

All Recipients of the Serviced Grid Code

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Dear Sir/Madam

THE SERVICED GRID CODE – ISSUE 5 REVISION 16

Issue 5 Revision 16 of the Grid Code has been approved by the Authority for implementation on **24 May 2016**.

In order to ensure your copy of the Grid Code remains up to date, you will need to replace the sections affected with the revised versions available on the National Grid website.

The revisions document provides an overview of the changes made to the Grid Code since the previous issue.

Yours faithfully,

Lucy Hudson
Frameworks Administrator
Market Change, Electricity (Code Governance)

THE GRID CODE – ISSUE 5 REVISION 16

INCLUSION OF REVISED SECTIONS

Cover Page
Glossary and Definitions
Connection Conditions
Operating Code No. 9
General Conditions

SUMMARY OF CHANGES

The changes arise from the implementation of modifications proposed in the following Consultation Papers:

GC0075 – Hybrid STATCOMs/SVCs

Summary of Proposal

This proposal recommends changes to the Grid Code to clarify the continuous voltage control requirements applicable to Power Park Modules with the aim of facilitating the deployment of Hybrid STATCOM/SVC solutions without eroding dynamic voltage control capability available to the System Operator. This includes revising the transient voltage control requirement, defining a repeatability criterion, and clarifying the response expected from switched reactive compensation components during faults.

The categories of Users affected by this revision to the Grid Code are:

- Owners and Developers of Power Park Modules
- Manufacturers of Hybrid STATCOMs/SVCs
- Transmission Licensees

Communications/Interface Standards

In the middle of 2015, a Consultation was carried out on our 'Communication Standards' and changes were successfully implemented towards the end of 2015. Unfortunately, a formatting error was subsequently noticed where the table in the Annex to the General Conditions does not correlate to the documents published on the National Grid website. Minor amendments have been made to the text in the General Conditions and Operating Code 9 to ensure the Grid Code now correlates with the published documents.

Additional housekeeping changes to Glossary and Definitions

Removal of 'Cluster'

During Revision 10 of Grid Code Issue 3 in June 2005 a lot of changes were made to the Connection Conditions. Any references to 'Cluster' were deleted from Connection Conditions but the Glossary and Definitions was not amended to reflect this. This makes the definition redundant and hence has been deleted from the Glossary and Definitions.

Maximum Import Capacity

The current definition of 'Maximum Import Capacity' is a duplicate of 'Maximum Export Capacity' in the current version of the Grid Code. Maximum Import Capacity is the maximum imported from the DNO Network to the Offshore Transmission Network and the changes have been made to the definition to reflect this.

THE GRID CODE

ISSUE 5

REVISION 16

24 May 2016

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GLOSSARY & DEFINITIONS

(GD)

GD.1 In the Grid Code the following words and expressions shall, unless the subject matter or context otherwise requires or is inconsistent therewith, bear the following meanings:

Access Group A group of **Connection Points** within which a **User** declares under the **Planning Code**

- (a) An interconnection and/or
- (b) A need to redistribute **Demand** between those **Connection Points** either pre-fault or post-fault

Where a single **Connection Point** does not form part of an **Access Group** in accordance with the above, that single **Connection Point** shall be considered to be an **Access Group** in its own right.

Access Period A period of time in respect of which each **Transmission Interface Circuit** is to be assessed as whether or not it is capable of being maintained as derived in accordance with PC.A.4.1.4. The period shall commence and end on specified calendar weeks.

Act The Electricity Act 1989 (as amended by the Utilities Act 2000 and the Energy Act 2004).

Active Energy The electrical energy produced, flowing or supplied by an electric circuit during a time interval, being the integral with respect to time of the instantaneous power, measured in units of watt-hours or standard multiples thereof, ie:

1000 Wh = 1 kWh

1000 kWh = 1 MWh

1000 MWh = 1 GWh

1000 GWh = 1 TWh

Active Power The product of voltage and the in-phase component of alternating current measured in units of watts and standard multiples thereof, ie:

1000 Watts = 1 kW

1000 kW = 1 MW

1000 MW = 1 GW

1000 GW = 1 TW

Affiliate In relation to any person, any holding company or subsidiary of such person or any subsidiary of a holding company of such person, in each case within the meaning of Section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such section were in force at such date.

