Our Ref:

Your Ref:

Date: July 2005

To: All Recipients of the Serviced Grid Code

Commercial Industry Codes

National Grid Company plc
National Grid Transco House
Warwick Technology Park
Gallows Hill
Warwick
CV34 6DA

Tel No: 01926 656335
Fax No: 01926 656520

Dear Sir/Madam

THE SERVICED GRID CODE – ISSUE 3 REVISION 11

Revision 11 of Issue 3 of the Grid Code has been approved by the Authority for implementation on **15th July 2005**.

I have enclosed the replacement pages that incorporate the agreed changes necessary to update the serviced copies of the Grid Code Issue 3 held by you to Revision 11 standard.

The enclosed note indicates the changes that are necessary to incorporate the pages and also attached is a brief summary of the changes made to the text.

Please note that your Grid Code Servicing arrangements will cease on 31st December 2005 and will not be renewed. If you require e-mail notification of Grid Code updates becoming available on the Industry Information website please forward your e-mail address to:

david.payne@ngtuk.com

The notification will provide a direct link to the update file in .pdf format which you will be able to down load to the folder of your choice.

Yours faithfully

D Payne
Industry Codes

THE GRID CODE – ISSUE 3 REVISION 11

INCLUSION OF REVISED PAGES

Title Page			
Glossary and Definitions	**G&D**	**-**	**All Pages**
Connection Conditions	**CC**	**-**	**Contents, Pages 11/12, 15 to 34**
Operating Codes	**OC2**	**-**	**Pages 11 to 18**
	OC8A	**-**	**All Pages**
	OC8B	**-**	**Pages 1 to 14**
Balancing Codes	**BC1**	**-**	**Pages 11/12**
	BC2	**-**	**All pages**
Revisions			**Pages 13/14**

NOTE: See Page 1 of the Revisions section of the Grid Code for details of how the revisions are indicated on the pages.

NATIONAL GRID COMPANY plc

THE GRID CODE – ISSUE 3 REVISION 11

SUMMARY OF CHANGES

The changes arise from the implementation of modifications proposed in the following Consultation Papers:

- **A/05** (Grid Code Changes consequential to CUSC Amendment Proposal CAP076 – Treatment of System to Generator Intertripping Schemes),
- **C/04** (Proposed changes to Grid Code OB8 associated with the Management of Safety Keys and other Housekeeping changes); and
- **E/03** (Proposed changes to Connection Conditions CC.6.3.3 in respect of operation at frequencies below 49.5Hz).

A/05

1. Changes to the Glossary and Definitions introducing technical definitions of 4 categories of Intertripping Schemes and other associated definitions including System to Generator Operational Intertripping.

2. Changes to the Connection Conditions explaining that in certain circumstances the installation of a System to Generator Intertripping Scheme may be required. Inclusion of Intertripping Schemes as a Part 2 System Ancillary Service.

3. Changes to OC2 to reference the requirement of arming an Operational Intertripping scheme.

4. Removal of intertrips from the list of Special Actions in BC1.

5. Removal of the requirement for a Bid Offer Acceptance to be for the use of an intertripping scheme in BC2.

C/04

6. Changes to the Glossary and Definitions - Isolation and Earthing definitions to include the exchange of Safety Keys

7. Changes to OC8A and OC8B detailing the process of exchange of Safety Keys.

E/03

8. Changes to CC.6.3.3 (b) to reduce risk to the Transmission System in the event of prolonged operation at frequencies below 49.5Hz.

THE GRID CODE

Issue 3

Revision 11
15th July 2005

THIS DOCUMENT IS ISSUED BY:-

NATIONAL GRID COMPANY PLC
COMMERCIAL, INDUSTRY CODES UNIT
NATIONAL GRID TRANSCO HOUSE
WARWICK TECHNOLOGY PARK
GALLOWS HILL
WARWICK
CV34 6DA

TEL: 01926 656335

REGISTERED OFFICE: **1-3 Strand**
London
WC2N 5EH

GLOSSARY AND DEFINITIONS
(G & D)

1. In the **Grid Code** the following words and expressions shall, unless the subject matter or context otherwise requires or is inconsistent therewith, bear the following meanings:

Act — The Electricity Act 1989 (as amended by the Utilities Act 2000 and the Energy Act 2004)

Active Energy — The electrical energy produced, flowing or supplied by an electric circuit during a time interval, being the integral with respect to time of the instantaneous power, measured in units of watt-hours or standard multiples thereof, ie:

1000 Wh = 1 kWh
1000 kWh = 1 MWh
1000 MWh = 1 GWh
1000 GWh = 1 TWh.

Active Power — The product of voltage and the in-phase component of alternating current measured in units of watts and standard multiples thereof, ie:

1000 Watts = 1 kW
1000 kW = 1 MW
1000 MW = 1 GW
1000 GW = 1 TW.

Affiliate — In relation to any person, any holding company or subsidiary of such person or any subsidiary of a holding company of such person, in each case within the meaning of Section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such section were in force at such date.

Ancillary Service — A **System Ancillary Service** and/or a **Commercial Ancillary Service**, as the case may be.

Ancillary Services Agreement — An agreement between a **User** and **NGC** for the payment by **NGC** to that **User** in respect of the provision by such **User** of **Ancillary Services**.

Annual Average Cold Spell Conditions or **ACS Conditions** — A particular combination of weather elements which gives rise to a level of peak **Demand** within a **Financial Year** which has a 50% chance of being exceeded as a result of weather variation alone.

Apparent Power — The product of voltage and of alternating current measured in units of voltamperes and standard multiples thereof, ie:

1000 VA = 1 kVA
1000 kVA = 1 MVA.

Apparatus — Other than in **OC8**, means all equipment in which electrical conductors are used, supported or of which they may form a part. In **OC8** it means **High Voltage** electrical circuits forming part of a **System** on which **Safety Precautions** may be applied to allow work and/or testing to be carried out on a **System**.

Authorised Electricity Operator — Any person (other than **NGC** in its capacity as operator of the **GB Transmission System**) who is authorised under the **Act** to generate, participate in the transmission of, distribute or supply electricity.

Automatic Voltage Regulator or **AVR** — A continuously acting automatic excitation system to control a **Generating Unit** terminal voltage.

Authority for Access — An authority which grants the holder the right to unaccompanied access to sites containing exposed **HV** conductors.

Authority, **The** — The **Authority** established by section 1 (1) of the Utilities Act 2000

Auxiliaries — Any item of **Plant** and/or **Apparatus** not directly a part of the boiler plant or **Generating Unit** or **DC Converter** or **Power Park Module**, but required for the boiler plant's or **Generating Unit's** or **DC Converter's** or **Power Park Module's** functional operation.

Auxiliary Diesel Engine — A diesel engine driving a **Generating Unit** which can supply a **Unit Board** or **Station Board**, which can start without an electrical power supply from outside the **Power Station** within which it is situated.

Auxiliary Gas Turbine — A **Gas Turbine Unit**, which can supply a **Unit Board** or **Station Board**, which can start without an electrical power supply from outside the **Power Station** within which it is situated.

Average Conditions — That combination of weather elements within a period of time which is the average of the observed values of those weather elements during equivalent periods over many years (sometimes referred to as normal weather).

Back-Up Protection — **Protection** equipment or system which is intended to operate when a system fault is not cleared in due time because of failure or inability of the **Main Protection** to operate or in case of failure to operate of a circuit-breaker other than the associated circuit breaker.

Balancing and Settlement Code or **BSC**	The code of that title as from time to time amended.
Balancing Code or **BC**	That portion of the **Grid Code** which specifies the **Balancing Mechanism** process.
Balancing Mechanism	Has the meaning set out in **NGC's Transmission Licence**
Balancing Mechanism Reporting Agent or **BMRA**	Has the meaning set out in the **BSC**.
Balancing Mechanism Reporting Service or **BMRS**	Has the meaning set out in the **BSC**.
Balancing Principles Statement	A statement prepared by **NGC** in accordance with Condition C16 of **NGC's Transmission Licence**.
Bid-Offer Acceptance	a) A communication issued by **NGC** in accordance with **BC2.7**; or b) an **Emergency Instruction** to the extent provided for in BC2.9.2.3.
Bid-Offer Data	Has the meaning set out in the **BSC**.
Bilateral Agreement	Has the meaning set out in the **CUSC**
Black Start	The procedure necessary for a recovery from a **Total Shutdown** or **Partial Shutdown**.
Black Start Capability	An ability in respect of a **Black Start Station**, for at least one of its **Gensets** to **Start-Up** from **Shutdown** and to energise a part of the **System** and be **Synchronised** to the **System** upon instruction from **NGC**, within two hours, without an external electrical power supply.
Black Start Stations	**Power Stations** which are registered, pursuant to the **Bilateral Agreement** with a **User**, as having a **Black Start Capability**.
Black Start Test	A **Black Start Test** carried out by a **Generator** with a **Black Start Station**, on the instructions of **NGC**, in order to demonstrate that a **Black Start Station** has a **Black Start Capability**.

BM Participant	A person who is responsible for and controls one or more **BM Units** or where a **Bilateral Agreement** specifies that a **User** is required to be treated as a **BM Participant** for the purposes of the **Grid Code**. For the avoidance of doubt, it does not imply that they must be active in the **Balancing Mechanism**.
BM Unit	Has the meaning set out in the **BSC**, except that for the purposes of the **Grid Code** the reference to "Party" in the **BSC** shall be a reference to **User**.
BM Unit Data	The collection of parameters associated with each **BM Unit**, as described in Appendix 1 of **BC1**.
Boiler Time Constant	Determined at **Registered Capacity**, the boiler time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.
British Standards or **BS**	Those standards and specifications approved by the British Standards Institution.
BSCCo	Has the meaning set out in the **BSC**.
BSC Panel	Has meaning set out for "Panel" in the **BSC**.
BS Station Test	A **Black Start Test** carried out by a **Generator** with a **Black Start Station** while the **Black Start Station** is disconnected from all external alternating current electrical supplies.
BS Unit Test	A **Black Start Test** carried out on a **Generating Unit** or a **CCGT Unit**, as the case may be, at a **Black Start Station** while the **Black Start Station** remains connected to an external alternating current electrical supply.
Business Day	Any week day (other than a Saturday) on which banks are open for domestic business in the City of London.
Cancellation of GB Transmission System Warning	The notification given to **Users** when a **GB Transmission System Warning** is cancelled.

Cascade Hydro Scheme

Two or more hydro-electric **Generating Units**, owned or controlled by the same **Generator**, which are located in the same water catchment area and are at different ordnance datums and which depend upon a common source of water for their operation, known as:

1. Moriston
2. Killin
3. Garry
4. Conon
5. Clunie
6. Beauly

which will comprise more than one **Power Station.**

Cascade Hydro Scheme Matrix

The matrix described in Appendix 1 to **BC1** under the heading **Cascade Hydro Scheme Matrix.**

Caution Notice

A notice conveying a warning against interference.

Category 1 Intertripping Scheme

A **System to Generator Operational Intertripping Scheme** arising from a Variation to Connection Design following a request from the relevant **User** which is consistent with the criteria specified in the **Security and Quality of Supply Standard**.

Category 2 Intertripping Scheme

A **System to Generator Operational Intertripping Scheme** which is:-

(i) required to alleviate an overload on a circuit which connects the **Group** containing the **User's Connection Site** to the **GB Transmission System**; and

(ii) installed in accordance with the requirements of the planning criteria of the **Security and Quality of Supply Standard** in order that measures can be taken to permit maintenance access for each transmission circuit and for such measures to be economically justified,

and the operation of which results in a reduction in **Active Power** on the overloaded circuits which connect the **User's Connection Site** to the rest of the **GB Transmission System** which is equal to the reduction in **Active Power** from the **Connection Site** (once any system losses or third party system effects are discounted).

Category 3 Intertripping Scheme

A **System to Generator Operational Intertripping Scheme** which, where agreed by **NGC** and the **User**, is installed to alleviate an overload on, and as an alternative to, the reinforcement of a third party system, such as the **Distribution System** of a **Public Distribution System Operator**.

Category 4 Intertripping Scheme

A **System to Generator Operational Intertripping Scheme** installed to enable the disconnection of the **Connection Site** from the **GB Transmission System** in a controlled and efficient manner in order to facilitate the timely restoration of the **GB Transmission System**.

CENELEC	European Committee for Electrotechnical Standardisation.
CCGT Module Matrix	The matrix described in Appendix 1 to BC1 under the heading **CCGT Module Matrix**.
CCGT Module Planning Matrix	A matrix in the form set out in Appendix 3 of OC2 showing the combination of **CCGT Units** within a **CCGT Module** which would be running in relation to any given MW output.
Cluster	1. Before Telemetry A cluster of wind turbines will be formed when the total wind capacity within any circle of five kilometre radius has a **Registered Capacity** of not less than 5MW 2. After Telemetry Any wind turbine installed within a five kilometer radius of the anemometer position (whether installed before or after the installation of that anemometer) will be deemed to be within the cluster for that anemometer and will not count towards the creation of any new cluster. All other wind turbines may count towards the creation of further clusters.
Combined Cycle Gas Turbine Module or **CCGT Module**	A collection of **Generating Units** (registered as a **CCGT Module** under the PC) comprising one or more **Gas Turbine Units** (or other gas based engine units) and one or more **Steam Units** where, in normal operation, the waste heat from the **Gas Turbines** is passed to the water/steam system of the associated **Steam Unit** or **Steam Units** and where the component units within the **CCGT Module** are directly connected by steam or hot gas lines which enable those units to contribute to the efficiency of the combined cycle operation of the **CCGT Module**.
Combined Cycle Gas Turbine Unit or **CCGT Unit**	A **Generating Unit** within a **CCGT Module**.
Commercial Ancillary Services	**Ancillary Services**, other than **System Ancillary Services**, utilised by **NGC** in operating the **Total System** if a **User** (or other person) has agreed to provide them under an **Ancillary Services Agreement** or under a **Bilateral Agreement** with payment being dealt with under an **Ancillary Services Agreement** or in the case of **Externally Interconnected System Operators** or **Interconnector Users**, under any other agreement (and in the case of **Externally Interconnected System Operators** and **Interconnector Users** includes ancillary services equivalent to or similar to **System Ancillary Services**).
Committed Project Planning Data	Data relating to a **User Development** once the offer for a **CUSC Contract** is accepted.

Completion Date	Has the meaning set out in the **Bilateral Agreement** with each **User** to that term or in the absence of that term to such other term reflecting the date when a **User** is expected to connect to or start using the **GB Transmission System**.
Complex	A **Connection Site** together with the associated **Power Station** and/or **Network Operator** substation and/or associated **Plant** and/or **Apparatus**, as appropriate.
Connection Conditions or **CC**	That portion of the **Grid Code** which is identified as the **Connection Conditions**.
Connection Entry Capacity	Has the meaning set out in the **CUSC**
Connected Planning Data	Data which replaces data containing estimated values assumed for planning purposes by validated actual values and updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**.
Connection Point	A **Grid Supply Point** or **Grid Entry Point**, as the case may be.
Connection Site	A **Transmission Site** or **User Site**, as the case may be.
Construction Agreement	Has the meaning set out in the **CUSC**
Contingency Reserve	The margin of generation over forecast **Demand** which is required in the period from 24 hours ahead down to real time to cover against uncertainties in **Large Power Station** availability and against both weather forecast and **Demand** forecast errors.
Control Calls	A telephone call whose destination and/or origin is a key on the control desk telephone keyboard at a **Transmission Control Centre** and which has the right to exercise priority over (ie. disconnect) a call of a lower status.
Control Centre	A location used for the purpose of control and operation of the **GB Transmission System** or **DC Converter Station** owner's **System** or a **User System** other than a **Generator's System** or an **External System**.
Control Engineer	A person nominated by the relevant party for the control of its **Plant** and **Apparatus**.
Control Person	The term used as an alternative to "**Safety Co-ordinator**" on the **Site Responsibility Schedule** only.

Control Phase

The **Control Phase** follows on from the **Programming Phase** and covers the period down to real time.

Control Point

The point from which:-

a) A **Non-Embedded Customer's Plant** and **Apparatus** is controlled; or

b) A **BM Unit** at a **Large Power Station** or at a **Medium Power Station** or representing a **Cascade Hydro Scheme** or with a **Demand Capacity** with a magnitude of 50MW or more (in England and Wales) or 5MW or more (in Scotland), is physically controlled by a **BM Participant**; or

c) In the case of any other **BM Unit** or **Generating Unit**, data submission is co-ordinated for a **BM Participant** and instructions are received from **NGC**,

as the case may be. For a **Generator** this will normally be at a **Power Station** but may be at an alternative location agreed with **NGC**. In the case of a **DC Converter Station**, the **Control Point** will be at a location agreed with **NGC**. In the case of a **BM Unit** of an **Interconnector User**, the **Control Point** will be the **Control Centre** of the relevant **Externally Interconnected System Operator**.

Control Telephony

The method by which a **User's Responsible Engineer/Operator** and **NGC Control Engineer(s)** speak to one another for the purposes of control of the **Total System** in both normal and emergency operating conditions.

CUSC

Has the meaning set out in **NGC's Transmission Licence**

CUSC Contract

One or more of the following agreements as envisaged in Standard Condition C1 of **NGC's Transmission Licence**:

(a) the **CUSC Framework Agreement**;

(b) a **Bilateral Agreement**;

(c) a **Construction Agreement**

or a variation to an existing **Bilateral Agreement** and/or **Construction Agreement**;

CUSC Framework Agreement

Has the meaning set out in **NGC's Transmission Licence**

Customer

A person to whom electrical power is provided (whether or not he is the same person as the person who provides the electrical power).

Customer Demand Management

Reducing the supply of electricity to a **Customer** or disconnecting a **Customer** in a manner agreed for commercial purposes between a **Supplier** and its **Customer**.

Customer Demand Management Notification Level	The level above which a **Supplier** has to notify **NGC** of its proposed or achieved use of **Customer Demand Management** which is 12 MW in England and Wales and 5 MW in Scotland.
Customer Generating Plant	A **Power Station** or **Generating Unit** of a **Customer** to the extent that it operates the same exclusively to supply all or part of its own electricity requirements, and does not export electrical power to any part of the **Total System**.
Data Registration Code or **DRC**	That portion of the **Grid Code** which is identified as the **Data Registration Code**.
Data Validation, Consistency and Defaulting Rules	The rules relating to validity and consistency of data, and default data to be applied, in relation to data submitted under the **Balancing Codes**, to be applied by **NGC** under the **Grid Code** as set out in the document "Data Validation, Consistency and Defaulting Rules" - Issue 7, dated 11th October 2004. The document is available on the National Grid website or upon request from **NGC**.
DC Converter	Any **Apparatus** with a **Completion Date** after 1 April 2005 used to convert alternating current electricity to direct current electricity, or vice-versa. A **DC Converter** is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, a **DC Converter** represents the bipolar configuration.
DC Converter Station	An installation comprising one or more **DC Converters** connecting a direct current interconnector: to the **NGC Transmission System;** or, (if the installation has a rating of 50MW or more) to a **User System**, and it shall form part of the **External Interconnection** to which it relates.
DC Network	All items of **Plant** and **Apparatus** connected together on the direct current side of a **DC Converter**.
De-Load	The condition in which a **Genset** has reduced or is not delivering electrical power to the **System** to which it is **Synchronised**.
Demand	The demand of MW and Mvar of electricity (i.e. both **Active** and **Reactive Power**), unless otherwise stated.

Demand Capacity Has the meaning as set out in the **BSC**.

Demand Control Any or all of the following methods of achieving a **Demand** reduction:

(a) **Customer** voltage reduction initiated by **Network Operators** (other than following an instruction from **NGC**);

(b) **Customer Demand** reduction by **Disconnection** initiated by **Network Operators** (other than following an instruction from **NGC**);

(c) **Demand** reduction instructed by **NGC**;

(d) automatic low **Frequency Demand Disconnection**;

(e) emergency manual **Demand Disconnection**.

Demand Control Notification Level The level above which a **Network Operator** has to notify **NGC** of its proposed or achieved use of **Demand Control** which is 12 MW in England and Wales and 5 MW in Scotland.

Designed Minimum Operating Level The output (in whole MW) below which a **Genset** or a **DC Converter** at a **DC Converter Station** (in any of its operating configurations) has no **High Frequency Response** capability.

De-Synchronise

a) The act of taking a **Generating Unit**, **Power Park Module** or **DC Converter** off a **System** to which it has been **Synchronised**, by opening any connecting circuit breaker; or

b) The act of ceasing to consume electricity at an importing **BM Unit**;

and the term "**De-Synchronising**" shall be construed accordingly.

De-synchronised Island(s) Has the meaning set out in OC9.5.1(a)

Detailed Planning Data Detailed additional data which **NGC** requires under the **PC** in support of **Standard Planning Data**. Generally it is first supplied once a **Bilateral Agreement** is entered into.

Discrimination The quality where a relay or protective system is enabled to pick out and cause to be disconnected only the faulty **Apparatus**.

Disconnection The physical separation of **Users** (or **Customers**) from the **GB Transmission System** or a **User System** as the case may be.

Disputes Resolution Procedure The procedure described in the **CUSC** relating to disputes resolution.

Distribution Code The distribution code required to be drawn up by each **Electricity Distribution Licence** holder and approved by the **Authority**, as from time to time revised with the approval of the **Authority**.

Droop The ratio of the steady state change in speed in the case of a **Generating Unit,** or in **Frequency** in the case of a **Power Park Module,** to the steady state change in power output of the **Generating Unit** or **Power Park Module.**

Dynamic Parameters Those parameters listed in Appendix 1 to **BC1** under the heading **BM Unit Data** – **Dynamic Parameters**.

Earth Fault Factor At a selected location of a three-phase **System** (generally the point of installation of equipment) and for a given **System** configuration, the ratio of the highest root mean square phase-to-earth power **Frequency** voltage on a sound phase during a fault to earth (affecting one or more phases at any point) to the root mean square phase-to-earth power **Frequency** voltage which would be obtained at the selected location without the fault.

Earthing A way of providing a connection between conductors and earth by an **Earthing Device** which is either:

(a) Immobilised and **Locked** in the earthing position. Where the **Earthing Device** is **Locked** with a **Safety Key**, the **Safety Key** must be secured in a **Key Safe** and the **Key Safe Key** must be, where reasonably practicable, given to the authorised site representative of the **Requesting Safety Co-Ordinator** and is to be retained in safe custody. Where not reasonably practicable the **Key Safe Key** must be retained by the authorised site representative of the **Implementing Safety Co-Ordinator** in safe custody: or

(b) maintained and/or secured in position by such other method which must be in accordance with the **Local Safety Instructions** of **NGC** or the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be.

Earthing Device A means of providing a connection between a conductor and earth being of adequate strength and capability.

Electrical Standard A standard listed in the Annex to the **General Conditions**.

Electricity Council That body set up under the Electricity Act, 1957.

Electricity Distribution Licence The licence granted pursuant to Section 6(1) (c) of the **Act.**

Electricity Supply Industry Arbitration Association	The unincorporated members' club of that name formed inter alia to promote the efficient and economic operation of the procedure for the resolution of disputes within the electricity supply industry by means of arbitration or otherwise in accordance with its arbitration rules.
Electricity Supply Licence	The licence granted pursuant to Section 6(1) (d) of the **Act.**
Electromagnetic Compatibility Level	Has the meaning set out in **Engineering Recommendation** G5/4.
Embedded	Having a direct connection to a **User System** or the **System** of any other **User** to which **Customers** and/or **Power Stations** are connected, such connection being either a direct connection or a connection via a busbar of another **User** or of a **Transmission Licensee** (but with no other connection to the **GB Transmission System**).
Emergency Instruction	An instruction issued by **NGC** in emergency circumstances, pursuant to BC2.9, to the **Control Point** of a **User**. In the case of such instructions applicable to a **BM Unit**, it may require an action or response which is outside the **Dynamic Parameters**, **QPN** or **Other Relevant Data**, and may include an instruction to trip a **Genset**.
Engineering Recommendations	The documents referred to as such and issued by the Electricity Association or the former Electricity Council.
Estimated Registered Data	Those items of **Standard Planning Data** and **Detailed Planning Data** which either upon connection will become **Registered Data**, or which for the purposes of the **Plant** and/or **Apparatus** concerned as at the date of submission are **Registered Data**, but in each case which for the seven succeeding **Financial Years** will be an estimate of what is expected.
European Specification	A common technical specification, a **British Standard** implementing a European standard or a European technical approval. The terms "common technical specification", "European standard" and "European technical approval" shall have the meanings respectively ascribed to them in the **Regulations**.
Event	An unscheduled or unplanned (although it may be anticipated) occurrence on, or relating to, a **System** (including **Embedded Power Stations**) including, without limiting that general description, faults, incidents and breakdowns and adverse weather conditions being experienced.
Exciter	The source of the electrical power providing the field current of a synchronous machine.

Excitation System — The equipment providing the field current of a machine, including all regulating and control elements, as well as field discharge or suppression equipment and protective devices.

Excitation System No-Load Negative Ceiling Voltage — The minimum value of direct voltage that the **Excitation System** is able to provide from its terminals when it is not loaded, which may be zero or a negative value.

Excitation System Nominal Response — Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992]. The time interval applicable is the first half-second of excitation system voltage response.

Excitation System On-Load Positive Ceiling Voltage — Shall have the meaning ascribed to the term 'Excitation system on load ceiling voltage' in **IEC** 34-16-1:1991[equivalent to **British Standard BS**4999 Section 116.1 : 1992].

Excitation System No-Load Positive Ceiling Voltage — Shall have the meaning ascribed to the term 'Excitation system no load ceiling voltage' in **IEC** 34-16-1:1991[equivalent to **British Standard BS**4999 Section 116.1 : 1992].

Exemptable — Has the meaning set out in the **CUSC**.

Existing AGR Plant — The following nuclear advanced gas cooled reactor plant (which was commissioned and connected to the **Total System** at the **Transfer Date**):-

Dungeness B
Hinkley Point B
Heysham 1
Heysham 2
Hartlepool
Hunterston B
Torness.

Existing AGR Plant Flexibility Limit — In respect of each **Genset** within each **Existing AGR Plant** which has a safety case enabling it to so operate, 8 (or such lower number which when added to the number of instances of reduction of output as instructed by **NGC** in relation to operation in **Frequency Sensitive Mode** totals 8) instances of flexibility in any calendar year (or such lower or greater number as may be agreed by the Nuclear Installations Inspectorate and notified to **NGC**) for the purpose of assisting in the period of low **System NRAPM** and/or low **Localised NRAPM** provided that in relation to each **Generating Unit** each change in output shall not be required to be to a level where the output of the reactor is less than 80% of the reactor thermal power limit (as notified to **NGC** and which corresponds to the limit of reactor thermal power as contained in the "Operating Rules" or "Identified Operating Instructions" forming part of the safety case agreed with the Nuclear Installations Inspectorate).

Existing Gas Cooled Reactor Plant	Both **Existing Magnox Reactor Plant** and **Existing AGR Plant**.
Existing Magnox Reactor Plant	The following nuclear gas cooled reactor plant (which was commissioned and connected to the **Total System** at the **Transfer Date**):- Calder Hall Chapelcross Dungeness A Hinkley Point A Oldbury-on-Severn Bradwell Sizewell A Wylfa.
Export and Import Limits	Those parameters listed in Appendix 1 to **BC1** under the heading **BM Unit Data** – **Export and Import Limits**.
External Interconnection	**Apparatus** for the transmission of electricity to or from the **GB Transmission System** or a **User System** into or out of an **External System**. For the avoidance of doubt, a single **External Interconnection** may comprise several circuits operating in parallel.
Externally Interconnected System Operator or **EISO**	A person who operates an **External System** which is connected to the **GB Transmission System** or a **User System** by an **External Interconnection**.
External System	In relation to an **Externally Interconnected System Operator** means the transmission or distribution system which it owns or operates which is located outside **Great Britain** and any **Apparatus** or **Plant** which connects that system to the **External Interconnection** and which is owned or operated by such **Externally Interconnected System Operator**.
Fault Current Interruption Time	The time interval from fault inception until the end of the break time of the circuit breaker (as declared by the manufacturers).
Fast Start	A start by a **Genset** with a **Fast Start Capability**.
Fast Start Capability	The ability of a **Genset** to be **Synchronised** and **Loaded** up to full **Load** within 5 minutes.
Final Generation Outage Programme	An outage programme as agreed by **NGC** with each **Generator** at various stages through the **Operational Planning Phase** and **Programming Phase** which does not commit the parties to abide by it, but which at various stages will be used as the basis on which **GB Transmission System** outages will be planned.

Final Physical Notification Data	Has the meaning set out in the **BSC**.
Final Report	A report prepared by the **Test Proposer** at the conclusion of a **System Test** for submission to **NGC** (if it did not propose the **System Test**) and other members of the **Test Panel**.
Financial Year	Bears the meaning given in Condition A1 (Definitions and Interpretation) of **NGC's Transmission Licence**.
Flicker Severity (Long Term)	A value derived from 12 successive measurements of **Flicker Severity** (**Short Term**) (over a two hour period) and a calculation of the cube root of the mean sum of the cubes of 12 individual measurements, as further set out in **Engineering Recommendation** P28 as current at the **Transfer Date**.
Flicker Severity (Short Term)	A measure of the visual severity of flicker derived from the time series output of a flickermeter over a 10 minute period and as such provides an indication of the risk of **Customer** complaints.
Forecast Data	Those items of **Standard Planning Data** and **Detailed Planning Data** which will always be forecast.
Frequency	The number of alternating current cycles per second (expressed in Hertz) at which a **System** is running.
Frequency Sensitive AGR Unit	Each **Generating Unit** in an **Existing AGR Plant** for which the **Generator** has notified **NGC** that it has a safety case agreed with the Nuclear Installations Inspectorate enabling it to operate in **Frequency Sensitive Mode**, to the extent that such unit is within its **Frequency Sensitive AGR Unit Limit**. Each such **Generating Unit** shall be treated as if it were operating in accordance with BC3.5.1 provided that it is complying with its **Frequency Sensitive AGR Unit Limit**.
Frequency Sensitive AGR Unit Limit	In respect of each **Frequency Sensitive AGR Unit**, 8 (or such lower number which when added to the number of instances of flexibility for the purposes of assisting in a period of low **System** or **Localised NRAPM** totals 8) instances of reduction of output in any calendar year as instructed by **NGC** in relation to operation in **Frequency Sensitive Mode** (or such greater number as may be agreed between **NGC** and the **Generator**), for the purpose of assisting with **Frequency** control, provided the level of operation of each **Frequency Sensitive AGR Unit** in **Frequency Sensitive Mode** shall not be outside that agreed by the Nuclear Installations Inspectorate in the relevant safety case.

Frequency Sensitive Mode

A **Genset** operating mode which will result in **Active Power** output changing, in response to a change in **System Frequency**, in a direction which assists in the recovery to **Target Frequency**, by operating so as to provide **Primary Response** and/or **Secondary Response** and/or **High Frequency Response**.

Fuel Security Code

The document of that title designated as such by the **Secretary of State**, as from time to time amended.

Gas Turbine Unit

A **Generating Unit** driven by a gas turbine (for instance by an aero-engine).

Gas Zone Diagram

A single line diagram showing boundaries of, and interfaces between, gas-insulated **HV Apparatus** modules which comprise part, or the whole, of a substation at a **Connection Site**, together with the associated stop valves and gas monitors required for the safe operation of the **GB Transmission System** or the **User System**, as the case may be.

Gate Closure

Has the meaning set out in the **BSC**.

GB National Demand

The amount of electricity supplied from the **Grid Supply Points** plus:-

- that supplied by **Embedded Large Power Stations**, and
- **GB Transmission System Losses**,

minus:-

- the **Demand** taken by **Station Transformers** and **Pumped Storage Units'**

and, for the purposes of this definition, does not include:-

- any exports from the **GB Transmission System** across **External Interconnections.**

GB Transmission System

The system consisting (wholly or mainly) of high voltage electric lines owned or operated by **Transmission Licensees** within **Great Britain** and used for the transmission of electricity from one **Power Station** to a sub-station or to another **Power Station** or between sub-stations or to or from any **External Interconnection**, and includes any **Plant** and **Apparatus** and meters owned or operated by any **Transmission Licensee** within **Great Britain** in connection with the transmission of electricity but does not include any **Remote Transmission Assets**.

GB Transmission System Demand	The amount of electricity supplied from the **Grid Supply Points** plus:- • that supplied by **Embedded Large Power Stations**, and • exports from the **GB Transmission System** across **External Interconnections**, and • **GB Transmission System Losses**, and, for the purposes of this definition, includes:- • the **Demand** taken by **Station Transformers** and **Pumped Storage Units.**
GB Transmission System Losses	The losses of electricity incurred on the **GB Transmission System**.
GB Transmission System Study Network Data File	A computer file containing details of transmission plant and **Large Power Stations** and the configuration of the connection between them, together with data on **Demand** and on the **GB Transmission System**. These details, when read together as represented in the file, form **NGC's** view of an appropriate representation of the **GB Transmission System** for technical analysis purposes only. The file will only deal with the **GB Transmission System**
GB Transmission System Warning	A warning issued by **NGC** to **Users** (or to certain **Users** only) in accordance with OC7.4.8.2, which provides information relating to **System** conditions or **Events** and is intended to : (a) alert **Users** to possible or actual **Plant** shortage, **System** problems and/or **Demand** reductions; (b) inform of the applicable period; (c) indicate intended consequences for **Users**; and (d) enable specified **Users** to be in a state of readiness to receive instructions from **NGC**.
GB Transmission System Warning - Demand Control Imminent	A warning issued by **NGC**, in accordance with OC7.4.8.7, which is intended to provide short term notice, where possible, to those **Users** who are likely to receive **Demand** reduction instructions from **NGC** within 30 minutes.
GB Transmission System Warning - High Risk of Demand Reduction	A warning issued by **NGC**, in accordance with OC7.4.8.6, which is intended to alert recipients that there is a high risk of **Demand** reduction being implemented and which may normally result from an inadequate **System Margin**.

GB Transmission System Warning - Inadequate System Margin	A warning issued by **NGC**, in accordance with OC7.4.8.5, which is intended to alert recipients of an inadequate **System Margin** and which if not improved may result in **Demand** reduction being instructed.
GB Transmission System Warning - Risk of System Disturbance	A warning issued by **NGC**, in accordance with OC7.4.8.8, which is intended to alert **Users** of the risk of widespread and serious **System** disturbance which may affect **Users**.
General Conditions or **GC**	That portion of the **Grid Code** which is identified as the **General Conditions**.
Generating Plant Demand Margin	The difference between **Output Usable** and forecast **Demand**.
Generating Unit	Unless otherwise provided in the **Grid Code**, any **Apparatus** which produces electricity, including, a **Synchronous Generating Unit** and **Non-synchronous Generating Unit**.
Generating Unit Data	The **Physical Notification**, **Export and Import Limits and Other Relevant Data** only in respect of each **Generating Unit**: (a) which forms part of the **BM Unit** which represents that **Cascade Hydro Scheme**; at an **Embedded Exemptable Large Power Station**, where **NGC** reasonably requires compliance with relevant provisions of **BC1**/**BC2** on a **Generating Unit** basis and has specified such requirement in the relevant **Bilateral Agreement.**
Generation Capacity	Has the meaning set out in the **BSC**.
Generation Planning Parameters	Those parameters listed in Appendix 2 of **OC2**.
Generator	A person who generates electricity under licence or exemption under the **Act** acting in its capacity as a generator in **Great Britain**.
Generator Performance Chart	A diagram which shows the MW and Mvar capability limits within which a **Generating Unit** will be expected to operate under steady state conditions.
Genset	A **Generating Unit, Power Park Module** or **CCGT Module** at a **Large Power Station** or any **Generating Unit, Power Park Module** or **CCGT Module** which is directly connected to the **GB Transmission System**.

Good Industry Practice	The exercise of that degree of skill, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced operator engaged in the same type of undertaking under the same or similar circumstances.
Governor Deadband	The total magnitude of the change in steady state speed (expressed as a range of Hz (± x Hz) where "x" is a numerical value) within which there is no resultant change in the position of the governing valves of the speed/load Governing System.
Great Britain or **GB**	Has the meaning set out in Schedule 1 of **NGC's Transmission Licence**.
Grid Code Review Panel or **Panel**	The panel with the functions set out in GC.4.
Grid Entry Point	A point at which a **Generating Unit** or a **CCGT Module** or a **CCGT Unit** or a **DC Converter** or **a Power Park Module**, as the case may be, which is directly connected to the **GB Transmission System** connects to the **GB Transmission System**.
Grid Supply Point	A point of supply from the **GB Transmission System** to **Network Operators** or **Non-Embedded Customers**.
Group	Those **GB Transmission System** sub-stations bounded solely by the faulted circuit(s) and the overloaded circuit(s) excluding any third party connections between the **Group** and the rest of the **GB Transmission System**, the faulted circuit(s) being a **Secured Event**.
High Frequency Response	An automatic reduction in **Active Power** output in response to an increase in **System Frequency** above the **Target Frequency** (or such other level of **Frequency** as may have been agreed in an **Ancillary Services Agreement**). This reduction in **Active Power** output must be in accordance with the provisions of the relevant **Ancillary Services Agreement** which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the **Frequency** increase on the basis set out in the **Ancillary Services Agreement** and fully achieved within 10 seconds of the time of the start of the **Frequency** increase and it must be sustained at no lesser reduction thereafter. The interpretation of the **High Frequency Response** to a + 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.3.
High Voltage or **HV**	In England and Wales, a voltage exceeding 650 volts. In Scotland, a voltage exceeding 1000 volts.
HV Connections	**Apparatus** connected at the same voltage as that of the **GB Transmission System**, including **Users'** circuits, the higher voltage windings of **Users'** transformers and associated connection **Apparatus**.

HP Turbine Power Fraction	Ratio of steady state mechanical power delivered by the HP turbine to the total steady state mechanical power delivered by the total steam turbine at **Registered Capacity**.
IEC	International Electrotechnical Commission.
IEC Standard	A standard approved by the International Electrotechnical Commission.
Implementing Safety Co-ordinator	The **Safety Co-ordinator** implementing **Safety Precautions**.
Import Usable	That portion of **Registered Import Capacity** which is expected to be available and which is not unavailable due to a **Planned Outage**.
Incident Centre	A centre established by **NGC** or a **User** as the focal point in **NGC** or in that **User**, as the case may be, for the communication and dissemination of information between the senior management representatives of **NGC**, or of that **User**, as the case may be, and the relevant other parties during a **Joint System Incident** in order to avoid overloading **NGC's**, or that **User's**, as the case may be, existing operational/control arrangements.
Indicated Constraint Boundary Margin	The difference between a constraint boundary transfer limit and the difference between the sum of **BM Unit** Maximum Export Limits and the forecast of local **Demand** within the constraint boundary.
Indicated Imbalance	The difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** or **CCGT Modules** and the forecast of **Demand** for the whole or any part of the **System**.
Indicated Margin	The difference between the sum of **BM Unit** Maximum Export Limits submitted and the forecast of **Demand** for the whole or any part of the **System**
Instructor Facilities	A device or system which gives certain **Transmission Control Centre** instructions with an audible or visible alarm, and incorporates the means to return message acknowledgements to the **Transmission Control Centre**
Integral Equipment Test or **IET**	A test on equipment, associated with **Plant** and/or **Apparatus**, which takes place when that **Plant** and/or **Apparatus** forms part of a **Synchronised System** and which, in the reasonable judgement of the person wishing to perform the test, may cause an **Operational Effect**.

