Our Ref:

Your Ref:

Date: May 2006

To: All Recipients of the Serviced Grid Code

Commercial
Electricity Balancing and Codes

National Grid Electricity Transmission plc
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Dear Sir/Madam

THE SERVICED GRID CODE – ISSUE 3 REVISION 16

Revision 16 of Issue 3 of the Grid Code has been approved by the Authority for implementation on **30th May 2006**.

I have enclosed the replacement pages that incorporate the agreed changes necessary to update the Grid Code Issue 3 to Revision 16 standard.

The enclosed note provides a brief summary of the changes made to the text.

Yours faithfully

L Macleod
Electricity Codes

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Registered in
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No 2366977

THE GRID CODE – ISSUE 3 REVISION 16

INCLUSION OF REVISED PAGES

Title Page			
Glossary and Definitions	**G&D**	**-**	**Page 18**
Balancing Code 1	**BC1**	**-**	**Content Page and Pages 1 to 6**
Balancing Code 2	**BC2**	**-**	**Pages 1 to 20**
Revisions		-	**Page 19**

NOTE: See Page 1 of the Revisions section of the Grid Code for details of how the revisions are indicated on the pages.

NATIONAL GRID ELECTRICITY TRANSMISSION PLC

THE GRID CODE – ISSUE 3 REVISION 16

SUMMARY OF CHANGES

The changes arise from the implementation of modifications proposed in the following Consultation Papers:

- **I/05** - Grid Code Balancing Code Changes associated with Embedded Exemptable Large Power Stations

THE GRID CODE

Issue 3

Revision 16
30th May 2006

THIS DOCUMENT IS ISSUED BY:-

NATIONAL GRID ELECTRICITY TRANSMISSION plc
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GB Transmission System

The system consisting (wholly or mainly) of high voltage electric lines owned or operated by **Transmission Licensees** within **Great Britain** and used for the transmission of electricity from one **Power Station** to a sub-station or to another **Power Station** or between sub-stations or to or from any **External Interconnection**, and includes any **Plant** and **Apparatus** and meters owned or operated by any **Transmission Licensee** within **Great Britain** in connection with the transmission of electricity but does not include any **Remote Transmission Assets**.

GB Transmission System Demand

The amount of electricity supplied from the **Grid Supply Points** plus:-

- that supplied by **Embedded Large Power Stations**, and
- exports from the **GB Transmission System** across **External Interconnections**, and
- **GB Transmission System Losses**,

and, for the purposes of this definition, includes:-

- the **Demand** taken by **Station Transformers** and **Pumped Storage Units.**

GB Transmission System Losses

The losses of electricity incurred on the **GB Transmission System**.

GB Transmission System Study Network Data File

A computer file containing details of transmission plant and **Large Power Stations** and the configuration of the connection between them, together with data on **Demand** and on the **GB Transmission System**. These details, when read together as represented in the file, form **NGET's** view of an appropriate representation of the **GB Transmission System** for technical analysis purposes only. The file will only deal with the **GB Transmission System**

GB Transmission System Warning

A warning issued by **NGET** to **Users** (or to certain **Users** only) in accordance with OC7.4.8.2, which provides information relating to **System** conditions or **Events** and is intended to :

(a) alert **Users** to possible or actual **Plant** shortage, **System** problems and/or **Demand** reductions;

(b) inform of the applicable period;

(c) indicate intended consequences for **Users**; and

(d) enable specified **Users** to be in a state of readiness to receive instructions from **NGET**.

GB Transmission System Warning - Demand Control Imminent

A warning issued by **NGET**, in accordance with OC7.4.8.7, which is intended to provide short term notice, where possible, to those **Users** who are likely to receive **Demand** reduction instructions from **NGET** within 30 minutes.

GB Transmission System Warning - High Risk of Demand Reduction	A warning issued by **NGET**, in accordance with OC7.4.8.6, which is intended to alert recipients that there is a high risk of **Demand** reduction being implemented and which may normally result from an inadequate **System Margin**.
GB Transmission System Warning - Inadequate System Margin	A warning issued by **NGET**, in accordance with OC7.4.8.5, which is intended to alert recipients of an inadequate **System Margin** and which if not improved may result in **Demand** reduction being instructed.
GB Transmission System Warning - Risk of System Disturbance	A warning issued by **NGET**, in accordance with OC7.4.8.8, which is intended to alert **Users** of the risk of widespread and serious **System** disturbance which may affect **Users**.
General Conditions or **GC**	That portion of the **Grid Code** which is identified as the **General Conditions**.
Generating Plant Demand Margin	The difference between **Output Usable** and forecast **Demand**.
Generating Unit	Unless otherwise provided in the **Grid Code**, any **Apparatus** which produces electricity, including, a **Synchronous Generating Unit** and **Non-synchronous Generating Unit**.
Generating Unit Data	The **Physical Notification**, **Export and Import Limits and Other Relevant Data** only in respect of each **Generating Unit**: (a) which forms part of the **BM Unit** which represents that **Cascade Hydro Scheme**; (b) at an **Embedded Exemptable Large Power Station**, where the relevant **Bilateral Agreement** specifies that compliance with **BC1** and/or **BC2** is required: i) to each **Generating Unit**, or ii) to each **Power Park Module** where the **Power Station** comprises **Power Park Modules**
Generation Capacity	Has the meaning set out in the **BSC**.
Generation Planning Parameters	Those parameters listed in Appendix 2 of **OC2**.
Generator	A person who generates electricity under licence or exemption under the **Act** acting in its capacity as a generator in **Great Britain**.
Generator Performance Chart	A diagram which shows the MW and Mvar capability limits within which a **Generating Unit** will be expected to operate under steady state conditions.

BALANCING CODE No 1

PRE GATE CLOSURE PROCESS

CONTENTS

(This contents page does not form part of the Grid Code)

BALANCING CODE No 1

PRE GATE CLOSURE PROCESS

BC1.1 INTRODUCTION

Balancing Code No1 (BC1) sets out the procedure for:

(a) the submission of **BM Unit Data** and/or **Generating Unit Data** by each **BM Participant**;

(b) the submission of certain **System** data by each **Network Operator**; and

(c) the provision of data by **NGET,**

in the period leading up to **Gate Closure**.

BC1.2 OBJECTIVE

The procedure for the submission of **BM Unit Data** and/or **Generating Unit Data** is intended to enable **NGET** to assess which **BM Units** and **Generating Units** are expected to be operating in order that **NGET** can ensure (so far as possible) the integrity of the **GB Transmission System**, and the security and quality of supply.

Where reference is made in this **BC1** to **Generating Units** (unless otherwise stated) it only applies:

(a) to each **Generating Unit** which forms part of the **BM Unit** of a **Cascade Hydro Scheme**; and

(b) at an **Embedded Exemptable Large Power Station** where the relevant **Bilateral Agreement** specifies that compliance with **BC1** is required:

i) to each **Generating Unit**, or

ii) to each **Power Park Module** where the **Power Station** comprises **Power Park Modules**.

BC1.3 SCOPE

BC1 applies to **NGET** and to **Users**, which in this **BC1** means:-

(a) **BM Participants;**

(b) **Externally Interconnected System Operators**; and

(c) **Network Operators**.

BC1.4 SUBMISSION OF DATA

In the case of **BM Units** or **Generating Units Embedded** in a **User System**, any data submitted by **Users** under this **BC1** must represent the value of the data at the relevant **Grid Supply Point**.

BC1.4.1 Communication with **Users**

(a) Submission of **BM Unit Data** and **Generating Unit Data** by **Users** to **NGET** specified in BC1.4.2 to BC1.4.4 (with the exception of BC1.4.2(f)) is to be by use of electronic data communications facilities, as provided for in CC.6.5.8. However, data specified in BC1.4.2(c) and BC1.4.2(e) only, may be revised by telephone following its initial submission by electronic data communication facilities.

(b) In the event of a failure of the electronic data communication facilities, the data to apply in relation to a pre-**Gate Closure** period will be determined in accordance with the **Data Validation, Consistency and Defaulting Rules**, based on the most recent data received and acknowledged by **NGET**.

(c) **Planned Maintenance Outages** will normally be arranged to take place during periods of low data transfer activity.

(d) Upon any **Planned Maintenance Outage**, or following an unplanned outage described in BC1.4.1(b) (where it is termed a "failure") in relation to a pre-**Gate Closure** period:-

(i) **BM Participants** should continue to act in relation to any period of time in accordance with the **Physical Notifications** current at the time of the start of the **Planned Maintenance Outage** or the computer system failure in relation to each such period of time subject to the provisions of BC2.5.1. Depending on when in relation to **Gate Closure** the planned or unplanned maintenance outage arises such operation will either be operation in preparation for the relevant output in real time, or will be operation in real time. No further submissions of **BM Unit Data** and/or **Generating Unit Data** (other than data specified in BC1.4.2(c) and BC1.4.2(e)) should be attempted. Plant failure or similar problems causing significant deviation from **Physical Notification** should be notified to **NGET** by the submission of a revision to **Export and Import Limits** in relation to the **BM Unit** and /or **Generating Unit** so affected;

(ii) during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e) may be submitted. Communication between **Users' Control Points** and **NGET** during the outage will be conducted by telephone; and

(iii) no data will be transferred from **NGET** to the **BMRA** until the communication facilities are re-established.