AF Rules Has the meaning given to “allocation framework” in section 13(2) of the Energy Act 2013.

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| Ancillary Service | A System Ancillary Service and/or a Commercial Ancillary Service , as the case may be. |
| Ancillary Services Agreement | An agreement between a User and NGET for the payment by NGET to that User in respect of the provision by such User of Ancillary Services . |
| Annual Average Cold Spell Conditions or ACS Conditions | A particular combination of weather elements which gives rise to a level of peak Demand within a Financial Year which has a 50% chance of being exceeded as a result of weather variation alone. |
| Apparent Power | The product of voltage and of alternating current measured in units of voltamperes and standard multiples thereof, ie: 1000 VA = 1 kVA 1000 kVA = 1 MVA |
| Apparatus | Other than in OC8 , means all equipment in which electrical conductors are used, supported or of which they may form a part. In OC8 it means High Voltage electrical circuits forming part of a System on which Safety Precautions may be applied to allow work and/or testing to be carried out on a System . |
| Authorised Electricity Operator | Any person (other than NGET in its capacity as operator of the National Electricity Transmission System) who is authorised under the Act to generate, participate in the transmission of, distribute or supply electricity. |
| Automatic Voltage Regulator or AVR | The continuously acting automatic equipment controlling the terminal voltage of a Synchronous Generating Unit by comparing the actual terminal voltage with a reference value and controlling by appropriate means the output of an Exciter , depending on the deviations. |
| Authority for Access | An authority which grants the holder the right to unaccompanied access to sites containing exposed HV conductors. |
| Authority, The | The Authority established by section 1 (1) of the Utilities Act 2000. |
| Auxiliaries | Any item of Plant and/or Apparatus not directly a part of the boiler plant or Generating Unit or DC Converter or Power Park Module , but required for the boiler plant's or Generating Unit's or DC Converter's or Power Park Module's functional operation. |
| Auxiliary Diesel Engine | A diesel engine driving a Generating Unit which can supply a Unit Board or Station Board , which can start without an electrical power supply from outside the Power Station within which it is situated. |
| Auxiliary Gas Turbine | A Gas Turbine Unit , which can supply a Unit Board or Station Board , which can start without an electrical power supply from outside the Power Station within which it is situated. |
| Average Conditions | That combination of weather elements within a period of time which is the average of the observed values of those weather elements during equivalent periods over many years (sometimes referred to as normal weather). |
| Back-Up Protection | A Protection system which will operate when a system fault is not cleared by other Protection . |

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| Balancing and Settlement Code or BSC | The code of that title as from time to time amended. |
| Balancing Code or BC | That portion of the Grid Code which specifies the Balancing Mechanism process. |
| Balancing Mechanism | Has the meaning set out in NGET’s Transmission Licence |
| Balancing Mechanism Reporting Agent or BMRA | Has the meaning set out in the BSC . |
| Balancing Mechanism Reporting Service or BMRS | Has the meaning set out in the BSC . |
| Balancing Principles Statement | A statement prepared by NGET in accordance with Condition C16 of NGET’s Transmission Licence . |
| Baseline Forecast | Has the meaning given to the term ‘baseline forecast’ in Section G of the BSC . |
| Bid-Offer Acceptance | (a) A communication issued by NGET in accordance with BC2.7 ; or (b) an Emergency Instruction to the extent provided for in BC2.9.2.3. |
| Bid-Offer Data | Has the meaning set out in the BSC . |
| Bilateral Agreement | Has the meaning set out in the CUSC |
| Black Start | The procedure necessary for a recovery from a Total Shutdown or Partial Shutdown . |
| Black Start Capability | An ability in respect of a Black Start Station , for at least one of its Gensets to Start-Up from Shutdown and to energise a part of the System and be Synchronised to the System upon instruction from NGET , within two hours, without an external electrical power supply. |
| Black Start Stations | Power Stations which are registered, pursuant to the Bilateral Agreement with a User , as having a Black Start Capability . |
| Black Start Test | A Black Start Test carried out by a Generator with a Black Start Station , on the instructions of NGET , in order to demonstrate that a Black Start Station has a Black Start Capability . |
| Block Load Capability | The incremental Active Power steps, from no load to Rated MW , which a generator can instantaneously supply without causing it to trip or go outside the Frequency range of 47.5 – 52Hz (or an otherwise agreed Frequency range). The time between each incremental step shall also be provided. |
| BM Participant | A person who is responsible for and controls one or more BM Units or where a Bilateral Agreement specifies that a User is required to be treated as a BM Participant for the purposes of the Grid Code. For the avoidance of doubt, it does not imply that they must be active in the Balancing Mechanism . |
| BM Unit | Has the meaning set out in the BSC , except that for the purposes of the Grid Code the reference to “Party” in the BSC shall be a reference to User . |