Interconnection Agreement	An agreement made between **NGC** and an **Externally Interconnected System Operator** and/or an **Interconnector User** and/or other relevant persons for the **External Interconnection** relating to an **External Interconnection** and/or an agreement under which an **Interconnector User** can use an **External Interconnection**.
Interconnector User	Has the meaning set out in the **BSC**.
Interface Agreement	Has the meaning set out in the **CUSC**.
Intermittent Power Source	The primary source of power for a **Generating Unit** that can not be considered as controllable, e.g. wind, wave or solar.
Intertripping	(a) The tripping of circuit-breaker(s) by commands initiated from **Protection** at a remote location independent of the state of the local **Protection**; or (b) **Operational Intertripping**.
Intertrip Apparatus	**Apparatus** which performs **Intertripping**.
IP Turbine Power Fraction	Ratio of steady state mechanical power delivered by the IP turbine to the total steady state mechanical power delivered by the total steam turbine at **Registered Capacity**.
Isolating Device	A device for achieving **Isolation**.

Isolation

The disconnection of **HV Apparatus** (as defined in OC8A.1.6.2 and OC8B.1.7.2) from the remainder of the **System** in which that **HV Apparatus** is situated by either of the following:

(a) an **Isolating Device** maintained in an isolating position. The isolating position must either be:

(i) maintained by immobilising and **Locking** the **Isolating Device** in the isolating position and affixing a **Caution Notice** to it. Where the **Isolating Device** is **Locked** with a **Safety Key**, the **Safety Key** must be secured in a **Key Safe** and the **Key Safe Key** must be, where reasonably practicable, given to the authorised site representative of the **Requesting Safety Co-Ordinator** and is to be retained in safe custody. Where not reasonably practicable the **Key Safe Key** must be retained by the authorised site representative of the **Implementing Safety Co-Ordinator** in safe custody; or

(ii) maintained and/or secured by such other method which must be in accordance with the **Local Safety Instructions** of **NGC** or the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be; or

(b) an adequate physical separation which must be in accordance with and maintained by the method set out in the **Local Safety Instructions** of **NGC** or the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be.

Joint BM Unit Data

Has the meaning set out in the **BSC**.

Joint System Incident

An **Event** wherever occurring (other than on an **Embedded Medium Power Station** or an **Embedded Small Power Station**) which, in the opinion of **NGC** or a **User**, has or may have a serious and/or widespread effect, in the case of an **Event** on a **User(s) System(s)** (other than on an **Embedded Medium Power Station** or **Embedded Small Power Station**), on the **GB Transmission System**, and in the case of an **Event** on the **GB Transmission System**, on a **User(s) System(s)** (other than on an **Embedded Medium Power Station** or **Embedded Small Power Station**).

Key Safe

A device for the secure retention of keys.

Key Safe Key

A key unique at a **Location** capable of operating a lock, other than a control lock, on a **Key Safe**.

Large Power Station

A **Power Station** in **NGC's Transmission Area** with a **Registered Capacity** of 100MW or more or a **Power Station** in **SPT's Transmission Area** with a **Registered Capacity** of 30MW or more; or a **Power Station** in **SHETL's Transmission Area** with a **Registered Capacity** of 5MW or more.

Licence	Any licence granted to **NGC** or a **Relevant Transmission Licensee** or a **User**, under Section 6 of the **Act**.
Licence Standards	Those standards set out or referred to in Condition C17 of **NGC's Transmission Licence** and/or Condition D3 of a **Relevant Transmission Licensee's Transmission Licence**.
Limited Frequency Sensitive Mode	A mode whereby the operation of the **Genset** (or **DC Converter** at a **DC Converter Station** exporting **Active Power** to the **Total System)** is **Frequency** insensitive except when the **System Frequency** exceeds 50.4Hz, from which point **Limited High Frequency Response** must be provided.
Limited High Frequency Response	A response of a **Genset** (or **DC Converter** at a **DC Converter Station** exporting **Active Power** to the **Total System)** to an increase in **System Frequency** above 50.4Hz leading to a reduction in **Active Power** in accordance with the provisions of BC3.7.2.
Load	The **Active**, **Reactive** or **Apparent Power**, as the context requires, generated, transmitted or distributed.
Loaded	Supplying electrical power to the **System**.
Load Factor	The ratio of the actual output of a **Generating Unit** to the possible maximum output of that **Generating Unit**.
Load Management Block	A block of **Demand** controlled by a **Supplier** or other party through the means of radio teleswitching or by some other means.
Local Joint Restoration Plan	A plan produced under OC9.4.7.11 detailing the agreed method and procedure by which a **Genset** at a **Black Start Station** (possibly with other **Gensets** at that **Black Start Station**) will energise part of the **Total System** and meet complementary blocks of local **Demand** so as to form a **Power Island**. In Scotland, the plan may also: cover more than one **Black Start Station**; include **Gensets** other than those at a **Black Start Station** and cover the creation of one or more **Power Islands**.
Local Safety Instructions	For safety co-ordination in England and Wales, instructions on each **User Site** and **Transmission Site**, approved by the relevant **NGC** or **User's** manager, setting down the methods of achieving the objectives of **NGC's** or the **User's Safety Rules**, as the case may be, to ensure the safety of personnel carrying out work or testing on **Plant** and/or **Apparatus** on which his **Safety Rules** apply and, in the case of a **User**, any other document(s) on a **User Site** which contains rules with regard to maintaining or securing the isolating position of an **Isolating Device**, or maintaining a physical separation or maintaining or securing the position of an **Earthing Device**.

Local Switching Procedure	A procedure produced under OC7.6 detailing the agreed arrangements in respect of carrying out of **Operational Switching** at **Connection Sites** and parts of the **GB Transmission System** adjacent to those **Connection Sites**.
Localised Negative Reserve Active Power Margin or **Localised NRAPM**	That margin of **Active Power** sufficient to allow transfers to and from a **System Constraint Group** (as the case may be) to be contained within such reasonable limit as **NGC** may determine.
Location	Any place at which **Safety Precautions** are to be applied.
Locked	A condition of **HV Apparatus** that cannot be altered without the operation of a locking device.
Locking	The application of a locking device which enables **HV Apparatus** to be **Locked**.
Low Frequency Relay	Has the same meaning as **Under Frequency Relay**.
Low Voltage or **LV**	In England and Wales a voltage not exceeding 250 volts. In Scotland, a voltage exceeding 50 voltage but not exceeding 1000 volts.
Main Protection	**Protection** equipment or system expected to have priority in initiating either a fault clearance or an action to terminate an abnormal condition in a power system.
Material Effect	An effect causing **NGC** or a **Relevant Transmission Licensee** to effect any works or to alter the manner of operation of **Transmission Plant** and/or **Transmission Apparatus** at the **Connection Site** (which term shall, in this definition and in the definition of "**Modification**" only, have the meaning ascribed thereto in the **CUSC**) or the site of connection or a **User** to effect any works or to alter the manner of operation of its **Plant** and/or **Apparatus** at the **Connection Site** or the site of connection which in either case involves that party in expenditure of more than £10,000.
Maximum Generation Service, MGS	A service utilised by **NGC** in accordance with the **CUSC** and the **Balancing Principles Statement** in operating the **Total System**.
Maximum Generation Service Agreement	An agreement between a **User** and **NGC** for the payment by **NGC** to that **User** in respect of the provision by such **User** of a **Maximum Generation Service**.
Medium Power Station	A **Power Station** in **NGC's Transmission Area** with a **Registered Capacity** of 50MW or more, but less than 100MW; or a **Power Station** in **SPT's Transmission Area** with a **Registered Capacity** of 5MW or more, but less than 30MW.

Medium Voltage or **MV**	In England and Wales a voltage exceeding 250 volts but not exceeding 650 volts.
Mills	Milling plant which supplies pulverised fuel to the boiler of a coal fired **Power Station**.
Minimum Generation	The minimum output (in whole MW) which a **Genset** can generate or **DC Converter** at a **DC Converter Station** can import or export to the **Total System** under stable operating conditions, as registered with **NGC** under the **PC** (and amended pursuant to the **PC**). For the avoidance of doubt, the output may go below this level as a result of operation in accordance with BC3.7.
Minimum Import Capacity	The minimum input (in whole MW) into a **DC Converter** at a **DC Converter Station** (in any of its operating configurations) at the **Grid Entry Point** (or in the case of an **Embedded DC Converter** at the **User System Entry Point**) at which a **DC Converter** can operate in a stable manner, as registered with **NGC** under the **PC** (and amended pursuant to the **PC**).
Modification	Any actual or proposed replacement, renovation, modification, alteration or construction by or on behalf of a **User** or **NGC** to either that **User's Plant** or **Apparatus** or **Transmission Plant** or **Apparatus**, as the case may be, or the manner of its operation which has or may have a **Material Effect** on **NGC** or a **User**, as the case may be, at a particular **Connection Site**.
Mothballed DC Converter at a **DC Converter Station**	A **DC Converter** at a **DC Converter Station** that has previously imported or exported power which the **DC Converter Station** owner plans not to use to import or export power for the remainder of the current **Financial Year** but which could be returned to service.
Mothballed Generating Unit	A **Generating Unit** that has previously generated which the **Generator** plans not to use to generate for the remainder of the current **NGC Financial Year** but which could be returned to service.
Mothballed Power Park Module	A **Power Park Module** that has previously generated which the **Generator** plans not to use to generate for the remainder of the current **Financial Year** but which could be returned to service.
Multiple Point of Connection	A double (or more) **Point of Connection**, being two (or more) **Points of Connection** interconnected to each other through the **User's System**.
Network Data	The data to be provided by **NGC** to **Users** in accordance with the **PC**, as listed in Part 3 of the Appendix to the **PC**.

Network Operator	A person with a **User System** directly connected to the **GB Transmission System** to which **Customers** and/or **Power Stations** (not forming part of the **User System**) are connected, acting in its capacity as an operator of the **User System**, but shall not include a person acting in the capacity of an **Externally Interconnected System Operator**.
NGC	National Grid Company plc.
NGC Control Engineer	The nominated person employed by **NGC** to direct the operation of the **GB Transmission System** or such person as nominated by **NGC**.
NGC Operational Strategy	**NGC's** operational procedures which form the guidelines for operation of the **GB Transmission System**.
No-Load Field Voltage	Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992].
Non-Embedded Customer	A **Customer** in **Great Britain**, except for a **Network Operator** acting in its capacity as such, receiving electricity direct from the **GB Transmission System** irrespective of from whom it is supplied.
Non-Synchronous Generating Unit	A **Generating Unit** that is not a **Synchronous Generating Unit** including for the avoidance of doubt a **Power Park Unit**.
Normal CCGT Module	A **CCGT Module** other than a **Range CCGT Module**.
Novel Unit	A tidal, wave, wind, geothermal, or any similar, **Generating Unit**.
OC9 De-synchronised Island Procedure	Has the meaning set out in OC9.5.4.
On-Site Generator Site	A site which is determined by the **BSC Panel** to be a Trading Unit under the **BSC** by reason of having fulfilled the Class 1 or Class 2 requirements as such terms are used in the **BSC**.
Operating Code or **OC**	That portion of the **Grid Code** which is identified as the **Operating Code**.
Operating Margin	**Contingency Reserve** plus **Operating Reserve**.
Operating Reserve	The additional output from **Large Power Stations** or the reduction in **Demand**, which must be realisable in real-time operation to respond in order to contribute to containing and correcting any **System Frequency** fall to an acceptable level in the event of a loss of generation or a loss of import from an **External Interconnection** or mismatch between generation and **Demand**.

Operation	A scheduled or planned action relating to the operation of a **System** (including an **Embedded Power Station**).
Operational Data	Data required under the **Operating Codes** and/or **Balancing Codes**.
Operational Day	The period from 0500 hours on one day to 0500 on the following day.
Operation Diagrams	Diagrams which are a schematic representation of the **HV Apparatus** and the connections to all external circuits at a **Connection Site**, incorporating its numbering, nomenclature and labelling.
Operational Effect	Any effect on the operation of the relevant other **System** which causes the **GB Transmission System** or the **System** of the other **User** or **Users**, as the case may be, to operate (or be at a materially increased risk of operating) differently to the way in which they would or may have operated in the absence of that effect.
Operational Intertripping	The automatic tripping of circuit-breakers to prevent abnormal system conditions occurring, such as over voltage, overload, **System** instability, etc. after the tripping of other circuit-breakers following power **System** fault(s) which includes **System** to **Generating Unit**, **System** to **CCGT Module, System** to **Power Park Module, System** to **DC Converter** and **System** to **Demand** intertripping schemes.
Operational Planning	Planning through various timescales the matching of generation output with forecast **GB Transmission System Demand** together with a reserve of generation to provide a margin, taking into account outages of certain **Generating Units**, of parts of the **GB Transmission System** and of parts of **User Systems** to which **Power Stations** and/or **Customers** are connected, carried out to achieve, so far as possible, the standards of security set out in **NGC's Transmission Licence**, each **Relevant Transmission Licensee's Transmission Licence** or **Electricity Distribution Licence**, as the case may be.
Operational Planning Margin	An operational planning margin set by **NGC**.
Operational Planning Phase	The period from 8 weeks to the end of the 5th year ahead of real time operation.
Operational Procedures	Management instructions and procedures, both in support of the **Safety Rules** and for the local and remote operation of **Plant** and **Apparatus**, issued in connection with the actual operation of **Plant** and/or **Apparatus** at or from a **Connection Site**.

Operational Switching	Operation of **Plant** and/or **Apparatus** to the instruction of the relevant **Control Engineer.** For the avoidance of doubt, the operation of **Transmission Plant** and/or **Apparatus** forming part of the **GB Transmission System** in England and Wales, will be to the instruction of **NGC** and in Scotland will be to the instruction of the **Relevant Transmission Licensee**.
Other Relevant Data	The data listed in BC1.4.2(f) under the heading **Other Relevant Data**
Out of Synchronism	The condition where a **System** or **Generating Unit** cannot meet the requirements to enable it to be **Synchronised**.
Output Usable or **OU**	That portion of **Registered Capacity** which is expected to be available and which is not unavailable due to a **Planned Outage.**
Over-excitation Limiter	Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992].
Part 1 System Ancillary Services	**Ancillary Services** which are required for **System** reasons and which must be provided by **Users** in accordance with the **Connection Conditions**. An exhaustive list of **Part 1 System Ancillary Services** is included in that part of CC.8.1 headed Part 1.
Part 2 System Ancillary Services	**Ancillary Services** which are required for **System** reasons and which must be provided by a **User** if the **User** has agreed to provide them under a **Bilateral Agreement**. A non-exhaustive list of **Part 2 System Ancillary Services** is included in that part of CC.8.1 headed Part 2.
Part Load	The condition of a **Genset**, or **Cascade Hydro Scheme** which is **Loaded** but is not running at its Maximum Export Limit.
Permit for Work for proximity work	In England and Wales, a document issued by **NGC** or a **User** in accordance with its respective **Safety Rules** to enable work to be carried out in accordance with OC8A.8 and which provides for **Safety Precautions** to be applied and maintained. An example format of **NGC**'s permit for work is attached as Appendix E to **OC8A**. In Scotland, a document issued by a **Relevant Transmission Licensee** or a **User** in accordance with its respective **Safety Rules** to enable work to be carried out in accordance with OC8B.8 and which provides for **Safety Precautions** to be applied and maintained. Example formats of the **Relevant Transmission Licensees'** permits for work are attached as Appendix E to **OC8B**.

Partial Shutdown	The same as a **Total Shutdown** except that all generation has ceased in a separate part of the **Total System** and there is no electricity supply from **External Interconnections** or other parts of the **Total System** to that part of the **Total System** and, therefore, that part of the **Total System** is shutdown, with the result that it is not possible for that part of the **Total System** to begin to function again without **NGC's** directions relating to a **Black Start**.
Phase (Voltage) Unbalance	The ratio (in percent) between the rms values of the negative sequence component and the positive sequence component of the voltage.
Physical Notification	Data that describes the **BM Participant**'s best estimate of the expected input or output of **Active Power** of a **BM Unit** and/or (where relevant) **Generating Unit**.
Planning Code or **PC**	That portion of the **Grid Code** which is identified as the **Planning Code**.
Planned Maintenance Outage	An outage of **NGC** electronic data communication facilities as provided for in CC.6.5.8 and **NGC's** associated computer facilities of which normally at least 5 days notice is given, but in any event of which at least twelve hours notice has been given by **NGC** to the **User** and which is anticipated to last no longer than 2 hours. The length of such an outage may in exceptional circumstances be extended where at least 24 hours notice has been given by **NGC** to the **User**. It is anticipated that normally any planned outage would only last around one hour.
Planned Outage	An outage of a **Large Power Station** or of part of the **GB Transmission System**, or of part of a **User System**, co-ordinated by **NGC** under **OC2**.
Plant	Fixed and movable items used in the generation and/or supply and/or transmission of electricity, other than **Apparatus**.
Point of Common Coupling	That point on the **GB Transmission System** electrically nearest to the **User** installation at which either **Demands** or **Loads** are, or may be, connected.
Point of Connection	An electrical point of connection between the **GB Transmission System** and a **User's System**.
Point of Isolation	The point on **Apparatus** (as defined in OC8A.1.6.2 and OC8B.1.7.2) at which **Isolation** is achieved.
Post-Control Phase	The period following real time operation.
Power Factor	The ratio of **Active Power** to **Apparent Power**.

Power Island	**Gensets** at an isolated **Power Station**, together with complementary local **Demand**. In Scotland a **Power Island** may include more than one **Power Station.**
Power Park Module	A collection of **Non-synchronous Generating Units** (registered as a **Power Park Module** under the **PC**) that are powered by an **Intermittent Power Source**, joined together by a **System** with a single electrical point of connection to the **GB Transmission System** (or **User System** if **Embedded).** The connection to the **GB Transmission System** (or **User System** if **Embedded**) may include a **DC Converter.**
Power Park Module Availability Matrix	The matrix described in Appendix 1 to BC1 under the heading **Power Park Module Availability Matrix**.
Power Park Module Planning Matrix	A matrix in the form set out in Appendix 4 of OC2 showing the combination of **Power Park Units** within a **Power Park Module** which would be expected to be running under normal conditions.
Power Park Unit	A **Generating Unit** within a **Power Park Module**.
Power Station	An installation comprising one or more **Generating Units** or **Power Park Modules** (even where sited separately) owned and/or controlled by the same **Generator**, which may reasonably be considered as being managed as one **Power Station**.
Power System Stabiliser or **PSS**	Equipment controlling the **Exciter** output via the voltage regulator in such a way that power oscillations of the synchronous machines are dampened. Input variables may be speed, frequency or power (or a combination of these).
Preface	The preface to the **Grid Code** (which does not form part of the **Grid Code** and therefore is not binding).
Preliminary Notice	A notice in writing, sent by **NGC** both to all **Users** identified by it under OC12.4.2.1 and to the **Test Proposer**, notifying them of a proposed **System Test**.
Preliminary Project Planning Data	Data relating to a proposed **User Development** at the time the **User** applies for a **CUSC Contract** but before an offer is made and accepted.

Primary Response The automatic increase in **Active Power** output of a **Genset** or, as the case may be, the decrease in **Active Power Demand** in response to a **System Frequency** fall. This increase in **Active Power** output or, as the case may be, the decrease in **Active Power Demand** must be in accordance with the provisions of the relevant **Ancillary Services Agreement** which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** fall on the basis set out in the **Ancillary Services Agreement** and fully available by the latter, and sustainable for at least a further 20 seconds. The interpretation of the **Primary Response** to a – 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2.

Programming Phase The period between **Operational Planning Phase** and the **Control Phase**. It starts at the 8 weeks ahead stage and finishes at 17:00 on the day ahead of real time.

Proposal Notice A notice submitted to **NGC** by a **User** which would like to undertake a **System Test**.

Proposal Report A report submitted by the **Test Panel** which contains:

a) proposals for carrying out a **System Test** (including the manner in which the **System Test** is to be monitored);

b) an allocation of costs (including un-anticipated costs) between the affected parties (the general principle being that the **Test Proposer** will bear the costs); and

c) such other matters as the **Test Panel** considers appropriate.

The report may include requirements for indemnities to be given in respect of claims and losses arising from a **System Test**.

Protection The provisions for detecting abnormal conditions on a **System** and initiating fault clearance or actuating signals or indications.

Protection Apparatus A group of one or more **Protection** relays and/or logic elements designated to perform a specified **Protection** function.

Pumped Storage Generator A **Generator** which owns and/or operates any **Pumped Storage Plant**.

Pumped Storage Plant The Dinorwig, Ffestiniog, Cruachan and Foyers **Power Stations**.

Pumped Storage Unit A **Generating Unit** within a **Pumped Storage Plant**.

Quiescent Physical Notification or **QPN**	Data that describes the MW levels to be deducted from the **Physical Notification** of a **BM Unit** to determine a resultant operating level to which the **Dynamic Parameters** associated with that **BM Unit** apply, and the associated times for such MW levels. The MW level of the **QPN** must always be set to zero.
Range CCGT Module	A **CCGT Module** where there is a physical connection by way of a steam or hot gas main between that **CCGT Module** and another **CCGT Module** or other **CCGT Modules**, which connection contributes (if open) to efficient modular operation, and which physical connection can be varied by the operator.
Rated Field Voltage	Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992].
Rated MW	The "rating-plate" MW output of a **Generating Unit, Power Park Module** or **DC Converter**, being: (a) that output up to which the **Generating Unit** was designed to operate (Calculated as specified in **British Standard BS** EN 60034 – 1: 1995); or (b) the nominal rating for the MW output of a **Power Park Module** being the maximum continuous electric output power which the **Power Park Module** was designed to achieve under normal operating conditions; or (c) the nominal rating for the MW import capacity and export capacity (if at a **DC Converter Station**) of a **DC Converter**.
Reactive Energy	The integral with respect to time of the **Reactive Power**.
Reactive Power	The product of voltage and current and the sine of the phase angle between them measured in units of voltamperes reactive and standard multiples thereof, ie: 1000 VAr = 1 kVAr 1000 kVAr = 1 Mvar
Record of Inter-System Safety Precautions or **RISSP**	A written record of inter-system **Safety Precautions** to be compiled in accordance with the provisions of **OC8**.

Registered Capacity

(a) In the case of a **Generating Unit** other than that forming part of a **CCGT Module** or **Power Park Module**, the normal full load capacity of a **Generating Unit** as declared by the **Generator**, less the MW consumed by the **Generating Unit** through the **Generating Unit's Unit Transformer** when producing the same (the resultant figure being expressed in whole MW).

(b) In the case of a **CCGT Module** or **Power Park Module**, the normal full load capacity of the **CCGT Module** or **Power Park Module** (as the case may be) as declared by the **Generator**, being the **Active Power** declared by the **Generator** as being deliverable by the **CCGT Module** or **Power Park Module** at the **Grid Entry Point** (or in the case of an **Embedded CCGT Module** or **Power Park Module**, at the **User System Entry Point**), expressed in whole MW.

(c) In the case of a **Power Station**, the maximum amount of **Active Power** deliverable by the **Power Station** at the **Grid Entry Point** (or in the case of an **Embedded Power Station** at the **User System Entry Point**), as declared by the **Generator**, expressed in whole **MW**. The maximum **Active Power** deliverable is the maximum amount deliverable simultaneously by the **Generating Units** and/or **CCGT Modules** and/or **Power Park Modules** less the **MW** consumed by the **Generating Units** and/or **CCGT Modules** in producing that **Active Power**.

(d) In the case of a **DC Converter** at a **DC Converter Station,** the normal full load amount of **Active Power** transferable from a **DC Converter** at the **Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter Station** owner, expressed in whole MW.

(e) In the case of a **DC Converter Station,** the maximum amount of Active **Power** transferable from a **DC Converter Station** at the **Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter Station** owner, expressed in whole MW.

Registered Data

Those items of **Standard Planning Data** and **Detailed Planning Data** which upon connection become fixed (subject to any subsequent changes).

Registered Import Capability

In the case of a **DC Converter Station** containing **DC Converters** connected to an **External System**, the maximum amount of **Active Power** transferable into a **DC Converter Station** at the **Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter Station** owner, expressed in whole MW.

In the case of a **DC Converter** connected to an **External System** and in a **DC Converter Station**, the normal full load amount of **Active Power** transferable into a **DC Converter** at the **Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter** owner, expressed in whole MW.

Regulations	The Utilities Contracts Regulations 1996, as amended from time to time.
Reheater Time Constant	Determined at **Registered Capacity**, the reheater time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.
Relevant Transmission Licensee	Means SP Transmission Ltd (**SPT**) in its **Transmission Area** and Scottish Hydro-Electric Transmission Ltd (**SHETL**) in its **Transmission Area**.
Remote Transmission Assets	Any **Plant** and **Apparatus** or meters owned by **NGC** which: a) are **Embedded** in a **User System** and which are not directly connected by **Plant** and/or **Apparatus** owned by **NGC** to a sub-station owned by **NGC**; and b) are by agreement between **NGC** and such **User** operated under the direction and control of such **User**.
Requesting Safety Co-ordinator	The **Safety Co-ordinator** requesting **Safety Precautions**.
Responsible Engineer/ Operator	A person nominated by a **User** to be responsible for **System** control.
Responsible Manager	A manager who has been duly authorised by a **User** or **NGC** to sign **Site Responsibility Schedules** on behalf of that **User** or **NGC**, as the case may be. For **Connection Sites** in Scotland a manager who has been duly authorised by the **Relevant Transmission Licensee** to sign **Site Responsibility Schedules** on behalf of that **Relevant Transmission Licensee**.
Re-synchronisation	The bringing of parts of the **Network Operator's User System** which have become **Out of Synchronism** with each other back into **Synchronism**, and like terms shall be construed accordingly.
Safety Co-ordinator	A person or persons nominated by **NGC** and each **User** in relation to **Connection Points** in England and Wales and/or by the **Relevant Transmission Licensee** and each **User** in relation to **Connection Points** in Scotland to be responsible for the co-ordination of **Safety Precautions** at each **Connection Point** when work (which includes testing) is to be carried out on a **System** which necessitates the provision of **Safety Precautions** on **HV Apparatus** (as defined in OC8A.1.6.2 and OC8B.1.7.2), pursuant to **OC8**.

Safety From The System	That condition which safeguards persons when work is to be carried out on or near a **System** from the dangers which are inherent in the **System**.
Safety Key	A key unique at the **Location** capable of operating a lock which will cause an **Isolating Device** and/or **Earthing Device** to be **Locked**.
Safety Log	A chronological record of messages relating to safety co-ordination sent and received by each **Safety Co-ordinator** under **OC8**.
Safety Precautions	**Isolation** and/or **Earthing**.
Safety Rules	The rules of **NGC** (in England and Wales) and the **Relevant Transmission Licensee** (in Scotland) or a **User** that seek to ensure that persons working on **Plant** and/or **Apparatus** to which the rules apply are safeguarded from hazards arising from the **System**.
Secondary Response	The automatic increase in **Active Power** output of a **Genset** or, as the case may be, the decrease in **Active Power Demand** in response to a **System Frequency** fall. This increase in **Active Power** output or, as the case may be, the decrease in **Active Power Demand** must be in accordance with the provisions of the relevant **Ancillary Services Agreement** which will provide that it will be fully available by 30 seconds from the time of the start of the **Frequency** fall and be sustainable for at least a further 30 minutes. The interpretation of the **Secondary Response** to a -0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2.
Secretary of State	Has the same meaning as in the **Act**.
Secured Event	Has the meaning set out in the **Security and Quality of Supply Standard**.
Security and Quality of Supply Standard	The version of the document entitled 'Security and Quality of Supply Standard' established pursuant to the **Transmission Licence** in force at the time of entering into the relevant **Bilateral Agreement**.
Settlement Period	A period of 30 minutes ending on the hour and half-hour in each hour during a day.
Seven Year Statement	A statement, prepared by **NGC** in accordance with the terms of **NGC's Transmission Licence**, showing for each of the seven succeeding **Financial Years**, the opportunities available for connecting to and using the **GB Transmission System** and indicating those parts of the **GB Transmission System** most suited to new connections and transport of further quantities of electricity.
SF_6 Gas Zone	A segregated zone surrounding electrical conductors within a casing containing SF_6 gas.

SHETL Scottish Hydro-Electric Transmission Limited

Shutdown The condition of a **Generating Unit** where the generator rotor is at rest or on barring.

Significant Incident An **Event** which either:

a) was notified by a **User** to **NGC** under **OC7**, and which **NGC** considers has had or may have had a significant effect on the **GB Transmission System**, and **NGC** requires the **User** to report that **Event** in writing in accordance with **OC10** and notifies the **User** accordingly; or

b) was notified by **NGC** to a **User** under **OC7**, and which that **User** considers has had or may have had a significant effect on that **User's System**, and that **User** requires **NGC** to report that **Event** in writing in accordance with the provisions of **OC10** and notifies **NGC** accordingly.

Simultaneous Tap Change A tap change implemented on the generator step-up transformers of **Synchronised Gensets**, effected by **Generators** in response to an instruction from **NGC** issued simultaneously to the relevant **Power Stations**. The instruction, preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from **NGC** of the instruction.

Single Line Diagram A schematic representation of a three-phase network in which the three phases are represented by single lines. The diagram shall include (but not necessarily be limited to) busbars, overhead lines, underground cables, power transformers and reactive compensation equipment. It shall also show where **Large Power Stations** are connected, and the points at which **Demand** is supplied.

Single Point of Connection A single **Point of Connection**, with no interconnection through the **User's System** to another **Point of Connection**.

Site Common Drawings Drawings prepared for each **Connection Site** which incorporate **Connection Site** layout drawings, electrical layout drawings, common protection/ control drawings and common services drawings.

Site Responsibility Schedule A schedule containing the information and prepared on the basis of the provisions set out in Appendix 1 of the **CC**.

Slope The ratio of the steady state change in voltage to the steady state change in **Reactive Power** output.

Small Power Station A **Power Station** in **NGC's Transmission Area** with a **Registered Capacity** of less than 50MW or a **Power Station** in **SPT's** or **SHETL's Transmission Area** with a **Registered Capacity** of less than 5 MW.

Speeder Motor Setting Range	The minimum and maximum no-load speeds (expressed as a percentage of rated speed) to which the turbine is capable of being controlled, by the speeder motor or equivalent, when the **Generating Unit** terminals are on open circuit.
SPT	SP Transmission Limited
Standard Planning Data	The general data required by **NGC** under the **PC**. It is generally also the data which **NGC** requires from a new **User** in an application for a **CUSC Contract**, as reflected in the **PC**.
Start Time	The time named as such in an instruction issued by **NGC** pursuant to the **BC**s.
Start-Up	The action of bringing a **Generating Unit** from **Shutdown** to **Synchronous Speed**.
Statement of Readiness	Has the meaning set out in the **Bilateral Agreement** and/or **Construction Agreement**.
Station Board	A switchboard through which electrical power is supplied to the **Auxiliaries** of a **Power Station**, and which is supplied by a **Station Transformer**. It may be interconnected with a **Unit Board**.
Station Transformer	A transformer supplying electrical power to the **Auxiliaries** of • a **Power Station**, which is not directly connected to the **Generating Unit** terminals (typical voltage ratios being 132/11kV or 275/11kV),or • a **DC Converter Station**.
STC Committee	The committee established under the **STC**.
Steam Unit	A **Generating Unit** whose prime mover converts the heat-energy in steam to mechanical energy.
Subtransmission System	The part of a **User's System** which operates at a single transformation below the voltage of the relevant **Transmission System**.
Supergrid Voltage	Any voltage greater than 200kV.

Supplier

(a) A person supplying electricity under an **Electricity Supply Licence**; or

(b) A person supplying electricity under exemption under the **Act**;

in each case acting in its capacity as a supplier of electricity to **Customers** in **Great Britain**.

Surplus

A MW figure relating to a **System Zone** equal to the total **Output Usable** in the **System Zone:**

a) minus the forecast of **Active Power Demand** in the **System Zone**, and

b) minus the export limit in the case of an export limited **System Zone**,

or

plus the import limit in the case of an import limited **System Zone**,

and

c) (only in the case of a **System Zone** comprising the **GB Transmission System**) minus the **Operational Planning Margin**.

For the avoidance of doubt, a **Surplus** of more than zero in an export limited **System Zone** indicates an excess of generation in that **System Zone**; and a **Surplus** of less than zero in an import limited **System Zone** indicates insufficient generation in that **System Zone.**

Synchronised

a) The condition where an incoming **Generating Unit or Power Park Module** or **DC Converter** or **System** is connected to the busbars of another **System** so that the **Frequencies** and phase relationships of that **Generating Unit, Power Park Module**, **DC Converter** or **System**, as the case may be, and the **System** to which it is connected are identical, like terms shall be construed accordingly.

b) The condition where an importing **BM Unit** is consuming electricity.

Synchronising Generation

The amount of MW (in whole MW) produced at the moment of synchronising.

Synchronising Group

A group of two or more **Gensets**) which require a minimum time interval between their **Synchronising** or **De-Synchronising** times.

Synchronous Compensation

The operation of rotating synchronous **Apparatus** for the specific purpose of either the generation or absorption of **Reactive Power**.

Synchronous Generating Unit	A **Generating Unit** including, for the avoidance of doubt, a **CCGT Unit** in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the **GB Transmission System** divided by the number of pole pairs of the **Generating Unit** .
Synchronous Speed	That speed required by a **Generating Unit** to enable it to be **Synchronised** to a **System**.
System	Any **User System** and/or the **GB Transmission System**, as the case may be.
System Ancillary Services	Collectively **Part 1 System Ancillary Services** and **Part 2 System Ancillary Services**.
System Constraint	A limitation on the use of a **System** due to lack of transmission capacity or other **System** conditions.
System Constrained Capacity	That portion of **Registered Capacity** or **Registered Import Capacity** not available due to a **System Constraint**.
System Constraint Group	A part of the **GB Transmission System** which, because of **System Constraints**, is subject to limits of **Active Power** which can flow into or out of (as the case may be) that part.
System Fault Dependability Index or **Dp**	A measure of the ability of **Protection** to initiate successful tripping of circuit-breakers which are associated with a faulty item of **Apparatus**. It is calculated using the formula: **Dp** = $1 - F_1/A$ Where: A = Total number of **System** faults F_1 = Number of **System** faults where there was a failure to trip a circuit-breaker.
System Margin	The margin in any period between (a) the sum of Maximum Export Limits and (b) forecast **Demand** and the **Operating Margin**, for that period.

System Negative Reserve Active Power Margin or **System NRAPM**	That margin of **Active Power** sufficient to allow the largest loss of **Load** at any time.
System Operator - Transmission Owner Code or **STC**	Has the meaning set out in **NGC's Transmission Licence**
System Tests	Tests which involve simulating conditions, or the controlled application of irregular, unusual or extreme conditions, on the **Total System**, or any part of the **Total System**, but which do not include commissioning or recommissioning tests or any other tests of a minor nature.
System to Demand Intertrip Scheme	An intertrip scheme which disconnects **Demand** when a **System** fault has arisen to prevent abnormal conditions occurring on the **System**.
System to Generator Operational Intertripping	A **Balancing Service** involving the initiation by a **System to Generator Operational Intertripping Scheme** of automatic tripping of the **User's** circuit breaker(s) resulting in the tripping of **BM Unit(s)** or (where relevant) **Generating Unit(s)** comprised in a **BM Unit** to prevent abnormal system conditions occurring, such as over voltage, overload, **System** instability, etc, after the tripping of other circuit-breakers following power **System** fault(s).
System to Generator Operational Intertripping Scheme	A **System** to **Generating Unit** or **System** to **CCGT Module Intertripping Scheme** forming a condition of connection and specified in Appendix F3 of the relevant **Bilateral Agreement**, being either a **Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme** or **Category 4 Intertripping Scheme.**
System Zone	A region of the **GB Transmission System** within a described boundary or the whole of the **GB Transmission System**, as further provided for in OC2.2.4, and the term "**Zonal**" will be construed accordingly.
Target Frequency	That **Frequency** determined by **NGC**, in its reasonable opinion, as the desired operating **Frequency** of the **Total System**. This will normally be 50.00Hz plus or minus 0.05Hz, except in exceptional circumstances as determined by **NGC**, in its reasonable opinion when this may be 49.90 or 50.10Hz. An example of exceptional circumstances may be difficulties caused in operating the **System** during disputes affecting fuel supplies.
Technical Specification	In relation to **Plant** and/or **Apparatus**, a) the relevant **European Specification**; or b) if there is no relevant **European Specification**, other relevant standards which are in common use in the European Community.

Test Co-ordinator	A person who co-ordinates **System Tests**.
Test Panel	A panel, whose composition is detailed in **OC12**, which is responsible, inter alia, for considering a proposed **System Test**, and submitting a **Proposal Report** and a **Test Programme**.
Test Programme	A programme submitted by the **Test Panel** to **NGC**, the **Test Proposer**, and each **User** identified by **NGC** under OC12.4.2.1, which states the switching sequence and proposed timings of the switching sequence, a list of those staff involved in carrying out the **System Test** (including those responsible for the site safety) and such other matters as the **Test Panel** deems appropriate.
Test Proposer	The person who submits a **Proposal Notice**.
Total Shutdown	The situation existing when all generation has ceased and there is no electricity supply from **External Interconnections** and, therefore, the **Total System** has shutdown with the result that it is not possible for the **Total System** to begin to function again without **NGC's** directions relating to a **Black Start**.
Total System	The **GB Transmission System** and all **User Systems** in **Great Britain**.
Trading Point	A commercial and, where so specified in the **Grid Code**, an operational interface between a **User** and **NGC**, which a **User** has notified to **NGC**.
Transfer Date	Such date as may be appointed by the **Secretary of State** by order under section 65 of the **Act**.
Transmission	Means, when used in conjunction with another term relating to equipment or a site, whether defined or not, that the associated term is to be read as being part of or directly associated with the **GB Transmission System**, and not of or with the **User System**.
Transmission Area	Has the meaning set out in the **Transmission Licence** of a **Transmission Licensee**.
Transmission Entry Capacity	Has the meaning set out in the **CUSC**.
Transmission Licence	A licence granted under Section 6(1)(b) of the **Act**.
Transmission Licensee	Means the holder for the time being of a **Transmission Licence**.

Transmission Site	In England and Wales, means a site owned (or occupied pursuant to a lease, licence or other agreement) by **NGC** in which there is a **Connection Point**. For the avoidance of doubt, a site owned by a **User** but occupied by **NGC** as aforesaid, is a **Transmission Site**. In Scotland, means a site owned (or occupied pursuant to a lease, licence or other agreement) by a **Relevant Transmission Licensee** in which there is a **Connection Point**. For the avoidance of doubt, a site owned by a **User** but occupied by the **Relevant Transmission Licensee** as aforesaid, is a **Transmission Site**.
Transmission System	Has the same meaning as the term "licensee's transmission system" in the **Transmission Licence** of a **Transmission Licensee**.
Turbine Time Constant	Determined at **Registered Capacity**, the turbine time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.
Two Shifting Limit	The maximum number of times in any **Operational Day** that a **Genset** may **De-Synchronise**.
Unbalanced Load	The situation where the **Load** on each phase is not equal.
Under-excitation Limiter	Shall have the meaning ascribed to that term in **IEC** 34-16-1:1991 [equivalent to **British Standard BS**4999 Section 116.1 : 1992].
Under Frequency Relay	An electrical measuring relay intended to operate when its characteristic quantity (**Frequency**) reaches the relay settings by decrease in **Frequency**.
Unit Board	A switchboard through which electrical power is supplied to the **Auxiliaries** of a **Generating Unit** and which is supplied by a **Unit Transformer**. It may be interconnected with a **Station Board**.
Unit Transformer	A transformer directly connected to a **Generating Unit's** terminals, and which supplies power to the **Auxiliaries** of a **Generating Unit**. Typical voltage ratios are 23/11kV and 15/6.6Kv.
Unit Load Controller Response Time Constant	The time constant, expressed in units of seconds, of the power output increase which occurs in the **Secondary Response** timescale in response to a step change in **System Frequency**.
User	A term utilised in various sections of the **Grid Code** to refer to the persons using the **GB Transmission System**, as more particularly identified in each section of the **Grid Code** concerned. In the **Preface** and the **General Conditions** the term means any person to whom the **Grid Code** applies.