BC1.4.2 Day Ahead Submissions

Data for any **Operational Day** may be submitted to **NGET** up to several days in advance of the day to which it applies, as provided in the **Data Validation, Consistency and Defaulting Rules**. However, **Interconnector Users** must submit **Physical Notifications,** and any associated data as necessary, each day by 11:00 hours in respect of the next following **Operational Day** in order that the information used in relation to the capability of the respective **External Interconnection** is

expressly provided. **NGET** shall not by the inclusion of this provision be prevented from utilising the provisions of BC1.4.5 if necessary.

The data may be modified by further data submissions at any time prior to **Gate Closure**, in accordance with the other provisions of **BC1**. The data to be used by **NGET** for operational planning will be determined from the most recent data that has been received by **NGET** by 11:00 hours on the day before the **Operational Day** to which the data applies, or from the data that has been defaulted at 11:00 hours on that day in accordance with BC1.4.5. Any subsequent revisions received by **NGET** under the **Grid Code** will also be utilised by **NGET**. In the case of all data items listed below, with the exception of item (e), **Dynamic Parameters** (Day Ahead), the latest submitted or defaulted data, as modified by any subsequent revisions, will be carried forward into operational timescales. The individual data items are listed below:-

(a) **Physical Notifications**

Physical Notifications, being the data listed in **BC1** Appendix 1 under that heading, are required by **NGET** at 11:00 hours each day for each **Settlement Period** of the next following **Operational Day,** in respect of;

(1) **BM Units**:-

(i) with a **Demand Capacity** with a magnitude of 50MW or more in England and Wales or 5MW or more in Scotland; or

(ii) comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC1.2) and/or **CCGT Modules** at and/or **Power Park Modules** in each case **Large Power Stations** and **Medium Power Stations;** or

(iii) where the **BM Participant** chooses to submit **Bid-Offer Data** in accordance with BC1.4.2(d) for **BM Units** not falling within (i) or (ii) above,

and

(2) each **Generating Unit** where applicable under BC1.2.

Physical Notifications may be submitted to **NGET** by **BM Participants,** for the **BM Units**, and **Generating Units**, specified in this BC1.4.2(a) at an earlier time, or **BM Participants** may rely upon the provisions of BC1.4.5 to create the **Physical Notifications** by data defaulting pursuant to the **Grid Code** utilising the rules referred to in that paragraph at 11:00 hours in any day.

Physical Notifications (which must comply with the limits on maximum rates of change listed in **BC1** Appendix 1) must, subject to the following operating limits, represent the **User's** best estimate of expected input or output of **Active Power** and shall be prepared in accordance with **Good Industry Practice**. **Physical Notifications** for any **BM Unit**, and any **Generating Units**, should normally be consistent with the **Dynamic Parameters** and **Export and Import Limits** and must not reflect any **BM Unit** or any **Generating Units**, proposing to operate outside the limits of its **Demand Capacity** and (and in the case of **BM Units**) **Generation Capacity** and, in the case of a **BM Unit** comprising a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC1.2) or **CCGT Module** or **Power Park Module**, its **Registered Capacity.**

These **Physical Notifications** provide, amongst other things, indicative **Synchronising** and **De-Synchronising** times to **NGET** in respect of any **BM Unit** comprising a **Generating Unit** (as defined in the Glossary and Definitions and not

limited by BC1.2) or **CCGT Module** or **Power Park Module**, and for any **Generating Units**, and provide an indication of significant **Demand** changes in respect of other **BM Units**.

(b) **Quiescent Physical Notifications**

Each **BM Participant** may, in respect of each of its **BM Units**, submit to **NGET** for each **Settlement Period** of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Quiescent Physical Notifications**" to amend the data already held by **NGET** in relation to **Quiescent Physical Notifications,** which would otherwise apply for those **Settlement Periods**.

(c) **Export and Import Limits**

Each **BM Participant** may, in respect of each of its **BM Units** and its **Generating Units** submit to **NGET** for any part or for the whole of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Export and Import Limits**" to amend the data already held by **NGET** in relation to **Export and Import Limits,** which would otherwise apply for those **Settlement Periods.**

Export and Import Limits respectively represent the maximum export to or import from the **GB Transmission System** for a **BM Unit** and a **Generating Unit** and are the maximum levels that the **BM Participant** wishes to make available and must be prepared in accordance with **Good Industry Practice**.

(d) **Bid-Offer Data**

Each **BM Participant** may, in respect of each of its **BM Units**, but must not in respect of its **Generating Units** submit to **NGET** for any **Settlement Period** of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Bid-Offer Data**" to amend the data already held by **NGET** in relation to **Bid-Offer Data**, which would otherwise apply to those **Settlement Periods**. The submitted **Bid-Offer Data** will be utilised by **NGET** in the preparation and analysis of its operational plans for the next following **Operational Day**. **Bid-Offer Data** may not be submitted unless an automatic logging device has been installed at the **Control Point** for the **BM Unit** in accordance with CC.6.5.8(b).

(e) **Dynamic Parameters** (Day Ahead)

Each **BM Participant** may, in respect of each of its **BM Units**, but must not in respect of its **Generating Units** submit to **NGET** for the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Dynamic Parameters**" to amend that data already held by **NGET.**

These **Dynamic Parameters** shall reasonably reflect the expected true operating characteristics of the **BM Unit** and shall be prepared in accordance with **Good Industry Practice**. In any case where non-zero **QPN** data has been provided in accordance with BC1.4.2(b), the **Dynamic Parameters** will apply to the element being offered for control only, i.e. to the component of the **Physical Notification** between the **QPN** and the full level of the **Physical Notification**.

The **Dynamic Parameters** applicable to the next following **Operational Day** will be utilised by **NGET** in the preparation and analysis of its operational plans for the next following **Operational Day** and may be used to instruct certain **Ancillary Services**. For the avoidance of doubt, the **Dynamic Parameters** to be used in the current **Operational Day** will be those submitted in accordance with BC2.5.3.1.

(f) **Other Relevant Data**

By 11:00 hours each day each **BM Participant,** in respect of each of its **BM Units** and **Generating Units** for which **Physical Notifications** are being submitted, shall, if it has not already done so, submit to **NGET** (save in respect of item (vi) where the

item shall be submitted only when reasonably required by **NGET**), in respect of the next following **Operational Day** the following:

(i) in the case of a **CCGT Module**, a **CCGT Module Matrix** as described in **BC1** Appendix 1;

(ii) details of any special factors which in the reasonable opinion of the **BM Participant** may have a material effect or present an enhanced risk of a material effect on the likely output (or consumption) of such **BM Unit(s)**. Such factors may include risks, or potential interruptions, to **BM Unit** fuel supplies, or developing plant problems, details of tripping tests, etc. This information will normally only be used to assist in determining the appropriate level of **Operating Margin** that is required under OC2.4.6;

(iii) in the case of **Generators**, any temporary changes, and their possible duration, to the **Registered Data** of such **BM Unit**;

(iv) in the case of **Suppliers**, details of **Customer Demand Management** taken into account in the preparation of its **BM Unit Data**;

(v) details of any other factors which **NGET** may take account of when issuing **Bid-Offer Acceptances** for a **BM Unit** (e.g., **Synchronising** or **De-Synchronising** Intervals, the minimum notice required to cancel a **Synchronisation**, etc); and

(vi) in the case of a **Cascade Hydro Scheme**, the **Cascade Hydro Scheme Matrix** as described in **BC1** Appendix 1.

(vii) in the case of a **Power Park Module**, a **Power Park Module Availability Matrix** as described in **BC1** Appendix 1.

(g) **Joint BM Unit Data**

BM Participants may submit **Joint BM Unit Data** in accordance with the provisions of the **BSC**. For the purposes of the **Grid Code**, such data shall be treated as data submitted under **BC1**.

BC1.4.3 Data Revisions

The **BM Unit Data**, and **Generating Unit Data**, derived at 1100 hours each day under BC1.4.2 above may need to be revised by the **BM Participant** for a number of reasons, including for example, changes to expected output or input arising from revised contractual positions, plant breakdowns, changes to expected **Synchronising** or **De-Synchronising** times, etc, occurring before **Gate Closure**. **BM Participants** should use reasonable endeavours to ensure that the data held by **NGET** in relation to its **BM Units** and **Generating Units**, is accurate at all times. Revisions to **BM Unit Data**, and **Generating Unit Data** for any period of time up to **Gate Closure** should be submitted to **NGET** as soon as reasonably practicable after a change becomes apparent to the **BM Participant**. **NGET** will use reasonable endeavours to utilise the most recent data received from **Users,** subject to the application of the provisions of BC1.4.5, for its preparation and analysis of operational plans.