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| BM Unit Data | The collection of parameters associated with each BM Unit , as described in Appendix 1 of BC1 . |
| Boiler Time Constant | Determined at Registered Capacity , the boiler time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase. |
| British Standards or BS | Those standards and specifications approved by the British Standards Institution. |
| BSCCo | Has the meaning set out in the BSC . |
| BSC Panel | Has meaning set out for "Panel" in the BSC . |
| BS Station Test | A Black Start Test carried out by a Generator with a Black Start Station while the Black Start Station is disconnected from all external alternating current electrical supplies. |
| BS Unit Test | A Black Start Test carried out on a Generating Unit or a CCGT Unit , as the case may be, at a Black Start Station while the Black Start Station remains connected to an external alternating current electrical supply. |
| Business Day | Any week day (other than a Saturday) on which banks are open for domestic business in the City of London. |
| Cancellation of National Electricity Transmission System Warning | The notification given to Users when a National Electricity Transmission System Warning is cancelled. |
| Capacity Market Documents | The Capacity Market Rules , The Electricity Capacity Regulations 2014 and any other Regulations made under Chapter 3 of Part 2 of the Energy Act 2013 which are in force from time to time. |
| Capacity Market Rules | The rules made under section 34 of the Energy Act 2013 as modified from time to time in accordance with that section and The Electricity Capacity Regulations 2014. |
| Cascade Hydro Scheme | Two or more hydro-electric Generating Units , owned or controlled by the same Generator , which are located in the same water catchment area and are at different ordnance datums and which depend upon a common source of water for their operation, known as: <ul style="list-style-type: none"> (a) Moriston (b) Killin (c) Garry (d) Conon (e) Clunie (f) Beaully <p>which will comprise more than one Power Station.</p> |
| Cascade Hydro Scheme Matrix | The matrix described in Appendix 1 to BC1 under the heading Cascade Hydro Scheme Matrix . |
| Caution Notice | A notice conveying a warning against interference. |

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| Category 1 Intertripping Scheme | A System to Generator Operational Intertripping Scheme arising from a Variation to Connection Design following a request from the relevant User which is consistent with the criteria specified in the Security and Quality of Supply Standard . |
| Category 2 Intertripping Scheme | <p>A System to Generator Operational Intertripping Scheme which is:-</p> <ul style="list-style-type: none"> (i) required to alleviate an overload on a circuit which connects the Group containing the User's Connection Site to the National Electricity Transmission System; and (ii) installed in accordance with the requirements of the planning criteria of the Security and Quality of Supply Standard in order that measures can be taken to permit maintenance access for each transmission circuit and for such measures to be economically justified, <p>and the operation of which results in a reduction in Active Power on the overloaded circuits which connect the User's Connection Site to the rest of the National Electricity Transmission System which is equal to the reduction in Active Power from the Connection Site (once any system losses or third party system effects are discounted).</p> |
| Category 3 Intertripping Scheme | A System to Generator Operational Intertripping Scheme which, where agreed by NGET and the User , is installed to alleviate an overload on, and as an alternative to, the reinforcement of a third party system, such as the Distribution System of a Public Distribution System Operator . |
| Category 4 Intertripping Scheme | A System to Generator Operational Intertripping Scheme installed to enable the disconnection of the Connection Site from the National Electricity Transmission System in a controlled and efficient manner in order to facilitate the timely restoration of the National Electricity Transmission System . |
| CENELEC | European Committee for Electrotechnical Standardisation. |
| CfD Counterparty | A person designated as a "CfD counterparty" under section 7(1) of the Energy Act 2013. |
| CfD Documents | The AF Rules , The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014 and The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014 and any other regulations made under Chapter 2 of Part 2 of the Energy Act 2013 which are in force from time to time. |
| CfD Settlement Services Provider | <p>means any person:</p> <ul style="list-style-type: none"> (i) appointed for the time being and from time to time by a CfD Counterparty; or (ii) who is designated by virtue of Section C1.2.1B of the Balancing and Settlement Code, <p>in either case to carry out any of the CFD settlement activities (or any successor entity performing CFD settlement activities).</p> |
| CCGT Module Matrix | The matrix described in Appendix 1 to BC1 under the heading CCGT Module Matrix . |