User Development

In the **PC** means either **User's Plant** and/or **Apparatus** to be connected to the **GB Transmission System**, or a **Modification** relating to a **User's Plant** and/or **Apparatus** already connected to the **GB Transmission System**, or a proposed new connection or **Modification** to the connection within the **User System**.

User Site

In England and Wales, a site owned (or occupied pursuant to a lease, licence or other agreement) by a **User** in which there is a **Connection Point**. For the avoidance of doubt, a site owned by **NGC** but occupied by a **User** as aforesaid, is a **User Site**.

In Scotland, a site owned (or occupied pursuant to a lease, licence or other agreement) by a **User** in which there is a **Connection Point**. For the avoidance of doubt, a site owned by a **Relevant Transmission Licensee** but occupied by a **User** as aforesaid, is a **User Site**.

User System

Any system owned or operated by a **User** comprising:-

(a) **Generating Units**; and/or

(b) Systems consisting (wholly or mainly) of electric lines used for the distribution of electricity from **Grid Supply Points** or **Generating Units** or other entry points to the point of delivery to **Customers**, or other **Users**;

and **Plant** and/or **Apparatus** connecting:-

(c) The system as described above; or

(d) **Non-Embedded Customers** equipment;

to the **GB Transmission System** or to the relevant other **User System**, as the case may be.

The **User System** includes any **Remote Transmission Assets** operated by such **User** or other person and any **Plant** and/or **Apparatus** and meters owned or operated by the **User** or other person in connection with the distribution of electricity but does not include any part of the **GB Transmission System**.

User System Entry Point

A point at which a **Generating Unit**, a **CCGT Module** or a **CCGT Unit** or a **Power Park Module** or a **DC Converter**, as the case may be, which is **Embedded** connects to the **User System**.

Water Time Constant

Bears the meaning ascribed to the term "Water inertia time" in **IEC**308.

Weekly ACS Conditions	Means that particular combination of weather elements that gives rise to a level of peak **Demand** within a week, taken to commence on a Monday and end on a Sunday, which has a particular chance of being exceeded as a result of weather variation alone. This particular chance is determined such that the combined probabilities of **Demand** in all weeks of the year exceeding the annual peak **Demand** under **Annual ACS Conditions** is 50%, and in the week of maximum risk the weekly peak **Demand** under **Weekly ACS Conditions** is equal to the annual peak **Demand** under **Annual ACS Conditions**.
Zonal System Security Requirements	That generation required, within the boundary circuits defining the **System Zone**, which when added to the secured transfer capability of the boundary circuits exactly matches the **Demand** within the **System Zone**.

A number of the terms listed above are defined in other documents, such as the **Balancing and Settlement Code** and the **Transmission Licence**. Appendix 1 sets out the current definitions from the other documents of those terms so used in the **Grid Code** and defined in other documents for ease of reference, but does not form part of the **Grid Code**.

2. Construction of References

In the **Grid Code**:

(i) a table of contents, a Preface, a Revision section, headings, and the Appendix to this **Glossary and Definitions** are inserted for convenience only and shall be ignored in construing the **Grid Code**;

(ii) unless the context otherwise requires, all references to a particular paragraph, sub-paragraph, Appendix or Schedule shall be a reference to that paragraph, sub-paragraph Appendix or Schedule in or to that part of the **Grid Code** in which the reference is made;

(iii) unless the context otherwise requires, the singular shall include the plural and vice versa, references to any gender shall include all other genders and references to persons shall include any individual, body corporate, corporation, joint venture, trust, unincorporated association, organisation, firm or partnership and any other entity, in each case whether or not having a separate legal personality;

(iv) references to the words "include" or "including" are to be construed without limitation to the generality of the preceding words;

(v) unless there is something in the subject matter or the context which is inconsistent therewith, any reference to an Act of Parliament or any Section of or Schedule to, or other provision of an Act of Parliament shall be construed at the particular time, as including a reference to any modification, extension or re-enactment thereof then in force and to all instruments, orders and regulations then in force and made under or deriving validity from the relevant Act of Parliament;

(vi) where the **Glossary and Definitions** refers to any word or term which is more particularly defined in a part of the **Grid Code**, the definition in that part of the **Grid Code** will prevail (unless otherwise stated) over the definition in the **Glossary & Definitions** in the event of any inconsistency;

(vii) a cross-reference to another document or part of the **Grid Code** shall not of itself impose any additional or further or co-existent obligation or confer any additional or further or co-existent right in the part of the text where such cross-reference is contained;

(viii) nothing in the **Grid Code** is intended to or shall derogate from **NGC's** statutory or licence obligations;

(ix) a "holding company" means, in relation to any person, a holding company of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;

(x) a "subsidiary" means, in relation to any person, a subsidiary of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;

(xi) references to time are to London time; and

(xii) Where there is a reference to an item of data being expressed in a whole number of MW, fractions of a MW below 0.5 shall be rounded down to the nearest whole MW and fractions of a MW of 0.5 and above shall be rounded up to the nearest whole MW.

< End of GD >

CONNECTION CONDITIONS

CONTENTS

(This contents page does not form part of the Grid Code)

(a) The fault clearance times for faults on **Network Operator** and **Non-Embedded Customer** equipment directly connected to the **GB Transmission System**, and for faults on the **GB Transmission System** directly connected to the **Network Operator's** or **Non-Embedded Customer's** equipment, from fault inception to the circuit breaker arc extinction, shall be set out in accordance with each **Bilateral Agreement**. The times specified in accordance with the **Bilateral Agreement** shall not be faster than:

(i) 80mS at 400kV
(ii) 100mS at 275kV
(iii) 120mS at 132kV and below

but this shall not prevent a **User** or **NGC** having a faster fault clearance time. Slower fault clearance times may be specified in accordance with the **Bilateral Agreement** for faults on the **GB Transmission System**. Slower fault clearance times for faults on the **Network Operator** and **Non-Embedded Customers** equipment may be agreed in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements in **NGC's** view permit. The probability that the fault clearance times stated in accordance with the **Bilateral Agreement** will be exceeded by any given fault must be less than 2%.

(b) (i) For the event of failure of the **Protection** systems provided to meet the above fault clearance time requirements, **Back-Up Protection** shall be provided by the **Network Operator** or **Non-Embedded Customer** as the case may be.

(ii) **NGC** will also provide **Back-Up Protection**, which will result in a fault clearance time slower than that specified for the **Network Operator** or **Non-Embedded Customer Back-Up Protection** so as to provide **Discrimination**.

(iii) For connections with the **GB Transmission System** at 132kV and below, it is normally required that the **Back-Up Protection** on the **GB Transmission System** shall discriminate with the **Network Operator** or **Non-Embedded Customer's Back-Up Protection**.

(iv) For connections with the **GB Transmission System** at 400kV or 275kV, the **Back-Up Protection** will be provided by the **Network Operator** or **Non-Embedded Customer**, as the case may be, with a fault clearance time not slower than 300mS for faults on the **Network Operator's** or **Non-Embedded Customer's Apparatus**.

(v) Such **Protection** will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the **GB Transmission System** by breaker fail **Protection** at 400kV or 275kV. This will permit **Discrimination** between **Network Operator** or **Non-Embedded Customer**, as the case may be, **Back-Up Protection** and **Back-Up Protection** provided on the **GB Transmission System** and other **User Systems**. The requirement for and level of **Discrimination** required will be specified in the **Bilateral Agreement**.

(c) (i) Where the **Network Operator** or **Non-Embedded Customer** is connected to the **GB Transmission System** at 400kV or 275kV, and in Scotland also at 132kV, and a circuit breaker is provided by the **Network**

Operator or **Non-Embedded Customer**, or **NGC**, as the case may be, to interrupt the interchange of fault current with the **GB Transmission System** or the **System** of the **Network Operator** or **Non-Embedded Customer**, as the case may be, circuit breaker fail **Protection** will be provided by the **Network Operator** or **Non-Embedded Customer**, or **NGC**, as the case may be, on this circuit breaker.

(ii) In the event, following operation of a **Protection** system, of a failure to interrupt fault current by these circuit-breakers within the **Fault Current Interruption Time**, the circuit breaker fail **Protection** is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200 ms.

(d) The target performance for the **System Fault Dependability Index** shall be not less than 99%. This is a measure of the ability of **Protection** to initiate successful tripping of circuit breakers which are associated with the faulty items of **Apparatus**.

CC.6.2.3.2 Fault Disconnection Facilities

(a) Where no **Transmission** circuit breaker is provided at the **User's** connection voltage, the **User** must provide **NGC** with the means of tripping all the **User's** circuit breakers necessary to isolate faults or **System** abnormalities on the **GB Transmission System**. In these circumstances, for faults on the **User's System**, the **User's Protection** should also trip higher voltage **Transmission** circuit breakers. These tripping facilities shall be in accordance with the requirements specified in the **Bilateral Agreement**.

(b) **NGC** may require the installation of a **System to Generator Operational Intertripping Scheme** in order to enable the timely restoration of circuits following power **System** fault(s). These requirements shall be set out in the relevant **Bilateral Agreement**.

CC.6.2.3.3 Automatic Switching Equipment

Where automatic reclosure of **Transmission** circuit breakers is required following faults on the **User's System**, automatic switching equipment shall be provided in accordance with the requirements specified in the **Bilateral Agreement**.

CC.6.2.3.4 Relay Settings

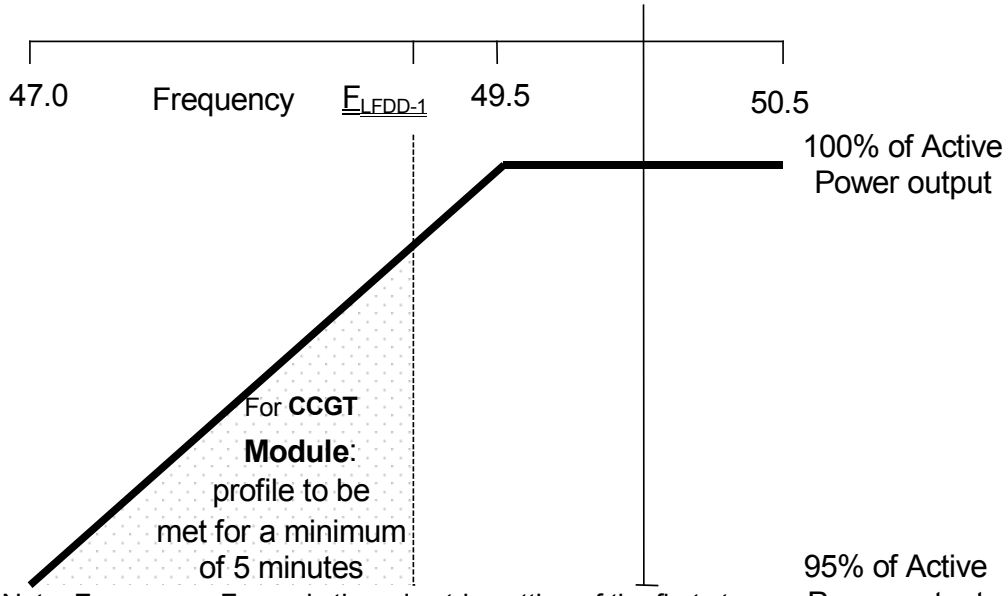
Protection and relay settings will be co-ordinated (both on connection and subsequently) across the **Connection Point** in accordance with the **Bilateral Agreement** to ensure effective disconnection of faulty **Apparatus**.

CC.6.2.3.5 Work on **Protection** equipment

Where a **Transmission Licensee** owns the busbar at the **Connection Point**, no busbar **Protection**, mesh corner **Protection** relays, AC or DC wiring (other than power supplies or DC tripping associated with the **Network Operator** or **Non-Embedded Customer's Apparatus** itself) may be worked upon or altered by the **Network Operator** or **Non-Embedded Customer** personnel in the absence of a representative of **NGC** or in Scotland, a representative of **NGC**, or written authority from **NGC** to perform such work or alterations in the absence of a representative of **NGC**.

(b) (subject to the provisions of CC.6.1.3) maintaining its **Active Power** output at a level not lower than the figure determined by the linear relationship shown in Figure 2 for **System Frequency** changes within the range 49.5 to 47 Hz, such that if the **System Frequency** drops to 47 Hz the **Active Power** output does not decrease by more than 5%.

In the case of a **CCGT Module**, the above requirement shall be retained down to the **Low Frequency Relay** trip setting of 48.8 Hz, which reflects the first stage of the Automatic Low **Frequency Demand Disconnection** scheme notified to **Network Operators** under OC6.6.2. For **System Frequency** below that setting, the existing requirement shall be retained for a minimum period of 5 minutes while **System Frequency** remains below that setting, and special measure(s) that may be required to meet this requirement shall be kept in service during this period. After that 5 minutes period, if **System Frequency** remains below that setting, the special measure(s) must be discontinued if there is a materially increased risk of the **Gas Turbine** tripping. The need for special measure(s) is linked to the inherent **Gas Turbine Active Power** output reduction caused by reduced shaft speed due to falling **System Frequency**



Note: **Frequency** F_{LFDD-1} is the relay trip setting of the first stage of the Automatic Low **Frequency Demand Disconnection** Scheme

Figure 2

(c) For the avoidance of doubt in the case of a **Generating Unit** or **Power Park Module** using an **Intermittent Power Source** where the mechanical power input will not be constant over time, the requirement is that the **Active Power** output shall be independent of **System Frequency** under (a) above and should not drop with **System Frequency** by greater than the amount specified in (b) above.

(d) A **DC Converter Station** must be capable of maintaining its **Active Power** input (i.e. when operating in a mode analogous to **Demand**) from the **GB Transmission System** (or **User System** in the case of an **Embedded DC Converter Station**) at a level not greater than the figure determined by the linear relationship shown in Figure 3 for **System Frequency** changes within

the range 49.5 to 47 Hz, such that if the **System Frequency** drops to 47.8 Hz the **Active Power** input decreases by more than 60%.

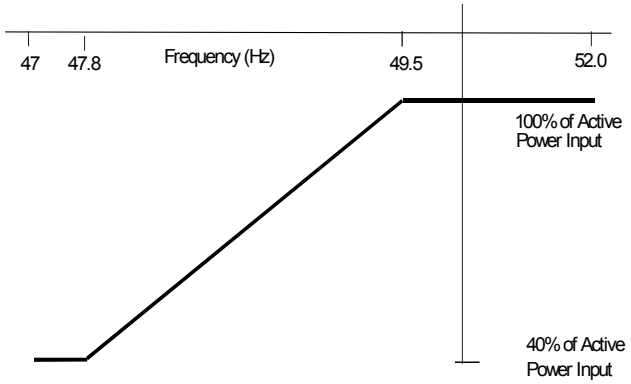


Figure 3

CC.6.3.4 At the **Grid Entry Point** the **Active Power** output under steady state conditions of any **Generating Unit, DC Converter** or **Power Park Module** directly connected to the **GB Transmission System** should not be affected by voltage changes in the normal operating range specified in paragraph CC.6.1.4 by more than the change in **Active Power** losses at reduced or increased voltage. The **Reactive Power** output under steady state conditions should be fully available within the voltage range ±5% at 400kV, 275kV and 132kV and lower voltages, except for a **Power Park Module** or **Non-synchronous Generating Unit** if **Embedded** at 33kV and below (or directly connected to the **GB Transmission System** in England and Wales at 33kV and below) where the requirement shown in Figure 4 applies.

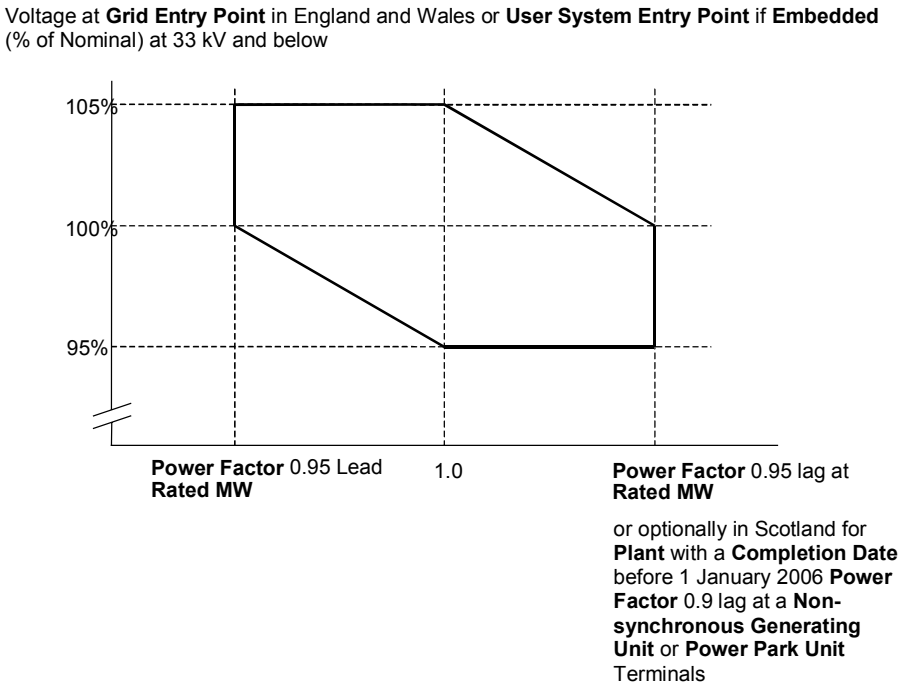


Figure 4

CC.6.3.5 It is an essential requirement that the **GB Transmission System** must incorporate a **Black Start Capability**. This will be achieved by agreeing a **Black Start Capability** at a number of strategically located **Power Stations**. For each **Power**

Station NGC will state in the **Bilateral Agreement** whether or not a **Black Start Capability** is required.

Control Arrangements

CC.6.3.6 (a) Each:

(i) **Generating Unit**; or,

(ii) **DC Converter** with a **Completion Date** on or after 1 April 2005; or,

(iii) **Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006; or,

(iv) **Power Park Module** in operation in Scotland on or after 1 January 2006 (with a **Completion Date** after 1 July 2004 and in a **Power Station** with a **Registered Capacity** of 30MW or above),

must be capable of contributing to **Frequency** control by continuous modulation of **Active Power** supplied to the **GB Transmission System** or the **User System** in which it is **Embedded**.

(b) Each:

(i) **Generating Unit**; or,

(ii) **DC Converter** (with a **Completion Date** on or after 1 April 2005 excluding current source technologies); or

(iii) **Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006; or,

(iv) **Power Park Module** in Scotland irrespective of **Completion Date,**

must be capable of contributing to voltage control by continuous changes to the **Reactive Power** supplied to the **GB Transmission System** or the **User System** in which it is **Embedded**.

CC.6.3.7 (a) Each **Generating Unit, DC Converter** or **Power Park Module** (excluding **Power Park Modules** in Scotland with a **Completion Date** before 1 July 2004 or in a **Power Station** in Scotland with a **Registered Capacity** less than 30MW) must be fitted with a fast acting proportional **Frequency** control device (or turbine speed governor) and unit load controller or equivalent control device to provide **Frequency** response under normal operational conditions in accordance with **Balancing Code 3** (**BC3**). The Frequency control device (or speed governor) must be designed and operated to the appropriate:

(i) **European Specification**; or

(ii) in the absence of a relevant **European Specification**, such other standard which is in common use within the European Community (which may include a manufacturer specification);

as at the time when the installation of which it forms part was designed or (in the case of modification or alteration to the **Frequency** control device (or turbine speed governor)) when the modification or alteration was designed.

The **European Specification** or other standard utilised in accordance with sub-paragraph CC.6.3.7 (a) (ii) will be notified to **NGC** as:

(i) part of the application for a **Bilateral Agreement;** or

(ii) part of the application for a varied **Bilateral Agreement;** or

(iii) soon as possible prior to any modification or alteration to the **Frequency** control device (or governor); and

(b) The **Frequency** control device (or speed governor) in co-ordination with other control devices must control the **Generating Unit, DC Converter** or **Power Park Module Active Power Output** with stability over the entire operating range of the **Generating Unit, DC Converter** or **Power Park Module**; and

(c) The **Frequency** control device (or speed governor) must meet the following minimum requirements:

(i) Where a **Generating Unit, DC Converter** or **Power Park Module** becomes isolated from the rest of the **Total System** but is still supplying **Customers**, the **Frequency** control device (or speed governor) must also be able to control **System Frequency** below 52Hz unless this causes the **Generating Unit, DC Converter** or **Power Park Module** to operate below its **Designed Minimum Operating Level** when it is possible that it may, as detailed in BC 3.7.3, trip after a time. For the avoidance of doubt the **Generating Unit, DC Converter** or **Power Park Module** is only required to operate within the **System Frequency** range 47 - 52 Hz as defined in CC.6.1.3.;

(ii) the **Frequency** control device (or speed governor) must be capable of being set so that it operates with an overall speed **Droop** of between 3% and 5%;

(iii) in the case of all **Generating Units, DC Converter** or **Power Park Module** other than the **Steam Unit** within a **CCGT Module** the **Frequency** control device (or speed governor) deadband should be no greater than 0.03Hz (for the avoidance of doubt, ±0.015Hz). In the case of the **Steam Unit** within a **CCGT Module**, the speed governor deadband should be set to an appropriate value consistent with the requirements of CC.6.3.7(c)(i) and the requirements of BC3.7.2 for the provision of **Limited High Frequency Response**;

For the avoidance of doubt, the minimum requirements in (ii) and (iii) for the provision of **System Ancillary Services** do not restrict the negotiation of **Commercial Ancillary Services** between **NGC** and the **User** using other parameters; and

(d) A facility to modify, so as to fulfil the requirements of the **Balancing Codes**, the **Target Frequency** setting either continuously or in a maximum of 0.05 Hz steps over at least the range 50 $\pm$0.1 Hz should be provided in the unit load controller or equivalent device.

(e) (i) Each **Generating Unit** and/or **CCGT Module** which has a **Completion Date** after 1 January 2001 in England and Wales, and after 1 April 2005 in Scotland, must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.

(ii) Each **DC Converter** at a **DC Converter Station** which has a **Completion Date** on or after 1 April 2005 must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3**.**

(iii) Each **Power Park Module** in operation in England and Wales with a **Completion Date** on or after 1 January 2006 must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.

(iv) Each **Power Park Module** in operation on or after 1 January 2006 in Scotland (with a **Completion Date** on or after 1 April 2005 and a **Registered Capacity** of 30MW or greater) must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.

(f) For the avoidance of doubt, the requirements of Appendix 3 do not apply to:

(i) **Generating Units** and/or **CCGT Modules** which have a **Completion Date** before 1 January 2001 in England and Wales, and before 1 April 2005 in Scotland, for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged: or

(ii) **DC Converters** at a **DC Converter Station** which have a **Completion Date** before 1 April 2005; or

(iii) **Power Park Modules** in England and Wales with a **Completion Date** before 1 January 2006 for whom only the requirements of **Limited Frequency Sensitive Mode** (BC.3.5.2) operation shall apply; or

(iv) **Power Park Modules** in operation in Scotland before 1 January 2006 for whom only the requirements of **Limited Frequency Sensitive Mode** (BC.3.5.2) operation shall apply; or

(v) **Power Park Modules** in operation after 1 January 2006 in Scotland which have a **Completion Date** before 1 April 2005 for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged.

CC.6.3.8 (a) A continuously-acting automatic excitation control system is required to provide constant terminal voltage control of the **Synchronous Generating Unit** without instability over the entire operating range of the **Generating Unit**.

(b) The requirements for excitation control facilities, including **Power System Stabilisers**, where in **NGC's** view these are necessary for system reasons, will be specified in the **Bilateral Agreement**. Reference is made to on-load commissioning witnessed by **NGC** in BC2.11.2.

(c) In the case of a **Non-synchronous Generating Unit, DC Converter** or **Power Park Module** a continuously-acting automatic control system is required to provide control of the voltage (or zero transfer of **Reactive Power** as applicable to CC.6.3.2) at the **Grid Entry Point** or **User System**

Entry Point without instability over the entire operating range of the **Non-Synchronous Generating Unit, DC Converter** or **Power Park Module**. In the case of a **Power Park Module** in Scotland, voltage control may be at the **Power Park Unit** terminals, an appropriate intermediate busbar or the **Connection Point** as specified in the **Bilateral Agreement**. The automatic control system shall be designed to ensure a smooth transition between the shaded area bound by CD and the non shaded area bound by AB in Figure 1 of CC6.3.2 (c). The performance requirements for this automatic control system will be specified in the **Bilateral Agreement**.

(d) In particular, other control facilities, including constant **Reactive Power** output control modes and constant **Power Factor** control modes (but excluding VAR limiters) are not required. However, if present in the excitation or voltage control system they will be disabled unless recorded in the **Bilateral Agreement**. Operation of such control facilities will be in accordance with the provisions contained in **BC2.**

Steady state **Load** Inaccuracies

CC.6.3.9 The standard deviation of **Load** error at steady state **Load** over a 30 minute period must not exceed 2.5 per cent of a **Genset's Registered Capacity.** Where a **Genset** is instructed to **Frequency** sensitive operation, allowance will be made in determining whether there has been an error according to the governor droop characteristic registered under the **PC**.

For the avoidance of doubt in the case of a **Power Park Module** allowance will be made for the full variation of mechanical power output.

Negative Phase Sequence Loadings

CC.6.3.10 In addition to meeting the conditions specified in CC.6.1.5(b), each **Synchronous Generating Unit** will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by **System Back-Up Protection** on the **GB Transmission System** or **User System** in which it is **Embedded**.

Neutral **Earthing**

CC.6.3.11 At nominal **System** voltages of 132kV and above the higher voltage windings of a transformer of a **Generating Unit, DC Converter** or **Power Park Module** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph CC.6.2.1.1 (b) will be met on the **GB Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

CC.6.3.12 As stated in CC.6.1.3, the **System Frequency** could rise to 52Hz or fall to 47Hz. Each **Generating Unit, DC Converter, Power Park** Module or any constituent element must continue to operate within this **Frequency** range for at least the periods of time given in CC.6.1.3 unless **NGC** has agreed to any **Frequency**-level relays and/or rate-of-change-of-**Frequency** relays which will trip such **Generating Unit, DC Converter, Power Park Module** and any constituent element within this **Frequency** range, under the **Bilateral Agreement**.

CC.6.3.13 **Generators** and **DC Converter Station** owners will be responsible for protecting all their **Generating Units, DC Converters** or **Power Park Modules** against damage should **Frequency** excursions outside the range 52Hz to 47Hz ever occur. Should such excursions occur, it is up to the **Generator** or **DC Converter Station** owner to decide whether to disconnect his **Apparatus** for reasons of safety of **Apparatus**, **Plant** and/or personnel.

CC.6.3.14 It may be agreed in the **Bilateral Agreement** that a **Genset** shall have a **Fast-Start Capability**. Such **Gensets** may be used for **Operating Reserve** and their **Start-Up** may be initiated by **Frequency**-level relays with settings in the range 49Hz to 50Hz as specified pursuant to **OC2**.

CC.6.3.15 Fault Ride Through

(a) Short circuit faults at **Supergrid Voltage** up to 140ms in duration

(i) Each **Generating Unit, DC Converter,** or **Power Park Module** and any constituent **Power Park Unit** thereof shall remain transiently stable and connected to the **System** without tripping of any **Generating Unit, DC Converter** or **Power Park Module** and / or any constituent **Power Park Unit**, for a close-up solid three-phase short circuit fault or any unbalanced short circuit fault on the **GB Transmission System** operating at **Supergrid Voltages** for a total fault clearance time of up to 140 ms. A solid three-phase or unbalanced earthed fault results in zero voltage on the faulted phase(s) at the point of fault. The duration of zero voltage is dependent on local protection and circuit breaker operating times. This duration and the fault clearance times will be specified in the **Bilateral Agreement**. Following fault clearance, recovery of the **Supergrid Voltage** to 90% may take longer than 140ms as illustrated in Appendix 4 Figures CC.A.4.1 (a) and (b).

(ii) Each **Generating Unit** or **Power Park Module** shall be designed such that upon both clearance of the fault on the **GB Transmission System** as detailed in CC.6.3.15 (a) (i) and within 0.5 seconds of the restoration of the **voltage at the Grid Entry Point** to the minimum levels specified in CC.6.1.4 (or within 0.5 seconds of restoration of the voltage at the **User System Entry Point** to 90% of nominal or greater if **Embedded**), **Active Power** output shall be restored to at least 90% of the level available immediately before the fault. During the period of the fault as detailed in CC.6.3.15 (a) (i) each **Generating Unit** or **Power Park Module** shall generate maximum reactive current without exceeding the transient rating limit of the **Generating Unit** or **Power Park Module** and / or any constituent **Power Park Unit**.

(iii) Each **DC Converter** shall be designed to meet the **Active Power** recovery characteristics as specified in the **Bilateral Agreement** upon clearance of the fault on the **GB Transmission System** as detailed in CC.6.3.15 (a) (i).

(b) **Supergrid Voltage** dips greater than 140ms in duration

In addition to the requirements of CC.6.3.15 (a) each **Generating Unit** or **Power Park Module** and / or any constituent **Power Park Unit**, each with a **Completion Date** on or after the 1 April 2005 shall:

(i) remain transiently stable and connected to the **System** without tripping of any **Generating Unit** or **Power Park Module** and / or any constituent **Power Park**

Unit, for balanced **Supergrid Voltage** dips and associated durations anywhere on or above the heavy black line shown in Figure 5. Appendix 4 and Figures CC.A.4.3 (a), (b) and (c) provide an explanation and illustrations of Figure 5; and,

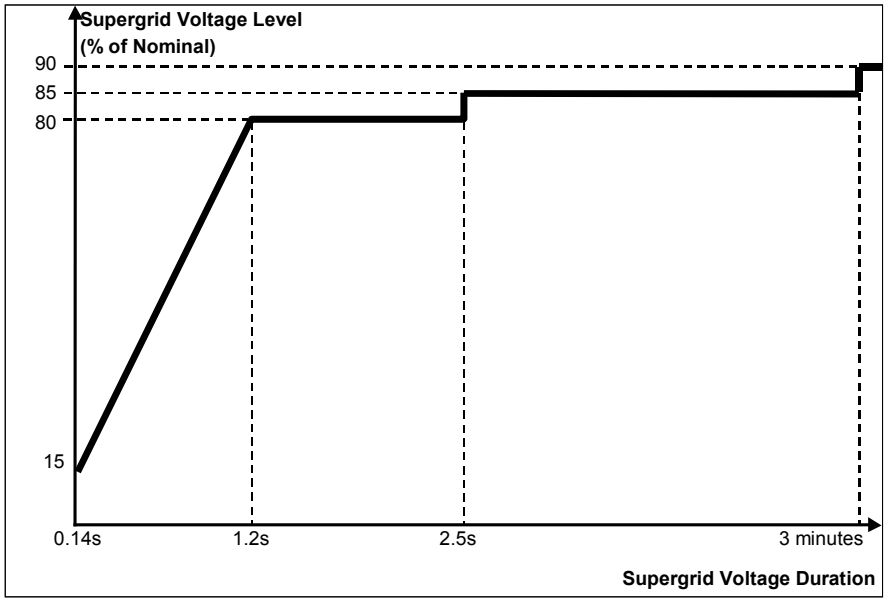


Figure 5

(ii) provide **Active Power** output, during **Supergrid Voltage** dips as described in Figure 5, at least in proportion to the retained balanced voltage at the **Grid Entry Point** (or the retained balanced voltage at the **User System Entry Point** if **Embedded**) except in the case of a **Non-Synchronous Generating Unit** or **Power Park Module** where there has been a reduction in the **Intermittent Power Source** in the time range in Figure 5 that restricts the **Active Power** output below this level and shall generate maximum reactive current without exceeding the transient rating limits of the **Generating Unit** or **Power Park Module** and any constituent **Power Park Unit**; and,

(iii) restore **Active Power** output, following **Supergrid Voltage** dips as described in Figure 5, within 1 second of restoration of the voltage at the **Grid Entry Point** to the minimum levels specified in CC.6.1.4 (or within 1 second of restoration of the voltage at the **User System Entry Point** to 90% of nominal or greater if **Embedded**), to at least 90% of the level available immediately before the occurrence of the dip except in the case of a **Non-Synchronous Generating Unit** or **Power Park Module** where there has been a reduction in the **Intermittent Power Source** in the time range in Figure 5 that restricts the **Active Power** output below this level.

For the avoidance of doubt a balanced **Supergrid Voltage** meets the requirements of CC.6.1.5 (b) and CC.6.1.6.

(c) Other Requirements

(i) In the case of a **Power Park Module** (comprising of wind-turbine generator units), the requirements in CC.6.3.15(a) and CC.6.3.15(b) do not apply when the **Power Park Module** is operating at less than 5% of its **Rated MW** or during very high wind speed conditions when more than 50% of the wind turbine generator units in a **Power Park Module** have been shut down or disconnected under an emergency shutdown sequence to protect **User's Plant** and **Apparatus**.

(ii) In addition to meeting the conditions specified in CC.6.1.5(b) and CC.6.1.6, each **Non-Synchronous Generating Unit** or **Power Park Module** and any constituent **Power Park Unit** thereof will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by **System Back-Up Protection** on the **GB Transmission System** operating at **Supergrid Voltage**.

(iii) In the case of a **Power Park Module** in Scotland with a **Completion Date** before 1 January 2004 and a **Registered Capacity** less than 30MW the requirements in CC.6.3.15 (a) do not apply. In the case of a **Power Park Module** in Scotland with a **Completion Date** on or after 1 January 2004 and before 1 July 2005 and a **Registered Capacity** less than 30MW the requirements in CC.6.3.15 (a) are relaxed from the minimum **Supergrid Voltage** of zero to a minimum **Supergrid Voltage** of 15% of nominal. In the case of a **Power Park Module** in Scotland with a **Completion Date** before 1 January 2004 and a **Registered Capacity** of 30MW and above the requirements in CC.6.3.15 (a) are relaxed from the minimum **Supergrid Voltage** of zero to a minimum **Supergrid Voltage** of 15% of nominal.

(iv) To avoid unwanted island operation, **Non-Synchronous Generating Units** in Scotland or **Power Park Modules** in Scotland shall be tripped for the following conditions:-

(1) Frequency above 52Hz for more than 2 seconds
(2) Frequency below 47Hz for more than 2 seconds
(3) Voltage as measured at the **Connection Point** or **User System Entry Point** below 80% for more than 2 seconds
(4) Voltage as measured at the **Connection Point** or **User System Entry Point** above 120% (115% for 275kV) for more than 1 second.

The times in sections (1) and (2) are maximum trip times. Shorter times may be used to protect the **Non-Synchronous Generating Units** or **Power Park Modules.**

Additional Damping Control Facilities for **DC Converters**

CC.6.3.16 (a) **DC Converter** owners must ensure that any of their **DC Converters** will not cause a sub-synchronous resonance problem on the **Total System**. Each **DC Converter** is required to be provided with sub-synchronous resonance damping control facilities.

(b) Where specified in the **Bilateral Agreement**, each **DC Converter** is required to be provided with power oscillation damping or any other identified additional control facilities.

System to Generator Operational Intertripping Scheme

CC.6.3.17 **NGC** may require that a **System to Generator Operational Intertripping Scheme** be installed as part of a condition of the connection of the **Generator**. Scheme specific details shall be included in the relevant **Bilateral Agreement**.

CC.6.4 GENERAL **NETWORK OPERATOR** AND **NON-EMBEDDED CUSTOMER** REQUIREMENTS

CC.6.4.1 This part of the **Grid Code** describes the technical and design criteria and performance requirements for **Network Operators** and **Non-Embedded Customers**.

Neutral **Earthing**

CC.6.4.2 At nominal **System** voltages of 132kV and above the higher voltage windings of three phase transformers and transformer banks connected to the **GB Transmission System** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph CC.6.2.1.1 (b) will be met on the **GB Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

CC.6.4.3 As explained under **OC6**, each **Network Operator**, will make arrangements that will facilitate automatic low **Frequency Disconnection** of **Demand** (based on **Annual ACS Conditions**). The **Bilateral Agreement** will specify the manner in which **Demand** subject to low **Frequency** disconnection will be split into discrete MW blocks with associated **Low Frequency Relay** settings. Technical requirements relating to **Low Frequency Relays** are listed in Appendix 5.

CC.6.5 COMMUNICATIONS PLANT

CC.6.5.1 In order to ensure control of the **GB Transmission System**, telecommunications between **Users** and **NGC** must, if required by **NGC**, be established in accordance with the requirements set down below.

Control Telephony

CC.6.5.2 **Control Telephony** is the method by which a **User's Responsible Engineer/Operator** and **NGC Control Engineers** speak to one another for the purposes of control of the **Total System** in both normal and emergency operating conditions. **Control Telephony** provides secure point to point telephony for routine **Control Calls,** priority **Control Calls** and emergency **Control Calls.**

CC.6.5.3 Supervisory tones indicate to the calling and receiving parties dial, engaged, ringing, secondary engaged (signifying that priority may be exercised) and priority disconnect tones**.**

CC.6.5.4 Where **NGC** requires **Control Telephony**, **Users** are required to use the **Control Telephony** with **NGC** in respect of all **Connection Points** with the **GB Transmission System** and in respect of all **Embedded Large Power Stations** and **Embedded DC Converter Stations**. **NGC** will install **Control Telephony** at the **User's** location where the **User's** telephony equipment is not capable of providing the required facilities or is otherwise incompatible with the **Transmission Control Telephony**. Details of and relating to the **Control Telephony** required are contained in the **Bilateral Agreement**.

CC.6.5.5 Detailed information on **Control Telephony** facilities and suitable equipment required for individual **User** applications will be provided by **NGC** upon request.

Operational Metering

CC.6.5.6 (a) **NGC** shall provide system control and data acquisition (SCADA) outstation interface equipment. The **User** shall provide such voltage, current, **Frequency**, **Active Power** and **Reactive Power** measurement outputs and plant status indications and alarms to the **Transmission** SCADA outstation interface equipment as required by **NGC** in accordance with the terms of the **Bilateral Agreement**.

(b) For the avoidance of doubt, for **Active Power** and **Reactive Power** measurements, circuit breaker and disconnector status indications from:

(i) **CCGT Modules** at **Large Power Stations**, the outputs and status indications must each be provided to **NGC** on an individual **CCGT Unit** basis. In addition, where identified in the **Bilateral Agreement, Active Power** and **Reactive Power** measurements from **Unit Transformers** and/or **Station Transformers** must be provided.

(ii) **DC Converters** at **DC Converter Stations**, the outputs and status indications must each be provided to **NGC** on an individual **DC Converter** basis. In addition, where identified in the **Bilateral Agreement, Active Power** and **Reactive Power** measurements from converter and/or station transformers must be provided.

(iii) **Power Park Modules** at **Embedded Large Power Stations** and at directly connected **Power Stations,** the outputs and status indications must each be provided to **NGC** on an individual **Power Park Module** basis. In addition, where identified in the **Bilateral Agreement, Active Power** and **Reactive Power** measurements from station transformers must be provided.

(c) For the avoidance of doubt, the requirements of CC.6.5.6(a) in the case of a **Cascade Hydro Scheme** will be provided for each **Generating Unit** forming part of that **Cascade Hydro Scheme**. In the case of **Embedded Generating Units** forming part of a **Cascade Hydro Scheme** the data may be provided by means other than a **NGC** SCADA outstation located at the **Power Station**, such as, with the agreement of the **Network Operator** in whose system such **Embedded Generating Unit** is located, from the **Network Operator's** SCADA system to **NGC**. Details of such arrangements will be contained in the relevant **Bilateral Agreements** between **NGC** and the **Generator** and the **Network Operator**.

(d) In the case of a **Power Park Module** an additional energy input signal (e.g. wind speed) may be specified in the **Bilateral Agreement** . The signal may be used to establish the level of energy input from the **Intermittent Power Source** for monitoring pursuant to CC.6.6.1 and **Ancillary Services** and will, in the case of a wind farm, be used to provide **NGC** with advanced warning of excess wind speed shutdown.