BC1.4.4 Receipt of **BM Unit Data** prior to **Gate Closure**

BM Participants submitting **Bid-Offer Data,** in respect of any **BM Unit** for use in the **Balancing Mechanism** for any particular **Settlement Period** in accordance with the **BSC**, must ensure that **Physical Notifications** and **Bid-Offer Data** for such **BM Units** are received in their entirety and logged into **NGET's** computer systems by the

time of **Gate Closure** for that **Settlement Period**. In all cases the data received will be subject to the application under the **Grid Code** of the provisions of BC1.4.5*.*

For the avoidance of doubt, no changes to the **Physical Notification, QPN** data or **Bid-Offer Data** for any **Settlement Period** may be submitted to **NGET** after **Gate Closure** for that **Settlement Period**.

BC1.4.5 **BM Unit Data** Defaulting, Validity and Consistency Checking

In the event that no submission of any or all of the **BM Unit Data** and **Generating Unit Data** in accordance with BC1.4.2 in respect of an **Operational Day**, is received by **NGET** by 11:00 hours on the day before that **Operational Day**, **NGET** will apply the **Data Validation, Consistency and Defaulting Rules**, with the default rules applicable to **Physical Notifications**, **Quiescent Physical Notifications** and **Export and Import Limits** data selected as follows:

(a) for an **Interconnector User's BM Unit**, the defaulting rules will set some or all of the data for that **Operational Day** to zero, unless the relevant Interconnector arrangements, as agreed with **NGET**, state otherwise (in which case (b) applies); and

(b) for all other **BM Units** or **Generating Units**, the defaulting rules will set some or all of the data for that **Operational Day** to the values prevailing in the current **Operational Day**.

A subsequent submission by a **User** of a data item which has been so defaulted under the **Grid Code** will operate as an amendment to that defaulted data and thereby replace it. Any such subsequent submission is itself subject to the application under the **Grid Code** of the **Data Validation, Consistency and Defaulting Rules.**

BM Unit Data and **Generating Unit Data** submitted in accordance with the provisions of BC1.4.2 to BC1.4.4 will be checked under the **Grid Code** for validity and consistency in accordance with the **Data Validation, Consistency and Defaulting Rules**. If any **BM Unit Data** and **Generating Unit Data** so submitted fails the data validity and consistency checking, this will result in the rejection of all data submitted for that **BM Unit** or **Generating Unit** included in the electronic data file containing that data item and that **BM Unit's** or **Generating Unit's** data items will be defaulted under the **Grid Code** in accordance with the **Data Validation, Consistency and Defaulting Rules**. Data for other **BM Units** and **Generating Units** included in the same electronic data file will not be affected by such rejection and will continue to be validated and checked for consistency prior to acceptance. In the event that rejection of any **BM Unit Data** and **Generating Unit Data** occurs, details will be made available to the relevant **BM Participant** via the electronic data communication facilities. In the event of a difference between the **BM Unit Data** for the **Cascade Hydro Scheme** and sum of the data submitted for the **Generating Units** forming part of such **Cascade Hydro Scheme**, **the BM Unit Data** shall take precedence.

BC1.4.6 Special Provisions relating to **Interconnector Users**

(a) The total of the relevant **Physical Notifications** submitted by **Interconnector Users** in respect of any period of time should not exceed the capability (in MW) of the respective **External Interconnection** for that period of time. In the event that it does, then **NGET** shall advise the **Externally Interconnected System Operator** accordingly. In the period between such advice and **Gate Closure**, one or more of the relevant **Interconnector Users** would be expected to submit revised **Physical Notifications** to **NGET** to eliminate any such over-provision.

BALANCING CODE No 2

POST GATE CLOSURE PROCESS

BC2.1 INTRODUCTION

Balancing Code No 2 (**BC2**) sets out the procedure for:

a) the physical operation of **BM Units** and **Generating Units** in the absence of any instructions from **NGET**;

b) the acceptance by **NGET** of **Balancing Mechanism** Bids and Offers,

c) the calling off by **NGET** of **Ancillary Services**;

d) the issuing and implementation of **Emergency Instructions**; and

e) the issuing by **NGET** of other operational instructions and notifications.

In addition, **BC2** deals with any information exchange between **NGET** and **BM Participants** or specific **Users** that takes place after **Gate Closure**.

In this **BC2**, "consistent" shall be construed as meaning to the nearest integer MW level.

In this **BC2**, references to "a **BM Unit** returning to its **Physical Notification**" shall take account of any **Bid-Offer Acceptances** already issued to the **BM Unit** in accordance with BC2.7 and any **Emergency Instructions** already issued to the **BM Unit** or **Generating Unit** in accordance with BC2.9.

BC2.2 OBJECTIVE

The procedure covering the operation of the **Balancing Mechanism** and the issuing of instructions to **Users** is intended to enable **NGET** as far as possible to maintain the integrity of the **GB Transmission System** together with the security and quality of supply.

Where reference is made in this **BC2** to **Generating Units** (unless otherwise stated) it only applies:

(a) to each **Generating Unit** which forms part of the **BM Unit** of **a Cascade Hydro Scheme**; and

(b) at an **Embedded Exemptable Large Power Station** where the relevant **Bilateral Agreement** specifies that compliance with **BC2** is required:

i) to each **Generating Unit**, or
ii) to each **Power Park Module** where the **Power Station** comprises **Power Park Modules**.

BC2.3 SCOPE

BC2 applies to **NGET** and to **Users**, which in this **BC2** means:-

(a) **BM Participants;**

(b) **Externally Interconnected System Operators**, and

(c) **Network Operators.**

BC2.4 INFORMATION USED

BC2.4.1 The information which **NGET** shall use, together with the other information available to it, in assessing:-

(a) which bids and offers to accept;

(b) which **BM Units** and/or **Generating Units** to instruct to provide **Ancillary Services**;

(c) the need for and formulation of **Emergency Instructions;** and

(d) other operational instructions and notifications which **NGET** may need to issue

will be:

(a) the **Physical Notification** and **Bid-Offer Data** submitted under **BC1**;

(b) **Export and Import Limits**, **QPNs**, and **Joint BM Unit Data** in respect of that **BM Unit** and/or **Generating Unit** supplied under **BC1** (and any revisions under **BC1** and **BC2** to the data); and

(c) **Dynamic Parameters** submitted or revised under this **BC2.**

BC2.4.2 As provided for in BC1.5.4, **NGET** will monitor the total of the Maximum Export Limit component of the **Export and Import Limits** against forecast **Demand** and the **Operating Margin** and will take account of **Dynamic Parameters** to see whether the anticipated level of **System Margin** is insufficient. This will reflect any changes in **Export and Import Limits** which have been notified to **NGET**, and will reflect any **Demand Control** which has also been so notified. **NGET** may issue new or revised **GB Transmission System Warnings – Inadequate System Margin** or **High Risk of Demand Reduction** in accordance with BC1.5.4.

BC2.5 PHYSICAL OPERATION OF **BM UNITS**

BC2.5.1 Accuracy of **Physical Notifications**

As described in BC1.4.2(a), **Physical Notifications** must represent the **BM Participant's** best estimate of expected input or output of **Active Power** and shall be prepared in accordance with **Good Industry Practice**. Each **BM Participant** must, applying **Good Industry Practice**, ensure that each of its **BM Units** follows the **Physical Notification** in respect of that **BM Unit** (and each of its **Generating Units** follows the **Physical Notification** in the case of **Physical Notifications** supplied under BC1.4.2(a)(2)) prevailing at **Gate Closure** (the data in which will be utilised in producing the **Final Physical Notification Data** in accordance with the **BSC**) subject to:

(a) variations arising from the issue of **Bid-Offer Acceptances** which have been confirmed by the **BM Participant**; instructions by **NGET** in relation to that **BM Unit** (or a **Generating Unit**) which require, or compliance with which would result in, a variation in output or input of that **BM Unit** (or a **Generating Unit**); or

(b) any variations arising from compliance with provisions of **BC1**, **BC2** or **BC3** which provide to the contrary,

(which in each case gives rise to an obligation (applying **Good Industry Practice**) to follow such **Physical Notification** as amended by such variations and/or instructions), unless in relation to any such obligation it is prevented from so doing as a result of an unavoidable event (existing or anticipated) in relation to that **BM Unit** (or a **Generating Unit**) which requires a variation in output or input of that **BM Unit** (or a **Generating Unit**). Examples (on a non-exhaustive basis) of such an unavoidable event are plant breakdowns, events requiring a variation of input or output on safety grounds (relating to personnel or plant), events requiring a variation of input or output to maintain compliance with the relevant Statutory Water Management obligations and uncontrollable variations of input of **Active Power**.

Any anticipated variation in input or output from the **Physical Notification** in respect of that **BM Unit** (or a **Generating Unit**) prevailing at **Gate Closure** (except for variations arising from the issue of **Bid-Offer Acceptances** or instructions by **NGET** as outlined above) for any **BM Unit** (or a **Generating Unit**) post **Gate Closure** must be notified to **NGET** without delay by the relevant **BM Participant** (or the relevant person on its behalf). Implementation of this notification should normally be achieved by the submission of revisions to the **Export and Import Limits** in accordance with BC2.5.3 below.