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| CCGT Module Planning Matrix | A matrix in the form set out in Appendix 3 of OC2 showing the combination of CCGT Units within a CCGT Module which would be running in relation to any given MW output. |
| CM Administrative Parties | The Secretary of State , the CM Settlement Body , and any CM Settlement Services Provider . |
| CM Settlement Body | the Electricity Settlements Company Ltd or such other person as may from time to time be appointed as Settlement Body under regulation 80 of the Electricity Capacity Regulations 2014. |
| CM Settlement Services Provider | any person with whom the CM Settlement Body has entered into a contract to provide services to it in relation to the performance of its functions under the Capacity Market Documents . |
| Code Administration Code of Practice | Means the code of practice approved by the Authority and: <ul style="list-style-type: none"> (a) developed and maintained by the code administrators in existence from time to time; and (b) amended subject to the Authority's approval from time to time; and (c) re-published from time to time; |
| Code Administrator | Means NGET carrying out the role of Code Administrator in accordance with the General Conditions. |
| Combined Cycle Gas Turbine Module or CCGT Module | A collection of Generating Units (registered as a CCGT Module under the PC) comprising one or more Gas Turbine Units (or other gas based engine units) and one or more Steam Units where, in normal operation, the waste heat from the Gas Turbines is passed to the water/steam system of the associated Steam Unit or Steam Units and where the component units within the CCGT Module are directly connected by steam or hot gas lines which enable those units to contribute to the efficiency of the combined cycle operation of the CCGT Module . |
| Combined Cycle Gas Turbine Unit or CCGT Unit | A Generating Unit within a CCGT Module . |
| Commercial Ancillary Services | Ancillary Services , other than System Ancillary Services , utilised by NGET in operating the Total System if a User (or other person) has agreed to provide them under an Ancillary Services Agreement or under a Bilateral Agreement with payment being dealt with under an Ancillary Services Agreement or in the case of Externally Interconnected System Operators or Interconnector Users , under any other agreement (and in the case of Externally Interconnected System Operators and Interconnector Users includes ancillary services equivalent to or similar to System Ancillary Services). |
| Commercial Boundary | Has the meaning set out in the CUSC |
| Committed Project Planning Data | Data relating to a User Development once the offer for a CUSC Contract is accepted. |
| Common Collection Busbar | A busbar within a Power Park Module to which the higher voltage side of two or more Power Park Unit generator transformers are connected. |