Instructor Facilities

CC.6.5.7 The **User** shall accommodate **Instructor Facilities** provided by **NGC** for the receipt of operational messages relating to **System** conditions.

Electronic Data Communication Facilities

CC.6.5.8 (a) All **BM Participants** must ensure that appropriate electronic data communication facilities are in place to permit the submission of data, as required by the **Grid Code**, to **NGC.**

(b) In addition, any **User** that wishes to participate in the **Balancing Mechanism** must ensure that appropriate automatic logging devices are installed at the **Control Points** of its **BM Units** to submit data to and to receive instructions from **NGC**, as required by the **Grid Code**. For the avoidance of doubt, in the case of an **Interconnector User** the **Control Point** will be at the **Control Centre** of the appropriate **Externally Interconnected System Operator**.

(c) Detailed specifications of these required electronic facilities will be provided by **NGC** on request and they are listed as **Electrical Standards** in the Annex to the **General Conditions**.

Facsimile Machines

CC.6.5.9 Each **User** and **NGC** shall provide a facsimile machine or machines:-

(a) in the case of **Generators,** at the **Control Point** of each **Power Station** and at its **Trading Point**;

(b) in the case of **NGC** and **Network Operators**, at the **Control Centre(s);** and

(c) in the case of **Non-Embedded Customers** and **DC Converter Station** owners at the **Control Point**.

Each **User** shall notify, prior to connection to the **System** of the **User's Plant and Apparatus**, **NGC** of its or their telephone number or numbers, and will notify **NGC** of any changes. Prior to connection to the **System** of the **User's Plant** and **Apparatus NGC** shall notify each **User** of the telephone number or numbers of its facsimile machine or machines and will notify any changes.

CC.6.5.10 Busbar Voltage

NGC shall, subject as provided below, provide each **Generator** or **DC Converter Station** owner at each **Grid Entry Point** where one of its **Power Stations** or **DC Converter Stations** is connected with appropriate voltage signals to enable the **Generator** or **DC Converter Station** owner to obtain the necessary information to permit its **Gensets or DC Converters** to be **Synchronised** to the **GB Transmission System.** The term **"voltage signal"** shall mean in this context, a point of connection on (or wire or wires from) a relevant part of **Transmission Plant** and/or **Apparatus** at the **Grid Entry Point**, to which the **Generator** or **DC Converter Station** owner**,** with **NGC's** agreement (not to be unreasonably withheld) in relation to the **Plant** and/or **Apparatus** to be attached, will be able to attach its **Plant** and/or **Apparatus** (normally a wire or wires) in order to obtain measurement outputs in relation to the busbar.

CC.6.5.11 Bilingual Message Facilities

(a) A Bilingual Message Facility is the method by which the **User's Responsible Engineer/Operator,** the **Externally Interconnected System**

Operator and **NGC Control Engineers** communicate clear and unambiguous information in two languages for the purposes of control of the **Total System** in both normal and emergency operating conditions.

(b) A Bilingual Message Facility, where required, will provide up to two hundred pre-defined messages with up to five hundred and sixty characters each. A maximum of one minute is allowed for the transmission to, and display of, the selected message at any destination. The standard messages must be capable of being displayed at any combination of locations and can originate from any of these locations. Messages displayed in the UK will be displayed in the English language.

(c) Detailed information on a Bilingual Message Facility and suitable equipment required for individual **User** applications will be provided by **NGC** upon request.

CC.6.6 **SYSTEM** MONITORING

CC.6.6.1 Monitoring equipment is provided on the **GB Transmission System** to enable **NGC** to monitor its power system dynamic performance conditions. Where this monitoring equipment requires voltage and current signals on the **Generating Unit** (other than **Power Park Unit**), **DC Converter** or **Power Park Module** circuit from the **User**, **NGC** will inform the **User** and they will be provided by the **User** with both the timing of the installation of the equipment for receiving such signals and its exact position being agreed (the **User's** agreement not to be unreasonably withheld) and the costs being dealt with, pursuant to the terms of the **Bilateral Agreement**.

CC.7 SITE RELATED CONDITIONS

CC.7.1 Not used.

CC.7.2 RESPONSIBILITIES FOR SAFETY

CC.7.2.1 In England and Wales, any **User** entering and working on its **Plant** and/or **Apparatus** on a **Transmission Site** will work to the **Safety Rules** of **NGC**.

In Scotland, any **User** entering and working on its **Plant** and/or **Apparatus** on a **Transmission Site** will work to the **Safety Rules** of the **Relevant Transmission Licensee**, as advised by **NGC**.

CC.7.2.2 **NGC** entering and working on **Transmission Plant** and/or **Apparatus** on a **User Site** will work to the **User's Safety Rules**. For **User Sites** in Scotland, **NGC** shall procure that the **Relevant Transmission Licensee** entering and working on **Transmission Plant** and/or **Apparatus** on a **User Site** will work to the **User's Safety Rules**.

CC.7.2.3 A **User** may, with a minimum of six weeks notice, apply to **NGC** for permission to work according to that **Users** own **Safety Rules** when working on its **Plant** and/or **Apparatus** on a **Transmission Site** rather than those set out in CC.7.2.1. If **NGC** is of the opinion that the **User's Safety Rules** provide for a level of safety commensurate with those set out in CC.7.2.1, **NGC** will notify the **User**, in writing, that, with effect from the date requested by the **User**, the **User** may use its own **Safety Rules** when working on its **Plant** and/or **Apparatus** on the **Transmission Site**. For a **Transmission Site** in Scotland, in forming its opinion, **NGC** will seek

the opinion of the **Relevant Transmission Licensee**. Until receipt of such written approval from **NGC**, the **User** will continue to use the **Safety Rules** as set out in CC7.2.1.

CC.7.2.4 In the case of a **User Site** in England and Wales, **NGC** may, with a minimum of six weeks notice, apply to a **User** for permission to work according to **NGC's Safety Rules** when working on **Transmission Plant** and/or **Apparatus** on that **User Site**, rather than the **User's Safety Rules**. If the **User** is of the opinion that **NGC's Safety Rules** provide for a level of safety commensurate with that of that **User's Safety Rules**, it will notify **NGC**, in writing, that, with the effect from the date requested by **NGC**, **NGC** may use its own **Safety Rules** when working on its **Transmission Plant** and/or **Apparatus** on that **User Site**. Until receipt of such written approval from the **User**, **NGC** shall continue to use the **User's Safety Rules**.

In the case of a **User Site** in Scotland, **NGC** may, with a minimum of six weeks notice, apply to a **User** for permission for the **Relevant Transmission Licensee** to work according to the **Relevant Transmission Licensee's Safety Rules** when working on **Transmission Plant** and/or **Apparatus** on that **User Site**, rather than the **User's Safety Rules**. If the **User** is of the opinion that the **Relevant Transmission Licensee's Safety Rules**, provide for a level of safety commensurate with that of that **User's Safety Rules**, it will notify **NGC**, in writing, that, with effect from the date requested by **NGC**, that the **Relevant Transmission Licensee** may use its own **Safety Rules** when working on its **Transmission Plant** and/or **Apparatus** on that **User's Site**. Until receipt of such written approval from the **User**, **NGC** shall procure that the **Relevant Transmission Licensee** shall continue to use the **User's Safety Rules**.

CC.7.2.5 For a **Transmission Site** in England and Wales, if **NGC** gives its approval for the **User's Safety Rules** to apply to the **User** when working on its **Plant** and/or **Apparatus**, that does not imply that the **User's Safety Rules** will apply to entering the **Transmission Site** and access to the **User's Plant** and/or **Apparatus** on that **Transmission Site**. Bearing in mind **NGC's** responsibility for the whole **Transmission Site**, entry and access will always be in accordance with **NGC's** site access procedures. For a **User Site** in England and Wales, if the **User** gives its approval for **NGC's Safety Rules** to apply to **NGC** when working on its **Plant** and **Apparatus**, that does not imply that **NGC's Safety Rules** will apply to entering the **User Site**, and access to the **Transmission Plant** and **Apparatus** on that **User Site**. Bearing in mind the **User's** responsibility for the whole **User Site**, entry and access will always be in accordance with the **User's** site access procedures.

For a **Transmission Site** in Scotland, if **NGC** gives its approval for the **User's Safety Rules** to apply to the **User** when working on its **Plant** and/or **Apparatus**, that does not imply that the **User's Safety Rules** will apply to entering the **Transmission Site** and access to the **User's Plant** and/or **Apparatus** on that **Transmission Site**. Bearing in mind the **Relevant Transmission Licensee's** responsibility for the whole **Transmission Site**, entry and access will always be in accordance with the **Relevant Transmission Licensee's** site access procedures. For a **User Site** in Scotland, if the **User** gives its approval for **Relevant Transmission Licensee Safety Rules** to apply to the **Relevant Transmission Licensee** when working on its **Plant** and **Apparatus**, that does not imply that the **Relevant Transmission Licensee's Safety Rules** will apply to entering the **User Site**, and access to the **Transmission Plant** and **Apparatus** on that **User Site**. Bearing in mind the **User's** responsibility for the whole **User Site**, entry and access will always be in accordance with the **User's** site access procedures

CC.7.2.6 For **User Sites** in England and Wales, **Users** shall notify **NGC** of any **Safety Rules** that apply to **NGC's** staff working on **User Sites**. For **Transmission Sites** in England and Wales, **NGC** shall notify **Users** of any **Safety Rules** that apply to the **User's** staff working on the **Transmission Site**.

For **User Sites** in Scotland, **Users** shall notify **NGC** of any **Safety Rules** that apply to the **Relevant Transmission Licensee's** staff working on **User Sites**. For **Transmission Sites** in Scotland **NGC** shall procure that the **Relevant Transmission Licensee** shall notify **Users** of any **Safety Rules** that apply to the **User's** staff working on the **Transmission Site**.

CC.7.2.7 Each **Site Responsibility Schedule** must have recorded on it the **Safety Rules** which apply to each item of **Plant** and/or **Apparatus**.

CC.7.3 SITE RESPONSIBILITY SCHEDULES

CC.7.3.1 In order to inform site operational staff and **NGC Control Engineers** of agreed responsibilities for **Plant** and/or **Apparatus** at the operational interface, a **Site Responsibility Schedule** shall be produced for **Connection Sites** in England and Wales for **NGC** and **Users** with whom they interface, and for **Connection Sites** in Scotland for **NGC**, the **Relevant Transmission Licensee** and **Users** with whom they interface.

CC.7.3.2 The format, principles and basic procedure to be used in the preparation of **Site Responsibility Schedules** are set down in Appendix 1.

CC.7.4 OPERATION AND GAS ZONE DIAGRAMS

Operation Diagrams

CC.7.4.1 An **Operation Diagram** shall be prepared for each **Connection Site** at which a **Connection Point** exists using, where appropriate, the graphical symbols shown in Part 1A of Appendix 2. **Users** should also note that the provisions of **OC11** apply in certain circumstances.

CC.7.4.2 The **Operation Diagram** shall include all **HV Apparatus** and the connections to all external circuits and incorporate numbering, nomenclature and labelling, as set out in **OC11**. At those **Connection Sites** where gas-insulated metal enclosed switchgear and/or other gas-insulated **HV Apparatus** is installed, those items must be depicted within an area delineated by a chain dotted line which intersects gas-zone boundaries. The nomenclature used shall conform with that used on the relevant **Connection Site** and circuit. The **Operation Diagram** (and the list of technical details) is intended to provide an accurate record of the layout and circuit interconnections, ratings and numbering and nomenclature of **HV Apparatus** and related **Plant**.

CC.7.4.3 A non-exhaustive guide to the types of **HV Apparatus** to be shown in the **Operation Diagram** is shown in Part 2 of Appendix 2, together with certain basic principles to be followed unless equivalent principles are approved by **NGC**.

Gas Zone Diagrams

CC.7.4.4 A **Gas Zone Diagram** shall be prepared for each **Connection Site** at which a **Connection Point** exists where gas-insulated switchgear and/or other gas-

insulated **HV Apparatus** is utilised. They shall use, where appropriate, the graphical symbols shown in Part 1B of Appendix 2.

CC.7.4.5 The nomenclature used shall conform with that used in the relevant **Connection Site** and circuit.

CC.7.4.6 The basic principles set out in Part 2 of Appendix 2 shall be followed in the preparation of **Gas Zone Diagrams** unless equivalent principles are approved by **NGC**.

Preparation of **Operation** and **Gas Zone Diagrams** for **Users' Sites**

CC.7.4.7 In the case of a **User Site**, the **User** shall prepare and submit to **NGC**, an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point** and **NGC** shall provide the **User** with an **Operation Diagram** for all **HV Apparatus** on the **Transmission** side of the **Connection Point**, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** prior to the **Completion Date** under the **Bilateral Agreement** and/or **Construction Agreement.**

CC.7.4.8 The **User** will then prepare, produce and distribute, using the information submitted on the **User's Operation Diagram** and **NGC Operation Diagram**, a composite **Operation Diagram** for the complete **Connection Site**, also in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** .

CC.7.4.9 The provisions of CC7.4.7 and CC.7.4.8 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.

Preparation of **Operation** and **Gas Zone Diagrams** for **Transmission Sites**

CC.7.4.10 In the case of an **Transmission Site**, the **User** shall prepare and submit to **NGC** an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point**, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

CC.7.4.11 **NGC** will then prepare, produce and distribute, using the information submitted on the **User's Operation Diagram**, a composite **Operation Diagram** for the complete **Connection Site**, also in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** .

CC.7.4.12 The provisions of CC7.4.10 and CC.7.4.11 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.

CC.7.4.13 Changes to **Operation** and **Gas Zone Diagrams**

CC.7.4.13.1 When **NGC** has decided that it wishes to install new **HV Apparatus** or it wishes to change the existing numbering or nomenclature of **Transmission HV Apparatus** at a **Transmission Site**, **NGC** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to each such **User** a revised **Operation Diagram** of that **Transmission Site**, incorporating the new **Transmission HV Apparatus** to be installed and its numbering and nomenclature or the changes, as the case may be. **OC11** is also relevant to certain **Apparatus**.

CC.7.4.13.2 When a **User** has decided that it wishes to install new **HV Apparatus**, or it wishes to change the existing numbering or nomenclature of its **HV Apparatus** at its **User Site**, the **User** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to **NGC** a revised **Operation Diagram** of that **User Site** incorporating the new **User HV Apparatus** to be installed and its numbering and nomenclature or the changes as the case may be. **OC11** is also relevant to certain **Apparatus**.

CC.7.4.13.3 The provisions of CC7.4.13.1 and CC.7.4.13.2 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is installed.

Validity

CC.7.4.14 (a) The composite **Operation Diagram** prepared by **NGC** or the **User**, as the case may be, will be the definitive **Operation Diagram** for all operational and planning activities associated with the **Connection Site**. If a dispute arises as to the accuracy of the composite **Operation Diagram**, a meeting shall be held at the **Connection Site**, as soon as reasonably practicable, between **NGC** and the **User**, to endeavour to resolve the matters in dispute.

(b) An equivalent rule shall apply for **Gas Zone Diagrams** where they exist for a **Connection Site.**

CC.7.5 SITE COMMON DRAWINGS

CC.7.5.1 **Site Common Drawings** will be prepared for each **Connection Site** and will include **Connection Site** layout drawings, electrical layout drawings, common **Protection**/control drawings and common services drawings.

Preparation of **Site Common Drawings** for a **User Site**

CC.7.5.2 In the case of a **User Site**, **NGC** shall prepare and submit to the **User**, **Site Common Drawings** for the **Transmission** side of the **Connection Point** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

CC.7.5.3 The **User** will then prepare, produce and distribute, using the information submitted on the **Transmission Site Common Drawings**, **Site Common Drawings** for the complete **Connection Site** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** .

Preparation of **Site Common Drawings** for a **Transmission Site**

CC.7.5.4 In the case of a **Transmission Site**, the **User** will prepare and submit to **NGC Site Common Drawings** for the **User** side of the **Connection Point** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

CC.7.5.5 **NGC** will then prepare, produce and distribute, using the information submitted in the **User's Site Common Drawings**, **Site Common Drawings** for the complete **Connection Site** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

CC.7.5.6 When a **User** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site** it will:

(a) if it is a **User Site**, as soon as reasonably practicable, prepare, produce and distribute revised **Site Common Drawings** for the complete **Connection Site**; and

(b) if it is a **Transmission Site**, as soon as reasonably practicable, prepare and submit to **NGC** revised **Site Common Drawings** for the **User** side of the **Connection Point** and **NGC** will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the **User's Site Common Drawings**, revised **Site Common Drawings** for the complete **Connection Site**.

In either case, if in the **User's** reasonable opinion the change can be dealt with by it notifying **NGC** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

CC.7.5.7 When **NGC** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site** it will:

(a) if it is a **Transmission Site**, as soon as reasonably practicable, prepare, produce and distribute revised **Site Common Drawings** for the complete **Connection Site**; and

(b) if it is a **User Site**, as soon as reasonably practicable, prepare and submit to the **User** revised **Site Common Drawings** for the **Transmission** side of the **Connection Point** and the **User** will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the **Transmission Site Common Drawings**, revised **Site Common Drawings** for the complete **Connection Site**.

In either case, if in **NGC's** reasonable opinion the change can be dealt with by it notifying the **User** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

Validity

CC.7.5.8 The **Site Common Drawings** for the complete **Connection Site** prepared by the **User** or **NGC**, as the case may be, will be the definitive **Site Common Drawings** for all operational and planning activities associated with the **Connection Site**. If a dispute arises as to the accuracy of the **Site Common Drawings**, a meeting shall be held at the **Site**, as soon as reasonably practicable, between **NGC** and the **User**, to endeavour to resolve the matters in dispute.

CC.7.6 ACCESS

CC.7.6.1 The provisions relating to access to **Transmission Sites** by **Users**, and to **Users' Sites** by **Transmission Licensees**, are set out in each **Interface Agreement** with, for **Transmission Sites** in England and Wales, **NGC** and each **User,** and for **Transmission Sites** in Scotland, the **Relevant Transmission Licensee** and each **User**.

CC.7.6.2 In addition to those provisions, where a **Transmission Site** in England and Wales contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by **NGC** and where a **Transmission Site** in Scotland contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by the **Relevant Transmission Licensee**.

CC.7.6.3 The procedure for applying for an **Authority for Access** is contained in the **Interface Agreement**.

CC.7.7 MAINTENANCE STANDARDS

CC.7.7.1 It is a requirement that all **User's Plant** and **Apparatus** on **Transmission Sites** is maintained adequately for the purpose for which it is intended and to ensure that it does not pose a threat to the safety of any **Transmission Plant, Apparatus** or personnel on the **Transmission Site**. **NGC** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** at any time. In Scotland, it is the **User's** responsibility to ensure that all the **User's Plant** and **Apparatus**, including protection systems, are tested and maintained and remain rated for the duty required. An annual update of system fault levels is available as part of the **Seven Year Statement**.

CC.7.7.2 It is a requirement that all **Transmission Plant** and **Apparatus** on **User's Sites** is maintained adequately for the purposes for which it is intended and to ensure that it does not pose a threat to the safety of any of the **User's Plant**, **Apparatus** or personnel on the **User Site**. **Users** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus**, at any time.

CC.7.8 SITE OPERATIONAL PROCEDURES

CC.7.8.1 **NGC** and **Users** with an interface with **NGC**, must make available staff to take necessary **Safety Precautions** and carry out operational duties as may be required to enable work/testing to be carried out and for the operation of **Plant** and **Apparatus** connected to the **Total System**.

CC.7.9 **Generators** and **DC Converter Station** owners shall provide a **Control Point** in respect of each **Power Station** directly connected to the **GB Transmission System** and **Embedded Large Power Station** or **DC Converter Station**. The **Control Point** shall be continuously manned (except for **Embedded Power Stations** containing **Power Park Modules** in the **SHETL Transmission Area** which have a **Registered Capacity** less than 30MW where the **Control Point** shall be manned between the hours of 0800 and 1800 each day) to receive and act upon instructions pursuant to OC7 and BC2 at all times that **Generating Units** or **Power Park Modules** at the **Power Station** are generating or available to generate or **DC Converters** at the **DC Converter Station** are importing or exporting or available to do so.

CC.8 ANCILLARY SERVICES

CC.8.1 System Ancillary Services

The **CC** contain requirements for the capability for certain **Ancillary Services**, which are needed for **System** reasons ("**System Ancillary Services**"). There follows a list of these **System Ancillary Services**, together with the paragraph number of the **CC** (or other part of the **Grid Code**) in which the minimum capability is required or referred to. The list is divided into two categories: Part 1 lists the **System Ancillary Services** which **Generators** are obliged to provide and **DC Converter Station** owners are obliged to have the capability to supply, and Part 2 lists the **System Ancillary Services** which **Generators** will provide only if agreement to provide them is reached with **NGC**:

Part 1

(a) **Reactive Power** supplied (in accordance with CC.6.3.2) otherwise than by means of synchronous or static compensators (except in the case of a **Power Park Module** where synchronous or static compensators within the **Power Park Module** may be used to provide **Reactive Power**)

(b) **Frequency** Control by means of **Frequency** sensitive generation - CC.6.3.7 and BC3.5.1

Part 2

(c) **Frequency** Control by means of **Fast Start** - CC.6.3.14

(d) **Black Start Capability** - CC.6.3.5

(e) **System to Generator Operational Intertripping**

CC.8.2 Commercial Ancillary Services

Other **Ancillary Services** are also utilised by **NGC** in operating the **Total System** if these have been agreed to be provided by a **User** (or other person) under an **Ancillary Services Agreement** or under a **Bilateral Agreement**, with payment being dealt with under an **Ancillary Services Agreement** or in the case of **Externally Interconnected System Operators** or **Interconnector Users**, under any other agreement (and in the case of **Externally Interconnected System Operators** and **Interconnector Users** includes ancillary services equivalent to or similar to **System Ancillary Services**) ("**Commercial Ancillary Services**"). The capability for these **Commercial Ancillary Services** is set out in the relevant **Ancillary Services Agreement** or **Bilateral Agreement** (as the case may be).

ahead which it considers the **Output Usable** forecasts will give. The time of 1600 hours can only be met in respect of any **Generator** or **Network Operator** if all the information from all **Generators** was made available to **NGC** by 1100 hours and if a suitable electronic data transmission facility is in place between **NGC** and the **Generator** or the **Network Operator**, as the case may be, and if it is fully operational. In the event that any of these conditions is not met, or if it is necessary to revert to a manual system for analysing the information supplied and otherwise to be considered, **NGC** reserve the right to extend the timescale for issue of the information required under this sub-paragraph to each, or the relevant, **Generator** and/or **Network Operator** (as the case may be) provided that such information will in any event be issued by 1800 hours.

(ii) **NGC** will provide each **Network Operator**, where it has an effect on that **User**, in writing with **Output Usable** estimates from and including day 2 ahead to day 14 ahead and updated outages, each relating to **Gensets** which are either in its **User System** or which may, in the reasonable opinion of **NGC** and the **Network Operator**, affect the integrity of that **Network Operator's User System** and in such circumstances, **NGC** shall notify the **Generator** concerned within 48 hours of so providing (including identifying the **Gensets** concerned), for the period from and including day 2 ahead to day 14 ahead.

OC2.4.1.3 Planning of **GB Transmission System** Outages

OC2.4.1.3.1 **Operational Planning Phase** - Planning for **Financial Years** 2 to 5 inclusive ahead

NGC shall plan **GB Transmission System** outages required in Years 2 to 5 inclusive required as a result of construction or refurbishment works. This contrasts with the planning of **GB Transmission System** outages required in Years 0 and 1 ahead, when **NGC** also takes into account **GB Transmission System** outages required as a result of maintenance.

Users should bear in mind that **NGC** will be planning the **GB Transmission System** outage programme on the basis of the previous year's **Final Generation Outage Programme** and if in the event a **Generator's** or **Network Operator's** outages differ from those contained in the **Final Generation Outage Programme**, or in the case of **Network Operators**, those known to **NGC**, or in any way conflict with the **GB Transmission System** outage programme, **NGC** need not alter the **GB Transmission System** outage programme.

OC2.4.1.3.2 In each calendar year:

(a) By the end of week 8

Each **Network Operator** will notify **NGC** in writing of details of proposed outages in Years 2-5 ahead in its **User System** which may affect the performance of the **Total System** (which includes but is not limited to outages of **User System Apparatus** at **Grid Supply Points** and outages which constrain the output of **Gensets Embedded** within that **User System**).

(b) By the end of week 13

Each **Generator** will inform **NGC** in writing of proposed outages in Years 2 - 5 ahead of **Generator** owned **Apparatus** (eg. busbar selectors) other than **Gensets,** at each **Grid Entry Point**.

NGC will provide to each **Network Operator** and to each **Generator** a copy of the information given to **NGC** under paragraph (a) above (other than the information given by that **Network Operator**). In relation to a **Network Operator**, the data must only be used by that **User** in operating that **Network Operator's User System** and must not be used for any other purpose or passed on to, or used by, any other business of that **User** or to, or by, any person within any other such business or elsewhere.

(c) By the end of week 28

NGC will provide each **Network Operator** in writing with details of proposed outages in Years 2-5 ahead which may, in **NGC's** reasonable judgement, affect the performance of that **Network Operator's User System**.

(d) By the end of week 30

Where **NGC** or a **Network Operator** is unhappy with the proposed outages notified to it under (a), (b) or (c) above, as the case may be, equivalent provisions to those set out in OC2.4.1.2.1 (d) will apply.

(e) By the end of week 34

NGC will draw up a draft **GB Transmission System** outage plan covering the period Years 2 to 5 ahead and **NGC** will notify each **Generator** and **Network Operator** in writing of those aspects of the plan which may operationally affect such **Generator** (other than those aspects which may operationally affect **Embedded Small Power Stations** or **Embedded Medium Power Stations**) or **Network Operator**. **NGC** will also indicate where a need may exist to issue other operational instructions or notifications (including but not limited to the requirement for the arming of an **Operational Intertripping** scheme) or **Emergency Instructions** to **Users** in accordance with **BC2** to allow the security of the **GB Transmission System** to be maintained within the **Licence Standards**.

OC2.4.1.3.3 **Operational Planning Phase** - Planning for **Financial Year** 1 ahead

Each calendar year **NGC** shall update the draft **GB Transmission System** outage plan prepared under OC2.4.1.3.2 above and shall in addition take into account outages required as a result of maintenance work.

In each calendar year:

(a) By the end of week 13

Generators and **Non-Embedded Customers** will inform **NGC** in writing of proposed outages for Year 1 of **Generator** owned **Apparatus** at each **Grid Entry Point** (e.g. busbar selectors) other than **Gensets** or **Non-**

Embedded Customer owned **Apparatus**, as the case may be, at each **Grid Supply Point**.

(b) By the end of week 28

NGC will provide each **Network Operator** and each **Non-Embedded Customer** in writing with details of proposed outages in Year 1 ahead which may, in **NGC's** reasonable judgement, affect the performance of its **User System** or the **Non-Embedded Customer Apparatus** at the **Grid Supply Point**.

(c) By the end of week 32

Each **Network Operator** will notify **NGC** in writing with details of proposed outages in Year 1 in its **User System** which may affect the performance of the **Total System** (which includes but is not limited to outages of **User System Apparatus** at **Grid Supply Points** and outages which constrain the output of **Gensets Embedded** within that **User System**).

(d) Between the end of week 32 and the end of week 34

NGC will draw up a revised **GB Transmission System** outage plan (which for the avoidance of doubt includes **Transmission Apparatus** at the **Connection Points**).

(e) By the end of week 34

NGC will notify each **Generator** and **Network Operator**, in writing, of those aspects of the **GB Transmission System** outage programme which may, in **NGC's** reasonable opinion, operationally affect that **Generator** (other than those aspects which may operationally affect **Embedded Small Power Stations** or **Embedded Medium Power Stations**) or **Network Operator** including in particular proposed start dates and end dates of relevant **GB Transmission System** outages.

NGC will provide to each **Network Operator** and to each **Generator** a copy of the information given to **NGC** under paragraph (c) above (other than the information given by that **Network Operator**). In relation to a **Network Operator**, the data must only be used by that **User** in operating that **Network Operator's User System** and must not be used for any other purpose or passed on to, or used by, any other business of that **User** or to, or by, any person within any other such business or elsewhere.

(f) By the end of week 36

Where a **Generator** or **Network Operator** is unhappy with the proposed aspects notified to it under (e) above, equivalent provisions to those set out in OC2.4.1.2.1 (d) will apply.

(g) Between the end of week 34 and 49

NGC will draw up a final **GB Transmission System** outage plan covering Year 1.

(h) By the end of week 49

(i) **NGC** will complete the final **GB Transmission System** outage plan for Year 1. The plan for Year 1 becomes the final plan for Year 0 when by expiry of time Year 1 becomes Year 0.

(ii) **NGC** will notify each **Generator** and each **Network Operator** in writing of those aspects of the plan which may operationally affect such **Generator** (other than those aspects which may operationally affect **Embedded Small Power Stations** or **Embedded Medium Power Stations**) or **Network Operator** including in particular proposed start dates and end dates of relevant **GB Transmission System** outages. **NGC** will also indicate where a need may exist to issue other operational instructions or notifications (including but not limited to the requirement for the arming of an **Operational Intertripping** scheme) or **Emergency Instructions** to **Users** in accordance with **BC2** to allow the security of the **GB Transmission System** to be maintained within the **Licence Standards**. **NGC** will also inform each relevant **Non-Embedded Customer** of the aspects of the plan which may affect it.

(iii) In addition, in relation to the final **GB Transmission System** outage plan for Year 1, **NGC** will provide to each **Generator** a copy of the final **GB Transmission System** outage plan for that year. OC2.4.1.3.4 contains provisions whereby updates of the final **GB Transmission System** outage plan are provided. The plan and the updates will be provided in writing. It should be noted that the final **GB Transmission System** outage plan for Year 1 and the updates will not give a complete understanding of how the **GB Transmission System** will operate in real time, where the **GB Transmission System** operation may be affected by other factors which may not be known at the time of the plan and the updates. Therefore, **Users** should place no reliance on the plan or the updates showing a set of conditions which will actually arise in real time.

(i) Information Release or Exchange

This paragraph (i) contains alternative requirements on **NGC**, paragraph (z) being an alternative to a combination of paragraphs (x) and (y). Paragraph (z) will only apply in relation to a particular **User** if **NGC** and that **User** agree that it should apply, in which case paragraphs (x) and (y) will not apply. In the absence of any relevant agreement between **NGC** and the **User, NGC** will only be required to comply with paragraphs (x) and (y).

Information Release to each **Network Operator** and **Non-Embedded Customer**

Between the end of Week 34 and 49 **NGC** will upon written request:

(x) for radial systems, provide each **Network Operator** and **Non Embedded Customer** with data to allow the calculation by the **Network Operator**, and each **Non Embedded Customer**, of symmetrical and asymmetrical fault levels; and

(y) for interconnected **Systems**, provide to each **Network Operator** an equivalent network, sufficient to allow the identification of symmetrical and asymmetrical fault levels, and power flows across interconnecting **User Systems** directly connected to the **GB Transmission System**; or

System Data Exchange

(z) as part of a process to facilitate understanding of the operation of the **Total System**,

(1) **NGC** will make available to each **Network Operator**, the **GB Transmission System Study Network Data Files** covering Year 1 which are of relevance to that **User's System**;

(2) where **NGC** and a **User** have agreed to the use of data links between them, the making available will be by way of allowing the **User** access to take a copy of the **GB Transmission System Study Network Data Files** once during that period. The **User** may, having taken that copy, refer to the copy as often as it wishes. Such access will be in a manner agreed by **NGC** and may be subject to separate agreements governing the manner of access. In the absence of agreement, the copy of the **GB Transmission System Study Network Data Files** will be given to the **User** on a disc, or in hard copy, as determined by **NGC**;

(3) the data contained in the **GB Transmission Study Network Data Files** represents **NGC's** view of indicative operating conditions only and should be used for technical analysis only on the basis that it only represents a view and that operating conditions may be different in the event;

(4) **NGC** will notify each **Network Operator**, as soon as reasonably practicable after it has updated the **GB Transmission System Study Network Data Files** covering Year 1 that it has done so, when this update falls before the next annual update under this OC2.4.1.3.3(i). **NGC** will then make available to each **Network Operator** who has received an earlier version (and in respect of whom the agreement still exists), the updated **GB Transmission System Study Network Files** covering the balance of Years 1 and 2 which remain given the passage of time, and which are of relevance to that **User's System**. The provisions of paragraphs (2) and (3) above shall apply to the making available of these updates;

(5) the data from the **GB Transmission System Study Network Data Files** received by each **Network Operator** must only be used by that **User** in operating that **Network Operator's User System** and must not be used for any other purpose or passed on to, or used by, any other business of that **User** or to, or by, any person within any other such business or elsewhere.

OC2.4.1.3.4 **Operational Planning Phase** - Planning in **Financial Year** 0 down to the **Programming Phase** (and in the case of load transfer capability, also during the **Programming Phase**)

(a) The **GB Transmission System** outage plan for Year 1 issued under OC2.4.1.3.3 shall become the plan for Year 0 when by expiry of time Year 1 becomes Year 0.

(b) Each **Generator** or **Network Operator** or **Non-Embedded Customer** may at any time during Year 0 request **NGC** in writing for changes to the outages requested by them under OC2.4.1.3.3. In relation to that part of Year 0, excluding the period 1-7 weeks from the date of request, **NGC** shall determine whether the changes are possible and shall notify the **Generator**, **Network Operator** or **Non-Embedded Customer** in question whether this is the case as soon as possible, and in any event within 14 days of the date of receipt by **NGC** of the written request in question.

Where **NGC** determines that any change so requested is possible and notifies the relevant **User** accordingly, **NGC** will provide to each **Network Operator** and each **Generator** a copy of the request to which **NGC** has agreed which relates to outages on **Systems** of **Network Operators** (other than any request made by that **Network Operator**). The information must only be used by that **Network Operator** in operating that **Network Operator's User System** and must not be used for any other purpose or passed on to, or used by, any other business of that **User** or to, or by, any person within any other such business or elsewhere.

(c) During Year 0 (including the **Programming Phase**) each **Network Operator** shall at **NGC's** request make available to **NGC** such details of automatic and manual load transfer capability of:

(i) 12MW or more (averaged over any half hour) for England and Wales

(ii) 10MW or more (averaged over any half hour) for Scotland

between **Grid Supply Points.**

(d) When necessary during Year 0, **NGC** will notify each **Generator** and **Network Operator** and each **Non-Embedded Customer**, in writing of those aspects of the **GB Transmission System** outage programme in the period from the 8th week ahead to the 52nd week ahead, which may, in **NGC's** reasonable opinion, operationally affect that **Generator** (other than those aspects which may operationally affect **Embedded Small Power Stations** or **Embedded Medium Power Stations**) or **Network Operator** or **Non-Embedded Customer** including in particular proposed start dates and end dates of relevant **GB Transmission System** outages.

NGC will also notify changes to information supplied by **NGC** pursuant to OC2.4.1.3.3(i)(x) and (y) except where in relation to a **User** information was supplied pursuant to OC2.4.1.3.3(i)(z). In that case:-

(i) **NGC** will, by way of update of the information supplied by it pursuant to OC2.4.1.3.3(i)(z), make available at the first time in Year 0 that it updates the **GB Transmission System Study Network Data Files** in respect of Year 0 (such update being an update on what was shown in respect of Year 1 which has then become Year 0) to each **Network Operator** who has received an earlier version under OC2.4.1.3.3(i)(z) (and in respect of whom the agreement still exists), the **GB Transmission System Study Network Data Files** covering Year 0 which are of relevance to that **User's System**.

(ii) **NGC** will notify each relevant **Network Operator**, as soon as reasonably practicable after it has updated the **GB Transmission System Study Network Data Files** covering Year 0, that it has done so. **NGC** will then make available to each such **Network Operator**, the updated **GB Transmission System Study Network Data Files** covering the balance of Year 0 which remains given the passage of time, and which are of relevance to that **User's System.**

(iii) The provisions of OC2.4.1.3.3(i)(z)(2), (3) and (5) shall apply to the provision of data under this part of OC2.4.1.3.4(d) as if set out in full.

NGC will also indicate where a need may exist to issue other operational instructions or notifications (including but not limited to the requirement for the arming of an **Operational Intertripping** scheme) or **Emergency Instructions** to **Users** in accordance with **BC2** to allow the security of the **GB Transmission System** to be maintained within the **Licence Standards**.

(e) In addition, by the end of each month during Year 0, **NGC** will provide to each **Generator** a notice containing any revisions to the final **GB Transmission System** outage plan for Year 1, provided to the **Generator** under OC2.4.1.3.3 or previously under this provision, whichever is the more recent.

OC2.4.1.3.5 Programming Phase

(a) By 1600 hours each Thursday

(i) **NGC** shall continue to update a preliminary **GB Transmission System** outage programme for the eighth week ahead, a provisional **GB Transmission System** outage programme for the next week ahead and a final day ahead **GB Transmission System** outage programme for the following day.

(ii) **NGC** will notify each **Generator** and **Network Operator** and each **Non-Embedded Customer**, in writing of those aspects of the preliminary **GB Transmission System** outage programme which may operationally affect each **Generator** (other than those aspects which may operationally affect **Embedded Small Power Stations** or **Embedded Medium Power Stations**) or **Network Operator** and each **Non-Embedded Customer** including in particular proposed start dates and end dates of relevant **GB Transmission System** outages and changes to information supplied by **NGC** pursuant to OC2.4.1.3.3(i)(x) and (y) (if OC2.4.1.3.3(i)(z) does not apply).

NGC will also indicate where a need may exist to arm an **Operational Intertripping** scheme, emergency switching, emergency **Demand** management or other measures including the issuing of other operational instructions or notifications or **Emergency Instructions** to **Users** in accordance with **BC2** to allow the security of the **GB Transmission System** to be maintained within the **Licence Standards**.

(b) By 1000 hours each Friday

Generators and **Network Operators** will discuss with **NGC** and confirm in writing to **NGC**, acceptance or otherwise of the requirements detailed under OC2.4.1.3.5.

(c) By 1600 hours each Friday

(i) **NGC** shall finalise the preliminary **GB Transmission System** outage programme up to the seventh week ahead. **NGC** will endeavour to give as much notice as possible to a **Generator** with nuclear **Large Power Stations** which may be operationally affected by an outage which is to be included in such programme.

(ii) **NGC** shall finalise the provisional **GB Transmission System** outage programme for the next week ahead.

(iii) **NGC** shall finalise the **GB Transmission System** outage programme for the weekend through to the next normal working day.

(iv) In each case **NGC** will indicate the factors set out in (a)(ii) above (other than those aspects which may operationally affect **Embedded Small Power Stations** or **Embedded Medium Power Stations**) to the relevant **Generators** and **Network Operators** and **Non-Embedded Customers**.

(v) Where a **Generator** with nuclear **Large Power Stations** which may be operationally affected by the preliminary **GB Transmission System** outage programme referred to in (i) above (acting as a reasonable operator) is concerned on grounds relating to safety about the effect which an outage within such outage programme might have on one or more of its nuclear **Large Power Stations,** it may contact **NGC** to explain its concerns and discuss whether there is an alternative way of taking that outage (having regard to technical feasibility). If there is such an alternative way, but **NGC** refuses to adopt that alternative way in taking that outage, that **Generator** may involve the **Disputes Resolution Procedure** to decide on the way the outage should be taken. If there is no such alternative way, then **NGC** may take the outage despite that **Generator's** concerns.

(d) By 1600 hours each Monday, Tuesday, Wednesday and Thursday

(i) **NGC** shall prepare a final **GB Transmission System** outage programme for the following day.

(ii) **NGC** shall notify each **Generator** and **Network Operator** and **Non-Embedded Customer** in writing of the factors set out in (a)(ii) above (other than those aspects which may operationally affect **Embedded Small Power Stations** or **Embedded Medium Power Stations**).