BC2.5.2 **Synchronising** and **De-Synchronising** times

BC2.5.2.1 The **Final Physical Notification Data** provides indicative **Synchronising** and **De-Synchronising** times to **NGET** in respect of any **BM Unit** which is **De-Synchronising** or is anticipated to be **Synchronising** post **Gate Closure**.

Any delay of greater than five minutes to the **Synchronising** or any advancement of greater than five minutes to the **De-Synchronising** of a **BM Unit** must be notified to **NGET** without delay by the submission of a revision of the **Export and Import Limits**.

BC2.5.2.2 Except in the circumstances provided for in BC2.5.2.3, BC2.5.2.4, BC2.5.5.1 or BC2.9, no **BM Unit** (nor a **Generating Unit**) is to be **Synchronised** or **De-Synchronised** unless:-

(a) a **Physical Notification** had been submitted to **NGET** prior to **Gate Closure** indicating that a **Synchronisation** or **De-Synchronisation** is to occur; or

(b) **NGET** has issued a **Bid-Offer Acceptance** requiring **Synchronisation** or **De-Synchronisation** of that **BM Unit** (or a **Generating Unit**).

BC2.5.2.3 **BM Participants** must only **Synchronise** or **De-Synchronise BM Units** (or a **Generating Unit**);

(a) at the times indicated to **NGET**, or

(b) at times consistent with variations in output or input arising from provisions described in BC2.5.1,

(within a tolerance of +/- 5 minutes) or unless that occurs automatically as a result of **Operational Intertripping** or **Low Frequency Relay** operations or an **Ancillary Service** pursuant to an **Ancillary Services Agreement**

BC2.5.2.4 **De-Synchronisation** may also take place without prior notification to **NGET** as a result of plant breakdowns or if it is done purely on safety grounds (relating to personnel or plant). If that happens **NGET** must be informed immediately that it has taken place and a revision to **Export and Import Limits** must be submitted in accordance with BC2.5.3.3. Following any **De-Synchronisation** occurring as a result of plant failure, no **Synchronisation** of that **BM Unit** (or a **Generating Unit**) is to take place without **NGET's** agreement, such agreement not to be unreasonably withheld.

In the case of **Synchronisation** following an unplanned **De-Synchronisation** within the preceding 15 minutes, a minimum of 5 minutes notice of its intention to **Synchronise** should normally be given to **NGET** (via a revision to **Export and Import Limits**)**.** In the case of any other unplanned **De-Synchronisation** where the **User** plans to **Synchronise** before the expiry of the current **Balancing Mechanism** period, a minimum of 15 minutes notice of **Synchronisation** should normally be given to **NGET** (via a revision to **Export and Import Limits**). In addition, the rate at which the **BM Unit** is returned to its **Physical Notification** is not to exceed the limits specified in **BC1**, Appendix 1 without **NGET's** agreement.

NGET will either agree to the **Synchronisation** or issue a **Bid-Offer Acceptance** in accordance with BC2.7 to delay the **Synchronisation. NGET** may agree to an earlier **Synchronisation** if **System** conditions allow.

BC2.5.2.5 Notification of Times to **Network Operators**

NGET will make changes to the **Synchronising** and **De-Synchronising** times available to each **Network Operator**, but only relating to **BM Units Embedded** within its **User System** and those **BM Units** directly connected to the **GB Transmission System** which **NGET** has identified under **OC2** and/or **BC1** as being those which may, in the reasonable opinion of **NGET**, affect the integrity of that **User System** and shall inform the relevant **BM Participant** that it has done so, identifying the **BM Unit** concerned.

Each **Network Operator** must notify **NGET** of any changes to its **User System** Data as soon as practicable in accordance with BC1.6.1(c).

BC2.5.3 Revisions to **BM Unit Data**

Following **Gate Closure** for any **Settlement Period,** no changes to the **Physical Notification**, to the **QPN** data or to **Bid-Offer Data** for that **Settlement Period** may be submitted to **NGET**.

BC2.5.3.1 At any time, any **BM Participant** (or the relevant person on its behalf) may, in respect of any of its **BM Units**, submit to **NGET** the data listed in **BC1**, Appendix 1 under the heading of **Dynamic Parameters** from the **Control Point** of its **BM Unit** to amend the data already held by **NGET** (including that previously submitted under this BC2.5.3.1) for use in preparing for and operating the **Balancing Mechanism**. The change will take effect from the time that it is received by **NGET**. For the avoidance of doubt, the **Dynamic Parameters** submitted to **NGET** under BC1.4.2(e) are not used within the current **Operational Day**. The **Dynamic Parameters** submitted under this BC2.5.3.1 shall reasonably reflect the true current operating characteristics of the **BM Unit** and shall be prepared in accordance with **Good Industry Practice**.

Following the **Operational Intertripping** of a **System** to **Generating Unit** or a **System** to **CCGT Module**, the **BM Participant** shall as soon as reasonably practicable re-declare its MEL to reflect more accurately its output capability.

BC2.5.3.2 Revisions to **Export and Import Limits** or **Other Relevant Data** supplied (or revised) under **BC1** must be notified to **NGET** without delay as soon as any change becomes apparent to the **BM Participant** (or the relevant person on its behalf) via the **Control Point** for the **BM Unit** (or a **Generating Unit**) to ensure that an accurate assessment of **BM Unit** (or a **Generating Unit**) capability is available to **NGET** at all times. These revisions should be prepared in accordance with **Good Industry Practice** and may be submitted by use of electronic data communication facilities or by telephone.

BC2.5.3.3 Revisions to **Export and Import Limits** must be made by a **BM Participant** (or the relevant person on its behalf) via the **Control Point** in the event of any **De-Synchronisation** of a **BM Unit** (or a **Generating Unit**) in the circumstances described in BC2.5.2.4 if the **BM Unit** (or a **Generating Unit**) is no longer available for any period of time. Revisions must also be submitted in the event of plant failures causing a reduction in input or output of a **BM Unit** (or a **Generating Unit**) even if that does not lead to **De-Synchronisation**. Following the correction of a plant failure, the **BM Participant** (or the relevant person on its behalf) must notify **NGET** via the **Control Point** of a revision to the **Export and Import Limits**, if appropriate, of the **BM Unit** (or a **Generating Unit**), using reasonable endeavours to give a minimum of 5 minutes notice of its intention to return to its **Physical Notification**. The rate at which the **BM Unit** (or a **Generating Unit**) is returned to its **Physical Notification** is not to exceed the limits specified in **BC1**, Appendix 1 without **NGET's** agreement.

BC2.5.4 Operation in the absence of instructions from **NGET**

In the absence of any **Bid-Offer Acceptances**, **Ancillary Service** instructions issued pursuant to BC2.8 or **Emergency Instructions** issued pursuant to BC2.9:

(a) as provided for in BC3, each **Synchronised Genset** producing **Active Power** must operate at all times in **Limited Frequency Sensitive Mode** (unless instructed in accordance with BC3.5.4 to operate in **Frequency Sensitive Mode**);

(b) in the absence of any Mvar **Ancillary Service** instructions, the Mvar output of each **Synchronised Genset** should be 0 Mvar upon **Synchronisation** at the circuit-breaker where the **Genset** is **Synchronised**. For the avoidance of doubt, in the case of a **Genset** comprising of **Non-Synchronous Generating Units**, **Power Park Modules** or **DC Converters** the steady state tolerance allowed in CC.6.3.2(b) may be applied;

(c) (i) subject to the provisions of 2.5.4(c) (ii) below, the excitation system or the voltage control system, unless otherwise agreed with **NGET**, must be operated only in its constant terminal voltage mode of operation with VAR limiters in service, with any constant **Reactive Power** output control mode or constant **Power Factor** output control mode always disabled, unless agreed otherwise with **NGET**. In the event of any change in **System** voltage, a **Generator** must not take any action to override automatic Mvar response which is produced as a result of constant terminal voltage mode of operation of the automatic excitation control system unless instructed otherwise by **NGET** or unless immediate action is necessary to comply with **Stability Limits** or unless constrained by plant operational limits or safety grounds (relating to personnel or plant);

(ii) In the case of all **Gensets** comprising **Non-Synchronous Generating Units**, **DC Converters** and **Power Park Modules** only when operating below 20 % of the **Rated MW** output, the voltage control system shall maintain the reactive power transfer at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**) to 0 MVAr. For the avoidance of doubt the steady state tolerance allowed in CC.6.3.2(b) may be applied. In the case of **Gensets** comprising current source **DC Converter** technology or comprising **Power Park Modules** connected to the **Total System** by a current source **DC Converter** when operating at any power output the voltage control system shall maintain the reactive power transfer at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**) to 0 MVAr. For the avoidance of doubt the steady state tolerance allowed in CC.6.3.2(b) may be applied.

(d) In the absence of any Mvar **Ancillary Service** instructions, the Mvar output of each **Genset** should be 0 Mvar immediately prior to **De-Synchronisation** at the circuit-breaker where the **Genset** is **Synchronised**, other than in the case of a rapid unplanned **De-Synchronisation** or in the case of a **Genset** comprising of **Non-Synchronous Generating Units**, **Power Park Modules** or **DC Converters** which is operating at less than 20% of its **Rated MW** output where the requirements of BC2.5.4 (b) part (ii) apply.