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| Completion Date | Has the meaning set out in the Bilateral Agreement with each User to that term or in the absence of that term to such other term reflecting the date when a User is expected to connect to or start using the National Electricity Transmission System . In the case of an Embedded Medium Power Station or Embedded DC Converter Station having a similar meaning in relation to the Network Operator's System as set out in the Embedded Development Agreement . |
| Complex | A Connection Site together with the associated Power Station and/or Network Operator substation and/or associated Plant and/or Apparatus , as appropriate. |
| Compliance Processes or CP | That portion of the Grid Code which is identified as the Compliance Processes . |
| Compliance Statement | A statement completed by the relevant User confirming compliance with each of the relevant Grid Code provisions, and the supporting evidence in respect of such compliance, of its: Generating Unit(s) ; or, CCGT Module(s) ; or, Power Park Module(s) ; or, DC Converter(s) in the form provided by NGET to the relevant User or another format as agreed between the User and NGET . |
| Connection Conditions or CC | That portion of the Grid Code which is identified as the Connection Conditions . |
| Connection Entry Capacity | Has the meaning set out in the CUSC |
| Connected Planning Data | Data which replaces data containing estimated values assumed for planning purposes by validated actual values and updated estimates for the future and by updated forecasts for Forecast Data items such as Demand . |
| Connection Point | A Grid Supply Point or Grid Entry Point , as the case may be. |
| Connection Site | A Transmission Site or User Site , as the case may be. |
| Construction Agreement | Has the meaning set out in the CUSC |
| Contingency Reserve | The margin of generation over forecast Demand which is required in the period from 24 hours ahead down to real time to cover against uncertainties in Large Power Station availability and against both weather forecast and Demand forecast errors. |
| Control Calls | A telephone call whose destination and/or origin is a key on the control desk telephone keyboard at a Transmission Control Centre and which, for the purpose of Control Telephony , has the right to exercise priority over (ie. disconnect) a call of a lower status. |
| Control Centre | A location used for the purpose of control and operation of the National Electricity Transmission System or DC Converter Station owner's System or a User System other than a Generator's System or an External System . |

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| Control Engineer | A person nominated by the relevant party for the control of its Plant and Apparatus . |
| Control Person | The term used as an alternative to " Safety Co-ordinator " on the Site Responsibility Schedule only. |
| Control Phase | The Control Phase follows on from the Programming Phase and covers the period down to real time. |
| Control Point | <p>The point from which:-</p> <p>(a) A Non-Embedded Customer's Plant and Apparatus is controlled; or</p> <p>(b) A BM Unit at a Large Power Station or at a Medium Power Station or representing a Cascade Hydro Scheme or with a Demand Capacity with a magnitude of:</p> <p style="margin-left: 40px;">(i) 50MW or more in NGET's Transmission Area; or</p> <p style="margin-left: 40px;">(ii) 30MW or more in SPT's Transmission Area; or</p> <p style="margin-left: 40px;">(iii) 10MW or more in SHETL's Transmission Area,</p> <p style="margin-left: 40px;">(iv) 10MW or more which is connected to an Offshore Transmission System</p> <p>is physically controlled by a BM Participant; or</p> <p>(c) In the case of any other BM Unit or Generating Unit, data submission is co-ordinated for a BM Participant and instructions are received from NGET,</p> <p>as the case may be. For a Generator this will normally be at a Power Station but may be at an alternative location agreed with NGET. In the case of a DC Converter Station, the Control Point will be at a location agreed with NGET. In the case of a BM Unit of an Interconnector User, the Control Point will be the Control Centre of the relevant Externally Interconnected System Operator.</p> |
| Control Telephony | The principal method by which a User's Responsible Engineer/Operator and NGET Control Engineer(s) speak to one another for the purposes of control of the Total System in both normal and emergency operating conditions. |
| CUSC | Has the meaning set out in NGET's Transmission Licence |
| CUSC Contract | <p>One or more of the following agreements as envisaged in Standard Condition C1 of NGET's Transmission Licence:</p> <p>(a) the CUSC Framework Agreement;</p> <p>(b) a Bilateral Agreement;</p> <p>(c) a Construction Agreement</p> <p>or a variation to an existing Bilateral Agreement and/or Construction Agreement;</p> |
| CUSC Framework Agreement | Has the meaning set out in NGET's Transmission Licence |
| Customer | A person to whom electrical power is provided (whether or not he is the same person as the person who provides the electrical power). |