OC2.4.2 DATA REQUIREMENTS

OC2.4.2.1 When a **Statement** of **Readiness** under the **Bilateral Agreement** and/or **Construction Agreement** is submitted, and thereafter in calendar week 24 in each calendar year,

OPERATING CODE NO.8 Appendix 1 (OC8A)

SAFETY CO-ORDINATION IN ENGLAND AND WALES

CONTENTS

(This contents page does not form part of the Grid Code)

OPERATING CODE NO.8 APPENDIX 1 (OC8A)

SAFETY CO-ORDINATION IN ENGLAND AND WALES

OC8A.1 INTRODUCTION

OC8A.1.1 **OC8A** specifies the standard procedures to be used by **NGC** and **Users** for the co-ordination, establishment and maintenance of necessary **Safety Precautions** when work is to be carried out on or near the **GB Transmission System** in England and Wales or the **System** of a **User** in England and Wales and when there is a need for **Safety Precautions** on **HV Apparatus** on the other's **System** for this work to be carried out safely. **OC8A** applies to **NGC** and **Users** only in England and Wales. Where work is to be carried out on or near equipment in Scotland, but such work requires **Safety Precautions** to be established in England and Wales, **OC8A** should be followed by **NGC** and **Users** to establish the required **Safety Precautions** in England and Wales.

OC8B specifies the procedures to be used by the **Relevant Transmission Licensees** and **Users** in Scotland.

In this **OC8A** the term "work" includes testing, other than **System Tests** which are covered by **OC12**.

OC8A.1.2 **OC8A** also covers the co-ordination, establishment and maintenance of necessary safety precautions on the **Implementing Safety Co-ordinator's System** when work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or **NGC** as the case may be where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System**.

OC8A.1.3 **OC8A** does not apply to the situation where **Safety Precautions** need to be agreed solely between **Users**. **OC8A** does not apply to the situation where **Safety Precautions** need to be agreed solely between **Transmission Licensees**.

OC8A.1.4 **OC8A** does not seek to impose a particular set of **Safety Rules** on **NGC** and **Users**; the **Safety Rules** to be adopted and used by **NGC** and each **User** shall be those chosen by each.

OC8A.1.5 **Site Responsibility Schedules** document the control responsibility for each item of **Plant** and **Apparatus** for each site.

OC8A.1.6 Defined terms

OC8A.1.6.1 **Users** should bear in mind that in **OC8** only, in order that **OC8** reads more easily with the terminology used in certain **Safety Rules**, the term "**HV Apparatus**" is defined more restrictively and is used accordingly in **OC8A. Users** should, therefore, exercise caution in relation to this term when reading and using **OC8A.**

OC8A.1.6.2 In **OC8A** only the following terms shall have the following meanings:

(1) "**HV Apparatus**" means **High Voltage** electrical circuits forming part of a **System**, on which **Safety From The System** may be required or on which **Safety Precautions** may be applied to allow work to be carried out on a **System**.

(2) "**Isolation**" means the disconnection of **Apparatus** from the remainder of the **System** in which that **Apparatus** is situated by either of the following:

(a) an **Isolating Device** maintained in an isolating position. The isolating position must either be:

(i) maintained by immobilising and **Locking** the **Isolating Device** in the isolating position and affixing a **Caution Notice** to it. Where the **Isolating Device** is **Locked** with a **Safety Key**, the **Safety Key** must be secured in a **Key Safe** and the **Key Safe Key** must be, where reasonably practicable, given to the authorised site representative of the **Requesting Safety Co-Ordinator** and is to be retained in safe custody. Where not reasonably practicable the **Key Safe Key** must be retained by the authorised site representative of the **Implementing Safety Co-Ordinator** in safe custody; or

(ii) maintained and/or secured by such other method which must be in accordance with the **Local Safety Instructions** of **NGC** or that **User**, as the case may be; or

(b) an adequate physical separation which must be in accordance with, and maintained by, the method set out in the **Local Safety Instructions** of **NGC** or that **User**, as the case may be, and, if it is a part of that method, a **Caution Notice** must be placed at the point of separation.

(3) "**Earthing**" means a way of providing a connection between conductors and earth by an **Earthing Device** which is either:

(i) immobilised and **Locked** in the earthing position. Where the **Earthing Device** is **Locked** with a **Safety Key**, the **Safety Key** must be secured in a **Key Safe** and the **Key Safe Key** must be, where reasonably practicable, given to the authorised site representative of the **Requesting Safety Co-Ordinator** and is to be retained in safe custody. Where not reasonably practicable the **Key Safe Key** must be retained by the authorised site representative of the **Implementing Safety Co-Ordinator** in safe custody; or

(ii) maintained and/or secured in position by such other method which must be in accordance with the **Local Safety Instructions** of **NGC** or that **User** as the case may be.

OC8A.1.6.3 For the purpose of the co-ordination of safety relating to **HV Apparatus** the term **"Safety Precautions"** means **Isolation** and/or **Earthing.**

OC8A.2 OBJECTIVE

OC8A.2.1 The objective of **OC8A** is to achieve:-

(i) **Safety From The System** when work on or near a **System** necessitates the provision of **Safety Precautions** on another **System** on **HV Apparatus** up to a **Connection Point**; and

(ii) **Safety From The System** when work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or **NGC** (as the case may be) where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System**.

OC8A.2.2 A flow chart, set out in **OC8A Appendix C**, illustrates the process utilised in **OC8A** to achieve the objective set out in OC8A.2.1. In the case of a conflict between the flow chart and the provisions of the written text of **OC8A**, the written text will prevail.

OC8A.3 SCOPE

OC8A.3.1 **OC8A** applies to **NGC** and to **Users** in England and Wales, which in OC8A means:-

(a) **Generators**;

(b) **Network Operators**; and

(c) **Non-Embedded Customers.**

The procedures for the establishment of safety co-ordination by **NGC** in relation to **External Interconnections** are set out in **Interconnection Agreements** with relevant persons for the **External Interconnections**.

OC8A.4 PROCEDURE

OC8A.4.1 Approval of **Local Safety Instructions**

OC8A.4.1.1 (a) In accordance with the timing requirements of its **Bilateral Agreement**, each **User** will supply to **NGC** a copy of its **Local Safety Instructions** relating to its side of the **Connection Point** at each **Connection Site**.

(b) In accordance with the timing requirements of each **Bilateral Agreement**, **NGC** will supply to each **User** a copy of its **Local Safety Instructions** relating to the **Transmission** side of the **Connection Point** at each **Connection Site**.

(c) Prior to connection **NGC** and the **User** must have approved each other's relevant **Local Safety Instructions** in relation to **Isolation** and **Earthing**.

OC8A.4.1.2 Either party may require that the **Isolation** and/or **Earthing** provisions in the other party's **Local Safety Instructions** affecting the **Connection Site** should be made more stringent in order that approval of the other party's **Local Safety Instructions** can be given. Provided these requirements are not unreasonable, the other party will make such changes as soon as reasonably practicable. These changes may need to

cover the application of **Isolation** and/or **Earthing** at a place remote from the **Connection Site**, depending upon the **System** layout. Approval may not be withheld because the party required to approve reasonably believes the provisions relating to **Isolation** and/or **Earthing** are too stringent.

OC8A.4.1.3 If, following approval, a party wishes to change the provisions in its **Local Safety Instructions** relating to **Isolation** and/or **Earthing**, it must inform the other party. If the change is to make the provisions more stringent, then the other party merely has to note the changes. If the change is to make the provisions less stringent, then the other party needs to approve the new provisions and the procedures referred to in OC8A.4.1.2 apply.

OC8A.4.2 **Safety Co-ordinators**

OC8A.4.2.1 For each **Connection Point**, **NGC** and each **User** will at all times have nominated and available a person or persons ("**Safety Co-ordinator(s)**") to be responsible for the co-ordination of **Safety Precautions** when work is to be carried out on a **System** which necessitates the provision of **Safety Precautions** on **HV Apparatus** pursuant to **OC8A**. A **Safety Co-ordinator** may be responsible for the co-ordination of safety on **HV Apparatus** at more than one **Connection Point**.

OC8A.4.2.2 Each **Safety Co-ordinator** shall be authorised by **NGC** or a **User**, as the case may be, as competent to carry out the functions set out in **OC8A** to achieve **Safety From The System**. Confirmation from **NGC** or a **User**, as the case may be, that its **Safety Co-ordinator(s)** as a group are so authorised is dealt with in CC.5.2. Only persons with such authorisation will carry out the provisions of **OC8A.**

OC8A.4.2.3 Contact between **Safety Co-ordinators** will be made via normal operational channels, and accordingly separate telephone numbers for **Safety Co-ordinators** need not be provided. At the time of making contact, each party will confirm that they are authorised to act as a **Safety Co-ordinator**, pursuant to **OC8A.**

OC8A.4.2.4 If work is to be carried out on a **System**, or on equipment of **NGC** or a **User** near to a **System**, as provided in this **OC8A**, which necessitates the provision of **Safety Precautions** on **HV Apparatus** in accordance with the provisions of **OC8A**, the **Requesting Safety Co-ordinator** who requires the **Safety Precautions** to be provided shall contact the relevant **Implementing Safety Co-ordinator** to co-ordinate the establishment of the **Safety Precautions**.

OC8A.4.3 **RISSP**

OC8A.4.3.1 **OC8A** sets out the procedures for utilising the **RISSP**, which will be used except where dealing with equipment in proximity to the other's **System** as provided in OC8A.8. Sections OC8A.4 to OC8A.7 inclusive should be read accordingly.

OC8A.4.3.2 **NGC** will use the format of the **RISSP** forms set out in Appendix A and Appendix B to **OC8A.** That set out in **OC8A** Appendix A and designated as "RISSP-R", shall be used when **NGC** is the **Requesting Safety Co-ordinator**, and that in **OC8A** Appendix B and designated as "RISSP-I", shall be used when **NGC** is the **Implementing Safety Co-ordinator**. Proformas of RISSP-R and RISSP-I will be provided for use by **NGC** staff.

OC8A.4.3.3 (a) **Users** may either adopt the format referred to in OC8A.4.3.2, or use an equivalent format, provided that it includes sections requiring insertion of the same information and has the same numbering of sections as RISSP-R and RISSP-I as set out in Appendices A and B respectively.

(b) Whether **Users** adopt the format referred to in OC8A.4.3.2, or use the equivalent format as above, the format may be produced and held in, and retrieved from an electronic form by the **User**.

(c) Whichever method **Users** choose, each must provide proformas (whether in tangible or electronic form) for use by its staff.

OC8A.4.3.4 All references to RISSP-R and RISSP-I shall be taken as referring to the corresponding parts of the alternative forms or other tangible written or electronic records used by each **User**.

OC8A.4.3.5 RISSP-R will have an identifying number written or printed on it, comprising a prefix which identifies the location at which it is issued, and a unique (for each **User** or **NGC**, as the case may be) serial number consisting of four digits and the suffix "R".

OC8A.4.3.6 (a) In accordance with the timing requirements set out in CC.5.2 each **User** shall apply in writing to **NGC** for **NGC's** approval of its proposed prefix.

(b) **NGC** shall consider the proposed prefix to see if it is the same as (or confusingly similar to) a prefix used by **NGC** or another **User** and shall, as soon as possible (and in any event within ten days), respond in writing to the **User** with its approval or disapproval.

(c) If **NGC** disapproves, it shall explain in its response why it has disapproved and will suggest an alternative prefix.

(d) If **NGC** has disapproved, then the **User** shall either notify **NGC** in writing of its acceptance of the suggested alternative prefix or it shall apply in writing to **NGC** with revised proposals and the above procedure shall apply to that application.

OC8A.4.3.7 The prefix allocation will be periodically circulated by **NGC** to all **Users,** for information purposes, using a National Grid Safety Circular in the form set out in **OC8A** Appendix D.

OC8A.5 **SAFETY PRECAUTIONS** ON **HV APPARATUS**

OC8A.5.1 Agreement of **Safety Precautions**

OC8A.5.1.1 The **Requesting Safety Co-ordinator** who requires **Safety Precautions** on another **System(s)** will contact the relevant **Implementing Safety Co-ordinator(s)** to agree the **Location** of the **Safety Precautions** to be established. This agreement will be recorded in the respective **Safety Logs**.

OC8A.5.1.2 It is the responsibility of the **Implementing Safety Co-ordinator** to ensure that adequate **Safety Precautions** are established and maintained, on his and/or another **System** connected to his **System**, to enable **Safety From The System** to be achieved on the **HV Apparatus**, specified by the **Requesting Safety Co-ordinator**

which is to be identified in Part 1.1 of the **RISSP**. Reference to another **System** in this OC8A.5.1.2 shall not include the **Requesting Safety Co-ordinator's System** which is dealt with in OC8A.5.1.3.

OC8A.5.1.3 When the **Implementing Safety Co-ordinator** is of the reasonable opinion that it is necessary for **Safety Precautions** on the **System** of the **Requesting Safety Co-ordinator**, other than on the **HV Apparatus** specified by the **Requesting Safety Co-ordinator,** which is to be identified in Part 1.1 of the **RISSP**, he shall contact the **Requesting Safety Co-ordinator** and the details shall be recorded in part 1.1 of the **RISSP** forms. In these circumstances it is the responsibility of the **Requesting Safety Co-ordinator** to establish and maintain such **Safety Precautions**.

OC8A.5.1.4 In the event of disagreement

In any case where the **Requesting Safety Co-ordinator** and the **Implementing Safety Co-ordinator** are unable to agree the **Location** of the **Isolation** and (if requested) **Earthing**, both shall be at the closest available points on the infeeds to the **HV Apparatus** on which **Safety From The System** is to be achieved as indicated on the **Operation Diagram**.

OC8A.5.2 Implementation of **Isolation**

OC8A.5.2.1 Following the agreement of the **Safety Precautions** in accordance with OC8A.5.1 the **Implementing Safety Co-ordinator** shall then establish the agreed **Isolation**.

OC8A.5.2.2 The **Implementing Safety Co-ordinator** shall confirm to the **Requesting Safety Co-ordinator** that the agreed **Isolation** has been established, and identify the **Requesting Safety Co-ordinator's HV Apparatus** up to the **Connection Point**, for which the **Isolation** has been provided. The confirmation shall specify:

(a) for each **Location**, the identity (by means of **HV Apparatus** name, nomenclature and numbering or position, as applicable) of each point of **Isolation**;

(b) whether **Isolation** has been achieved by an **Isolating Device** in the isolating position or by an adequate physical separation;

(c) where an **Isolating Device** has been used whether the isolating position is either :

(i) maintained by immobilising and **Locking** the **Isolating Device** in the isolating position and affixing a **Caution Notice** to it. Where the **Isolating Device** has been **Locked** with a **Safety Key**, the confirmation shall specify that the **Safety Key** has been secured in a **Key Safe** and the **Key Safe Key** has been given to the authorised site representative of the **Requesting Safety Co-ordinator** where reasonably practicable and is to be retained in safe custody. Where not reasonably practicable (including where **Earthing** has been requested in OC8A.5.1), the confirmation shall specify that the **Key Safe Key** will be retained by the authorised site representative of the **Implementing Safety Co-ordinator** in safe custody; or

(ii) maintained and/or secured by such other method which must be in accordance with the **Local Safety Instructions** of **NGC** or that **User**, as the case may be; and

(d) where an adequate physical separation has been used that it will be in accordance with, and maintained by, the method set out in the **Local Safety Instructions** of **NGC** or that **User**, as the case may be, and, if it is a part of that method, that a **Caution Notice** has been placed at the point of separation.

The confirmation of **Isolation** shall be recorded in the respective **Safety Logs**.

OC8A.5.2.3 Following the confirmation of **Isolation** being established by the **Implementing Safety Co-ordinator** and the necessary establishment of relevant **Isolation** on the **Requesting Safety Co-ordinators System**, the **Requesting Safety Co-ordinator** will then request the implementation of **Earthing** by the **Implementing Safety Co-ordinator**, if agreed in section OC8A.5.1. If the implementation of **Earthing** has been agreed, then the authorised site representative of the **Implementing Safety Co-ordinator** shall retain any **Key Safe Key** in safe custody until any **Safety Key** used for **Earthing** has been secured in the **Key Safe**.

OC8A.5.3 Implementation of **Earthing**

OC8A.5.3.1 The **Implementing Safety Co-ordinator** shall then establish the agreed **Earthing**.

OC8A.5.3.2 The **Implementing Safety Co-ordinator** shall confirm to the **Requesting Safety Co-ordinator** that the agreed **Earthing** has been established, and identify the **Requesting Safety Co-ordinator's HV Apparatus** up to the **Connection Point**, for which the **Earthing** has been provided. The confirmation shall specify:

(a) for each **Location**, the identity (by means of **HV Apparatus** name, nomenclature and numbering or position, as is applicable) of each point of **Earthing**; and

(b) in respect of the **Earthing Device** used, whether it is:

(i) immobilised and **Locked** in the earthing position. Where the **Earthing Device** has been **Locked** with a **Safety Key**, that the **Safety Key** has been secured in a **Key Safe** and the **Key Safe Key** has been given to the authorised site representative of the **Requesting Safety Co-ordinator** where reasonably practicable and is to be retained in safe custody. Where not resonably practicable, that the **Key Safe Key** will be retained by the authorised site representative of the **Implementing Safety Co-ordinator** in safe custody; or

(ii) maintained and/or secured in position by such other method which is in accordance with the **Local Safety Instructions** of **NGC** or the **Relevant Transmission Licensee** or that **User**, as the case may be.

The confirmation of **Earthing** shall be recorded in the respective **Safety Logs**.

OC8A.5.3.3. The **Implementing Safety Co-ordinator** shall ensure that the established **Safety Precautions** are maintained until requested to be removed by the relevant **Requesting Safety Co-ordinator**.

OC8A.5.4 **RISSP** Issue Procedure

OC8A.5.4.1 Where **Safety Precautions** on another **System(s)** are being provided to enable work on the **Requesting Safety Co-ordinator's System**, before any work commences they must be recorded by a **RISSP** being issued. The **RISSP** is applicable to **HV Apparatus** up to the **Connection Point** identified in section 1.1 of the RISSP-R and RISSP-I forms.

OC8A.5.4.2 Where **Safety Precautions** are being provided to enable work to be carried out on both sides of the **Connection Point** a **RISSP** will need to be issued for each side of the **Connection Point** with **NGC** and the respective **User** each enacting the role of **Requesting Safety Co-ordinator**. This will result in a RISSP-R and a RISSP-I form being completed by each of the **NGC** and the **User**, with each **Requesting Safety Co-ordinator** issuing a separate **RISSP** number.

OC8A.5.4.3 Once the **Safety Precautions** have been established (in accordance with OC8A.5.2 and OC8A.5.3), the **Implementing Safety Co-ordinator** shall complete parts 1.1 and 1.2 of a RISSP-I form recording the details specified in OC8A.5.1.3, OC8A.5.2.2 and OC8A.5.3.2. Where **Earthing** has not been requested, Part 1.2(b) will be completed with the words "not applicable" or "N/A". He shall then contact the **Requesting Safety Co-ordinator** to pass on these details.

OC8A.5.4.4 The **Requesting Safety Co-ordinator** shall complete Parts 1.1 and 1.2 of the RISSP-R, making a precise copy of the details received. On completion, the **Requesting Safety Co-ordinator** shall read the entries made back to the sender and check that an accurate copy has been made.

OC8A.5.4.5 The **Requesting Safety Co-ordinator** shall then issue the number of the **RISSP**, taken from the RISSP-R, to the **Implementing Safety Co-ordinator** who will ensure that the number, including the prefix and suffix, is accurately recorded in the designated space on the RISSP-I form.

OC8A.5.4.6 The **Requesting Safety Co-ordinator** and the **Implementing Safety Co-ordinator** shall complete and sign Part 1.3 of the RISSP-R and RISSP-I respectively and then enter the time and date. When signed no alteration to the **RISSP** is permitted; the **RISSP** may only be cancelled.

OC8A.5.4.7 The **Requesting Safety Co-ordinator** is then free to authorise work (including a test that does not affect the **Implementing Safety Co-ordinator's System**) in accordance with the requirements of the relevant internal safety procedures which apply to the **Requesting Safety Co-ordinator's System**. This is likely to involve the issue of safety documents or other relevant internal authorisations. Where testing is to be carried out which affects the **Implementing Safety Co-ordinator's System**, the procedure set out below in OC8A.6 shall be implemented.

OC8A.5.5 **RISSP** Cancellation Procedure

OC8A.5.5.1 When the **Requesting Safety Co-ordinator** decides that **Safety Precautions** are no longer required, he will contact the relevant **Implementing Safety Co-ordinator** to effect cancellation of the associated **RISSP**.

OC8A.5.5.2 The **Requesting Safety Co-ordinator** will inform the relevant **Implementing Safety Co-ordinator** of the **RISSP** identifying number (including the prefix and suffix), and agree it is the **RISSP** to be cancelled.

OC8A.5.5.3 The **Requesting Safety Co-ordinator** and the relevant **Implementing Safety Co-ordinator** shall then respectively complete Part 2.1 of their respective RISSP-R and RISSP-I forms and shall then exchange details. The details being exchanged shall include their respective names and time and date. On completion of the exchange of details the respective **RISSP** is cancelled. The removal of **Safety Precautions** is as set out in OC8A.5.5.4 and OC8A.5.5.5.

OC8A.5.5.4 Neither **Safety Co-ordinator** shall instruct the removal of any **Isolation** forming part of the **Safety Precautions** as part of the returning of the **HV Apparatus** to service until it is confirmed to each by each other that every earth on each side of the **Connection Point**, within the points of isolation identified on the **RISSP**, has been removed or disconnected by the provision of additional **Points of Isolation**.

OC8A.5.5.5 Subject to the provisions in OC8A.5.5.4, the **Implementing Safety Co-ordinator** is then free to arrange the removal of the **Safety Precautions**, the procedure to achieve that being entirely an internal matter for the party the **Implementing Safety Co-ordinator** is representing. Where a **Key Safe Key** has been given to the authorised site representative of the **Requesting Safety Co-ordinator**, the **Key Safe Key** must be returned to the authorised site representative of the **Implementing Safety Co-ordinator**. The only situation in which any **Safety Precautions** may be removed without first cancelling the **RISSP** in accordance with OC8A.5.5 or OC8A.5.6 is when **Earthing** is removed in the situation envisaged in OC8A.6.2(b).

OC8A.5.6 **RISSP** Change Control

Nothing in this **OC8A** prevents **NGC** and **Users** agreeing to a simultaneous cancellation and issue of a new **RISSP**, if both agree. It should be noted, however, that the effect of that under the relevant **Safety Rules** is not a matter with which the **Grid Code** deals.

OC8A.6 TESTING AFFECTING ANOTHER **SAFETY CO-ORDINATOR'S SYSTEM**

OC8A.6.1 The carrying out of the test may affect **Safety Precautions** on **RISSPs** or work being carried out which does not require a **RISSP**. Testing can, for example, include the application of an independent test voltage. Accordingly, where the **Requesting Safety Co-ordinator** wishes to authorise the carrying out of such a test to which the procedures in OC8A.6 apply he may not do so and the test will not take place unless and until the steps in (a)-(c) below have been followed and confirmation of completion has been recorded in the respective **Safety Logs**:

(a) confirmation must be obtained from the **Implementing Safety Co-ordinator** that:

(i) no person is working on, or testing, or has been authorised to work on, or test, any part of its **System** or another **System(s)** (other than the **System** of the **Requesting Safety Co-ordinator**) within the points of **Isolation** identified on the **RISSP** form relating to the test which is proposed to be undertaken, and

(ii) no person will be so authorised until the proposed test has been completed (or cancelled) and the **Requesting Safety Co-ordinator** has notified the **Implementing Safety Co-ordinator** of its completion (or cancellation);

(b) any other current **RISSPs** which relate to the parts of the **System** in which the testing is to take place must have been cancelled in accordance with procedures set out in OC8A.5.5;

(c) the **Implementing Safety Co-ordinator** must agree with the **Requesting Safety Co-ordinator** to permit the testing on that part of the **System** between the points of **Isolation** identified in the **RISSP** associated with the test and the points of **Isolation** on the **Requesting Safety Co-ordinator's System**.

OC8A.6.2 (a) The **Requesting Safety Co-ordinator** will inform the **Implementing Safety Co-ordinator** as soon as the test has been completed or cancelled and the confirmation shall be recorded in the respective **Safety Logs**.

(b) When the test gives rise to the removal of **Earthing** which it is not intended to re-apply, the relevant **RISSP** associated with the test shall be cancelled at the completion or cancellation of the test in accordance with the procedure set out in either OC8A.5.5 or OC8A.5.6. Where the **Earthing** is re-applied following the completion or cancellation of the test, there is no requirement to cancel the relevant **RISSP** associated with the test pursuant to this OC8A.6.2.

OC8A.7 EMERGENCY SITUATIONS

OC8A.7.1 There may be circumstances where **Safety Precautions** need to be established in relation to an unintended electrical connection or situations where there is an unintended risk of electrical connection between the **GB Transmission System** and a **User's System**, for example resulting from an incident where one line becomes attached or unacceptably close to another.

OC8A.7.2 In those circumstances, if both **NGC** and the respective **User** agree, the relevant provisions of OC8A.5 will apply as if the electrical connections or potential connections were, solely for the purposes of this **OC8A**, a **Connection Point**.

OC8A.7.3 (a) The relevant **Safety Co-ordinator** shall be that for the electrically closest existing **Connection Point** to that **User's System** or such other local **Connection Point** as may be agreed between **NGC** and the **User**, with discussions taking place between the relevant local **Safety Co-ordinators**. The

Connection Point to be used shall be known in this OC8A.7.3 as the "relevant **Connection Point**".

(b) The **Local Safety Instructions** shall be those which apply to the relevant **Connection Point**.

(c) The prefix for the **RISSP** will be that which applies for the relevant **Connection Point.**

OC8A.8 **SAFETY PRECAUTIONS RELATING TO WORKING ON EQUIPMENT NEAR TO THE HV SYSTEM**

OC8A.8 applies to the situation where work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or **NGC** as the case may be, where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System**. It does not apply to other situations to which **OC8A** applies. In this part of **OC8A**, a **Permit for Work for proximity work** is to be used, rather then the usual **RISSP** procedure, given the nature and effect of the work, all as further provided in the OC8A.8.

OC8A.8.1 Agreement of **Safety Precautions**

OC8A.8.1.1 The **Requesting Safety Co-ordinator** who requires **Safety Precautions** on another **System(s)** when work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or **NGC**, as the case may be, where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System** will contact the relevant **Implementing Safety Co-ordinator(s)** to agree the Location of the **Safety Precautions** to be established, having as part of this process informed the **Implementing Safety Co-ordinator** of the equipment and the work to be undertaken. The respective **Safety Co-ordinators** will ensure that they discuss the request with their authorised site representative and that the respective authorised site representatives discuss the request at the **Connection Site**. This agreement will be recorded in the respective **Safety Logs**.

OC8A.8.1.2 It is the responsibility of the **Implementing Safety Co-ordinator**, working with his authorised site representative as appropriate, to ensure that adequate **Safety Precautions** are established and maintained, on his and/or another **System** connected to his **System**, to enable **Safety From The System** to be achieved for work to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment and in relation to work which is to be identified in the relevant part of the **Permit for Work for proximity work** where the work or equipment is near to **HV Apparatus** of the **Implementing Safety Co-ordinator's System** specified by the **Requesting Safety Co-ordinator**. Reference to another **System** in this OC8A.8.1.2 shall not include the **Requesting Safety Co-ordinator's System**.

OC8A.8.1.3 In the event of disagreement

In any case where the **Requesting Safety Co-ordinator** and the **Implementing Safety Co-ordinator** are unable to agree the **Location** of the **Isolation** and (if requested) **Earthing**, both shall be at the closest available points on the infeeds to the **HV Apparatus** near to which the work is to be carried out as indicated on the **Operation Diagram**.

OC8A.8.2 Implementation of **Isolation and Earthing**

OC8A.8.2.1 Following the agreement of the **Safety Precautions** in accordance with OC8A.8.1 the **Implementing Safety Co-ordinator** shall then establish the agreed **Isolation** and (if required) **Earthing**.

OC8A.8.2.2 The **Implementing Safety Co-ordinator** shall confirm to the **Requesting Safety Co-ordinator** that the agreed **Isolation** and (if required) **Earthing** has been established.

OC8A.8.2.3 The **Implementing Safety Co-ordinator** shall ensure that the established **Safety Precautions** are maintained until requested to be removed by the relevant **Requesting Safety Co-ordinator**.

OC8A.8.3 **Permit for Work for proximity work Issue Procedure**

OC8A.8.3.1 Where **Safety Precautions** on another **System(s)** are being provided to enable work to be carried out at a **User's Site** or **Transmission Site** (as the case may be) on equipment where the work or equipment is in proximity to **HV Apparatus** of the **Implementing Safety Co-ordinator**, before any work commences they must be recorded by a **Permit for Work for proximity work** being issued. The **Permit for Work for proximity work** shall identify the **Implementing Safety Co-ordinator's HV Apparatus** in proximity to the required work

OC8A.8.3.2 Once the **Safety Precautions** have been established (in accordance with OC8A.8.2), the **Implementing Safety Co-ordinator** shall agree to the issue of the **Permit for Work for proximity work** with the appropriately authorised site representative of the **Requesting Safety Co-ordinator's Site**. The **Implementing Safety Co-ordinator** will inform the **Requesting Safety Co-ordinator** of the **Permit for Work for proximity work** identifying number.

OC8A.8.3.3 The appropriately authorised site representative of the **Implementing Safety Co-ordinator** shall then issue the **Permit for Work for proximity work** to the appropriately authorised site representative of the **Requesting Safety Co-ordinator**. The **Permit for Work for proximity work** will in the section dealing with the work to be carried out, be completed to identify that the work is near the **Implementing Safety Co-ordinator's HV Apparatus.** No further details of the **Requesting Safety Co-ordinator's** work will be recorded, as that is a matter for the **Requesting Safety Co-ordinator** in relation to his work.

OC8A.8.3.4 The **Requesting Safety Co-ordinator** is then free to authorise work in accordance with the requirements of the relevant internal safety procedures which apply to the **Requesting Safety Co-ordinator's Site**. This is likely to involve the issue of safety documents or other relevant internal authorisations.

OC8A.8.4 **Permit for Work for proximity work Cancellation Procedure**

OC8A.8.4.1 When the **Requesting Safety Co-ordinator** decides that **Safety Precautions** are no longer required, he will contact the relevant **Implementing Safety Co-ordinator** to effect cancellation of the associated **Permit for Work for proximity work**.

OC8A.8.4.2 The **Requesting Safety Co-ordinator** will inform the relevant **Implementing Safety Co-ordinator** of the **Permit for Work for proximity work** identifying number, and agree that the **Permit for Work for proximity work** can be cancelled. The cancellation is then effected by the appropriately authorised site representative of the **Requesting Safety Co-ordinator** returning the **Permit for Work for proximity work** to the appropriately authorised site representative of the **Implementing Safety Co-ordinator**.

OC8A.8.4.3 The **Implementing Safety Co-ordinator** is then free to arrange the removal of the **Safety Precautions**, the procedure to achieve that being entirely an internal matter for the party the **Implementing Safety Co-ordinator** is representing.

OC8A.9 LOSS OF INTEGRITY OF **SAFETY PRECAUTIONS**

OC8A.9.1 In any instance when any **Safety Precautions** may be ineffective for any reason the relevant **Safety Co-ordinator** shall inform the other **Safety Co-ordinator(s)** without delay of that being the case and, if requested, of the reasons why.

OC8A.10 SAFETY LOG

OC8A.10.1 **NGC** and **Users** shall maintain **Safety Logs** which shall be a chronological record of all messages relating to safety co-ordination under **OC8A** sent and received by the **Safety Co-ordinator(s)**. The **Safety Logs** must be retained for a period of not less than one year.

OC8A - APPENDIX A

[**National Grid Company**] [_____ **CONTROL CENTRE/SITE**]

RECORD OF INTER-SYSTEM **SAFETY PRECAUTIONS** (RISSP-R)
(**Requesting Safety Co-ordinator's** Record)

RISSP NUMBER

PART 1

1.1 **HV APPARATUS** IDENTIFICATION

Safety Precautions have been established by the **Implementing Safety Co-ordinator** (or by another **User** on that **User's System** connected to the **Implementing Safety Co-ordinator's System**) to achieve (in so far as it is possible from that side of the **Connection Point**) **Safety From The System** on the following **HV Apparatus** on the **Requesting Safety Co-ordinator's System**: [State identity - name(s) and, where applicable, identification of the **HV** circuit(s) up to the **Connection Point**]:

_____

_____

Further **Safety precautions** required on the **Requesting Safety Co-ordinator's System** as notified by the **Implementing Safety Co-ordinator**.

_____

1.2 **SAFETY PRECAUTIONS** ESTABLISHED

(a) **ISOLATION**

[State the **Location(s)** at which **Isolation** has been established (whether on the **Implementing Safety Co-ordinator's System** or on the **System** of another **User** connected to the **Implementing Safety Co-ordinator's System**). For each **Location,** identify each point of **Isolation**. For each point of **Isolation**, state the means by which the **Isolation** has been achieved, and whether, immobilised and **Locked**, **Caution Notice** affixed, other safety procedures applied, as appropriate.]

_____

_____

_____

(b) **EARTHING**

[State the **Location(s)** at which **Earthing** has been established (whether on the **Implementing Safety Co-ordinator's System** or on the **System** of another **User** connected to the **Implementing Safety Co-ordinator's System**). For each **Location**, identify each point of **Earthing**. For each point of **Earthing**, state the means by which **Earthing** has been achieved, and whether, immobilised and **Locked**, other safety procedures applied, as appropriate].

_____

_____

_____

1.3 ISSUE

I have received confirmation from _____ (name of **Implementing Safety Co-ordinator**) at _____ (location) that the **Safety Precautions** identified in paragraph 1.2 have been established and that instructions will not be issued at his location for their removal until this **RISSP** is cancelled.

Signed .....(**Requesting Safety Co-ordinator**)

at .....(time) on ..... (Date)

PART 2

2.1 CANCELLATION

I have confirmed to _____ (name of the **Implementing Safety Co-ordinator**) at _____ (location) that the **Safety Precautions** set out in paragraph 1.2 are no longer required and accordingly the **RISSP** is cancelled.

Signed .....(**Requesting Safety Co-ordinator**)

at .....(time) on ..... (Date)

OC8A - APPENDIX B

[**National Grid Company**] [_____ **CONTROL CENTRE/SITE**]

RECORD OF INTER-SYSTEM **SAFETY PRECAUTIONS** (RISSP-I)
(**Implementing Safety Co-ordinator**'s Record)

PART 1 **RISSP** NUMBER

1.1 **HV APPARATUS** IDENTIFICATION

Safety Precautions have been established by the **Implementing Safety Co-ordinator** (or by another **User** on that **User's System** connected to the **Implementing Safety Co-ordinator's System**) to achieve (in so far as it is possible from that side of the **Connection Point**) **Safety From The System** on the following **HV Apparatus** on the **Requesting Safety Co-ordinator's System**: [State identity - name(s) and, where applicable, identification of the **HV** circuit(s) up to the **Connection Point**]:

_____

_____

Recording of notification given to the **Requesting Safety Co-ordinator** concerning further **Safety Precautions** required on the **Requesting Safety Co-ordinator's System**.

1.2 **SAFETY PRECAUTIONS** ESTABLISHED

(a) **ISOLATION**

[State the **Location(s)** at which **Isolation** has been established (whether on the **Implementing Safety Co-ordinator's System** or on the **System** of another **User** connected to the **Implementing Safety Co-ordinator's System**). For each **Location,** identify each point of **Isolation**. For each point of **Isolation**, state the means by which the **Isolation** has been achieved, and whether, immobilised and **Locked**, **Caution Notice** affixed, other safety procedures applied, as appropriate.]

_____

_____

_____

(b) **EARTHING**

[State the **Location(s)** at which **Earthing** has been established (whether on the **Implementing Safety Co-ordinator's System** or on the **System** of another **User** connected to the **Implementing Safety Co-ordinator's System**). For each **Location**, identify each point of **Earthing**. For each point of **Earthing**, state the means by which **Earthing** has been achieved, and whether, immobilised and **Locked**, other safety procedures applied, as appropriate].

_____

_____

_____

1.3 ISSUE

I have confirmed to _____ (name of **Requesting Safety Co-ordinator**) at _____ (location) that the **Safety Precautions** identified in paragraph 1.2 have been established and that instructions will not be issued at my location for their removal until this **RISSP** is cancelled.

Signed .....(**Implementing Safety Co-ordinator**)

at .....(time) on ..... (Date)

PART 2

2.1 CANCELLATION

I have received confirmation from _____ (name of the **Requesting Safety Co-ordinator**) at _____ (location) that the **Safety Precautions** set out in paragraph 1.2 are no longer required and accordingly the **RISSP** is cancelled.