(e) a **Generator** should at all times operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**;

(f) in the case of a **Range CCGT Module**, a **Generator** must operate that **CCGT Module** so that power is provided at the single **Grid Entry Point** identified in the data given pursuant to PC.A.3.2.1 or at the single **Grid Entry Point** to which **NGET** has agreed pursuant to BC1.4.2(f);

(g) in the event of the **System Frequency** being above 50.3Hz or below 49.7Hz, **BM Participants** must not commence any reasonably avoidable action to regulate the input or output of any **BM Unit** in a manner that could

cause the **System Frequency** to deviate further from 50Hz without first using reasonable endeavours to discuss the proposed actions with **NGET**. **NGET** shall either agree to these changes in input or output or issue a **Bid-Offer Acceptance** in accordance with BC2.7 to delay the change.

(h) a **Generator** should at all times operate its **Power Park Units** in accordance with the applicable **Power Park Module Availability Matrix**.

BC2.5.5 Commencement or Termination of Participation in the **Balancing Mechanism**

BC2.5.5.1 In the event that a **BM Participant** in respect of a **BM Unit** with a **Demand Capacity** with a magnitude of less than 50MW in England and Wales or less than 5MW in Scotland or comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC2.2) and/or **CCGT Modules** and/or **Power Park Modules** at a **Small Power Station** notifies **NGET** at least 30 days in advance that from a specified **Operational Day** it will:

(a) no longer submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** no longer has to meet the requirements of BC2.5.1 nor the requirements of CC6.5.8(b) in relation to that **BM Unit**. Also, with effect from that **Operational Day**, any defaulted **Physical Notification** and defaulted **Bid-Offer Data** in relation to that **BM Unit** arising from the **Data Validation, Consistency and Defaulting Rules** will be disregarded and the provisions of BC2.5.2 will not apply;

(b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of BC2.5.1 and the requirements of CC6.5.8(b) in relation to that **BM Unit**.

BC2.5.5.2 In the event that a **BM Participant** in respect of a **BM Unit** with a **Demand Capacity** with a magnitude of 50MW or greater in England and Wales or 5MW or greater in Scotland or comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC2.2) and/or **CCGT Modules** and/or **Power Park Modules** at a **Medium Power Station** or **Large Power Station** notifies **NGET** at least 30 days in advance that from a specified **Operational Day** it will:

(a) no longer submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** no longer has to meet the requirements of CC6.5.8(b) in relation to that **BM Unit**; Also, with effect from that **Operational Day**, any defaulted **Bid-Offer Data** in relation to that **BM Unit** arising from the **Data Validation, Consistency and Defaulting Rules** will be disregarded;

(b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of CC6.5.8(b) in relation to that **BM Unit**.

BC2.6 COMMUNICATIONS

Electronic communications are always conducted in GMT. However, the input of data and display of information to **Users** and **NGET** and all other communications are conducted in London time.

BC2.6.1 Normal Communication with **Control Points**

(a) With the exception of BC2.6.1(c) below, **Bid-Offer Acceptances** and **Ancillary Service** instructions shall be given by automatic logging device and will be given to the **Control Point** for the **BM Unit**. For all **Planned Maintenance Outages** the provisions of BC2.6.5 will apply. For **Generating Units** communications under **BC2** shall be by telephone unless otherwise agreed by **NGET** and the **User**.

(b) **Bid-Offer Acceptances** and **Ancillary Service** instructions must be formally acknowledged immediately by the **BM Participant** (or the relevant person on its behalf) via the **Control Point** for the **BM Unit** or **Generating Unit** in respect of that **BM Unit** or that **Generating Unit.** The acknowledgement and subsequent confirmation or rejection, within two minutes of receipt, is normally given electronically by automatic logging device. If no confirmation or rejection is received by **NGET** within two minutes of the issue of the **Bid-Offer Acceptance**, then **NGET** will contact the **Control Point** for the **BM Unit** by telephone to determine the reason for the lack of confirmation or rejection. Any rejection must be given in accordance with BC2.7.3 or BC2.8.3.

(c) In the event of a failure of the logging device or a **NGET** computer system outage, **Bid-Offer Acceptances** and instructions will be given, acknowledged, and confirmed or rejected by telephone. The provisions of BC2.9.7 are also applicable.

(d) In the event that in carrying out the **Bid-Offer Acceptances** or providing the **Ancillary Services**, or when operating at the level of the **Final Physical Notification Data** as provided in BC2.5.1, an unforeseen problem arises, caused on safety grounds (relating to personnel or plant), **NGET** must be notified without delay by telephone.

(e) The provisions of BC2.5.3 are also relevant.

(f) Submissions of revised Mvar capability may be made by facsimile transmission, using the format given in Appendix 3 to **BC2**.

(g) Communication will normally be by telephone for any purpose other than **Bid-Offer Acceptances,** in relation to **Ancillary Services** or for revisions of Mvar Data.

(h) Submissions of revised availability of **Frequency Sensitive Mode** may be made by facsimile transmission, using the format given in Appendix 4 to **BC2**. This process should only be used for technical restrictions to the availability of **Frequency Sensitive Mode.**

BC2.6.2 Communication with **Control Points** in Emergency Circumstances

NGET will issue **Emergency Instructions** direct to the **Control Point** for each **BM Unit** [or **Generating Unit**] in **Great Britain**. **Emergency Instructions** to a **Control Point** will normally be given by telephone (and will include an exchange of operator names).

BC2.6.3 Communication with **Network Operators** in Emergency Circumstances

NGET will issue **Emergency Instructions** direct to the **Network Operator** at each **Control Centre** in relation to special actions and **Demand Control**. **Emergency Instructions** to a **Network Operator** will normally be given by telephone (and will

include an exchange of operator names). **OC6** contains further provisions relating to **Demand Control** instructions.

BC2.6.4 Communication with **Externally Interconnected System Operators** in Emergency Circumstances

NGET will issue **Emergency Instructions** directly to the **Externally Interconnected System Operator** at each **Control Centre**. **Emergency Instructions** to an **Externally Interconnected System Operator** will normally be given by telephone (and will include an exchange of operator names).

BC2.6.5 Communications during planned outages of electronic data communication facilities

Planned Maintenance Outages will normally be arranged to take place during periods of low data transfer activity. Upon any such **Planned Maintenance Outage** in relation to a post **Gate Closure** period:-

(a) **BM Participants** should operate in relation to any period of time in accordance with the **Physical Notification** prevailing at **Gate Closure** current at the time of the start of the **Planned Maintenance Outage** in relation to each such period of time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.6.5. No further submissions of **BM Unit Data** (other than data specified in BC1.4.2(c) and BC1.4.2(e)) should be attempted or **Generating Unit Data**. Plant failure or similar problems causing significant deviation from **Physical Notification** should be notified to **NGET** by the submission of a revision to **Export and Import Limits** in relation to the **BM Unit** or **Generating Unit** so affected;

(b) during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e) may be submitted. Communication between **Users' Control Points** and **NGET** during the outage will be conducted by telephone;

(c) **NGET** will issue **Bid-Offer Acceptances** by telephone; and

(d) no data will be transferred from **NGET** to the **BMRA** until the communication facilities are re-established.

(e) The provisions of BC2.9.7 may also be relevant.

BC2.7 BID-OFFER ACCEPTANCES

BC2.7.1 Acceptance of bids and offers by **NGET**

Bid-Offer Acceptances may be issued to the **Control Point** at any time following **Gate Closure.** Any **Bid-Offer Acceptance** will be consistent with the **Dynamic Parameters, QPNs, Export and Import Limits**, and **Joint BM Unit Data** of the **BM Unit** in so far as the **Balancing Mechanism** timescales will allow (see BC2.7.2).

(a) **NGET** is entitled to assume that each **BM Unit** is available in accordance with the **BM Unit Data** submitted unless and until it is informed of any changes.

(b) **Bid-Offer Acceptances** sent to the **Control Point** will specify the data necessary to define a MW profile to be provided (ramp rate break-points are not normally explicitly sent to the **Control Point**) and to be achieved consistent with the respective **BM Unit's Export and Import Limits, QPNs**

and **Joint BM Unit Data** provided or modified under **BC1** or **BC2,** and **Dynamic Parameters** given under BC2.5.3 or, if agreed with the relevant **User,** such rate within those **Dynamic Parameters** as is specified by **NGET** in the **Bid-Offer Acceptances**.

(c) All **Bid-Offer Acceptances** will be deemed to be at the current "**Target Frequency**", namely where a **Genset** is in **Frequency Sensitive Mode** they refer to target output at **Target Frequency**.

(d) The form of and terms to be used by **NGET** in issuing **Bid-Offer Acceptances** together with their meanings are set out in Appendix 1 in the form of a non-exhaustive list of examples.