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| Customer Demand Management | Reducing the supply of electricity to a Customer or disconnecting a Customer in a manner agreed for commercial purposes between a Supplier and its Customer . |
| Customer Demand Management Notification Level | The level above which a Supplier has to notify NGET of its proposed or achieved use of Customer Demand Management which is 12 MW in England and Wales and 5 MW in Scotland. |
| Customer Generating Plant | A Power Station or Generating Unit of a Customer to the extent that it operates the same exclusively to supply all or part of its own electricity requirements, and does not export electrical power to any part of the Total System . |
| Data Registration Code or DRC | That portion of the Grid Code which is identified as the Data Registration Code . |
| Data Validation, Consistency and Defaulting Rules | The rules relating to validity and consistency of data, and default data to be applied, in relation to data submitted under the Balancing Codes , to be applied by NGET under the Grid Code as set out in the document "Data Validation, Consistency and Defaulting Rules" - Issue 8, dated 25 th January 2012. The document is available on the National Grid website or upon request from NGET . |
| DC Converter | Any Onshore DC Converter or Offshore DC Converter . |
| DC Converter Station | An installation comprising one or more Onshore DC Converters connecting a direct current interconnector: to the NGET Transmission System ; or, (if the installation has a rating of 50MW or more) to a User System , and it shall form part of the External Interconnection to which it relates. |
| DC Network | All items of Plant and Apparatus connected together on the direct current side of a DC Converter . |
| DCUSA | The Distribution Connection and Use of System Agreement approved by the Authority and required to be maintained in force by each Electricity Distribution Licence holder. |
| De-Load | The condition in which a Genset has reduced or is not delivering electrical power to the System to which it is Synchronised . |
| Demand | The demand of MW and Mvar of electricity (i.e. both Active and Reactive Power), unless otherwise stated. |
| Demand Capacity | Has the meaning as set out in the BSC . |
| Demand Control | Any or all of the following methods of achieving a Demand reduction: <ul style="list-style-type: none"> (a) Customer voltage reduction initiated by Network Operators (other than following an instruction from NGET); (b) Customer Demand reduction by Disconnection initiated by Network Operators (other than following an instruction from NGET); (c) Demand reduction instructed by NGET; (d) automatic low Frequency Demand Disconnection; (e) emergency manual Demand Disconnection. |

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| Demand Control Notification Level | The level above which a Network Operator has to notify NGET of its proposed or achieved use of Demand Control which is 12 MW in England and Wales and 5 MW in Scotland. |
| Designed Minimum Operating Level | The output (in whole MW) below which a Genset or a DC Converter at a DC Converter Station (in any of its operating configurations) has no High Frequency Response capability. |
| De-Synchronise | (a) The act of taking a Generating Unit, Power Park Module or DC Converter off a System to which it has been Synchronised , by opening any connecting circuit breaker; or (b) The act of ceasing to consume electricity at an importing BM Unit ; and the term " De-Synchronising " shall be construed accordingly. |
| De-synchronised Island(s) | Has the meaning set out in OC9.5.1(a) |
| Detailed Planning Data | Detailed additional data which NGET requires under the PC in support of Standard Planning Data , comprising DPD I and DPD II |
| Detailed Planning Data Category I or DPD I | The Detailed Planning Data categorised as such in the DRC , and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable. |
| Detailed Planning Data Category II or DPD II | The Detailed Planning Data categorised as such in the DRC , and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable. |
| Discrimination | The quality where a relay or protective system is enabled to pick out and cause to be disconnected only the faulty Apparatus . |
| Disconnection | The physical separation of Users (or Customers) from the National Electricity Transmission System or a User System as the case may be. |
| Disputes Resolution Procedure | The procedure described in the CUSC relating to disputes resolution. |
| Distribution Code | The distribution code required to be drawn up by each Electricity Distribution Licence holder and approved by the Authority , as from time to time revised with the approval of the Authority . |
| Droop | The ratio of the per unit steady state change in speed, or in Frequency to the per unit steady state change in power output. |
| Dynamic Parameters | Those parameters listed in Appendix 1 to BC1 under the heading BM Unit Data – Dynamic Parameters . |
| E&W Offshore Transmission System | An Offshore Transmission System with an Interface Point in England and Wales. |
| E&W Offshore Transmission Licensee | A person who owns or operates an E&W Offshore Transmission System pursuant to a Transmission Licence . |
| E&W Transmission System | Collectively NGET's Transmission System and any E&W Offshore Transmission Systems . |