Signed .....(**Implementing Safety Co-ordinator**)

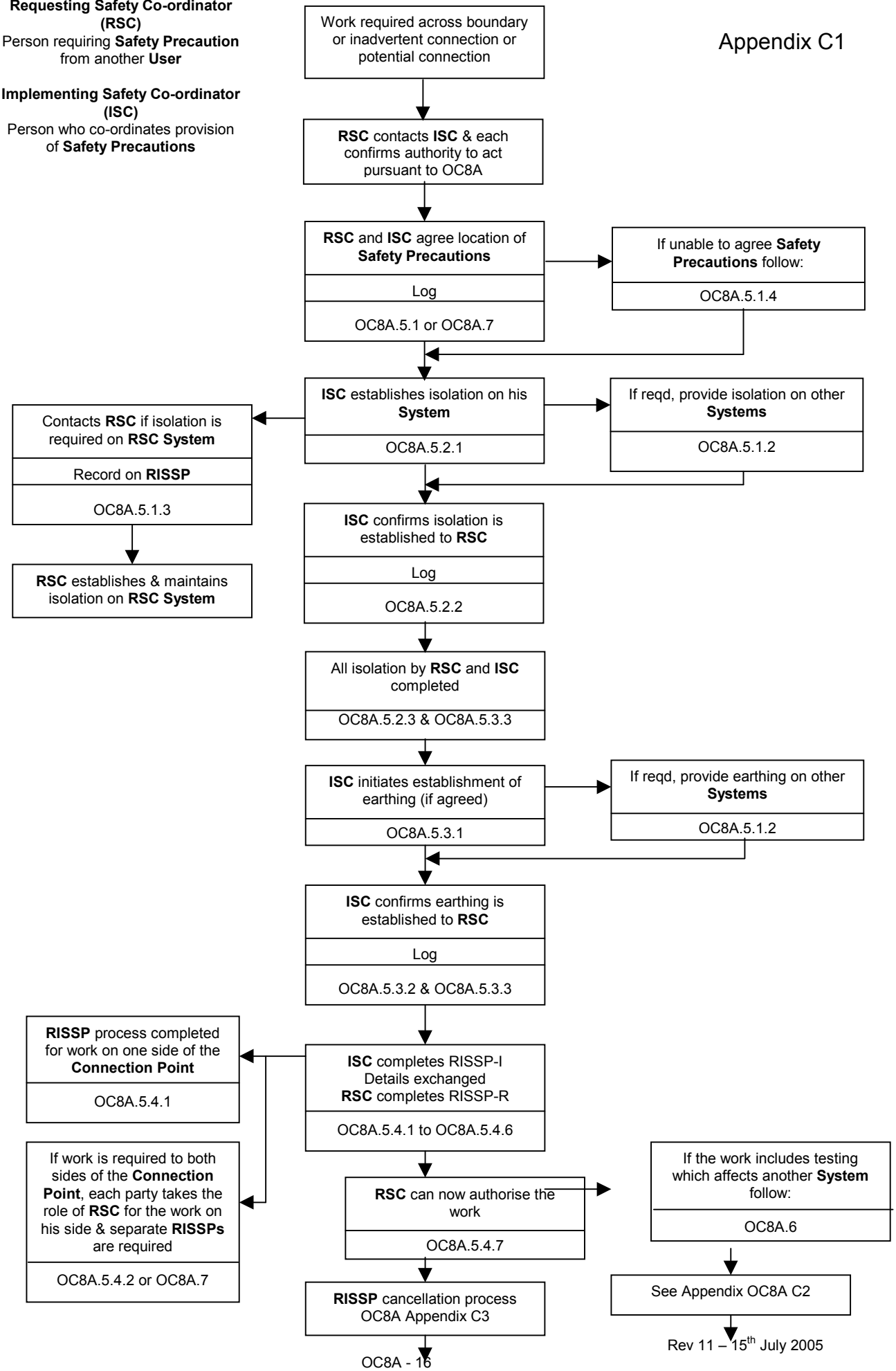
at .....(time) on ..... (Date)

(Note: This form to be of a different colour from RISSP-R)

RISSP ISSUE PROCESS

Requesting Safety Co-ordinator (RSC)
Person requiring **Safety Precaution** from another **User**

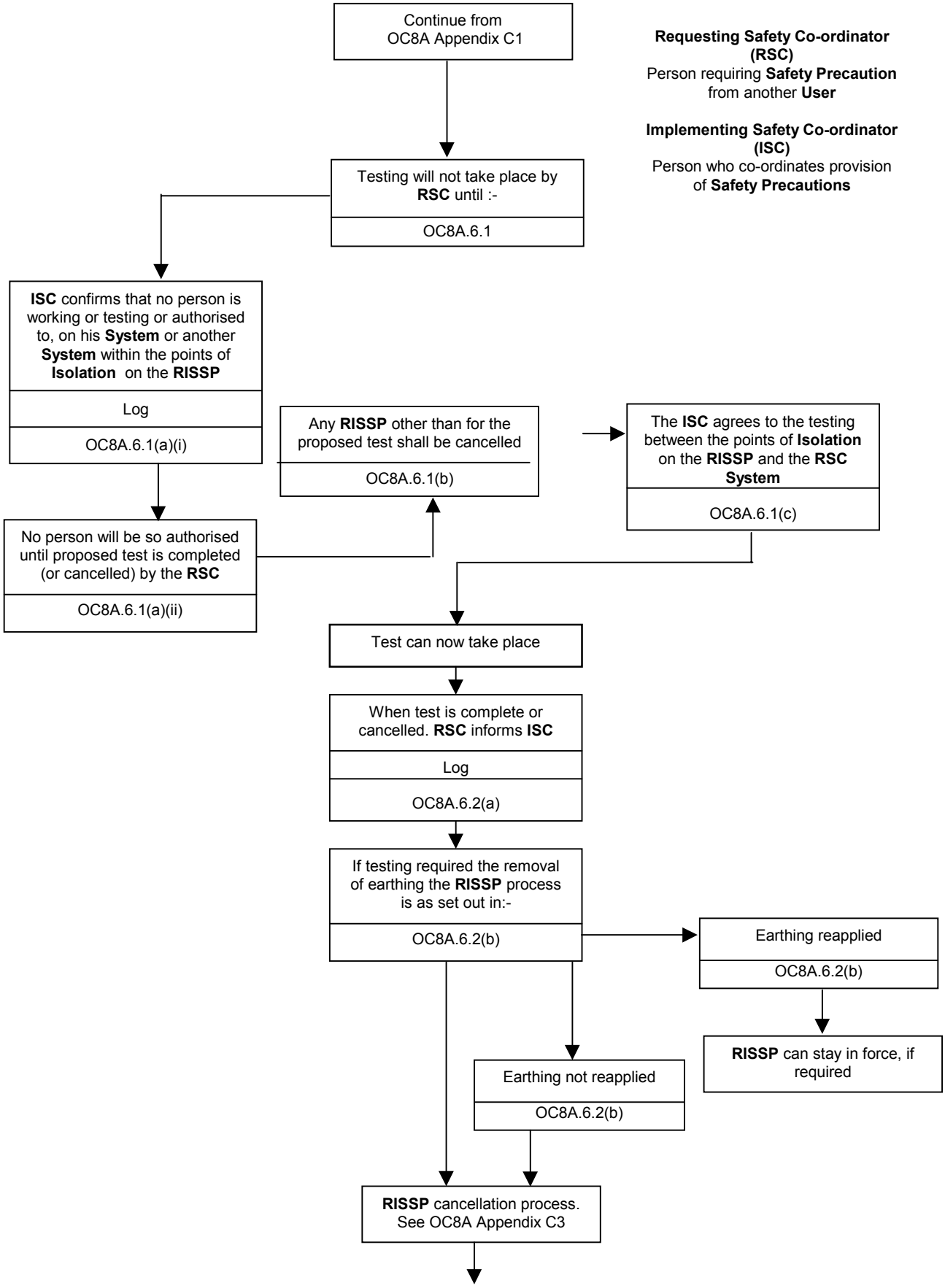
Implementing Safety Co-ordinator (ISC)
Person who co-ordinates provision of **Safety Precautions**



TESTING PROCESS

Where testing affects another Safety Co-ordinator's System

Appendix C2

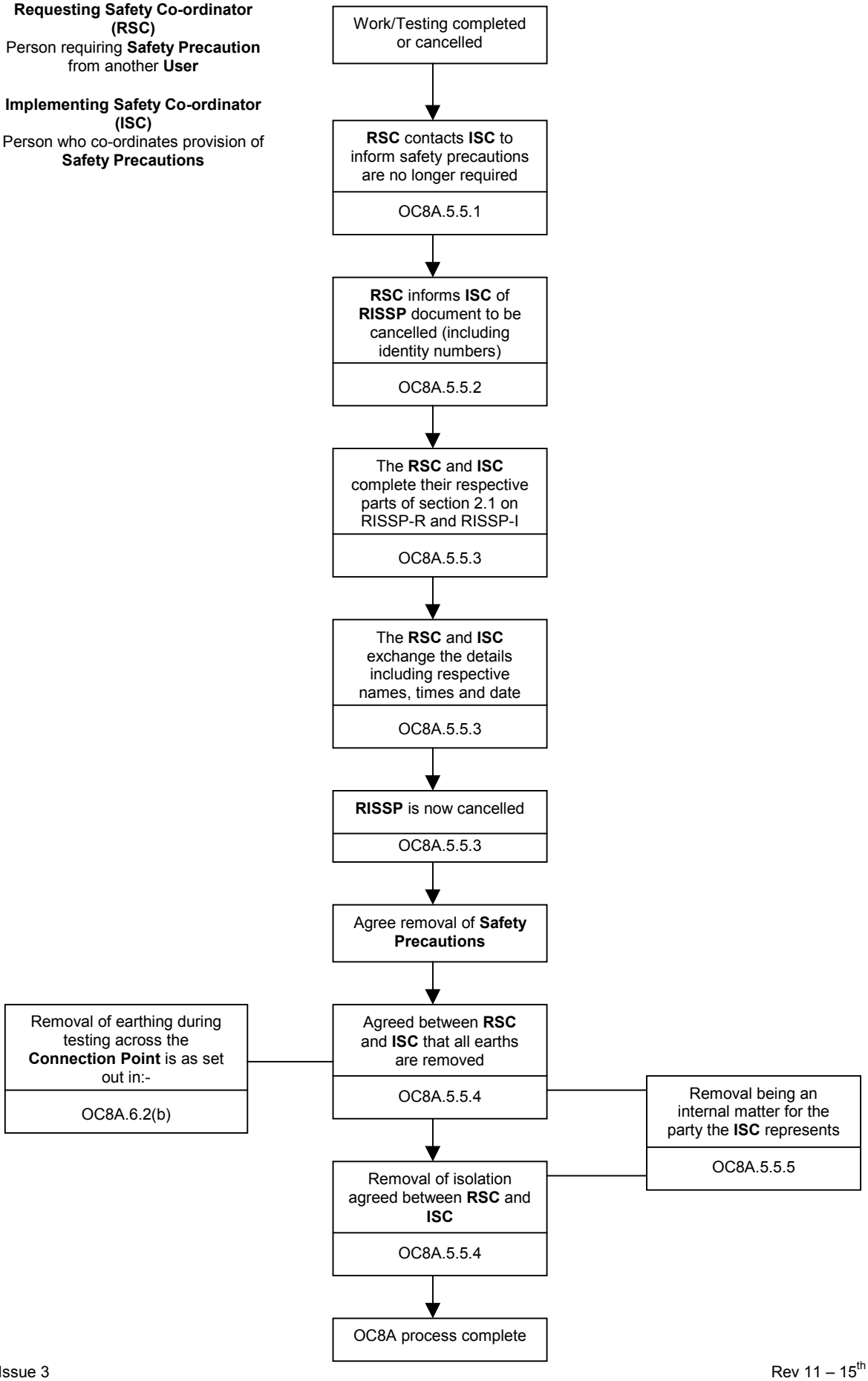


RISSP CANCELLATION PROCESS

Appendix C3

Requesting Safety Co-ordinator (RSC)
Person requiring **Safety Precaution** from another **User**

Implementing Safety Co-ordinator (ISC)
Person who co-ordinates provision of **Safety Precautions**

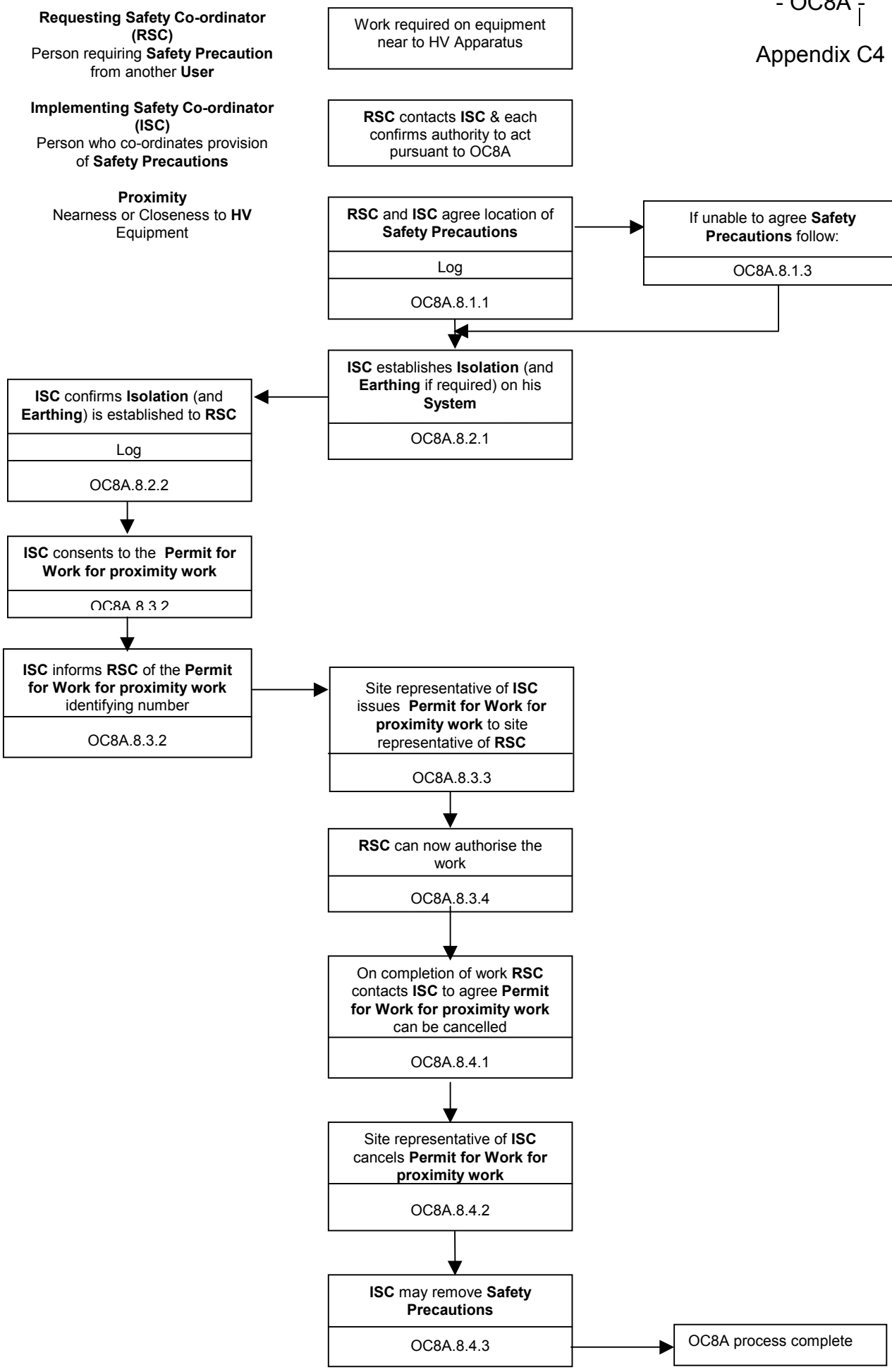


PROCESS FOR WORKING NEAR TO SYSTEM EQUIPMENT

Requesting Safety Co-ordinator (RSC)
Person requiring **Safety Precaution** from another **User**

Implementing Safety Co-ordinator (ISC)
Person who co-ordinates provision of **Safety Precautions**

Proximity
Nearness or Closeness to **HV** Equipment



National Grid Safety Circular (NGSC)	**NGSC Number:** **.....**
RISSP prefixes - Issue x	**Date:** **Issued By:**
Example	

Pursuant to the objectives of The Grid Code, Operating Code 8A1 - Safety Co-ordination, this circular will be used in relation to all cross boundary safety management issues with the National Grid Company customers. Of particular note will be the agreed prefixes for the Record of Inter System Safety Precautions (RISSP) documents.

National Grid

OC8A APPENDIX E
[Form of NGC Permit for Work]

No. ____

PERMIT FOR WORK

1. **Location**……

Equipment Identification……
……
Work to be done
……
……

2. **Precautions taken to achieve Safety from the System**
Points of Isolation
……
……
Primary Earths
……
……
……
……
Actions taken to avoid **Danger** by draining, venting, purging and containment or dissipation of stored energy*
……
……
Further precautions to be taken during the course of the work to avoid **System** derived hazards*
……
……

3. Precautions that may be varied*
……
……
……
……

4. Preparation
Control Person(s) (Safety) giving Consent _____ **Key Safe** number* _____

State whether this **Permit for Work** must be personally retained yes ☐ no ☐

Signed _____ Time _____ Date _____
Senior Authorised Person

5. Issue & Receipt

Key Safe Number* _____ **Safety Keys** (No. off)* _____

Earthing Schedule Number* _____ Portable **Drain earths** (No. off)* _____

Recommendations for **General Safety** Report Number* _____ **Approved** (ROMP)#/**Card Safe#**/ Procedure Number* _____

Circuit Identification – Colours/ Symbols* _____ Flags (No. off)* ☐ Wristlets (No. off)* ☐

Issued (Signed) _____
Senior Authorised Person

Received (Signed) _____ Time _____ Date _____
Competent Person

Name (Block letters) _____ Company _____

delete as appropriate *write N/A if not applicable February 1995

< End of OC8A >

OPERATING CODE NO.8 Appendix 2 (OC8B)

SAFETY CO-ORDINATION IN SCOTLAND

OC8B.1 INTRODUCTION

OC8B.1.1 **OC8B** specifies the standard procedures to be used by **NGC**, the **Relevant Transmission Licensees** and **Users** for the co-ordination, establishment and maintenance of necessary **Safety Precautions** when work is to be carried out on or near the **GB Transmission System** in Scotland or the **System** of a **User** in Scotland and when there is a need for **Safety Precautions** on **HV Apparatus** on the other's **System** for this work to be carried out safely. **OC8B** applies to **Relevant Transmission Licensees** and **Users** only in Scotland. Where work is to be carried out on or near equipment in England and Wales, but such work requires **Safety Precautions** to be established in Scotland, **OC8B** should be followed by the **Relevant Transmission Licensee** and **Users** to establish the required **Safety Precautions** in Scotland.

OC8A specifies the procedures to be used by **NGC** and **Users** in England and Wales.

NGC shall procure that **Relevant Transmission Licensees** shall comply with **OC8B** where and to the extent that such section applies to them.

In this **OC8B** the term "work" includes testing, other than **System Tests** which are covered by **OC12**.

OC8B.1.2 **OC8B** also covers the co-ordination, establishment and maintenance of necessary safety precautions on the **Implementing Safety Co-ordinator's System** when work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or the **Relevant Transmission Licensee** as the case may be where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System**.

OC8B.1.3 **OC8B** does not apply to the situation where **Safety Precautions** need to be agreed solely between **Users**. **OC8B** does not apply to the situation where **Safety Precautions** need to be agreed solely between **Transmission Licensees**.

OC8B.1.4 **OC8B** does not seek to impose a particular set of **Safety Rules** on **Relevant Transmission Licensees** and **Users**. The **Safety Rules** to be adopted and used by the **Relevant Transmission Licensee** and each **User** shall be those chosen by each.

OC8B.1.5 **Site Responsibility Schedules** document the control responsibility for each item of **Plant** and **Apparatus** for each site.

OC8B.1.6 The **Relevant Transmission Licensee** may agree detailed site-specific operational procedures with **Users** for the co-ordination, establishment and maintenance of **Safety Precautions** instead of the **Record of Inter-System Safety Precautions ("RISSP")** procedure detailed in this **OC8B.** Such operational procedures shall

satisfy the requirements of paragraphs OC8B.1.7, OC8B.2.1, OC8B.4.1, OC8B.4.2, OC8B.9, OC8B.10

OC8B.1.7 Defined terms

OC8B.1.7.1 **Users** should bear in mind that in **OC8** only, in order that **OC8** reads more easily with the terminology used in certain **Safety Rules**, the term "**HV Apparatus**" is defined more restrictively and is used accordingly in **OC8B. Users** should, therefore, exercise caution in relation to this term when reading and using **OC8B.**

OC8B.1.7.2 In **OC8** only the following terms shall have the following meanings:

(1) "**HV Apparatus**" means **High Voltage** electrical circuits forming part of a **System**, on which **Safety From The System** may be required or on which **Safety Precautions** may be applied to allow work to be carried out on a **System**.

(2) "**Isolation**" means the disconnection of **Apparatus** from the remainder of the **System** in which that **Apparatus** is situated by either of the following:

(a) an **Isolating Device** maintained in an isolating position. The isolating position must either be:

(i) maintained by immobilising and **Locking** the **Isolating Device** in the isolating position and affixing a **Caution Notice** to it. Where the **Isolating Device** is **Locked** with a **Safety Key**, the **Safety Key** must be secured in a **Key Safe** and the **Key Safe Key** must be given to the authorised site representataive of the **Requesting Safety Co-ordinator** where reasonably practicable and is to be retained in safe custody. Where not reasonably practicable the **Key Safe Key** must be retained by the authorised site representative of the **Implementing Safety Co-ordinator** in safe custody; or

(ii) maintained and/or secured by such other method which must be in accordance with the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be; or

(b) an adequate physical separation which must be in accordance with, and maintained by, the method set out in the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be, and, if it is a part of that method, a **Caution Notice** must be placed at the point of separation.

(3) "**Earthing**" means a way of providing a connection between conductors and earth by an **Earthing Device** which is either:

(i) immobilised and **Locked** in the earthing position. Where the **Earthing Device** is **Locked** with a **Safety Key**, the **Safety Key** must be secured in a **Key Safe** and the **Key Safe Key** must be given to the authorised site representative of the **Requesting Safety Co-ordinator** where reasonably practicable and is to be retained in safe custody. Where not reasonably practicable the **Key Safe Key** must be retained by the authorised site

representative of the **Implementing Safety Co-ordinator** in safe custody; or

(iii) maintained and/or secured in position by such other method which must be in accordance with the **Safety Rules** of the **Relevant Transmission Licensee** or that **User** as the case may be.

OC8B.1.7.3 For the purpose of the co-ordination of safety relating to **HV Apparatus** the term **"Safety Precautions"** means **Isolation** and/or **Earthing.**

OC8B.2 OBJECTIVE

OC8B.2.1 The objective of **OC8B** is to achieve:-

(i) **Safety From The System** when work on or near a **System** necessitates the provision of **Safety Precautions** on another **System** on **HV Apparatus** up to a **Connection Point**; and

(ii) **Safety From The System** when work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or the **Relevant Transmission Licensee** (as the case may be) where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System**.

OC8B.2.2 A flow chart, set out in **OC8B** Appendix C, illustrates the process utilised in **OC8B** to achieve the objective set out in OC8B.2.1. In the case of a conflict between the flow chart and the provisions of the written text of **OC8B**, the written text will prevail.

OC8B.3 SCOPE

OC8B.3.1 **OC8B** applies to **NGC**, **Relevant Transmission Licensees** and to **Users**, which in OC8 means:-

(a) **Generators**;

(b) **Network Operators**; and

(c) **Non-Embedded Customers.**

The procedures for the establishment of safety co-ordination by **NGC** in relation to **External Interconnections** are set out in **Interconnection Agreements** with relevant persons for the **External Interconnections**.

OC8B.4 PROCEDURE

OC8B.4.1 Approval of **Safety Rules**

OC8B.4.1.1 (a) In accordance with the timing requirements of its **Bilateral Agreement**, each **User** will supply to the **Relevant Transmission Licensee** a copy of its **Safety Rules** relating to its side of the **Connection Point** at each **Connection Site**.

(b) In accordance with the timing requirements of each **Bilateral Agreement** the **Relevant Transmission Licensee** will supply to each **User** a copy of its **Safety Rules** relating to the **Transmission** side of the **Connection Point** at each **Connection Site**.

(c) Prior to connection the **Relevant Transmission Licensee** and the **User** must have approved each other's relevant **Safety Rules** in relation to **Isolation** and **Earthing**.

OC8B.4.1.2 Either party may require that the **Isolation** and/or **Earthing** provisions in the other party's **Safety Rules** affecting the **Connection Site** should be made more stringent in order that approval of the other party's **Safety Rules** can be given. Provided these requirements are not unreasonable, the other party will make such changes as soon as reasonably practicable. These changes may need to cover the application of **Isolation** and/or **Earthing** at a place remote from the **Connection Site**, depending upon the **System** layout. Approval may not be withheld because the party required to approve reasonably believes the provisions relating to **Isolation** and/or **Earthing** are too stringent.

OC8B.4.1.3 If, following approval, a party wishes to change the provisions in its **Safety Rules** relating to **Isolation** and/or **Earthing**, it must inform the other party. If the change is to make the provisions more stringent, then the other party merely has to note the changes. If the change is to make the provisions less stringent, then the other party needs to approve the new provisions and the procedures referred to in OC8B.4.1.2 apply.

OC8B.4.2 **Safety Co-ordinators**

OC8B.4.2.1 For each **Connection Point,** the **Relevant Transmission Licensee** and each **User** will have nominated to be available, to a timescale agreed in the **Bilateral Agreement**, a person or persons ("**Safety Co-ordinator(s)**") to be responsible for the co-ordination of **Safety Precautions** when work is to be carried out on a **System** which necessitates the provision of **Safety Precautions** on **HV Apparatus** pursuant to **OC8B.** A **Safety Co-ordinator** may be responsible for the co-ordination of safety on **HV Apparatus** at more than one **Connection Point**.

OC8B.4.2.2 Each **Safety Co-ordinator** shall be authorised by the **Relevant Transmission Licensee** or a **User,** as the case may be, as competent to carry out the functions set out in **OC8B** to achieve **Safety From The System**. Confirmation from the **Relevant Transmission Licensee** or a **User**, as the case may be, that its **Safety Co-ordinator(s)** as a group are so authorised is dealt with, for **Users**, in CC.5.2 and for **Relevant Transmission Licensees** in the **STC**. Only persons with such authorisation will carry out the provisions of **OC8B.** Each **User** shall, prior to being connected to the **GB Transmission System**, give notice in writing to the **Relevant Transmission Licensee** of its **Safety Co-ordinator(s)** and will update the written notice yearly and whenever there is a change to the identity of its **Safety Co-ordinators** or to the **Connection Points.** The **Relevant Transmission Licensee** will, at the time of a **User** being connected to the **GB Transmission System** give notice in writing to that **User** of the identity of its **Safety Co-ordinator(s)** and will

update the written notice whenever there is a change to the **Connection Points** or **Safety Co-ordinators.**

OC8B.4.2.3 Contact between **Safety Co-ordinators** will be made via normal operational channels, and accordingly separate telephone numbers for **Safety Co-ordinators** need not be provided.

OC8B.4.2.4 If work is to be carried out on a **System**, or on equipment of the **Relevant Transmission Licensee** or a **User** near to a **System**, as provided in this **OC8B,** which necessitates the provision of **Safety Precautions** on **HV Apparatus** in accordance with the provisions of **OC8B**, the **Requesting Safety Co-ordinator** who requires the **Safety Precautions** to be provided shall contact the relevant **Implementing Safety Co-ordinator** to co-ordinate the establishment of the **Safety Precautions**.

OC8B.4.3 **RISSP**

OC8B.4.3.1 **OC8B** sets out the procedures for utilising the **RISSP**, which will be used except where dealing with equipment in proximity to the other's **System** as provided in **OC8B.8**. Sections **OC8B.4** to **OC8B.7** inclusive should be read accordingly.

OC8B.4.3.2 The **Revant Transmission Licensee** will use the format of the **RISSP** forms set out in Appendix A and Appendix B to **OC8B**, or any other format which may be agreed between the **Relevant Transmission Licensee** and each **User**. That set out in **OC8B** Appendix A and designated as "RISSP-R", shall be used when the **Relevant Transmission Licensee** is the **Requesting Safety Co-ordinator**, and that in **OC8B** Appendix B and designated as "RISSP-I", shall be used when the **Relevant Tranmission Licensee** is the **Implementing Safety Co-ordinator**. Proformas of RISSP-R and RISSP-I will be provided for use by **Relevant Transmission Licensees** staff.

OC8B.4.3.3 **Users** may either adopt the format referred to in OC8B.4.3.2 or any other format which may be agreed between the **Relevant Transmission Licensee** and the **User** from time to time.

OC8B.4.3.4 All references to RISSP-R and RISSP-I shall be taken as referring to the corresponding parts of the alternative forms or other tangible written or electronic records used by each **User** or **Relevant Transmission Licensee**.

OC8B.4.3.5 RISSP-R will have an identifying number written or printed on it, comprising a prefix which identifies the location at which it is issued, and a unique (for each **User** or **Relevant Transmission Licensee**, as the case may be) serial number consisting of four digits and the suffix "R".

OC8B.4.3.6 (a) In accordance with the timing requirements set out in the **Bilateral Agreement** each **User** shall apply in writing to **Relevant Transmission Licensee** for **Relevant Transmission Licensee's** approval of its proposed prefix.

(b) **Relevant Transmission Licensee** shall consider the proposed prefix to see if it is the same as (or confusingly similar to) a prefix used by **Relevant Transmission Licensee** or another **User** and shall, as soon as possible (and in any event within ten days), respond in writing to the **User** with its approval or disapproval.

(c) If **Relevant Transmission Licensee** disapproves, it shall explain in its response why it has disapproved and will suggest an alternative prefix.

(d) If **Relevant Transmission Licensee** has disapproved, then the **User** shall either notify **Relevant Transmission Licensee** in writing of its acceptance of the suggested alternative prefix or it shall apply in writing to **Relevant Transmission Licensee** with revised proposals and the above procedure shall apply to that application.

OC8B.5 **SAFETY PRECAUTIONS** ON **HV APPARATUS**

OC8B.5.1 Agreement of **Safety Precautions**

OC8B.5.1.1 The **Requesting Safety Co-ordinator** who requires **Safety Precautions** on another **System(s)** will contact the relevant **Implementing Safety Co-ordinator(s)** to agree the **Location** of the **Safety Precautions** to be established. This agreement will be recorded in the respective **Safety Logs**.

OC8B.5.1.2 It is the responsibility of the **Implementing Safety Co-ordinator** to ensure that adequate **Safety Precautions** are established and maintained, on his and/or another **System** connected to his **System**, to enable **Safety From The System** to be achieved on the **HV Apparatus**, specified by the **Requesting Safety Co-ordinator** which is to be identified in Part 1.1 of the **RISSP**. Reference to another **System** in this OC8B.5.1.2 shall not include the **Requesting Safety Co-ordinator's System** which is dealt with in OC8B.5.1.3.

OC8B.5.1.3 When the **Implementing Safety Co-ordinator** is of the reasonable opinion that it is necessary for **Safety Precautions** on the **System** of the **Requesting Safety Co-ordinator**, other than on the **HV Apparatus** specified by the **Requesting Safety Co-ordinator,** which is to be identified in Part 1.1 of the **RISSP**, he shall contact the **Requesting Safety Co-ordinator** and the details shall be recorded in part 1.1 of the **RISSP** forms. In these circumstances it is the responsibility of the **Requesting Safety Co-ordinator** to establish and maintain such **Safety Precautions**.

OC8B.5.1.4 The location of the **Safety Precautions** should be indicated on each **User's** operational diagram and labelled as per the local instructions of each **User**.

OC8B.5.1.5 In the event of disagreement

In any case where the **Requesting Safety Co-ordinator** and the **Implementing Safety Co-ordinator** are unable to agree the **Location** of the **Isolation** and (if requested) **Earthing**, both shall be at the closest available points on the infeeds to the **HV Apparatus** on which **Safety From The System** is to be achieved as indicated on the **Operation Diagram**.

OC8B.5.2 Implementation of **Isolation**

OC8B.5.2.1 Following the agreement of the **Safety Precautions** in accordance with OC8B.5.1 the **Implementing Safety Co-ordinator** shall then establish the agreed **Isolation**.

OC8B.5.2.2 The **Implementing Safety Co-ordinator** shall confirm to the **Requesting Safety Co-ordinator** that the agreed **Isolation** has been established, and identify the

Requesting Safety Co-ordinator's HV Apparatus up to the **Connection Point**, for which the **Isolation** has been provided. The confirmation shall specify:

(a) for each **Location**, the identity (by means of **HV Apparatus** name, nomenclature and numbering or position, as applicable) of each point of **Isolation**;

(b) whether **Isolation** has been achieved by an **Isolating Device** in the isolating position or by an adequate physical separation;

(c) where an **Isolating Device** has been used whether the isolating position is either :

(i) maintained by immobilising and **Locking** the **Isolating Device** in the isolating position and affixing a **Caution Notice** to it. Where the **Isolating Device** has been **Locked** with a **Safety Key**, the confirmation shall specify that the **Safety Key** has been secured in a **Key Safe** and the **Key Safe Key** has been given to the authorised site reresentative of the **Requesting Safety Co-ordinator** where reasonably practicable and is to be retained in safe custody. Where not reasonably practicable (including where **Earthing** has been requested in OC8B.5.1), the confirmation shall specify that the **Key Safe Key** will be retained by the authorised site representative of the **Implementing Safety Co-ordinator** in safe custody; or

(ii) maintained and/or secured by such other method which must be in accordance with the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be; and

(d) where an adequate physical separation has been used that it will be in accordance with, and maintained by, the method set out in the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be, and, if it is a part of that method, that a **Caution Notice** has been placed at the point of separation.

The confirmation of **Isolation** shall be recorded in the respective **Safety Logs**.

OC8B.5.2.3 Following the confirmation of **Isolation** being established by the **Implementing Safety Co-ordinator** and the necessary establishment of relevant **Isolation** on the **Requesting Safety Co-ordinators System**, the **Requesting Safety Co-ordinator** will then request the implementation of **Earthing** by the **Implementing Safety Co-ordinator**, if agreed in section OC8B.5.1. If the implementation of **Earthing** has been agreed, then the authorised site representative of the **Implementing Safety Co-ordinator** shall retain any **Key Safe Key** in safe custody until any **Safety Key** used for **Earthing** has been secured in the **Key Safe**.

OC8B.5.3 Implementation of **Earthing**

OC8B.5.3.1 The **Implementing Safety Co-ordinator** shall then establish the agreed **Earthing**.

OC8B.5.3.2 The **Implementing Safety Co-ordinator** shall confirm to the **Requesting Safety Co-ordinator** that the agreed **Earthing** has been established, and identify the

Requesting Safety Co-ordinator's HV Apparatus up to the **Connection Point**, for which the **Earthing** has been provided. The confirmation shall specify:

(a) for each **Location**, the identity (by means of **HV Apparatus** name, nomenclature and numbering or position, as is applicable) of each point of **Earthing**; and

(b) in respect of the **Earthing Device** used, whether it is:

(i) immobilised and **Locked** in the earthing position. Where the **Earthing Device** has been **Locked** with a **Safety Key**, that the **Safety Key** has been secured in a **Key Safe** and the **Key Safe Key** has been given to the authorised site representative of the **Requesting Safety Co-ordinator** where reasonably practicable and is to be retained in safe custody. Where not reasonably practicable, that the **Key Safe Key** will be retained by the authorised site representative of the **Implementing Safety Co-ordinator** in safe custody; or

(ii) maintained and/or secured in position by such other method which is in accordance with the **Safety Rules** of the **Relevant Transmission Licensee** or that **User**, as the case may be.

The confirmation of **Earthing** shall be recorded in the respective **Safety Logs**.

OC8B.5.3.3. The **Implementing Safety Co-ordinator** shall ensure that the established **Safety Precautions** are maintained until requested to be removed by the relevant **Requesting Safety Co-ordinator**.

OC8B.5.4 **RISSP** Issue Procedure

OC8B.5.4.1 Where **Safety Precautions** on another **System(s)** are being provided to enable work on the **Requesting Safety Co-ordinator's System**, before any work commences they must be recorded by a **RISSP** being issued. The **RISSP** is applicable to **HV Apparatus** up to the **Connection Point** identified in section 1.1 of the RISSP-R and RISSP-I forms.

OC8B.5.4.2 Where **Safety Precautions** are being provided to enable work to be carried out on both sides of the **Connection Point** a **RISSP** will need to be issued for each side of the **Connection Point** with **Relevant Transmission Licensee** and the respective **User** each enacting the role of **Requesting Safety Co-ordinator**. This will result in a RISSP-R and a RISSP-I form being completed by each of the **Relevant Transmission Licensee** and the **User**, with each **Requesting Safety Co-ordinator** issuing a separate **RISSP** number.

OC8B.5.4.3 Once the **Safety Precautions** have been established (in accordance with OC8B.5.2 and OC8B.5.3), the **Implementing Safety Co-ordinator** shall complete parts 1.1 and 1.2 of a RISSP-I form recording the details specified in OC8B.5.1.3, OC8B.5.2.2 and OC8B.5.3.2. Where **Earthing** has not been requested, Part 1.2(b) will be completed with the words "not applicable" or "N/A". He shall then contact the **Requesting Safety Co-ordinator** to pass on these details.

OC8B.5.4.4 The **Requesting Safety Co-ordinator** shall complete Parts 1.1 and 1.2 of the RISSP-R, making a precise copy of the details received. On completion, the

Requesting Safety Co-ordinator shall read the entries made back to the sender and check that an accurate copy has been made.

OC8B.5.4.5 The **Requesting Safety Co-ordinator** shall then issue the number of the **RISSP**, taken from the RISSP-R, to the **Implementing Safety Co-ordinator** who will ensure that the number, including the prefix and suffix (where applicable), is accurately recorded in the designated space on the RISSP-I form.

OC8B.5.4.6 The **Requesting Safety Co-ordinator** and the **Implementing Safety Co-ordinator** shall complete and sign Part 1.3 of the RISSP-R and RISSP-I respectively and then enter the time and date. When signed no alteration to the **RISSP** is permitted; the **RISSP** may only be cancelled.

OC8B.5.4.7 The **Requesting Safety Co-ordinator** is then free to authorise work, but not testing, in accordance with the requirements of the relevant internal safety procedures which apply to the **Requesting Safety Co-ordinator's System**. This is likely to involve the issue of safety documents or other relevant internal authorisations. Where testing is to be carried out, the procedure set out below in OC8B.6 shall be implemented.

OC8B.5.5 **RISSP** Cancellation Procedure

OC8B.5.5.1 When the **Requesting Safety Co-ordinator** decides that **Safety Precautions** are no longer required, he will contact the relevant **Implementing Safety Co-ordinator** to effect cancellation of the associated **RISSP**.

OC8B.5.5.2 The **Requesting Safety Co-ordinator** will inform the relevant **Implementing Safety Co-ordinator** of the **RISSP** identifying number, including the prefix and suffix (where applicable), and agree it is the **RISSP** to be cancelled.

OC8B.5.5.3 The **Requesting Safety Co-ordinator** and the relevant **Implementing Safety Co-ordinator** shall then respectively complete Part 2.1 of their respective RISSP-R and RISSP-I forms and shall then exchange details. The details being exchanged shall include their respective names and time and date. On completion of the exchange of details the respective **RISSP** is cancelled. The removal of **Safety Precautions** is as set out in OC8B.5.5.4 and OC8B.5.5.5.

OC8B.5.5.4 Neither **Safety Co-ordinator** shall instruct the removal of any **Isolation** forming part of the **Safety Precautions** as part of the returning of the **HV Apparatus** to service until it is confirmed to each by each other that every earth on each side of the **Connection Point**, within the points of isolation identified on the **RISSP**, has been removed or disconnected by the provision of additional **Points of Isolation**.

OC8B.5.5.5 Subject to the provisions in OC8B.5.5.4, the **Implementing Safety Co-ordinator** is then free to arrange the removal of the **Safety Precautions**, the procedure to achieve that being entirely an internal matter for the party the **Implementing Safety Co-ordinator** is representing. Where a **Key Safe Key** has been given to the authorised site representative of the **Requesting Safety Co-ordinator**, the **Key Safe Key** must be returned to the authorised site representative of the **Implementing Safety Co-ordinator**. The only situation in which any **Safety Precautions** may be removed without first cancelling the **RISSP** in accordance with OC8B.5.5 or OC8B.5.6 is when **Earthing** is removed in the situation envisaged in OC8B.6.2(b).

OC8B.5.6 **RISSP** Change Control

Nothing in this **OC8B** prevents **Relevant Transmission Licensee** and **Users** agreeing to a simultaneous cancellation and issue of a new **RISSP**, if both agree. It should be noted, however, that the effect of that under the relevant **Safety Rules** is not a matter with which the **Grid Code** deals.

OC8B.6 TESTING

OC8B.6.1 The carrying out of the test may affect **Safety Precautions** on **RISSPs** or work being carried out which does not require a **RISSP**. Testing can, for example, include the application of an independent test voltage. Accordingly, where the **Requesting Safety Co-ordinator** wishes to authorise the carrying out of such a test to which the procedures in OC8B.6 apply he may not do so and the test will not take place unless and until the steps in (a)-(c) below have been followed and confirmation of completion has been recorded in the respective **Safety Logs**:

(a) confirmation must be obtained from the **Implementing Safety Co-ordinator** that:

(i) no person is working on, or testing, or has been authorised to work on, or test, any part of its **System** or another **System(s)** (other than the **System** of the **Requesting Safety Co-ordinator**) within the points of **Isolation** identified on the **RISSP** form relating to the test which is proposed to be undertaken, and

(ii) no person will be so authorised until the proposed test has been completed (or cancelled) and the **Requesting Safety Co-ordinator** has notified the **Implementing Safety Co-ordinator** of its completion (or cancellation);

(b) any other current **RISSPs** which relate to the parts of the **System** in which the testing is to take place must have been cancelled in accordance with procedures set out in OC8B.5.5;

(c) the **Implementing Safety Co-ordinator** must agree with the **Requesting Safety Co-ordinator** to permit the testing on that part of the **System** between the points of **Isolation** identified in the **RISSP** associated with the test and the points of **Isolation** on the **Requesting Safety Co-ordinator's System**.