BC2.7.2 Consistency with **Export and Import Limits, QPNs** and **Dynamic Parameters**

(a) **Bid-Offer Acceptances** will be consistent with the **Export and Import Limits**, **QPNs**, and **Joint BM Unit Data** provided or modified under **BC1** or **BC2** and the **Dynamic Parameters** provided or modified under **BC2**. **Bid-Offer Acceptances** may also recognise **Other Relevant Data** provided or modified under **BC1** or **BC2**

(b) In the case of consistency with **Dynamic Parameters** this will be limited to the time until the end of the **Settlement Period** for which **Gate Closure** has most recently occurred. If **NGET** intends to issue a **Bid-Offer Acceptance** covering a period after the end of the **Settlement Period** for which **Gate Closure** has most recently occurred, based upon the then submitted **Dynamic Parameters**, **QPN's**, **Export and Import Limits**, **Bid-Offer Data** and **Joint BM Unit Data** applicable to that period, **NGET** will indicate this to the **BM Participant** at the **Control Point** for the **BM Unit**. The intention will then be reflected in the issue of a **Bid-Offer Acceptance** to return the **BM Unit** to its previously notified **Physical Notification** after the relevant **Gate Closure** provided the submitted data used to formulate this intention has not changed and subject to **System** conditions which may affect that intention. Subject to that, assumptions regarding **Bid-Offer Acceptances** may be made by **BM Participants** for **Settlement Periods** for which **Gate Closure** has not yet occurred when assessing consistency with **Dynamic Parameters** in **Settlement Periods** for which **Gate Closure** has occurred. If no such subsequent **Bid–Offer Acceptance** is issued, the original **Bid-Offer Acceptance** will include an instantaneous return to **Physical Notification** at the end of the **Balancing Mechanism** period.

BC2.7.3 Confirmation and Rejection of Acceptances

Bid-Offer Acceptances may only be rejected by a **BM Participant** :-

(a) on safety grounds (relating to personnel or plant) as soon as reasonably possible and in any event within five minutes; or

(b) because they are not consistent with the **Export and Import Limits, QPNs**, **Dynamic Parameters** or **Joint BM Unit Data** applicable at the time of issue of the **Bid-Offer Acceptance**.

A reason must always be given for rejection by telephone.

Where a **Bid-Offer Acceptance** is not confirmed within two minutes or is rejected, **NGET** will seek to contact the **Control Point** for the **BM Unit**. **NGET** must then, within 15 minutes of issuing the **Bid-Offer Acceptance**, withdraw the **Bid-Offer Acceptance** or log the **Bid-Offer Acceptance** as confirmed. **NGET** will only log a rejected **Bid-Offer Acceptance** as confirmed following discussion and if the reason given is, in **NGET's** reasonable opinion, not acceptable and **NGET** will inform the **BM Participant** accordingly.

BC2.7.4 Action Required from **BM Participants**

(a) Each **BM Participant** in respect of its **BM Units** will comply in accordance with BC2.7.1 with all **Bid-Offer Acceptances** given by **NGET** with no more than the delay allowed for by the **Dynamic Parameters** unless the **BM Unit** has given notice to **NGET** under the provisions of BC2.7.3 regarding non-acceptance of a **Bid-Offer Acceptance**.

(b) Where a **BM Unit's** input or output changes in accordance with a **Bid-Offer Acceptance** issued under BC2.7.1, such variation does not need to be notified to **NGET** in accordance with BC2.5.1.

(c) In the event that while carrying out the **Bid-Offer Acceptance** an unforeseen problem arises caused by safety reasons (relating to personnel or plant), **NGET** must be notified immediately by telephone and this may lead to revision of **BM Unit Data** in accordance with BC2.5.3

BC2.7.5 Additional Action Required from **Generators**

(a) When complying with **Bid-Offer Acceptances** for a **CCGT Module** a **Generator** will operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**.

(b) When complying with **Bid-Offer Acceptances** for a **CCGT Module** which is a **Range CCGT Module**, a **Generator** must operate that **CCGT Module** so that power is provided at the single **Grid Entry Point** identified in the data given pursuant to PC.A.3.2.1 or at the single **Grid Entry Point** to which **NGET** has agreed pursuant to BC1.4.2 (f).

(c) On receiving a new MW **Bid-Offer Acceptance**, no tap changing shall be carried out to change the Mvar output unless there is a new Mvar **Ancillary Service** instruction issued pursuant to BC2.8.

(d) When complying with **Bid-Offer Acceptances** for a **Power Park Module** a **Generator** will operate its **Power Park Units** in accordance with the applicable **Power Park Module Availability Matrix**.

BC2.8 ANCILLARY SERVICES

This section primarily covers the call-off of **System Ancillary Services**. The provisions relating to **Commercial Ancillary Services** will normally be covered in the relevant **Ancillary Services Agreement**.

BC2.8.1 Call-off of **Ancillary Services** by **NGET**

(a) **Ancillary Service** instructions may be issued at any time.

(b) **NGET** is entitled to assume that each **BM Unit** (or **Generating Unit)** is available in accordance with the **BM Unit Data** (or the **Generating Unit Data**) and data contained in the **Ancillary Services Agreement** unless and until it is informed of any changes.

(c) **Frequency** control instructions may be issued in conjunction with, or separate from, a **Bid-Offer Acceptance**.

(d) The form of and terms to be used by **NGET** in issuing **Ancillary Service** instructions together with their meanings are set out in Appendix 2 in the form of a non-exhaustive list of examples including **Reactive Power** and associated instructions.

(e) In the case of **Generating Units** that do not form part of a **BM Unit** any change in **Active Power** as a result of, or required to enable, the provision of an **Ancillary Service** will be dealt with as part of that **Ancillary Service Agreement** and/or provisions under the **CUSC**.

(f) A **System to Generator Operational Intertripping Scheme** will be armed in accordance with BC2.10.2(a)

BC2.8.2 Consistency with **Export and Import Limits, QPNs** and **Dynamic Parameters**

Ancillary Service instructions will be consistent with the **Export and Import Limits**, **QPNs**, and **Joint BM Unit Data** provided or modified under **BC1** or **BC2** and the **Dynamic Parameters** provided or modified under **BC2**. **Ancillary Service** instructions may also recognise **Other Relevant Data** provided or modified under **BC**1 or **BC2**

BC2.8.3 Rejection of **Ancillary Service** instructions

(a) **Ancillary Service** instructions may only be rejected, by automatic logging device or by telephone, on safety grounds (relating to personnel or plant) or because they are not consistent with the applicable **Export and Import Limits, QPNs, Dynamic Parameters, Joint BM Unit Data**, **Other Relevant Data** or data contained in the **Ancillary Services Agreement** and a reason must be given immediately for non-acceptance.

(b) The issue of **Ancillary Service** instructions for **Reactive Power** will be made with due regard to any resulting change in **Active Power** output. The instruction may be rejected if it conflicts with any **Bid-Offer Acceptance** issued in accordance with BC2.7 or with the **Physical Notification**.

(c) Where **Ancillary Service** instructions relating to **Active Power** and **Reactive Power** are given together, and to achieve the **Reactive Power** output would cause the **BM Unit** to operate outside **Dynamic Parameters** as a result of the **Active Power** instruction being met at the same time, then the timescale of implementation of the **Reactive Power** instruction may be extended to be no longer than the timescale for implementing the **Active Power** instruction but in any case to achieve the Mvar **Ancillary Service** instruction as soon as possible.

BC2.8.4 Action Required from **BM Units**

(a) Each **BM Unit** (or **Generating Unit)** will comply in accordance with BC2.8.1 with all **Ancillary Service** instructions relating to **Reactive Power** properly given by **NGET** within 2 minutes or such longer period as **NGET** may instruct, and all other **Ancillary Service** instructions without delay, unless the **BM Unit** or **Generating Unit** has given notice to **NGET** under the provisions of BC2.8.3 regarding non-acceptance of **Ancillary Service** instructions.

(b) Each **BM Unit** may deviate from the profile of its **Final Physical Notification Data,** as modified by any **Bid-Offer Acceptances** issued in accordance with BC2.7.1, only as a result of responding to **Frequency** deviations when operating in **Frequency Sensitive Mode** in accordance with the **Ancillary Services Agreement**.

(c) Each **Generating Unit** that does not form part of a **BM Unit** may deviate from the profile of its **Final Physical Notification Data** where agreed by **NGET** and the **User**, including but not limited to, as a result of providing **an Ancillary Service** in accordance with the **Ancillary Service Agreement**.

(d) In the event that while carrying out the **Ancillary Service** instructions an unforeseen problem arises caused by safety reasons (relating to personnel or plant), **NGET** must be notified immediately by telephone and this may lead to revision of **BM Unit Data** or **Generating Unit Data** in accordance with BC2.5.3.

BC2.9 EMERGENCY CIRCUMSTANCES

BC2.9.1 Emergency Actions

BC2.9.1.1 In certain circumstances (as determined by **NGET** in its reasonable opinion) it will be necessary, in order to preserve the integrity of the **GB Transmission System** and any synchronously connected **External System**, for **NGET** to issue **Emergency Instructions.** In such circumstances, it may be necessary to depart from normal **Balancing Mechanism** operation in accordance with BC2.7 in issuing **Bid-Offer Acceptances**. **BM Participants** must also comply with the requirements of **BC3**.