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| E&W User | A User in England and Wales or any Offshore User who owns or operates Plant and/or Apparatus connected (or which will at the OTSUA Transfer Time be connected) to an E&W Offshore Transmission System . |
| Earth Fault Factor | At a selected location of a three-phase System (generally the point of installation of equipment) and for a given System configuration, the ratio of the highest root mean square phase-to-earth power Frequency voltage on a sound phase during a fault to earth (affecting one or more phases at any point) to the root mean square phase-to-earth power Frequency voltage which would be obtained at the selected location without the fault. |
| Earthing | A way of providing a connection between conductors and earth by an Earthing Device which is either: <ul style="list-style-type: none"> (a) Immobilised and Locked in the earthing position. Where the Earthing Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or (b) maintained and/or secured in position by such other method which must be in accordance with the Local Safety Instructions of NGET or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be. |
| Earthing Device | A means of providing a connection between a conductor and earth being of adequate strength and capability. |
| Electrical Standard | A standard listed in the Annex to the General Conditions . |
| Electricity Council | That body set up under the Electricity Act, 1957. |
| Electricity Distribution Licence | The licence granted pursuant to Section 6(1) (c) of the Act . |
| Electricity Supply Industry Arbitration Association | The unincorporated members' club of that name formed inter alia to promote the efficient and economic operation of the procedure for the resolution of disputes within the electricity supply industry by means of arbitration or otherwise in accordance with its arbitration rules. |
| Electricity Supply Licence | The licence granted pursuant to Section 6(1) (d) of the Act . |
| Electromagnetic Compatibility Level | Has the meaning set out in Engineering Recommendation G5/4 . |
| Embedded | Having a direct connection to a User System or the System of any other User to which Customers and/or Power Stations are connected, such connection being either a direct connection or a connection via a busbar of another User or of a Transmission Licensee (but with no other connection to the National Electricity Transmission System). |
| Embedded Development | Has the meaning set out in PC.4.4.3(a) |

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| Embedded Development Agreement | An agreement entered into between a Network Operator and an Embedded Person , identifying the relevant site of connection to the Network Operator's System and setting out other site specific details in relation to that use of the Network Operator's System . |
| Embedded Person | The party responsible for a Medium Power Station not subject to a Bilateral Agreement or DC Converter Station not subject to a Bilateral Agreement connected to or proposed to be connected to a Network Operator's System . |
| Emergency Deenergisation Instruction | an Emergency Instruction issued by NET to De-Synchronise a Generating Unit, Power Park Module or DC Converter in circumstances specified in the CUSC . |
| Emergency Instruction | An instruction issued by NET in emergency circumstances, pursuant to BC2.9, to the Control Point of a User . In the case of such instructions applicable to a BM Unit , it may require an action or response which is outside the Dynamic Parameters, QPN or Other Relevant Data , and may include an instruction to trip a Genset . |
| EMR Administrative Parties | Has the meaning given to "administrative parties" in The Electricity Capacity Regulations 2014 and each CfD Counterparty and CfD Settlement Services Provider . |
| EMR Documents | The Energy Act 2013, The Electricity Capacity Regulations 2014, the Capacity Market Rules , The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014, The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014, The Electricity Market Reform (General) Regulations 2014, the AF Rules and any other regulations or instruments made under Chapter 2 (contracts for difference), Chapter 3 (capacity market) or Chapter 4 (investment contracts) of Part 2 of the Energy Act 2013 which are in force from time to time. |
| EMR Functions | Has the meaning given to "EMR functions" in Chapter 5 of Part 2 of the Energy Act 2013. |
| Engineering Recommendations | The documents referred to as such and issued by the Energy Networks Association or the former Electricity Council. |
| Energisation Operational Notification or EON | A notification (in respect of Plant and Apparatus (including OTSUA) which is directly connected to the National Electricity Transmission System) from NET to a User confirming that the User can in accordance with the Bilateral Agreement and/or Construction Agreement , energise such User's Plant and Apparatus (including OTSUA) specified in such notification. |
| Estimated Registered Data | Those items of Standard Planning Data and Detailed Planning Data which either upon connection will become Registered Data , or which for the purposes of the Plant and/or Apparatus concerned as at the date of submission are Registered Data , but in each case which for the seven succeeding Financial Years will be an estimate of what is expected. |
| EU Transparency Availability Data | Such data as Customers and Generators are required to provide under Articles 7.1(a) and 7.1(b) and Articles 15.1(a), 15.1(b), 15.1(c), 15.1(d) of European Commission Regulation (EU) No. 543/2013 respectively (known as the Transparency Regulation), and which also forms part of DRC Schedule 6 (Users' Outage Data). |

