notifications

|  |  |
| --- | --- |
| Rule Number | Description |
| C | If no Physical Notification submission, or a partial submission, has been made by 11:00 the data for the current Operational Day will be copied forward to fill gaps in the next Operational Day. |
| Z | If no Physical Notification submission, or a partial submission, has been made by 11:00 a zero profile will be applied to fill gaps in data for the next Operational Day. |

N.B. Either C or Z will be applied for each BM Unit.

## Default Rule for Quiescent Physical Notifications

|  |  |
| --- | --- |
| Rule Number | Description |
| C | If no Quiescent Physical Notification submission, or a partial submission, has been made by 11:00 the data for the current Operational Day will be copied forward to fill gaps in the next Operational Day. |
| Z | If no Quiescent Physical Notification submission, or a partial submission, has been made by 11:00 a zero profile will be applied to fill gaps in data for the next Operational Day. |

N.B. Either C or Z will be applied for each BM Unit.

## Default Rule for Maximum Export Limit

|  |  |
| --- | --- |
| Rule Number | Description |
| C | If no Maximum Export Limit submission, or a partial submission, has been made by 11:00 the data for the current Operational Day will be copied forward to fill gaps in the next Operational Day. |
| Z | If no Maximum Export Limit submission, or a partial submission, has been made by 11:00 a zero profile will be applied to fill gaps in data for the next Operational Day |

N.B. Either C or Z will be applied for each BM Unit.

## Default Rule for Maximum Import Limit

|  |  |
| --- | --- |
| Rule Number | Description |
| C | If no Maximum Import Limit submission, or a partial submission, has been made by 11:00 the data for the current Operational Day will be copied forward to fill gaps in the next Operational Day. |
| Z | If no Maximum Import Limit submission, or a partial submission, has been made by 11:00 a zero profile will be applied to fill gaps in data for the next Operational Day |

N.B. Either C or Z will be applied for each BM Unit.

## Default Rules for Bid-Offer Data

| Rule Number | Description |
| --- | --- |
| BOD | If no bid-offer submission, or a partial submission, has been made by 11:00 the data for the current Operational Day will be copied forward to fill gaps in the next Operational Day. |

## Default Rule for Maximum Delivery Offer

|  |  |
| --- | --- |
| Rule Number | Description |
| C | If no Maximum Delivery Offer submission, or a partial submission, has been made by 11:00 the data for the current Operational Day will be copied forward to fill gaps in the next Operational Day. |
| Z | If no Maximum Delivery Offer submission, or a partial submission, has been made by 11:00 a zero profile will be applied to fill gaps in data for the next Operational Day |

N.B. Either C or Z will be applied for each BM Unit.

## Default Rule for Maximum Delivery Bid

|  |  |
| --- | --- |
| Rule Number | Description |
| C | If no Maximum Delivery Bid submission, or a partial submission, has been made by 11:00 the data for the current Operational Day will be copied forward to fill gaps in the next Operational Day. |
| Z | If no Maximum Delivery Bid submission, or a partial submission, has been made by 11:00 a zero profile will be applied to fill gaps in data for the next Operational Day |

N.B. Either C or Z will be applied for each BM Unit.

# Initial Data

When a BM unit is first registered with Elexon and setup, by NGC, within the BM a set of initial values for each of the submitted data items is given. These values are used in the absence of a submission from the responsible Trading Point/Control Point.

The following values are used for the following data types:

* MEL, PN, QPN, MIL, SEL, SIL, MZT & MNZT are all zero.
* Bid-Offer Volume, Bid Price and Offer Price are all zero for all initial settlement periods up to the end of the next Operational Day.
* RURE, RDRE, RURI & RDRI is 10.
* MDO is 9999
* MDB is -9999
* NDZ, NTO & NTB is 2

There are no initial data values populated for a BM Unit when it is first registered with National Grid. Instead, once the BM Unit has been registered with National Grid. Instead, for those BM Units that wish to actively participate in the Balancing Mechanism, the associated Trading Point/Control Point should submit appropriate values using the communication methods specified in Grid Code BC1.4.1(a) [2].