BC2.9.1.2 Examples of circumstances that may require the issue of **Emergency Instructions** include:-

(a) **Events** on the **GB Transmission System** or the **System** of another **User**; or

(b) the need to maintain adequate **System** and **Localised NRAPM** in accordance with BC2.9.4 below; or

(c) the need to maintain adequate frequency sensitive **Gensets** in accordance with BC2.9.5 below; or

(d) the need to implement **Demand Control** in accordance with OC6; or

(e) (i) the need to invoke the **Black Start** process or the **Re-Synchronisation of De-Synchronised Island** process in accordance with OC9; or

(ii) the need to request provision of a **Maximum Generation Service**.

BC2.9.1.3 In the case of **BM Units** and **Generating Units** in **Great Britain**, **Emergency Instructions** will be issued by **NGET** direct to the **User** at the **Control Point** for the **BM Unit** or **Generating Unit** and may require an action or response which is outside its **Other Relevant Data**, **QPN**s, or **Export and Import Limits** submitted under **BC1,** or revised under **BC1** or **BC2**, or **Dynamic Parameters** submitted or revised under **BC2**.

BC2.9.1.4 In the case of a **Network Operator** or an **Externally Interconnected System Operator**, **Emergency Instructions** will be issued to its **Control Centre.**

BC2.9.2 Implementation of **Emergency Instructions**

BC2.9.2.1 **Users** will respond to **Emergency Instructions** issued by **NGET** without delay and using all reasonable endeavours to so respond. **Emergency Instructions** may only be rejected by an **User** on safety grounds (relating to personnel or plant) and this must be notified to **NGET** immediately by telephone.

BC2.9.2.2 **Emergency Instructions** will always be prefixed with the words "This is an **Emergency Instruction**" except in the case of **Maximum Generation Service** instructed by electronic data communication facilities where the instruction will be issued in accordance with the provisions of the **Maximum Generation Service Agreement**.

BC2.9.2.3 In all cases under this BC2.9 except BC2.9.1.2 (e) where **NGET** issues an **Emergency Instruction** to a **BM Participant** which is not rejected under BC2.9.2.1, the **Emergency Instruction** shall be treated as a **Bid-Offer Acceptance**. For the avoidance of doubt, any **Emergency Instruction** issued to a **Network Operator** or to an **Externally Interconnected System Operator** or in respect of a **Generating Unit** that does not form part of a **BM Unit,** will not be treated as a **Bid-Offer Acceptance**.

BC2.9.2.4 In the case of BC2.9.1.2 (e) (ii) where **NGET** issues an **Emergency Instruction** pursuant to a **Maximum Generation Service Agreemen**t payment will be dealt with in accordance with the **CUSC** and the **Maximum Generation Service Agreement**.

BC2.9.3 Examples of **Emergency Instructions**

BC2.9.3.1 In the case of a **BM Unit** or a **Generating Unit**, **Emergency Instructions** may include an instruction for the **BM Unit** or the **Generating Unit** to operate in a way that is not consistent with the **Dynamic Parameters**, **QPNs** and/or **Export and Import Limits**.

BC2.9.3.2 In the case of a **Generator, Emergency Instructions** may include**:**

(a) an instruction to trip one or more **Gensets** (excluding **Operational Intertripping**); or

(b) an instruction to trip **Mills** or to **Part Load** a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2); or

(c) an instruction to **Part Load** a **CCGT Module** or **Power Park Module**; or

(d) an instruction for the operation of **CCGT Units** within a **CCGT Module** (on the basis of the information contained within the **CCGT Module Matrix**) when emergency circumstances prevail (as determined by **NGET** in **NGET's** reasonable opinion); or

(e) an instruction to generate outside normal parameters, as allowed for in 4.2 of the **CUSC**; or

(f) an instruction for the operation of **Generating Units** within a **Cascade Hydro Scheme** (on the basis of the additional information supplied in relation to individual **Generating Units**) when emergency circumstances prevail (as determined by **NGET** in **NGET's** reasonable opinion); or

(g) an instruction for the operation of a **Power Park Module** (on the basis of the information contained within the **Power Park Module Availability Matrix**) when emergency circumstances prevail (as determined by **NGET** in **NGET's** reasonable opinion).

BC2.9.3.3 Instructions to **Network Operators** relating to the **Operational Day** may include:

(a) a requirement for **Demand** reduction and disconnection or restoration pursuant to **OC6**;

(b) an instruction to effect a load transfer between **Grid Supply Points**;

(c) an instruction to switch in a **System to Demand Intertrip Scheme**;

(d) an instruction to split a network;

(e) an instruction to disconnect an item of **Plant** or **Apparatus** from the **System**.

BC2.9.4 Maintaining adequate **System** and **Localised NRAPM (Negative Reserve Active Power Margin)**

BC2.9.4.1 Where **NGET** is unable to satisfy the required **System NRAPM** or **Localised NRAPM** by following the process described in BC1.5.5, **NGET** will issue an **Emergency Instruction** to exporting **BM Units** for **De-Synchronising** on the basis of **Bid-Offer Data** submitted to **NGET** in accordance with BC1.4.2(d).

BC2.9.4.2 In the event that **NGET** is unable to differentiate between exporting **BM Units** according to **Bid-Offer Data**, **NGET** will instruct a **BM Participant** to **Shutdown** a specified exporting **BM Unit** for such period based upon the following factors:

(a) effect on power flows (resulting in the minimisation of transmission losses);

(b) reserve capability;

(c) **Reactive Power** worth;

(d) **Dynamic Parameters**;

(e) in the case of **Localised NRAPM**, effectiveness of output reduction in the management of the **System Constraint**.

BC2.9.4.3 Where **NGET** is still unable to differentiate between exporting **BM Units**, having considered all the foregoing, **NGET** will decide which exporting **BM Unit** to **Shutdown** by the application of a quota for each **BM Participant** in the ratio of each **BM Participant's Physical Notifications**.

BC2.9.4.4 Other than as provided in BC2.9.4.5 and BC2.9.4.6 below, in determining which exporting **BM Units** to **De-Synchronise** under this BC2.9.4, **NGET** shall not consider

in such determination (and accordingly shall not instruct to **De-Synchronise**) any **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Gas Cooled Reactor Plant**.

BC2.9.4.5 **NGET** shall be permitted to instruct a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing AGR Plant** to **De-Synchronise** if the relevant **Generating Unit** within the **Existing AGR Plant** has failed to offer to be flexible for the relevant instance at the request of **NGET** within the **Existing AGR Plant Flexibility Limit.**

BC2.9.4.6 Notwithstanding the provisions of BC2.9.4.5 above, if the level of **System NRAPM** (taken together with **System** constraints) or **Localised NRAPM** is such that it is not possible to avoid instructing a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Magnox Reactor Plant** and/or an **Existing AGR Plant** whether or not it has met requests within the **Existing AGR Flexibility Limit** to **De-Synchronise NGET** may, provided the power flow across each **External Interconnection** is either at zero or results in an export of power from the **Total System**, so instruct a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Magnox Reactor Plant** and/or an **Existing AGR Plant** to **De-Synchronise** in the case of **System NRAPM**, in all cases and in the case of **Localised NRAPM**, when the power flow would have a relevant effect.

BC2.9.4.7 When instructing exporting **BM Units** which form part of an **On-Site Generator Site** to reduce generation under this BC2.9.4, **NGET** will not issue an instruction which would reduce generation below the reasonably anticipated **Demand** of the **On-Site Generator Site**. For the avoidance of doubt, it should be noted that the term **"On-Site Generator Site"** only relates to Trading Units which have fulfilled the Class 1 or Class 2 requirements.

BC2.9.5 Maintaining adequate Frequency Sensitive Generation

BC2.9.5.1 If, post **Gate Closure, NGET** determines, in its reasonable opinion, from the information then available to it (including information relating to **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) breakdown) that the number of and level of **Primary, Secondary** and **High Frequency Response** available from **Gensets** (other than those units within **Existing Gas Cooled Reactor Plant**, which are permitted to operate in **Limited Frequency Sensitive Mode** at all times under BC3.5.3) available to operate in **Frequency Sensitive Mode** is such that it is not possible to avoid **De-Synchronising Existing Gas Cooled Reactor Plant** then provided that:

(a) there are (or, as the case may be, that **NGET** anticipates, in its reasonable opinion, that at the time that the instruction is to take effect there will be) no other **Gensets** generating and exporting on to the **Total System** which are not operating in **Frequency Sensitive Mode** (or which are operating with only a nominal amount in terms of level and duration) (unless, in **NGET's** reasonable opinion, necessary to assist the relief of **System** constraints or necessary as a result of other **System** conditions); and

(b) the power flow across each **External Interconnection** is (or, as the case may be, is anticipated to be at the time that the instruction is to take effect) either at zero or result in an export of power from the **Total System**,

then **NGET** may instruct such of the **Existing Gas Cooled Reactor Plant** to **De-Synchronise** as it is, in **NGET's** reasonable opinion, necessary to **De-Synchronise**

and for the period for which the **De-Synchronising** is, in **NGET's** reasonable opinion, necessary.