OC8B.6.2 (a) The **Requesting Safety Co-ordinator** will inform the **Implementing Safety Co-ordinator** as soon as the test has been completed or cancelled and the confirmation shall be recorded in the respective **Safety Logs**.

(b) When the test gives rise to the removal of **Earthing** which it is not intended to re-apply, the relevant **RISSP** associated with the test shall be cancelled at the completion or cancellation of the test in accordance with the procedure set out in either OC8B.5.5 or OC8B.5.6. Where the **Earthing** is re-applied following the completion or cancellation of the test, there is no requirement to cancel the relevant **RISSP** associated with the test pursuant to this OC8B.6.2.

OC8B.7 EMERGENCY SITUATIONS

OC8B.7.1 There may be circumstances where **Safety Precautions** need to be established in relation to an unintended electrical connection or situations where there is an unintended risk of electrical connection between the **GB Transmission System** and a **User's System**, for example resulting from an incident where one line becomes attached or unacceptably close to another.

OC8B.7.2 In those circumstances, if both the **Relevant Transmission Licensee** the **User** agree, the relevant provisions of OC8B.5 will apply as if the electrical connections or potential connections were, solely for the purposes of this OC8B, a **Connection Point**.

OC8B.7.3 (a) The relevant **Safety Co-ordinator** shall be that for the electrically closest existing **Connection Point** to that **User's System** or such other local **Connection Point** as may be agreed between the **Relevant Transmission Licensee** and the **User**, with discussions taking place between the relevant local **Safety Co-ordinators**. The **Connection Point** to be used shall be known in this OC8B.7.3 as the "relevant **Connection Point**".

(c) The **Safety Rules** shall be those which apply to the relevant **Connection Point**.

(c) The prefix for the **RISSP** (where applicable) will be that which applies for the relevant **Connection Point.**

OC8B.8 **SAFETY PRECAUTIONS RELATING TO WORKING ON EQUIPMENT NEAR TO THE HV SYSTEM**

OC8B.8 applies to the situation where work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or a **Relevant Transmission Licensee** as the case may be, where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System**. It does not apply to other situations to which **OC8B** applies. In this part of **OC8B**, a **Permit for Work for proximity work** is to be used, rather then the usual **RISSP** procedure, given the nature and effect of the work, all as further provided in the OC8B.8.

OC8B.8.1 Agreement of **Safety Precautions**

OC8B.8.1.1 The **Requesting Safety Co-ordinator** who requires **Safety Precautions** on another **System(s)** when work is to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment of the **User** or a **Relevant Transmission Licensee**, as the case may be, where the work or equipment is near to **HV Apparatus** on the **Implementing Safety Co-ordinator's System** will contact the relevant **Implementing Safety Co-ordinator(s)** to agree the Location of the **Safety Precautions** to be established, having as part of this process informed the **Implementing Safety Co-ordinator** of the equipment and the work to be undertaken. The respective **Safety Co-ordinators** will ensure that they discuss the request with their authorised site representative and that the respective authorised site representatives discuss the request at the **Connection Site**. This agreement will be recorded in the respective **Safety Logs**.

OC8B.8.1.2 It is the responsibility of the **Implementing Safety Co-ordinator**, working with his authorised site representative as appropriate, to ensure that adequate **Safety**

Precautions are established and maintained, on his and/or another **System** connected to his **System**, to enable **Safety From The System** to be achieved for work to be carried out at a **User's Site** or a **Transmission Site** (as the case may be) on equipment and in relation to work which is to be identified in the relevant part of the **Permit for Work for proximity work** where the work or equipment is near to **HV Apparatus** of the **Implementing Safety Co-ordinator's System** specified by the **Requesting Safety Co-ordinator**. Reference to another **System** in this OC8B.8.1.2 shall not include the **Requesting Safety Co-ordinator's System**.

OC8B.8.1.3 In the event of disagreement

In any case where the **Requesting Safety Co-ordinator** and the **Implementing Safety Co-ordinator** are unable to agree the **Location** of the **Isolation** and (if requested) **Earthing**, both shall be at the closest available points on the infeeds to the **HV Apparatus** near to which the work is to be carried out as indicated on the **Operation Diagram**.

OC8B.8.2 Implementation of **Isolation and Earthing**

OC8B.8.2.1 Following the agreement of the **Safety Precautions** in accordance with OC8B.8.1 the **Implementing Safety Co-ordinator** shall then establish the agreed **Isolation** and (if required) **Earthing**.

OC8B.8.2.2 The **Implementing Safety Co-ordinator** shall confirm to the **Requesting Safety Co-ordinator** that the agreed **Isolation** and (if required) **Earthing** has been established.

OC8B.8.2.3 The **Implementing Safety Co-ordinator** shall ensure that the established **Safety Precautions** are maintained until requested to be removed by the relevant **Requesting Safety Co-ordinator**.

OC8B.8.3 **Permit for Work for proximity work Issue Procedure**

OC8B.8.3.1 Where **Safety Precautions** on another **System(s)** are being provided to enable work to be carried out at a **User's Site** or **Transmission Site** (as the case may be) on equipment where the work or equipment is in proximity to **HV Apparatus** of the **Implementing Safety Co-ordinator**, before any work commences they must be recorded by a **Permit for Work for proximity work** being issued. The **Permit for Work for proximity work** shall identify the **Implementing Safety Co-ordinator's HV Apparatus** in proximity to the required work

OC8B.8.3.2 Once the **Safety Precautions** have been established (in accordance with OC8B.8.2), the **Implementing Safety Co-ordinator** shall agree to the issue of the **Permit for Work for proximity work** with the appropriately authorised site representative of the **Requesting Safety Co-ordinator's Site**. The **Implementing Safety Co-ordinator** will inform the **Requesting Safety Co-ordinator** of the **Permit for Work for proximity work** identifying number.

OC8B.8.3.3 The appropriately authorised site representative of the **Implementing Safety Co-ordinator** shall then issue the **Permit for Work for proximity work** to the appropriately authorised site representative of the **Requesting Safety Co-ordinator**. The **Permit for Work for proximity work** will in the section dealing with the work to be carried out, be completed to identify that the work is near the **Implementing Safety Co-ordinator's HV Apparatus.** No further details of the **Requesting Safety**

Co-ordinator's work will be recorded, as that is a matter for the **Requesting Safety Co-ordinator** in relation to his work.

OC8B.8.3.4 The **Requesting Safety Co-ordinator** is then free to authorise work in accordance with the requirements of the relevant internal safety procedures which apply to the **Requesting Safety Co-ordinator's Site**. This is likely to involve the issue of safety documents or other relevant internal authorisations.

OC8B.8.4 **Permit for Work for proximity work Cancellation Procedure**

OC8B.8.4.1 When the **Requesting Safety Co-ordinator** decides that **Safety Precautions** are no longer required, he will contact the relevant **Implementing Safety Co-ordinator** to effect cancellation of the associated **Permit for Work for proximity work**.

OC8B.8.4.2 The **Requesting Safety Co-ordinator** will inform the relevant **Implementing Safety Co-ordinator** of the **Permit for Work for proximity work** identifying number, and agree that the **Permit for Work for proximity work** can be cancelled. The cancellation is then effected by the appropriately authorised site representative of the **Requesting Safety Co-ordinator** returning the **Permit for Work for proximity work** to the appropriately authorised site representative of the **Implementing Safety Co-ordinator**.

OC8B.8.4.3 The **Implementing Safety Co-ordinator** is then free to arrange the removal of the **Safety Precautions**, the procedure to achieve that being entirely an internal matter for the party the **Implementing Safety Co-ordinator** is representing.

OC8B.9 LOSS OF INTEGRITY OF **SAFETY PRECAUTIONS**

OC8B.9.1 In any instance when any **Safety Precautions** may be ineffective for any reason the relevant **Safety Co-ordinator** shall inform the other **Safety Co-ordinator(s)** without delay of that being the case and, if requested, of the reasons why.

OC8B.10 SAFETY LOG

OC8B.10.1 **Relevant Transmission Licensees** and **Users** shall maintain **Safety Logs** which shall be a chronological record of all messages relating to safety co-ordination under **OC8** sent and received by the **Safety Co-ordinator(s)**. The **Safety Logs** must be retained for a period of not less than six years.

RECORD OF INTER-SYSTEM SAFETY PRECAUTIONS (RISSP-R)
(Requesting Safety Co-ordinator's Record)

RISSP NUMBER _____

Part 1

1.1 CIRCUIT IDENTIFICATION

Safety Precautions have been established by the Implementing Safety Co-ordinator to achieve Safety From The System on the following HV Apparatus:

_____

_____

_____

_____

_____

_____

1.2 SAFETY PRECAUTIONS ESTABLISHED

(a) ISOLATION

State the Locations(s) at which Isolation has been established on the Implementing Safety Co-ordinator's System. For each Location, identify each point of Isolation. For each point of Isolation state, the means by which the Isolation has been achieved, and whether, immobilised and Locked, Caution Notice affixed, other Safety Precautions applied, as appropriate.

_____

_____

_____

_____

_____

_____

NGC will make available indicative **Synchronising** and **De-Synchronising** times to each **Network Operator**, but only relating to **BM Units** comprising a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC1.2) or a **Power Park Module** or a **CCGT Module Embedded** within that **Network Operator's User System** and those **Gensets** directly connected to the **GB Transmission System** which **NGC** has identified under **OC2** as being those which may, in the reasonable opinion of **NGC**, affect the integrity of that **User System**. If in preparing for the operation of the **Balancing Mechanism**, **NGC** becomes aware that a **BM Unit** directly connected to the **GB Transmission System** may, in its reasonable opinion, affect the integrity of that other **User System** which, in the case of a **BM Unit** comprising a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC1.2) or a **CCGT Module** or a **Power Park Module,** it had not so identified under **OC2**, then **NGC** may make available details of its indicative **Synchronising** and **De-Synchronising** times to that other **User** and shall inform the relevant **BM Participant** that it has done so, identifying the **BM Unit** concerned.

BC1.7 Special Actions

BC1.7.1 **NGC** may need to identify special actions (either pre- or post-fault) that need to be taken by specific **Users** in order to maintain the integrity of the **GB Transmission System** in accordance with the **Licence Standards** and **NGC Operational Strategy**.

(a) For a **Generator** special actions will generally involve a **Load** change or a change of required Notice to Deviate from Zero NDZ, in a specific timescale on individual or groups of **Gensets**.

(b) For **Network Operators** these special actions will generally involve **Load** transfers between **Grid Supply Points** or arrangements for **Demand** reduction by manual or automatic means.

(c) For **Externally Interconnected System Operators** (in their co-ordinating role for **Interconnector Users** using their **External System**) these special actions will generally involve an increase or decrease of net power flows across an **External Interconnection** by either manual or automatic means.

BC1.7.2 These special actions will be discussed and agreed with the relevant **User** as appropriate. The actual implementation of these special actions may be part of an "emergency circumstances" procedure described under **BC2**. If not agreed, generation or **Demand** may be restricted or may be at risk.

BC1.7.3 **NGC** will normally issue the list of special actions to the relevant **Users** by 1700 hours on the day prior to the day to which they are to apply.

APPENDIX 1

BM UNIT DATA

More detail about valid values required under the **Grid Code** for **BM Unit Data** and **Generating Unit Data** may be identified by referring to the **Data Validation, Consistency and Defaulting Rules.** In the case of **Embedded BM Units** and **Generating Units** the **BM Unit Data** and the **Generating Unit Data** shall represent the value at the relevant **Grid Supply Point**. Where data is submitted on a **Generating Unit** basis, the provisions of this Appendix 1 shall in respect of such data submission apply as if references to **BM Unit** were replaced with **Generating Unit**. Where **NGC** and the relevant **User** agree, submission on a **Generating Unit** basis (in whole or in part) may be otherwise than in accordance with the provisions of the Appendix 1.

*BC1.A.1.1 **Physical Notifications***

For each **BM Unit**, the **Physical Notification** is a series of MW figures and associated times, making up a profile of intended input or output of **Active Power** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate. For each **Settlement Period**, the first "from time" should be at the start of the **Settlement Period** and the last "to time" should be at the end of the **Settlement Period**.

The input or output reflected in the **Physical Notification** for a single **BM Unit** (or the aggregate **Physical Notifications** for a collection of **BM Units** at a **Grid Entry Point** or **Grid Supply Point** or to be transferred across an **External Interconnection,** owned or controlled by a single **BM Participant**) must comply with the following limits regarding maximum rates of change, either for a single change or a series of related changes :

- for a change of up to 300MW — no limit;
- for a change greater than 300MW and less than 1000MW — 50MW per minute;
- for a change of 1000MW or more — 40MW per minute,

unless prior arrangements have been discussed and agreed with **NGC**. This limitation is not intended to limit the Run-Up or Run-Down Rates provided as **Dynamic Parameters.**

An example of the format of **Physical Notification** is shown below. The convention to be applied is that where it is proposed that the **BM Unit** will be importing, the **Physical Notification** is negative.

Data	Name	BMU name	Time From	From level (MW)	Time To	To Level MW)
PN	TAGENT	BMUNIT01	2001-11-03 06:30	77	2001-11-03 07:00	100
PN	TAGENT	BMUNIT01	2001-11-03 07:00	100	2001-11-03 07:12	150
PN	TAGENT	BMUNIT01	2001-11-03 07:12	150	2001-11-03 07:30	175

A linear interpolation will be assumed between the **Physical Notification** From and To levels specified for the **BM Unit** by the **BM Participant**.

BALANCING CODE No 2

POST GATE CLOSURE PROCESS

CONTENTS

(This contents page does not form part of the Grid Code)

BALANCING CODE No 2

POST GATE CLOSURE PROCESS

BC2.1 INTRODUCTION

Balancing Code No 2 (**BC2**) sets out the procedure for:

a) the physical operation of **BM Units** and **Generating Units** in the absence of any instructions from **NGC**;

b) the acceptance by **NGC** of **Balancing Mechanism** Bids and Offers,

c) the calling off by **NGC** of **Ancillary Services**;

d) the issuing and implementation of **Emergency Instructions**; and

e) the issuing by **NGC** of other operational instructions and notifications.

In addition, **BC2** deals with any information exchange between **NGC** and **BM Participants** or specific **Users** that takes place after **Gate Closure**.

In this **BC2**, "consistent" shall be construed as meaning to the nearest integer MW level.

In this **BC2**, references to "a **BM Unit** returning to its **Physical Notification**" shall take account of any **Bid-Offer Acceptances** already issued to the **BM Unit** in accordance with BC2.7 and any **Emergency Instructions** already issued to the **BM Unit** or **Generating Unit** in accordance with BC2.9.

BC2.2 OBJECTIVE

The procedure covering the operation of the **Balancing Mechanism** and the issuing of instructions to **Users** is intended to enable **NGC** as far as possible to maintain the integrity of the **GB Transmission System** together with the security and quality of supply.

Where reference is made in this **BC2** to **Generating Units** (unless otherwise stated) it only applies to:

(a) each **Generating Unit** which forms part of the **BM Unit** of **a Cascade Hydro Scheme**; and

(b) each **Generating Unit** at an **Embedded Exemptable Large Power Station** where the **Bilateral Agreement** specifies that **NGC** reasonably requires compliance with certain provisions of **BC2** on a **Generating Unit** basis.

BC2.3 SCOPE

BC2 applies to **NGC** and to **Users**, which in this **BC2** means:-

(a) **BM Participants;**

(b) **Externally Interconnected System Operators**, and

(c) **Network Operators.**

BC2.4 INFORMATION USED

BC2.4.1 The information which **NGC** shall use, together with the other information available to it, in assessing:-

(a) which bids and offers to accept;

(b) which **BM Units** and/or **Generating Units** to instruct to provide **Ancillary Services**;

(c) the need for and formulation of **Emergency Instructions;** and

(d) other operational instructions and notifications which **NGC** may need to issue

will be:

(a) the **Physical Notification** and **Bid-Offer Data** submitted under **BC1**;

(b) **Export and Import Limits**, **QPNs**, and **Joint BM Unit Data** in respect of that **BM Unit** and/or **Generating Unit** supplied under **BC1** (and any revisions under **BC1** and **BC2** to the data); and

(c) **Dynamic Parameters** submitted or revised under this **BC2.**

BC2.4.2 As provided for in BC1.5.4, **NGC** will monitor the total of the Maximum Export Limit component of the **Export and Import Limits** against forecast **Demand** and the **Operating Margin** and will take account of **Dynamic Parameters** to see whether the anticipated level of **System Margin** is insufficient. This will reflect any changes in **Export and Import Limits** which have been notified to **NGC**, and will reflect any **Demand Control** which has also been so notified. **NGC** may issue new or revised **GB Transmission System Warnings – Inadequate System Margin** or **High Risk of Demand Reduction** in accordance with BC1.5.4.

BC2.5 PHYSICAL OPERATION OF **BM UNITS**

BC2.5.1 Accuracy of **Physical Notifications**

As described in BC1.4.2(a), **Physical Notifications** must represent the **BM Participant's** best estimate of expected input or output of **Active Power** and shall be prepared in accordance with **Good Industry Practice**. Each **BM Participant** must, applying **Good Industry Practice**, ensure that each of its **BM Units** follows the **Physical Notification** in respect of that **BM Unit** (and each of its **Generating Units** follows the **Physical Notification** in the case of **Physical Notifications** supplied under BC1.4.2(a)(2)) prevailing at **Gate Closure** (the data in which will be utilised in producing the **Final Physical Notification Data** in accordance with the **BSC**) subject to:

(a) variations arising from the issue of **Bid-Offer Acceptances** which have been confirmed by the **BM Participant**;

(b) instructions by **NGC** in relation to that **BM Unit** (or a **Generating Unit**) which require, or compliance with which would result in, a variation in output or input of that **BM Unit** (or a **Generating Unit**); or

(c) any variations arising from compliance with provisions of **BC1**, **BC2** or **BC3** which provide to the contrary,

(which in each case gives rise to an obligation (applying **Good Industry Practice**) to follow such **Physical Notification** as amended by such variations and/or instructions), unless in relation to any such obligation it is prevented from so doing as a result of an unavoidable event (existing or anticipated) in relation to that **BM Unit** (or a **Generating Unit**) which requires a variation in output or input of that **BM Unit** (or a **Generating Unit**). Examples (on a non-exhaustive basis) of such an unavoidable event are plant breakdowns, events requiring a variation of input or output on safety grounds (relating to personnel or plant), events requiring a variation of input or output to maintain compliance with the relevant Statutory Water Management obligations and uncontrollable variations of input of **Active Power**.

Any anticipated variation in input or output from the **Physical Notification** in respect of that **BM Unit** (or a **Generating Unit**) prevailing at **Gate Closure** (except for variations arising from the issue of **Bid-Offer Acceptances** or instructions by **NGC** as outlined above) for any **BM Unit** (or a **Generating Unit**) post **Gate Closure** must be notified to **NGC** without delay by the relevant **BM Participant** (or the relevant person on its behalf). Implementation of this notification should normally be achieved by the submission of revisions to the **Export and Import Limits** in accordance with BC2.5.3 below.

BC2.5.2 **Synchronising** and **De-Synchronising** times

BC2.5.2.1 The **Final Physical Notification Data** provides indicative **Synchronising** and **De-Synchronising** times to **NGC** in respect of any **BM Unit** which is **De-Synchronising** or is anticipated to be **Synchronising** post **Gate Closure**.

Any delay of greater than five minutes to the **Synchronising** or any advancement of greater than five minutes to the **De-Synchronising** of a **BM Unit** must be notified to **NGC** without delay by the submission of a revision of the **Export and Import Limits**.

BC2.5.2.2 Except in the circumstances provided for in BC2.5.2.3, BC2.5.2.4, BC2.5.5.1 or BC2.9, no **BM Unit** (nor a **Generating Unit**) is to be **Synchronised** or **De-Synchronised** unless:-

(a) a **Physical Notification** had been submitted to **NGC** prior to **Gate Closure** indicating that a **Synchronisation** or **De-Synchronisation** is to occur; or

(b) **NGC** has issued a **Bid-Offer Acceptance** requiring **Synchronisation** or **De-Synchronisation** of that **BM Unit** (or a **Generating Unit**).

BC2.5.2.3 **BM Participants** must only **Synchronise** or **De-Synchronise BM Units** (or a **Generating Unit**);

(a) at the times indicated to **NGC**, or

(b) at times consistent with variations in output or input arising from provisions described in BC2.5.1,

(within a tolerance of +/- 5 minutes) or unless that occurs automatically as a result of **Operational Intertripping** or **Low Frequency Relay** operations or an **Ancillary Service** pursuant to an **Ancillary Services Agreement**

BC2.5.2.4 **De-Synchronisation** may also take place without prior notification to **NGC** as a result of plant breakdowns or if it is done purely on safety grounds (relating to personnel or plant). If that happens **NGC** must be informed immediately that it has taken place and a revision to **Export and Import Limits** must be submitted in accordance with BC2.5.3.3. Following any **De-Synchronisation** occurring as a result of plant failure, no **Synchronisation** of that **BM Unit** (or a **Generating Unit**) is to take place without **NGC's** agreement, such agreement not to be unreasonably withheld.

In the case of **Synchronisation** following an unplanned **De-Synchronisation** within the preceding 15 minutes, a minimum of 5 minutes notice of its intention to **Synchronise** should normally be given to **NGC** (via a revision to **Export and Import Limits**)**.** In the case of any other unplanned **De-Synchronisation** where the **User** plans to **Synchronise** before the expiry of the current **Balancing Mechanism** period, a minimum of 15 minutes notice of **Synchronisation** should normally be given to **NGC** (via a revision to **Export and Import Limits**). In addition, the rate at which the **BM Unit** is returned to its **Physical Notification** is not to exceed the limits specified in **BC1**, Appendix 1 without **NGC's** agreement.

NGC will either agree to the **Synchronisation** or issue a **Bid-Offer Acceptance** in accordance with BC2.7 to delay the **Synchronisation. NGC** may agree to an earlier **Synchronisation** if **System** conditions allow.

BC2.5.2.5 Notification of Times to **Network Operators**

NGC will make changes to the **Synchronising** and **De-Synchronising** times available to each **Network Operator**, but only relating to **BM Units Embedded** within its **User System** and those **BM Units** directly connected to the **GB Transmission System** which **NGC** has identified under **OC2** and/or **BC1** as being those which may, in the reasonable opinion of **NGC**, affect the integrity of that **User System** and shall inform the relevant **BM Participant** that it has done so, identifying the **BM Unit** concerned.

Each **Network Operator** must notify **NGC** of any changes to its **User System** Data as soon as practicable in accordance with BC1.6.1(c).

BC2.5.3 Revisions to **BM Unit Data**

Following **Gate Closure** for any **Settlement Period,** no changes to the **Physical Notification**, to the **QPN** data or to **Bid-Offer Data** for that **Settlement Period** may be submitted to **NGC**.

BC2.5.3.1 At any time, any **BM Participant** (or the relevant person on its behalf) may, in respect of any of its **BM Units**, submit to **NGC** the data listed in **BC1**, Appendix 1 under the heading of **Dynamic Parameters** from the **Control Point** of its **BM Unit** to amend the data already held by **NGC** (including that previously submitted under this BC2.5.3.1) for use in preparing for and operating the **Balancing Mechanism**. The change will take effect from the time that it is received by **NGC**. For the avoidance of doubt, the **Dynamic Parameters** submitted to **NGC** under BC1.4.2(e) are not used

within the current **Operational Day**. The **Dynamic Parameters** submitted under this BC2.5.3.1 shall reasonably reflect the true current operating characteristics of the **BM Unit** and shall be prepared in accordance with **Good Industry Practice**.

Following the **Operational Intertripping** of a **System** to **Generating Unit** or a **System** to **CCGT Module**, the **BM Participant** shall as soon as reasonably practicable re-declare its MEL to reflect more accurately its output capability.

BC2.5.3.2 Revisions to **Export and Import Limits** or **Other Relevant Data** supplied (or revised) under **BC1** must be notified to **NGC** without delay as soon as any change becomes apparent to the **BM Participant** (or the relevant person on its behalf) via the **Control Point** for the **BM Unit** (or a **Generating Unit**) to ensure that an accurate assessment of **BM Unit** (or a **Generating Unit**) capability is available to **NGC** at all times. These revisions should be prepared in accordance with **Good Industry Practice** and may be submitted by use of electronic data communication facilities or by telephone.

BC2.5.3.3 Revisions to **Export and Import Limits** must be made by a **BM Participant** (or the relevant person on its behalf) via the **Control Point** in the event of any **De-Synchronisation** of a **BM Unit** (or a **Generating Unit**) in the circumstances described in BC2.5.2.4 if the **BM Unit** (or a **Generating Unit**) is no longer available for any period of time. Revisions must also be submitted in the event of plant failures causing a reduction in input or output of a **BM Unit** (or a **Generating Unit**) even if that does not lead to **De-Synchronisation**. Following the correction of a plant failure, the **BM Participant** (or the relevant person on its behalf) must notify **NGC** via the **Control Point** of a revision to the **Export and Import Limits**, if appropriate, of the **BM Unit** (or a **Generating Unit**), using reasonable endeavours to give a minimum of 5 minutes notice of its intention to return to its **Physical Notification**. The rate at which the **BM Unit** (or a **Generating Unit**) is returned to its **Physical Notification** is not to exceed the limits specified in **BC1**, Appendix 1 without **NGC's** agreement.

BC2.5.4 Operation in the absence of instructions from **NGC**

In the absence of any **Bid-Offer Acceptances**, **Ancillary Service** instructions issued pursuant to BC2.8 or **Emergency Instructions** issued pursuant to BC2.9:

(a) as provided for in BC3, each **Synchronised Genset** producing **Active Power** must operate at all times in **Limited Frequency Sensitive Mode** (unless instructed in accordance with BC3.5.4 to operate in **Frequency Sensitive Mode**);

(b) in the absence of any Mvar **Ancillary Service** instructions, the Mvar output of each **Synchronised Genset** should be 0 Mvar upon **Synchronisation** at the circuit-breaker where the **Genset** is **Synchronised**. For the avoidance of doubt, in the case of a **Genset** comprising of **Non-Synchronous Generating Units**, **Power Park Modules** or **DC Converters** the steady state tolerance allowed in CC.6.3.2(b) may be applied;

(c) (i) subject to the provisions of 2.5.4(c) (ii) below, the excitation system or the voltage control system, unless otherwise agreed with **NGC**, must be operated only in its constant terminal voltage mode of operation with VAR limiters in service, with any constant **Reactive Power** output control mode or constant **Power Factor** output control mode always disabled, unless agreed otherwise with **NGC**. In the event of any change in **System** voltage, a **Generator** must not take any action to override automatic Mvar response which is produced as a result of

constant terminal voltage mode of operation of the automatic excitation control system unless instructed otherwise by **NGC** or unless immediate action is necessary to comply with **Stability Limits** or unless constrained by plant operational limits or safety grounds (relating to personnel or plant);

(ii) In the case of all **Gensets** comprising **Non-Synchronous Generating Units**, **DC Converters** and **Power Park Modules** only when operating below 20 % of the **Rated MW** output, the voltage control system shall maintain the reactive power transfer at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**) to 0 MVAr. For the avoidance of doubt the steady state tolerance allowed in CC.6.3.2(b) may be applied. In the case of **Gensets** comprising current source **DC Converter** technology or comprising **Power Park Modules** connected to the **Total System** by a current source **DC Converter** when operating at any power output the voltage control system shall maintain the reactive power transfer at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**) to 0 MVAr. For the avoidance of doubt the steady state tolerance allowed in CC.6.3.2(b) may be applied.

(d) In the absence of any Mvar **Ancillary Service** instructions, the Mvar output of each **Genset** should be 0 Mvar immediately prior to **De-Synchronisation** at the circuit-breaker where the **Genset** is **Synchronised**, other than in the case of a rapid unplanned **De-Synchronisation** or in the case of a **Genset** comprising of **Non-Synchronous Generating Units**, **Power Park Modules** or **DC Converters** which is operating at less than 20% of its **Rated MW** output where the requirements of BC2.5.4 (b) part (ii) apply.

(e) a **Generator** should at all times operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**;

(f) in the case of a **Range CCGT Module**, a **Generator** must operate that **CCGT Module** so that power is provided at the single **Grid Entry Point** identified in the data given pursuant to PC.A.3.2.1 or at the single **Grid Entry Point** to which **NGC** has agreed pursuant to BC1.4.2(f);

(g) in the event of the **System Frequency** being above 50.3Hz or below 49.7Hz, **BM Participants** must not commence any reasonably avoidable action to regulate the input or output of any **BM Unit** in a manner that could cause the **System Frequency** to deviate further from 50Hz without first using reasonable endeavours to discuss the proposed actions with **NGC**. **NGC** shall either agree to these changes in input or output or issue a **Bid-Offer Acceptance** in accordance with BC2.7 to delay the change.

(h) a **Generator** should at all times operate its **Power Park Units** in accordance with the applicable **Power Park Module Availability Matrix**.

BC2.5.5 Commencement or Termination of Participation in the **Balancing Mechanism**

BC2.5.5.1 In the event that a **BM Participant** in respect of a **BM Unit** with a **Demand Capacity** with a magnitude of less than 50MW in England and Wales or less than 5MW in Scotland or comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC2.2) and/or **CCGT Modules** and/or **Power Park Modules** at a **Small Power Station** notifies **NGC** at least 30 days in advance that from a specified **Operational Day** it will:

(a) no longer submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** no longer has to meet the requirements of BC2.5.1 nor the requirements of CC6.5.8(b) in relation to that **BM Unit**. Also, with effect from that **Operational Day**, any defaulted **Physical Notification** and defaulted **Bid-Offer Data** in relation to that **BM Unit** arising from the **Data Validation, Consistency and Defaulting Rules** will be disregarded and the provisions of BC2.5.2 will not apply;

(b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of BC2.5.1 and the requirements of CC6.5.8(b) in relation to that **BM Unit**.

BC2.5.5.2 In the event that a **BM Participant** in respect of a **BM Unit** with a **Demand Capacity** with a magnitude of 50MW or greater in England and Wales or 5MW or greater in Scotland or comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC2.2) and/or **CCGT Modules** and/or **Power Park Modules** at a **Medium Power Station** or **Large Power Station** notifies **NGC** at least 30 days in advance that from a specified **Operational Day** it will:

(a) no longer submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** no longer has to meet the requirements of CC6.5.8(b) in relation to that **BM Unit**; Also, with effect from that **Operational Day**, any defaulted **Bid-Offer Data** in relation to that **BM Unit** arising from the **Data Validation, Consistency and Defaulting Rules** will be disregarded;

(b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of CC6.5.8(b) in relation to that **BM Unit**.

BC2.6 COMMUNICATIONS

Electronic communications are always conducted in GMT. However, the input of data and display of information to **Users** and **NGC** and all other communications are conducted in London time.

BC2.6.1 Normal Communication with **Control Points**

(a) With the exception of BC2.6.1(c) below, **Bid-Offer Acceptances** and **Ancillary Service** instructions shall be given by automatic logging device and will be given to the **Control Point** for the **BM Unit**. For all **Planned Maintenance Outages** the provisions of BC2.6.5 will apply. For **Generating Units** communications under **BC2** shall be by telephone unless otherwise agreed by **NGC** and the **User**.

(b) **Bid-Offer Acceptances** and **Ancillary Service** instructions must be formally acknowledged immediately by the **BM Participant** (or the relevant person on its behalf) via the **Control Point** for the **BM Unit** or **Generating Unit** in respect of that **BM Unit** or that **Generating Unit.** The acknowledgement and subsequent confirmation or rejection, within two minutes of receipt, is normally given electronically by automatic logging device. If no confirmation or rejection is received by **NGC** within two minutes of the issue of the **Bid-Offer Acceptance**, then **NGC** will contact the **Control Point** for the **BM Unit** by telephone to

determine the reason for the lack of confirmation or rejection. Any rejection must be given in accordance with BC2.7.3 or BC2.8.3.

(c) In the event of a failure of the logging device or a **NGC** computer system outage, **Bid-Offer Acceptances** and instructions will be given, acknowledged, and confirmed or rejected by telephone. The provisions of BC2.9.7 are also applicable.

(d) In the event that in carrying out the **Bid-Offer Acceptances** or providing the **Ancillary Services**, or when operating at the level of the **Final Physical Notification Data** as provided in BC2.5.1, an unforeseen problem arises, caused on safety grounds (relating to personnel or plant), **NGC** must be notified without delay by telephone.

(e) The provisions of BC2.5.3 are also relevant.

(f) Submissions of revised Mvar capability may be made by facsimile transmission, using the format given in Appendix 3 to **BC2**.

(g) Communication will normally be by telephone for any purpose other than **Bid-Offer Acceptances,** in relation to **Ancillary Services** or for revisions of Mvar Data.

BC2.6.2 Communication with **Control Points** in Emergency Circumstances

NGC will issue **Emergency Instructions** direct to the **Control Point** for each **BM Unit** [or **Generating Unit**] in **Great Britain**. **Emergency Instructions** to a **Control Point** will normally be given by telephone (and will include an exchange of operator names).

BC2.6.3 Communication with **Network Operators** in Emergency Circumstances

NGC will issue **Emergency Instructions** direct to the **Network Operator** at each **Control Centre** in relation to special actions and **Demand Control**. **Emergency Instructions** to a **Network Operator** will normally be given by telephone (and will include an exchange of operator names). **OC6** contains further provisions relating to **Demand Control** instructions.

BC2.6.4 Communication with **Externally Interconnected System Operators** in Emergency Circumstances

NGC will issue **Emergency Instructions** directly to the **Externally Interconnected System Operator** at each **Control Centre**. **Emergency Instructions** to an **Externally Interconnected System Operator** will normally be given by telephone (and will include an exchange of operator names).

BC2.6.5 Communications during planned outages of electronic data communication facilities

Planned Maintenance Outages will normally be arranged to take place during periods of low data transfer activity. Upon any such **Planned Maintenance Outage** in relation to a post **Gate Closure** period:-

(a) **BM Participants** should operate in relation to any period of time in accordance with the **Physical Notification** prevailing at **Gate Closure** current at the time of the start of the **Planned Maintenance Outage** in relation to each such period of

time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.6.5. No further submissions of **BM Unit Data** (other than data specified in BC1.4.2(c) and BC1.4.2(e)) should be attempted or **Generating Unit Data**. Plant failure or similar problems causing significant deviation from **Physical Notification** should be notified to **NGC** by the submission of a revision to **Export and Import Limits** in relation to the **BM Unit** or **Generating Unit** so affected;

(b) during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e) may be submitted. Communication between **Users' Control Points** and **NGC** during the outage will be conducted by telephone;

(c) **NGC** will issue **Bid-Offer Acceptances** by telephone; and

(d) no data will be transferred from **NGC** to the **BMRA** until the communication facilities are re-established.

(e) The provisions of BC2.9.7 may also be relevant.

BC2.7 BID-OFFER ACCEPTANCES

BC2.7.1 Acceptance of bids and offers by **NGC**

Bid-Offer Acceptances may be issued to the **Control Point** at any time following **Gate Closure.** Any **Bid-Offer Acceptance** will be consistent with the **Dynamic Parameters, QPNs, Export and Import Limits**, and **Joint BM Unit Data** of the **BM Unit** in so far as the **Balancing Mechanism** timescales will allow (see BC2.7.2).

(a) **NGC** is entitled to assume that each **BM Unit** is available in accordance with the **BM Unit Data** submitted unless and until it is informed of any changes.

(b) **Bid-Offer Acceptances** sent to the **Control Point** will specify the data necessary to define a MW profile to be provided (ramp rate break-points are not normally explicitly sent to the **Control Point**) and to be achieved consistent with the respective **BM Unit's Export and Import Limits, QPNs** and **Joint BM Unit Data** provided or modified under **BC1** or **BC2,** and **Dynamic Parameters** given under BC2.5.3 or, if agreed with the relevant **User,** such rate within those **Dynamic Parameters** as is specified by **NGC** in the **Bid-Offer Acceptances**.

(c) All **Bid-Offer Acceptances** will be deemed to be at the current "**Target Frequency**", namely where a **Genset** is in **Frequency Sensitive Mode** they refer to target output at **Target Frequency**.

(d) The form of and terms to be used by **NGC** in issuing **Bid-Offer Acceptances** together with their meanings are set out in Appendix 1 in the form of a non-exhaustive list of examples.

BC2.7.2 Consistency with **Export and Import Limits, QPNs** and **Dynamic Parameters**

(a) **Bid-Offer Acceptances** will be consistent with the **Export and Import Limits**, **QPNs**, and **Joint BM Unit Data** provided or modified under **BC1** or **BC2** and the **Dynamic Parameters** provided or modified under **BC2**. **Bid-Offer Acceptances** may also recognise **Other Relevant Data** provided or modified under **BC1** or **BC2**

(b) In the case of consistency with **Dynamic Parameters** this will be limited to the time until the end of the **Settlement Period** for which **Gate Closure** has most recently occurred. If **NGC** intends to issue a **Bid-Offer Acceptance** covering a period after the end of the **Settlement Period** for which **Gate Closure** has most recently occurred, based upon the then submitted **Dynamic Parameters**, **QPN's**, **Export and Import Limits**, **Bid-Offer Data** and **Joint BM Unit Data** applicable to that period, **NGC** will indicate this to the **BM Participant** at the **Control Point** for the **BM Unit**. The intention will then be reflected in the issue of a **Bid-Offer Acceptance** to return the **BM Unit** to its previously notified **Physical Notification** after the relevant **Gate Closure** provided the submitted data used to formulate this intention has not changed and subject to **System** conditions which may affect that intention. Subject to that, assumptions regarding **Bid-Offer Acceptances** may be made by **BM Participants** for **Settlement Periods** for which **Gate Closure** has not yet occurred when assessing consistency with **Dynamic Parameters** in **Settlement Periods** for which **Gate Closure** has occurred. If no such subsequent **Bid–Offer Acceptance** is issued, the original **Bid-Offer Acceptance** will include an instantaneous return to **Physical Notification** at the end of the **Balancing Mechanism** period.

BC2.7.3 Confirmation and Rejection of Acceptances

Bid-Offer Acceptances may only be rejected by a **BM Participant** :-

(a) on safety grounds (relating to personnel or plant) as soon as reasonably possible and in any event within five minutes; or

(b) because they are not consistent with the **Export and Import Limits, QPNs**, **Dynamic Parameters** or **Joint BM Unit Data** applicable at the time of issue of the **Bid-Offer Acceptance**.

A reason must always be given for rejection by telephone.

Where a **Bid-Offer Acceptance** is not confirmed within two minutes or is rejected, **NGC** will seek to contact the **Control Point** for the **BM Unit**. **NGC** must then, within 15 minutes of issuing the **Bid-Offer Acceptance**, withdraw the **Bid-Offer Acceptance** or log the **Bid-Offer Acceptance** as confirmed. **NGC** will only log a rejected **Bid-Offer Acceptance** as confirmed following discussion and if the reason given is, in **NGC's** reasonable opinion, not acceptable and **NGC** will inform the **BM Participant** accordingly.

BC2.7.4 Action Required from **BM Participants**

(a) Each **BM Participant** in respect of its **BM Units** will comply in accordance with BC2.7.1 with all **Bid-Offer Acceptances** given by **NGC** with no more than the delay allowed for by the **Dynamic Parameters** unless the **BM Unit** has given notice to **NGC** under the provisions of BC2.7.3 regarding non-acceptance of a **Bid-Offer Acceptance**.

(b) Where a **BM Unit's** input or output changes in accordance with a **Bid-Offer Acceptance** issued under BC2.7.1, such variation does not need to be notified to **NGC** in accordance with BC2.5.1.

(c) In the event that while carrying out the **Bid-Offer Acceptance** an unforeseen problem arises caused by safety reasons (relating to personnel or plant), **NGC** must be notified immediately by telephone and this may lead to revision of **BM Unit Data** in accordance with BC2.5.3

BC2.7.5 Additional Action Required from **Generators**

(a) When complying with **Bid-Offer Acceptances** for a **CCGT Module** a **Generator** will operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**.