1. Clarification of Gate Closure and Submission Maximum Date

The concepts of Gate Closure and Submission Maximum Date are used throughout this document and are of central importance when applying some of the rules given. This appendix expands the explanations given in section 1.3.

Data submitted is time stamped with the time when a transfer to the NGC system is complete – this is known as the Notification Time. This Notification Time is used to define Gate Closure and the Submission Maximum Date for the data within the submission.

* 1. Gate Closure

As an example of Gate Closure and the Gate Closure Period consider a submission which has a Notification Time of 2000-03-03 10:00. Consider how the validation rule V\_PN\_4 would be applied. This rule states that the Physical Notification “date/time from” field must be later than or equal to the end of the last Settlement Period for which Gate Closure has occurred at the Notification Time.

For this Notification Time the last Gate Closure occurred at 2000-03-03 10:00. We take the Gate Closure Period as 1 hour. This latest Gate Closure therefore occurs for the Settlement Period commencing one hour after this time, i.e. 2000-03-03 11:00. Thus, the end of the Settlement Period for which Gate Closure has occurred is 2000-03-03 11:30. As a result all Physical Notifications in this submission must have a “date/time from” field with date/times later than or equal to 2000-03-03 11:30.

Because Gate Closure is always on a half-hour period the same date/times apply for submissions with Notification Times up to 2000-03-03 10:30. So if a submission had a Notification Time of 2000-03-03 10:29 the values quoted in the last paragraph would still apply.

However for a submission with a Notification Time of 2000-03-03 10:30, the most recent Gate Closure would be at 2000-03-03 10:30 and all times quoted above would increase by 30 minutes.

* 1. Submission Maximum Date

The following tables provide examples of the calculation of the Submission Maximum Date.

Note that Notification Times and the Submission Maximum Date should be used in the GMT time convention as they relate to data in a submission which is always in GMT.

However it is easier to think in local time and then convert to GMT because the concept of a Submission Maximum Date is related to the Operational Day which runs from 05:00 to 05:00 local time.

The following table gives examples of the calculation of the Submission Maximum Date over a spring clock change on 2000-03-26.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Notification Times | | | Days Added | Submission Maximum Date | | |
| Date | Local Time | GMT | Date | Local Time | GMT |
| 2000-03-20 | 10:59 | 10:59 | 4 | 2000-03-25 | 05:00 | 05:00 |
| 2000-03-20 | 11:00 | 11:00 | 5 | 2000-03-26 | 05:00 | 04:00 |
| 2000-03-24 | 03:59 | 03:59 | 5 | 2000-03-29 | 05:00 | 04:00 |
| 2000-03-24 | 04:00 | 04:00 | 5 | 2000-03-29 | 05:00 | 04:00 |
| 2000-03-24 | 04:59 | 04:59 | 5 | 2000-03-29 | 05:00 | 04:00 |
| 2000-03-24 | 05:00 | 05:00 | 4 | 2000-03-29 | 05:00 | 04:00 |
| 2000-03-24 | 10:59 | 10:59 | 4 | 2000-03-29 | 05:00 | 04:00 |
| 2000-03-24 | 11:00 | 11:00 | 5 | 2000-03-30 | 05:00 | 04:00 |
| 2000-03-25 | 03:59 | 03:59 | 5 | 2000-03-30 | 05:00 | 04:00 |
| 2000-03-25 | 04:00 | 04:00 | 5 | 2000-03-30 | 05:00 | 04:00 |
| 2000-03-25 | 04:59 | 04:59 | 5 | 2000-03-30 | 05:00 | 04:00 |
| 2000-03-25 | 05:00 | 05:00 | 4 | 2000-03-30 | 05:00 | 04:00 |
| 2000-03-25 | 10:59 | 10:59 | 4 | 2000-03-30 | 05:00 | 04:00 |
| 2000-03-25 | 11:00 | 11:00 | 5 | 2000-03-31 | 05:00 | 04:00 |
| 2000-03-26 | 03:59 | 02:59 | 5 | 2000-03-31 | 05:00 | 04:00 |
| 2000-03-26 | 04:00 | 03:00 | 5 | 2000-03-31 | 05:00 | 04:00 |
| 2000-03-26 | 04:59 | 03:59 | 5 | 2000-03-31 | 05:00 | 04:00 |
| 2000-03-26 | 05:00 | 04:00 | 4 | 2000-03-31 | 05:00 | 04:00 |
| 2000-03-26 | 10:59 | 09:59 | 4 | 2000-03-31 | 05:00 | 04:00 |
| 2000-03-26 | 11:00 | 10:00 | 5 | 2000-04-01 | 05:00 | 04:00 |