BC2.9.5.2 If in **NGET's** reasonable opinion it is necessary for both the procedure in BC2.9.4 and that set out in BC2.9.5.1 to be followed in any given situation, the procedure in BC2.9.4 will be followed first, and then the procedure set out in BC2.9.5.1. For the avoidance of doubt, nothing in this sub-paragraph shall prevent either procedure from being followed separately and independently of the other.

BC2.9.6 Emergency Assistance to and from **External Systems**

(a) An **Externally Interconnected System Operator** (in its role as operator of the **External System**) may request that **NGET** takes any available action to increase the **Active Energy** transferred into its **External System**, or reduce the **Active Energy** transferred into the **GB Transmission System** by way of emergency assistance if the alternative is to instruct a demand reduction on all or part of its **External System** (or on the system of an **Interconnector User** using its **External System**). Such request must be met by **NGET** providing this does not require a reduction of **Demand** on the **GB Transmission System**, or lead to a reduction in security on the **GB Transmission System**.

(b) **NGET** may request that an **Externally Interconnected System Operator** takes any available action to increase the **Active Energy** transferred into the **GB Transmission System**, or reduce the **Active Energy** transferred into its **External System** by way of emergency assistance if the alternative is to instruct a **Demand** reduction on all or part of the **GB Transmission System**. Such request must be met by the **Externally Interconnected System Operator** providing this does not require a reduction of **Demand** on its **External System** (or on the system of **Interconnector Users** using its **External System)**, or lead to a reduction in security on such **External System** or system.

BC2.9.7 Unplanned outages of electronic communication and computing facilities

BC2.9.7.1 In the event of an unplanned outage of the electronic data communication facilities or of **NGET**'s associated computing facilities or in the event of a **Planned Maintenance Outage** lasting longer than the planned duration, in relation to a post-**Gate Closure** period **NGET** will, as soon as it is reasonably able to do so, issue a **NGET** Computing System Failure notification by telephone or such other means agreed between **Users** and **NGET** indicating the likely duration of the outage.

BC2.9.7.2 During the period of any such outage, the following provisions will apply:

(a) **NGET** will issue further **NGET** Computing System Failure notifications by telephone or such other means agreed between **Users** and **NGET** to all **BM Participants** to provide updates on the likely duration of the outage;

(b) **BM Participants** should operate in relation to any period of time in accordance with the **Physical Notification** prevailing at **Gate Closure** current at the time of the computer system failure in relation to each such period of time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.9.7.2. No further submissions of **BM Unit Data** or **Generating Unit Data** (other than data specified in BC1.4.2(c) (**Export and Import Limits**) and BC1.4.2(e) (**Dynamic Parameters**) should be attempted. Plant failure or similar problems causing significant deviation from **Physical Notification** should be notified to **NGET** by telephone by the submission of a

revision to **Export and Import Limits** in relation to the **BM Unit** or **Generating Unit Data** so affected;

(c) Revisions to **Export and Import Limits** and to **Dynamic Parameters** should be notified to **NGET** by telephone and will be recorded for subsequent use;

(d) **NGET** will issue **Bid-Offer Acceptances** by telephone which will be recorded for subsequent use;

(e) No data will be transferred from **NGET** to the **BMRA** until the communication facilities are re-established.

BC2.9.7.3 **NGET** will advise **BM Participants** of the withdrawal of the **NGET** Computing System Failure notification following the re-establishment of the communication facilities.

BC2.10 OTHER OPERATIONAL INSTRUCTIONS AND NOTIFICATIONS

BC2.10.1 **NGET** may, from time to time, need to issue other instructions or notifications associated with the operation of the **GB Transmission System**.

BC2.10.2 Such instructions or notifications may include**:**

Intertrips

(a) an instruction to arm or disarm an **Operational Intertripping** scheme;

Tap Positions

(b) a request for a **Genset** step-up transformer tap position (for security assessment);

Tests

(c) an instruction to carry out tests as required under **OC5**, which may include the issue of an instruction regarding the operation of **CCGT Units** within a **CCGT Module** at a **Large Power Station;**

Future **BM Unit** Requirements

(d) a reference to any implications for future **BM Unit** requirements and the security of the **GB Transmission System**, including arrangements for change in output to meet post fault security requirements;

(e) Changes to **Target Frequency**
a notification of a change in **Target Frequency**, which will normally only be 49.95, 50.00, or 50.05Hz but in exceptional circumstances as determined by **NGET** in its reasonable opinion, may be 49.90 or 50.10Hz.

BC2.10.3 Where an instruction or notification under BC2.10.2 (c) or (d) results in a change to the input or output level of the **BM Unit** then **NGET** shall issue a **Bid-Offer Acceptance** or **Emergency Instruction** as appropriate.

BC2.11 LIAISON WITH **GENERATORS** FOR RISK OF TRIP AND **AVR** TESTING

BC2.11.1 A **Generator** at the **Control Point** for any of its **Large Power Stations** may request **NGET's** agreement for one of the **Gensets** at that **Power Station** to be operated

under a risk of trip. **NGET's** agreement will be dependent on the risk to the **GB Transmission System** that a trip of the **Genset** would constitute.

BC2.11.2 (a) Each **Generator** at the **Control Point** for any of its **Large Power Stations** will operate its **Synchronised Gensets** (excluding **Power Park Modules**) with:

(i) **AVRs** in constant terminal voltage mode with VAR limiters in service at all times. **AVR** constant **Reactive Power** or **Power Factor** mode should, if installed, be disabled; and

(ii) its generator step-up transformer tap changer selected to manual mode,

unless released from this obligation in respect of a particular **Genset** by **NGET**.

(b) Each **Generator** at the **Control Point** for any of its **Large Power Stations** will operate its **Power Park Modules** with a **Completion Date** before 1st January 2006 at unity power factor at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**).

(c) Each **Generator** at the **Control Point** for any of its **Large Power Stations** will operate its **Power Park Modules** with a **Completion Date** on or after 1st January 2006 in voltage control mode at the **Grid Entry Point** (or **User System Entry Point** if **Embedded**). Constant **Reactive Power** or **Power Factor** mode should, if installed, be disabled.

(d) Where a **Power System Stabiliser** is fitted as part of the excitation system or voltage control system of a **Genset**, it requires on-load commissioning which must be witnessed by **NGET**. Only when the performance of the **Power System Stabiliser** has been approved by **NGET** shall it be switched into service by a **Generator** and then it will be kept in service at all times unless otherwise agreed with **NGET**. Further reference is made to this in CC.6.3.8.

BC2.11.3 A **Generator** at the **Control Point** for any of its **Power Stations** may request **NGET's** agreement for one of its **Gensets** at that **Power Station** to be operated with the **AVR** in manual mode, or **Power System Stabiliser** switched out, or VAR limiter switched out. **NGET's** agreement will be dependent on the risk that would be imposed on the **GB Transmission System** and any **User System**. Provided that in any event a **Generator** may take such action as is reasonably necessary on safety grounds (relating to personnel or plant) .

BC2.12 LIAISON WITH **EXTERNALLY INTERCONNECTED SYSTEM OPERATORS**

BC2.12.1 Co-ordination role of **Externally Interconnected System Operators**

(a) The **Externally Interconnected System Operator** will act as the **Control Point** for **Bid-Offer Acceptances** on behalf of **Interconnector Users** and will co-ordinate instructions relating to **Ancillary Services** and **Emergency Instructions** on behalf of **Interconnector Users** using its **External System** in respect of each **Interconnector User's BM Units**.

(b) **NGET** will issue **Bid-Offer Acceptances** and instructions for **Ancillary Services** relating to **Interconnector Users' BM Units** to each **Externally Interconnected System Operator** in respect of each **Interconnector User** using its **External System**.

(c) If, as a result of a reduction in the capability (in MW) of the **External Interconnection**, the total of the **Physical Notifications** and **Bid-Offer Acceptances** issued for the relevant period using that **External Interconnection**, as stated in the **BM Unit Data** exceeds the reduced capability (in MW) of the respective **External Interconnection** in that period then **NGET** shall notify the **Externally Interconnected System Operator** accordingly. The **Externally Interconnected System Operator** should seek a revision of **Export and Import Limits** from one or more of its **Interconnector Users** for the remainder of the **Balancing Mechanism** period during which **Physical Notifications** cannot be revised.

		OC5.6.1 amended OC5.6.3 amended
	15 to 18	OC5.8 added
OC12	1	OC12.2.1 (a) amended OC12.3 (a) amended
	2	OC12.3 (d) amended OC12.3.2 amended OC12.3.3 amended OC12.4.1.1 amended
	5	OC12.4.4.1 amended
DRC	5 and 6	DRC.6.2 amended
GC	8	GC.15.1 amended

Revision 16 Effective Date: 30 May 2006

CODE	PAGE	CLAUSE
G&D	18	Definition of Generating Unit Data revised
BC1	1	BC1.2 amended
	3	BC1.4.2 (a) amended
BC2	1	BC2.2 amended