(b) When complying with **Bid-Offer Acceptances** for a **CCGT Module** which is a **Range CCGT Module**, a **Generator** must operate that **CCGT Module** so that power is provided at the single **Grid Entry Point** identified in the data given pursuant to PC.A.3.2.1 or at the single **Grid Entry Point** to which **NGC** has agreed pursuant to BC1.4.2 (f).

(c) On receiving a new MW **Bid-Offer Acceptance**, no tap changing shall be carried out to change the Mvar output unless there is a new Mvar **Ancillary Service** instruction issued pursuant to BC2.8.

(d) When complying with **Bid-Offer Acceptances** for a **Power Park Module** a **Generator** will operate its **Power Park Units** in accordance with the applicable **Power Park Module Availability Matrix**.

BC2.8 ANCILLARY SERVICES

This section primarily covers the call-off of **System Ancillary Services**. The provisions relating to **Commercial Ancillary Services** will normally be covered in the relevant **Ancillary Services Agreement**.

BC2.8.1 Call-off of **Ancillary Services** by **NGC**

(a) **Ancillary Service** instructions may be issued at any time.

(b) **NGC** is entitled to assume that each **BM Unit** (or **Generating Unit)** is available in accordance with the **BM Unit Data** (or the **Generating Unit Data**) and data contained in the **Ancillary Services Agreement** unless and until it is informed of any changes.

(c) **Frequency** control instructions may be issued in conjunction with, or separate from, a **Bid-Offer Acceptance**.

(d) The form of and terms to be used by **NGC** in issuing **Ancillary Service** instructions together with their meanings are set out in Appendix 2 in the form of a non-exhaustive list of examples including **Reactive Power** and associated instructions.

(e) In the case of **Generating Units** that do not form part of a **BM Unit** any change in **Active Power** as a result of, or required to enable, the provision of an **Ancillary Service** will be dealt with as part of that **Ancillary Service Agreement** and/or provisions under the **CUSC**.

(f) A **System to Generator Operational Intertripping Scheme** will be armed in accordance with BC2.10.2(a)

BC2.8.2 Consistency with **Export and Import Limits, QPNs** and **Dynamic Parameters**

Ancillary Service instructions will be consistent with the **Export and Import Limits**, **QPNs**, and **Joint BM Unit Data** provided or modified under **BC1** or **BC2** and the **Dynamic Parameters** provided or modified under **BC2**. **Ancillary Service** instructions may also recognise **Other Relevant Data** provided or modified under **BC**1 or **BC2**

BC2.8.3 Rejection of **Ancillary Service** instructions

(a) **Ancillary Service** instructions may only be rejected, by automatic logging device or by telephone, on safety grounds (relating to personnel or plant) or because they are not consistent with the applicable **Export and Import Limits, QPNs, Dynamic Parameters, Joint BM Unit Data**, **Other Relevant Data** or data contained in the **Ancillary Services Agreement** and a reason must be given immediately for non-acceptance.

(b) The issue of **Ancillary Service** instructions for **Reactive Power** will be made with due regard to any resulting change in **Active Power** output. The instruction may be rejected if it conflicts with any **Bid-Offer Acceptance** issued in accordance with BC2.7 or with the **Physical Notification**.

(c) Where **Ancillary Service** instructions relating to **Active Power** and **Reactive Power** are given together, and to achieve the **Reactive Power** output would cause the **BM Unit** to operate outside **Dynamic Parameters** as a result of the **Active Power** instruction being met at the same time, then the timescale of implementation of the **Reactive Power** instruction may be extended to be no longer than the timescale for implementing the **Active Power** instruction but in any case to achieve the Mvar **Ancillary Service** instruction as soon as possible.

BC2.8.4 Action Required from **BM Units**

(a) Each **BM Unit** (or **Generating Unit)** will comply in accordance with BC2.8.1 with all **Ancillary Service** instructions relating to **Reactive Power** properly given by **NGC** within 2 minutes or such longer period as **NGC** may instruct, and all other **Ancillary Service** instructions without delay, unless the **BM Unit** or **Generating Unit** has given notice to **NGC** under the provisions of BC2.8.3 regarding non-acceptance of **Ancillary Service** instructions.

(b) Each **BM Unit** may deviate from the profile of its **Final Physical Notification Data,** as modified by any **Bid-Offer Acceptances** issued in accordance with BC2.7.1, only as a result of responding to **Frequency** deviations when operating in **Frequency Sensitive Mode** in accordance with the **Ancillary Services Agreement**.

(c) Each **Generating Unit** that does not form part of a **BM Unit** may deviate from the profile of its **Final Physical Notification Data** where agreed by **NGC** and the **User**, including but not limited to, as a result of providing **an Ancillary Service** in accordance with the **Ancillary Service Agreement**.

(d) In the event that while carrying out the **Ancillary Service** instructions an unforeseen problem arises caused by safety reasons (relating to personnel or plant), **NGC** must be notified immediately by telephone and this may lead to revision of **BM Unit Data** or **Generating Unit Data** in accordance with BC2.5.3.

BC2.9 EMERGENCY CIRCUMSTANCES

BC2.9.1 Emergency Actions

BC2.9.1.1 In certain circumstances (as determined by **NGC** in its reasonable opinion) it will be necessary, in order to preserve the integrity of the **GB Transmission System** and any synchronously connected **External System**, for **NGC** to issue **Emergency Instructions.** In such circumstances, it may be necessary to depart from normal **Balancing Mechanism** operation in accordance with BC2.7 in issuing **Bid-Offer Acceptances**. **BM Participants** must also comply with the requirements of **BC3**.

BC2.9.1.2 Examples of circumstances that may require the issue of **Emergency Instructions** include:-

(a) **Events** on the **GB Transmission System** or the **System** of another **User**; or

(b) the need to maintain adequate **System** and **Localised NRAPM** in accordance with BC2.9.4 below; or

(c) the need to maintain adequate frequency sensitive **Gensets** in accordance with BC2.9.5 below; or

(d) the need to implement **Demand Control** in accordance with OC6; or

(e) (i) the need to invoke the **Black Start** process or the **Re-Synchronisation of De-Synchronised Island** process in accordance with OC9; or

(ii) the need to request provision of a **Maximum Generation Service**.

BC2.9.1.3 In the case of **BM Units** and **Generating Units** in **Great Britain**, **Emergency Instructions** will be issued by **NGC** direct to the **User** at the **Control Point** for the **BM Unit** or **Generating Unit** and may require an action or response which is outside its **Other Relevant Data**, **QPN**s, or **Export and Import Limits** submitted under **BC1,** or revised under **BC1** or **BC2**, or **Dynamic Parameters** submitted or revised under **BC2**.

BC2.9.1.4 In the case of a **Network Operator** or an **Externally Interconnected System Operator**, **Emergency Instructions** will be issued to its **Control Centre.**

BC2.9.2 Implementation of **Emergency Instructions**

BC2.9.2.1 **Users** will respond to **Emergency Instructions** issued by **NGC** without delay and using all reasonable endeavours to so respond. **Emergency Instructions** may only be rejected by an **User** on safety grounds (relating to personnel or plant) and this must be notified to **NGC** immediately by telephone.

BC2.9.2.2 **Emergency Instructions** will always be prefixed with the words “This is an **Emergency Instruction**” except in the case of **Maximum Generation Service** instructed by electronic data communication facilities where the instruction will be issued in accordance with the provisions of the **Maximum Generation Service Agreement**.

BC2.9.2.3 In all cases under this BC2.9 except BC2.9.1.2 (e) where **NGC** issues an **Emergency Instruction** to a **BM Participant** which is not rejected under BC2.9.2.1, the **Emergency Instruction** shall be treated as a **Bid-Offer Acceptance**. For the

avoidance of doubt, any **Emergency Instruction** issued to a **Network Operator** or to an **Externally Interconnected System Operator** or in respect of a **Generating Unit** that does not form part of a **BM Unit,** will not be treated as a **Bid-Offer Acceptance**.

BC2.9.2.4 In the case of BC2.9.1.2 (e) (ii) where **NGC** issues an **Emergency Instruction** pursuant to a **Maximum Generation Service Agreemen**t payment will be dealt with in accordance with the **CUSC** and the **Maximum Generation Service Agreement**.

BC2.9.3 Examples of **Emergency Instructions**

BC2.9.3.1 In the case of a **BM Unit** or a **Generating Unit**, **Emergency Instructions** may include an instruction for the **BM Unit** or the **Generating Unit** to operate in a way that is not consistent with the **Dynamic Parameters**, **QPNs** and/or **Export and Import Limits**.

BC2.9.3.2 In the case of a **Generator, Emergency Instructions** may include**:**

(a) an instruction to trip one or more **Gensets** (excluding **Operational Intertripping**); or

(b) an instruction to trip **Mills** or to **Part Load** a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2); or

(c) an instruction to **Part Load** a **CCGT Module** or **Power Park Module**; or

(d) an instruction for the operation of **CCGT Units** within a **CCGT Module** (on the basis of the information contained within the **CCGT Module Matrix**) when emergency circumstances prevail (as determined by **NGC** in **NGC's** reasonable opinion); or

(e) an instruction to generate outside normal parameters, as allowed for in 4.2 of the **CUSC**; or

(f) an instruction for the operation of **Generating Units** within a **Cascade Hydro Scheme** (on the basis of the additional information supplied in relation to individual **Generating Units**) when emergency circumstances prevail (as determined by **NGC** in **NGC's** reasonable opinion); or

(g) an instruction for the operation of a **Power Park Module** (on the basis of the information contained within the **Power Park Module Availability Matrix**) when emergency circumstances prevail (as determined by **NGC** in **NGC's** reasonable opinion).

BC2.9.3.3 Instructions to **Network Operators** relating to the **Operational Day** may include:

(a) a requirement for **Demand** reduction and disconnection or restoration pursuant to **OC6**;

(b) an instruction to effect a load transfer between **Grid Supply Points**;

(c) an instruction to switch in a **System to Demand Intertrip Scheme**;

(d) an instruction to split a network;

(e) an instruction to disconnect an item of **Plant** or **Apparatus** from the **System**.

BC2.9.4 Maintaining adequate **System** and **Localised NRAPM (Negative Reserve Active Power Margin)**

BC2.9.4.1 Where **NGC** is unable to satisfy the required **System NRAPM** or **Localised NRAPM** by following the process described in BC1.5.5, **NGC** will issue an **Emergency Instruction** to exporting **BM Units** for **De-Synchronising** on the basis of **Bid-Offer Data** submitted to **NGC** in accordance with BC1.4.2(d).

BC2.9.4.2 In the event that **NGC** is unable to differentiate between exporting **BM Units** according to **Bid-Offer Data**, **NGC** will instruct a **BM Participant** to **Shutdown** a specified exporting **BM Unit** for such period based upon the following factors:

(a) effect on power flows (resulting in the minimisation of transmission losses);

(b) reserve capability;

(c) **Reactive Power** worth;

(d) **Dynamic Parameters**;

(e) in the case of **Localised NRAPM**, effectiveness of output reduction in the management of the **System Constraint**.

BC2.9.4.3 Where **NGC** is still unable to differentiate between exporting **BM Units**, having considered all the foregoing, **NGC** will decide which exporting **BM Unit** to **Shutdown** by the application of a quota for each **BM Participant** in the ratio of each **BM Participant's Physical Notifications**.

BC2.9.4.4 Other than as provided in BC2.9.4.5 and BC2.9.4.6 below, in determining which exporting **BM Units** to **De-Synchronise** under this BC2.9.4, **NGC** shall not consider in such determination (and accordingly shall not instruct to **De-Synchronise**) any **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Gas Cooled Reactor Plant**.

BC2.9.4.5 **NGC** shall be permitted to instruct a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing AGR Plant** to **De-Synchronise** if the relevant **Generating Unit** within the **Existing AGR Plant** has failed to offer to be flexible for the relevant instance at the request of **NGC** within the **Existing AGR Plant Flexibility Limit.**

BC2.9.4.6 Notwithstanding the provisions of BC2.9.4.5 above, if the level of **System NRAPM** (taken together with **System** constraints) or **Localised NRAPM** is such that it is not possible to avoid instructing a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Magnox Reactor Plant** and/or an **Existing AGR Plant** whether or not it has met requests within the **Existing AGR Flexibility Limit** to **De-Synchronise NGC** may, provided the power flow across each **External Interconnection** is either at zero or results in an export of power from the **Total System**, so instruct a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Magnox Reactor Plant** and/or an **Existing AGR Plant** to **De-Synchronise** in the case of **System NRAPM**, in all cases and in the case of **Localised NRAPM**, when the power flow would have a relevant effect.

BC2.9.4.7 When instructing exporting **BM Units** which form part of an **On-Site Generator Site** to reduce generation under this BC2.9.4, **NGC** will not issue an instruction which

would reduce generation below the reasonably anticipated **Demand** of the **On-Site Generator Site**. For the avoidance of doubt, it should be noted that the term **"On-Site Generator Site"** only relates to Trading Units which have fulfilled the Class 1 or Class 2 requirements.

BC2.9.5 Maintaining adequate Frequency Sensitive Generation

BC2.9.5.1 If, post **Gate Closure, NGC** determines, in its reasonable opinion, from the information then available to it (including information relating to **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) breakdown) that the number of and level of **Primary, Secondary** and **High Frequency Response** available from **Gensets** (other than those units within **Existing Gas Cooled Reactor Plant**, which are permitted to operate in **Limited Frequency Sensitive Mode** at all times under BC3.5.3) available to operate in **Frequency Sensitive Mode** is such that it is not possible to avoid **De-Synchronising Existing Gas Cooled Reactor Plant** then provided that:

(a) there are (or, as the case may be, that **NGC** anticipates, in its reasonable opinion, that at the time that the instruction is to take effect there will be) no other **Gensets** generating and exporting on to the **Total System** which are not operating in **Frequency Sensitive Mode** (or which are operating with only a nominal amount in terms of level and duration) (unless, in **NGC's** reasonable opinion, necessary to assist the relief of **System** constraints or necessary as a result of other **System** conditions); and

(b) the power flow across each **External Interconnection** is (or, as the case may be, is anticipated to be at the time that the instruction is to take effect) either at zero or result in an export of power from the **Total System**,

then **NGC** may instruct such of the **Existing Gas Cooled Reactor Plant** to **De-Synchronise** as it is, in **NGC's** reasonable opinion, necessary to **De-Synchronise** and for the period for which the **De-Synchronising** is, in **NGC's** reasonable opinion, necessary.

BC2.9.5.2 If in **NGC's** reasonable opinion it is necessary for both the procedure in BC2.9.4 and that set out in BC2.9.5.1 to be followed in any given situation, the procedure in BC2.9.4 will be followed first, and then the procedure set out in BC2.9.5.1. For the avoidance of doubt, nothing in this sub-paragraph shall prevent either procedure from being followed separately and independently of the other.

BC2.9.6 Emergency Assistance to and from **External Systems**

(a) An **Externally Interconnected System Operator** (in its role as operator of the **External System**) may request that **NGC** takes any available action to increase the **Active Energy** transferred into its **External System**, or reduce the **Active Energy** transferred into the **GB Transmission System** by way of emergency assistance if the alternative is to instruct a demand reduction on all or part of its **External System** (or on the system of an **Interconnector User** using its **External System**). Such request must be met by **NGC** providing this does not require a reduction of **Demand** on the **GB Transmission System**, or lead to a reduction in security on the **GB Transmission System**.

(b) **NGC** may request that an **Externally Interconnected System Operator** takes any available action to increase the **Active Energy** transferred into the **GB Transmission System**, or reduce the **Active Energy** transferred into its **External System** by way of emergency assistance if the alternative is to instruct a **Demand** reduction on all or part of the **GB Transmission System**.

Such request must be met by the **Externally Interconnected System Operator** providing this does not require a reduction of **Demand** on its **External System** (or on the system of **Interconnector Users** using its **External System)**, or lead to a reduction in security on such **External System** or system.

BC2.9.7 Unplanned outages of electronic communication and computing facilities

BC2.9.7.1 In the event of an unplanned outage of the electronic data communication facilities or of **NGC**'s associated computing facilities or in the event of a **Planned Maintenance Outage** lasting longer than the planned duration, in relation to a post-**Gate Closure** period **NGC** will, as soon as it is reasonably able to do so, issue a NGC Computing System Failure notification by telephone or such other means agreed between **Users** and **NGC** indicating the likely duration of the outage.

BC2.9.7.2 During the period of any such outage, the following provisions will apply:

(a) **NGC** will issue further **NGC** Computing System Failure notifications by telephone or such other means agreed between **Users** and **NGC** to all **BM Participants** to provide updates on the likely duration of the outage;

(b) **BM Participants** should operate in relation to any period of time in accordance with the **Physical Notification** prevailing at **Gate Closure** current at the time of the computer system failure in relation to each such period of time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.9.7.2. No further submissions of **BM Unit Data** or **Generating Unit Data** (other than data specified in BC1.4.2(c) (**Export and Import Limits**) and BC1.4.2(e) (**Dynamic Parameters**) should be attempted. Plant failure or similar problems causing significant deviation from **Physical Notification** should be notified to **NGC** by telephone by the submission of a revision to **Export and Import Limits** in relation to the **BM Unit** or **Generating Unit Data** so affected;

(c) Revisions to **Export and Import Limits** and to **Dynamic Parameters** should be notified to **NGC** by telephone and will be recorded for subsequent use;

(d) **NGC** will issue **Bid-Offer Acceptances** by telephone which will be recorded for subsequent use;

(e) No data will be transferred from **NGC** to the **BMRA** until the communication facilities are re-established.

BC2.9.7.3 **NGC** will advise **BM Participants** of the withdrawal of the NGC Computing System Failure notification following the re-establishment of the communication facilities.

BC2.10 OTHER OPERATIONAL INSTRUCTIONS AND NOTIFICATIONS

BC2.10.1 **NGC** may, from time to time, need to issue other instructions or notifications associated with the operation of the **GB Transmission System**.

BC2.10.2 Such instructions or notifications may include**:**

Intertrips

(a) an instruction to arm or disarm an **Operational Intertripping** scheme;

Tap Positions

(b) a request for a **Genset** step-up transformer tap position (for security assessment);

Tests

(c) an instruction to carry out tests as required under **OC5**, which may include the issue of an instruction regarding the operation of **CCGT Units** within a **CCGT Module** at a **Large Power Station;**

Future **BM Unit** Requirements

(d) a reference to any implications for future **BM Unit** requirements and the security of the **GB Transmission System**, including arrangements for change in output to meet post fault security requirements;

(e) Changes to **Target Frequency**
a notification of a change in **Target Frequency**, which will normally only be 49.95, 50.00, or 50.05Hz but in exceptional circumstances as determined by **NGC** in its reasonable opinion, may be 49.90 or 50.10Hz.

BC2.10.3 Where an instruction or notification under BC2.10.2 (c) or (d) results in a change to the input or output level of the **BM Unit** then **NGC** shall issue a **Bid-Offer Acceptance** or **Emergency Instruction** as appropriate.

BC2.11 LIAISON WITH **GENERATORS** FOR RISK OF TRIP AND **AVR** TESTING

BC2.11.1 A **Generator** at the **Control Point** for any of its **Large Power Stations** may request **NGC's** agreement for one of the **Gensets** at that **Power Station** to be operated under a risk of trip. **NGC's** agreement will be dependent on the risk to the **GB Transmission System** that a trip of the **Genset** would constitute.

BC2.11.2 (a) Each **Generator** at the **Control Point** for any of its **Large Power Stations** will operate its **Synchronised Gensets** (excluding **Power Park Modules**) with:

(i) **AVRs** in constant terminal voltage mode with VAR limiters in service at all times. **AVR** constant **Reactive Power** or **Power Factor** mode should, if installed, be disabled; and

(ii) its generator step-up transformer tap changer selected to manual mode,

unless released from this obligation in respect of a particular **Genset** by **NGC**.

(b) Each **Generator** at the **Control Point** for any of its **Large Power Stations** will operate its **Power Park Modules** with a **Completion Date** before 1st January 2006 at unity power factor at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**).

(c) Each **Generator** at the **Control Point** for any of its **Large Power Stations** will operate its **Power Park Modules** with a **Completion Date** on or after 1st January 2006 in voltage control mode at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**). Constant **Reactive Power** or **Power Factor** mode should, if installed, be disabled.

(d) Where a **Power System Stabiliser** is fitted as part of the excitation system or voltage control system of a **Genset**, it requires on-load commissioning which must be witnessed by **NGC**. Only when the performance of the **Power System Stabiliser** has been approved by **NGC** shall it be switched into service by a **Generator** and then it will be kept in service at all times unless otherwise agreed with **NGC**. Further reference is made to this in CC.6.3.8.

BC2.11.3 A **Generator** at the **Control Point** for any of its **Power Stations** may request **NGC's** agreement for one of its **Gensets** at that **Power Station** to be operated with the **AVR** in manual mode, or **Power System Stabiliser** switched out, or VAR limiter switched out. **NGC's** agreement will be dependent on the risk that would be imposed on the **GB Transmission System** and any **User System**. Provided that in any event a **Generator** may take such action as is reasonably necessary on safety grounds (relating to personnel or plant) .

BC2.12 LIAISON WITH **EXTERNALLY INTERCONNECTED SYSTEM OPERATORS**

BC2.12.1 Co-ordination role of **Externally Interconnected System Operators**

(a) The **Externally Interconnected System Operator** will act as the **Control Point** for **Bid-Offer Acceptances** on behalf of **Interconnector Users** and will co-ordinate instructions relating to **Ancillary Services** and **Emergency Instructions** on behalf of **Interconnector Users** using its **External System** in respect of each **Interconnector User's BM Units**.

(b) **NGC** will issue **Bid-Offer Acceptances** and instructions for **Ancillary Services** relating to **Interconnector Users' BM Units** to each **Externally Interconnected System Operator** in respect of each **Interconnector User** using its **External System**.

(c) If, as a result of a reduction in the capability (in MW) of the **External Interconnection**, the total of the **Physical Notifications** and **Bid-Offer Acceptances** issued for the relevant period using that **External Interconnection**, as stated in the **BM Unit Data** exceeds the reduced capability (in MW) of the respective **External Interconnection** in that period then **NGC** shall notify the **Externally Interconnected System Operator** accordingly. The **Externally Interconnected System Operator** should seek a revision of **Export and Import Limits** from one or more of its **Interconnector Users** for the remainder of the **Balancing Mechanism** period during which **Physical Notifications** cannot be revised.

Appendix 1 – Form of **Bid-Offer Acceptances**

BC2.A.1.1 This Appendix describes the forms of **Bid-Offer Acceptances**. As described in BC2.6.1 **Bid-Offer Acceptances** are normally given by an automatic logging device, but in the event of failure of the logging device, **Bid-Offer Acceptances** will be given by telephone.

BC2.A.1.2 For each **BM Unit** the **Bid-Offer Acceptance** will consist of a series of MW figures and associated times.

BC2.A.1.3 The **Bid-Offer Acceptances** relating to **CCGT Modules** will assume that the **CCGT Units** within the **CCGT Module** will operate in accordance with the **CCGT Module Matrix**, as required by **BC1**. The **Bid-Offer Acceptances** relating to **Cascade Hydro Schemes** will assume that the **Generating Unit** forming part of the **Cascade Hydro Scheme** will operate, where submitted, in accordance with the **Cascade Hydro Scheme Matrix** submitted under **BC1**.

BC2.A.1.4 **BID-OFFER ACCEPTANCES** GIVEN BY AUTOMATIC LOGGING DEVICE.

(a) The complete form of the **Bid-Offer Acceptance** is given in the EDL Message Interface Specification which can be made available to **Users** on request.

(b) **Bid-Offer Acceptances** will normally follow the form:

(i) **BM Unit** Name
(ii) Instruction Reference Number
(iii) Time of instruction
(iv) Type of instruction
(v) **BM Unit Bid-Offer Acceptance** number
(vi) Number of MW/Time points making up instruction (minimum 2, maximum 5)
(vii) MW value and Time value for each point identified in (vi)

The times required in the instruction are input and displayed in London time, but communicated electronically in GMT.

BC2.A.1.5 **BID-OFFER ACCEPTANCES** GIVEN BY TELEPHONE

(a) All run-up/run-down rates will be assumed to be constant and consistent with **Dynamic Parameters**. Each **Bid-Offer Acceptance** will, wherever possible, be kept simple, drawing as necessary from the following forms and BC2.7

(b) **Bid-Offer Acceptances** given by telephone will normally follow the form:

(i) an exchange of operator names;
(ii) **BM Unit** Name;
(iii) Time of instruction;
(iv) Type of instruction;
(v) Number of MW/Time points making up instruction (minimum 2, maximum 5)
(vi) MW value and Time value for each point identified in (v)

The times required in the instruction are expressed in London time.

For example, for a BM Unit ABCD-1 acceptance logged with a start time at 1400 hours and with a FPN at 300MW:

"BM Unit ABCD-1 Bid-Offer Acceptance timed at 1400 hours. Acceptance consists of 4 MW/Time points as follows:

300MW at 1400 hours
400MW at 1415 hours
400MW at 1450 hours
300MW at 1500 hours"

BC2.A.1.6 SUBMISSION OF **BID-OFFER ACCEPTANCE** DATA TO THE **BMRA**

The relevant information contained in **Bid-Offer Acceptances** issued by **NGC** will be converted into "from" and "to" MW levels and times before they are submitted to the **BMRA** by **NGC.**

Appendix 2 - Type and Form of **Ancillary Service** Instructions

BC2.A.2.1 This part of the Appendix consists of a non-exhaustive list of the forms and types of instruction for a **Genset** to provide **System Ancillary Services.** There may be other types of **Commercial Ancillary Services** and these will be covered in the relevant **Ancillary Services Agreement**. In respect of the provision of **Ancillary Services** by **Generating Units** the forms and types of instruction will be in the form of this Appendix 2 unless amended in the **Ancillary Services Agreement**.

As described in CC.8, **System Ancillary Services** consist of Part 1 and Part 2 **System Ancillary Services.**

Part 1 System Ancillary Services comprise:

(a) **Reactive Power** supplied other than by means of synchronous or static compensators. This is required to ensure that a satisfactory **System** voltage profile is maintained and that sufficient **Reactive Power** reserves are maintained under normal and fault conditions. **Ancillary Service** instructions in relation to **Reactive Power** may include:

(i) Mvar Output
(ii) Target Voltage Levels
(iii) Tap Changes
(iv) Maximum Mvar Output ('maximum excitation')
(v) Maximum Mvar Absorption ('minimum excitation')

(b) **Frequency** Control by means **of Frequency** sensitive generation. **Gensets** may be required to move to or from **Frequency Sensitive Mode** in the combinations agreed in the relevant **Ancillary Services Agreement**. They will be specifically requested to operate so as to provide **Primary Response** and/or **Secondary Response** and/or **High Frequency Response**.

Part 2 System Ancillary Services comprise:

(c) **Frequency** Control by means of **Fast Start.**

(d) **Black Start Capability**

(e) **System to Generator Operational Intertripping**

BC2.A.2.2 As **Ancillary Service** instructions are not part of **Bid-Offer Acceptances** they do not need to be closed instructions and can cover any period of time, not just limited to the period of the **Balancing Mechanism**.

BC2.A.2.3 As described in BC2.6.1 **Ancillary Service** instructions are normally given by automatic logging device, but in the absence of, or in the event of failure of the logging device, instructions will be given by telephone.

BC2.A.2.4 INSTRUCTIONS GIVEN BY AUTOMATIC LOGGING DEVICE.

(a) The complete form of the **Ancillary Service** instruction is given in the EDL Message Interface Specification which is available to **Users** on request from **NGC**.

(b) **Ancillary Service** instructions for **Frequency** Control will normally follow the form:

(i) **BM Unit** Name
(ii) Instruction Reference Number
(iii) Time of instruction
(iv) Type of instruction (REAS)
(v) Reason Code
(vi) Start Time

(c) **Ancillary Service** instructions for **Reactive Power** will normally follow the form:

(i) **BM Unit** Name
(ii) Instruction Reference Number
(iii) Time of instruction
(iv) Type of instruction (MVAR, VOLT or TAPP)
(v) Target Value
(vi) Target Time

The times required in the instruction are input and displayed in London time, but communicated electronically in GMT.

BC2.A.2.5 INSTRUCTIONS GIVEN BY TELEPHONE

(a) **Ancillary Service** instructions for **Frequency** Control will normally follow the form:

(i) an exchange of operator names;
(ii) **BM Unit** Name;
(iii) Time of instruction;
(iv) Type of instruction;
(v) Start Time.

The times required in the instruction are expressed in London time.

For example, for **BM Unit** ABCD-1 instructed at 1400 hours to provide Primary and **High Frequency** response starting at 1415 hours:

"**BM Unit** ABCD-1 message timed at 1400 hours. Unit to **Primary and High Frequency Response** at 1415 hours"

(b) **Ancillary Service** instructions for **Reactive Power** will normally follow the form:

(i) an exchange of operator names;
(ii) **BM Unit** Name;
(iii) Time of instruction;
(iv) Type of instruction (MVAR, VOLT or TAPP)
(v) Target Value
(vi) Target Time.

The times required in the instruction are expressed as London time.

For example, for **BM Unit** ABCD-1 instructed at 1400 hours to provide 100Mvar by 1415 hours:

"**BM Unit** ABCD-1 message timed at 1400 hours. MVAR instruction. Unit to plus 100 Mvar target time 1415 hours."

BC2.A.2.6 **Reactive Power**

As described in BC2.A.2.4 and BC2.A.2.5 instructions for **Ancillary Services** relating to **Reactive Power** may consist of any of several specific types of instruction. The following table describes these instructions in more detail:

Instruction Name	Description	Type of Instruction
Mvar Output	The individual Mvar output from the **Genset** onto the **GB Transmission System** at the **Grid Entry Point** (or onto the **User System** at the **User System Entry Point** in the case of **Embedded Power Stations**), namely on the higher voltage side of the generator step-up transformer. In relation to each **Genset**, where there is no HV indication, **NGC** and the **Generator** will discuss and agree equivalent Mvar levels for the corresponding LV indication. Where a **Genset** is instructed to a specific Mvar output, the **Generator** must achieve that output within a tolerance of +/-25 Mvar (for **Gensets** in England and Wales) or the lesser of +/- 5% of rated output or 25Mvar (for **Gensets** in Scotland) (or such other figure as may be agreed with **NGC**) by tap changing on the generator step-up transformer, unless agreed otherwise. Once this has been achieved, the **Generator** will not tap again without prior consultation with and the agreement of **NGC**, on the basis that Mvar output will be allowed to vary with **System** conditions.	MVAR
Target Voltage Levels	Target voltage levels to be achieved by the **Genset** on the **GB Transmission System** at the **Grid Entry Point** (or on the **User System** at the **User System Entry Point** in the case of **Embedded Power Stations**, namely on the higher voltage side of the generator step-up transformer. Where a **Genset** is instructed to a specific target voltage, the **Generator** must achieve that target within a tolerance of ±1 kV (or such other figure as may be agreed with **NGC**) by tap changing on the generator step-up transformer, unless agreed otherwise with **NGC**. In relation to each **Genset**, where there is no HV indication, **NGC** and the **Generator** will discuss and agree equivalent voltage levels for the corresponding LV indication. Under normal operating conditions, once this target voltage level has been achieved the **Generator** will not tap again without prior consultation with, and with the agreement of, **NGC**. However, under certain circumstances the **Generator** may be instructed to maintain a target voltage until otherwise instructed and this will be achieved by tap changing on the generator step-up transformer without reference to **NGC**.	VOLT

Instruction Name	Description	Type of Instruction
Tap Changes	Details of the required generator step-up transformer tap changes in relation to a **Genset**. The instruction for tap changes may be a **Simultaneous Tap Change** instruction, whereby the tap change must be effected by the **Generator** in response to an instruction from **NGC** issued simultaneously to relevant **Power Stations**. The instruction, which is normally preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from **NGC** of the instruction. For a **Simultaneous Tap Change,** change **Genset** generator step-up transformer tap position by one [two] taps to raise or lower (as relevant) **System** voltage, to be executed at time of instruction.	TAPP
Maximum Mvar Output ("maximum excitation")	Under certain conditions, such as low **System** voltage, an instruction to maximum Mvar output at instructed MW output ("maximum excitation") may be given, and a **Generator** should take appropriate actions to maximise Mvar output unless constrained by plant operational limits or safety grounds (relating to personnel or plant).	
Maximum Mvar Absorption ("minimum excitation")	Under certain conditions, such as high **System** voltage, an instruction to maximum Mvar absorption at instructed MW output ("minimum excitation") may be given, and a **Generator** should take appropriate actions to maximise Mvar absorption unless constrained by plant operational limits or safety grounds (relating to personnel or plant).	

BC2.A.2.7 In addition, the following provisions will apply to **Reactive Power** instructions:

(a) In circumstances where **NGC** issues new instructions in relation to more than one **BM Unit** at the same **Power Station** at the same time tapping will be carried out by the **Generator** one tap at a time either alternately between (or in sequential order, if more than two), or at the same time on, each **BM Unit**.

(b) Where the instructions require more than two taps per **BM Unit** and that means that the instructions cannot be achieved within 2 minutes of the instruction time (or such longer period at **NGC** may have instructed), the instructions must each be achieved with the minimum of delay after the expiry of that period.

(c) It should be noted that should **System** conditions require, **NGC** may need to instruct maximum Mvar output to be achieved as soon as possible, but (subject to the provisions of paragraph (BC2.A.2.7(b) above) in any event no later than 2 minutes after the instruction is issued.

(d) An **Ancillary Service** instruction relating to **Reactive Power** may be given in respect of **CCGT Units** within a **CCGT Module** at a **Power Station** where running arrangements and/or **System** conditions require, in both cases where exceptional circumstances apply and connection arrangements permit.

(e) In relation to Mvar matters, Mvar generation/output is an export onto the **System** and is referred to as "lagging Mvar", and Mvar absorption is an import from the **System** and is referred to as "leading Mvar".

(f) It should be noted that the excitation control system constant **Reactive Power** output control mode or constant power factor output control mode will always be disabled, unless agreed otherwise with **NGC**.

Appendix 3 – Submission of Revised Mvar Capability

BC2.A.3.1 For the purpose of submitting revised Mvar data the following terms shall apply:

Full Output	In the case of a **Synchronous Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) is the MW output measured at the generator stator terminals representing the LV equivalent of the **Registered Capacity** at the **Grid Entry Point**, and in the case of a **Non-Synchronous Generating Unit** (excluding **Power Park Units**), **DC Converter** or **Power Park Module** is the **Registered Capacity** at the **Grid Entry Point**
Minimum Output	In the case of a **Synchronous Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) is the MW output measured at the generator stator terminals representing the LV equivalent of the **Minimum Generation** at the **Grid Entry Point**, and in the case of a **Non-Synchronous Generating Unit** (excluding **Power Park Units**), **DC Converter** or **Power Park Module** is the **Minimum Generation** at the **Grid Entry Point**

BC2.A.3.2 The following provisions apply to faxed submission of revised Mvar data:

(a) The fax must be transmitted to **NGC** (to the relevant location in accordance with GC6) and must contain all the sections from the relevant part of Annexures 1 and 2 but with only the data changes set out. The "notification time" must be completed to refer to the time of transmission, where the time is expressed as London time.

(b) Upon receipt of the fax, **NGC** will acknowledge receipt by sending a fax back to the **User**. The acknowledgement will either state that the fax has been received and is legible or will state that it (or part of it) is not legible and will request re-transmission of the whole (or part) of the fax.

(c) Upon receipt of the acknowledging fax the **User** will, if requested, re-transmit the whole or the relevant part of the fax.

(d) The provisions of paragraphs (b) and (c) then apply to that re-transmitted fax.

APPENDIX 3 - ANNEXURE 1

Optional Logo

Company name REVISED Mvar DATA

TO: NGC Transmission Control Centre Fax telephone No.

Number of pages inc. header:.....

Sent By : .....

Return Acknowledgement Fax to .....

For Retransmission or Clarification ring.....

Acknowledged by **NGC**: (Signature)

.....

Acknowledgement time and date .....

Legibility of FAX : Acceptable ☐

Unacceptable ☐ (Resend FAX)
(List pages if appropriate)

APPENDIX 3 - ANNEXURE 2

To: NGC Transmission Control Centre

From : [*Company Name & Location*]

REVISED Mvar DATA

NOTIFICATION TIME:

HRS	MINS	DD	MM	YY
.		/	/	

GENERATING UNIT* /POWER PARK MODULE DC CONVERTER	

Start Time/Date (if not effective immediately)

REACTIVE POWER CAPABILITY AT SYNCHRONOUS GENERATING UNIT STATOR TERMINAL (at rated terminal volts) **OR AT THE CONNECTION POINT FOR OTHER GENSETS AND DC CONVERTERS**

	MW	LEAD (Mvar)	LAG (Mvar)
AT **RATED MW**			
AT FULL OUTPUT (MW)			
AT MINIMUM OUTPUT (MW)			

GENERATING UNIT STEP-UP TRANSFORMER DATA, WHERE APPLICABLE

TAP CHANGE RANGE (+%,-%)	TAP NUMBER RANGE

OPTIONAL INFORMATION (for Ancillary Services use only) **-**
REACTIVE POWER CAPABILITY AT COMMERCIAL BOUNDARY (at rated stator terminal and nominal system volts)

	LEAD (Mvar)	LAG (Mvar)
AT **RATED MW**		

Predicted End Time/Date (to be confirmed by redeclaration)

Redeclaration made by (Signature) _____

Generating Unit has the meaning given in the Glossary and Definitions and is not limited by BC2.2.

* For a CCGT, the redeclaration is for an individual CCGT unit and not the entire module.

< End of BC2 >

CODE	PAGE	CLAUSE
G&D	5	Definitions of Category 1, 2, 3 and 4 Intertripping Scheme added
	11	Definition of Earthing amended
	19	Definition of Group added
	22	Definition of Isolation amended
	35	Definitions of Secured Event and Security and Quality of Supply Standard added
	40	Definitions of System to Generator Operational Intertripping and System to Generator Intertripping Scheme added
G&D – Pages 5 to 46 page breaks amended		
CC	12	CC.6.2.3.2(b) added
	15	CC.6.3.3(b) amended
	23	CC.6.3.17 added
	34	CC.8.1(e) added
CC – Pages 15 to 33 page breaks amended		
OC2	12	OC2.4.1.3.2(e) amended
	14	OC2.4.1.3.3(h) (ii) amended
	17	OC2.4.1.3.4 (d) after (iii) amended OC2.4.1.3.5 (a) (ii) amended
OC2 – Pages 12 to 17 page breaks amended		
OC8A	2	OC8A.1.6.2 (2) and (3) amended
	6	OC8A.5.2.2 (c) (i) amended
	7	OC8A.5.2.3 amended OC8A.5.3.2 (b) (i) amended
	8	OC8A.5.4.2 amended
	9	OC8A.5.5.5 amended
	13	OC8A.8.4.2 formatting amended
OC8A – Paged 2 to 21 page breaks amended		

OC8B	2	OC8B.1.7.2 (2) and (3) amended
	7	OC8B.5.2.2 (c) (i) amended OC8B.5.2.3 amended
	8	OC8B.5.3.2 (b) (i) amended OC8B.5.4.2 amended
	9	OC8B.5.5.5 amended
	13	OC8B.8.4.2 formatting amended
OC8B – Pages 2 to 11 page breaks amended		
BC1	11	BC1.7.1 (a) amended
BC2	4	BC2.5.2.3(b) amended
	5	BC2.5.3.1 amended
	11	BC2.8.1 (f) added
	14	BC2.9.3.2 (a) amended
	17	BC2.10.2 (a) added
	18	BC2.10.3 amended
	22	BC2.A.2.1 (e) added
BC2 – Pages 2 to 19, 22 to 25 page breaks amended		