# DOCUMENT STATUS

Template Version 3.0

**PRODUCT DESCRIPTION REFERENCE**

IS/24.22.0023

**AMENDMENT RECORD**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Issue** | **Draft** | **Date** | **Author** | **Description of changes** |
| 10 | 4 | 24/09/24 | MBD | After internal review – for sharing with WG |
| 10 | 3 | 21/09/24 | MBD | Changes for MDO and MDB |
| 10 | 2 | 19/03/24 |  | Removal of changes for EBS and updated branding |
| 9 |  | 14/07/16 | SCR | Changes for EBS – issue not adopted |
| 9 |  | 14/07/16 | SCR | Authority approval of Issue 9 draft 5 changes |
| 9 | **5** | 24/01/14 | RJP | Updated following comments from GC0068 Consultation review |
| 9 | **4** | 31/10/13 | RJP | Updated following comments from GCRP  members in preparation for industry consultation |
| 9 | **3** | 11/10/13 | RJP | Updated following review at the EBSG Workgroup for circulation to GCRP for comments |
| 9 | **2** | 18/09/13 | RJP | Updated following internal review for circulation to EBSG Workgroup |
| 9 | **1** | 23/08/13 | RJP | Changes for EBS including adding the section on EDT\* |
| 8 | 3 | 25/01/12 | RDG | Add validation rule D\_BOD\_2; update introduction.  Update initial NDZ to 2 minutes in line with NTO & NTB |
| 8 | 2 | 2/11/11 | RDG | Updates after review  Update value for N |
| 8 | 1 | 24/10/11 | RDG | Add validation rule V\_BOD\_11  Add Section 6: Initial values |
| 7 |  | 11/10/04 | PH | Authority approval of Issue 7 draft 1 changes |
| 7 | 1 | 3/11/03 | PH | Replace Generation Capacity with Connection Entry Capacity or equivalent. |
| 6 |  | 25/05/04 | RDG | Authority approval of Issue 6 draft 1 changes |
| 6 | 1 | 19/11/02 | RDG | Introduce D\_PN\_2, D\_QPN\_1, D\_MEL\_1, D\_MIL\_1 as alternative rules for data defaulting. Modify Gate Closure parameter from 3.5 to 1 hours |
| 5 |  | 19/12/00 | DJB | Include comments from internal review. |
| 5 | 1 | 06/12/00 | DJB | Include notes on how clock change affects defaulting rules and other clarifications. Removed rules: D\_BOD\_2; D\_BOD\_3; D\_BOD\_4.  NGC Events: 2540, 2539, 2744 |
| 4 |  | 24/05/00 | JMW | Included comments from internal reviews. Added new rule C\_BOD\_6. Added clarification for rule V\_GEN\_5 and V\_BOD\_6 |
| 4 | 1 | 17/05/00 | MBD | Included new rules V\_GEN\_5, V\_MEL\_6 and V\_MIL\_6. Added clarification for rule C\_BOD\_4. |
| 3 |  | 14/03/00 | MBD | Final comments included before issued |
| 2 |  | 10/02/00 | MBD | Final changes before release to PDO |
| 1 |  | 28/01/00 | MBD | Included final internal review comments |