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| European Specification | A common technical specification, a British Standard implementing a European standard or a European technical approval. The terms "common technical specification", "European standard" and "European technical approval" shall have the meanings respectively ascribed to them in the Regulations . |
| Event | An unscheduled or unplanned (although it may be anticipated) occurrence on, or relating to, a System (including Embedded Power Stations) including, without limiting that general description, faults, incidents and breakdowns and adverse weather conditions being experienced. |
| Exciter | The source of the electrical power providing the field current of a synchronous machine. |
| Excitation System | The equipment providing the field current of a machine, including all regulating and control elements, as well as field discharge or suppression equipment and protective devices. |
| Excitation System No-Load Negative Ceiling Voltage | The minimum value of direct voltage that the Excitation System is able to provide from its terminals when it is not loaded, which may be zero or a negative value. |
| Excitation System Nominal Response | Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992]. The time interval applicable is the first half-second of excitation system voltage response. |
| Excitation System On-Load Positive Ceiling Voltage | Shall have the meaning ascribed to the term 'Excitation system on load ceiling voltage' in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992]. |
| Excitation System No-Load Positive Ceiling Voltage | Shall have the meaning ascribed to the term 'Excitation system no load ceiling voltage' in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992]. |
| Exemptable | Has the meaning set out in the CUSC . |
| Existing AGR Plant | The following nuclear advanced gas cooled reactor plant (which was commissioned and connected to the Total System at the Transfer Date):- <ul style="list-style-type: none"> (a) Dungeness B (b) Hinkley Point B (c) Heysham 1 (d) Heysham 2 (e) Hartlepool (f) Hunterston B (g) Torness |

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| Existing AGR Plant Flexibility Limit | In respect of each Genset within each Existing AGR Plant which has a safety case enabling it to so operate, 8 (or such lower number which when added to the number of instances of reduction of output as instructed by NGET in relation to operation in Frequency Sensitive Mode totals 8) instances of flexibility in any calendar year (or such lower or greater number as may be agreed by the Nuclear Installations Inspectorate and notified to NGET) for the purpose of assisting in the period of low System NRAPM and/or low Localised NRAPM provided that in relation to each Generating Unit each change in output shall not be required to be to a level where the output of the reactor is less than 80% of the reactor thermal power limit (as notified to NGET and which corresponds to the limit of reactor thermal power as contained in the "Operating Rules" or "Identified Operating Instructions" forming part of the safety case agreed with the Nuclear Installations Inspectorate). |
| Existing Gas Cooled Reactor Plant | Both Existing Magnox Reactor Plant and Existing AGR Plant . |
| Existing Magnox Reactor Plant | The following nuclear gas cooled reactor plant (which was commissioned and connected to the Total System at the Transfer Date):- <ul style="list-style-type: none"> (a) Calder Hall (b) Chapelcross (c) Dungeness A (d) Hinkley Point A (e) Oldbury-on-Severn (f) Bradwell (g) Sizewell A (h) Wylfa |
| Export and Import Limits | Those parameters listed in Appendix 1 to BC1 under the heading BM Unit Data – Export and Import Limits . |
| External Interconnection | Apparatus for the transmission of electricity to or from the National Electricity Transmission System or a User System into or out of an External System . For the avoidance of doubt, a single External Interconnection may comprise several circuits operating in parallel. |
| External Interconnection Circuit | Plant or Apparatus which comprises a circuit and which operates in parallel with another circuit and which forms part of the External Interconnection . |
| Externally Interconnected System Operator or EISO | A person who operates an External System which is connected to the National Electricity Transmission System or a User System by an External Interconnection . |
| External System | In relation to an Externally Interconnected System Operator means the transmission or distribution system which it owns or operates which is located outside the National Electricity Transmission System Operator Area any Apparatus or Plant which connects that system to the External Interconnection and which is owned or operated by such Externally Interconnected System Operator . |
| Fault Current Interruption Time | The time interval from fault inception until the end of the break time of the circuit breaker (as declared by the manufacturers). |

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| Fast Start | A start by a Genset with a Fast Start Capability . |
| Fast Start Capability | The ability of a Genset to be Synchronised and Loaded up to full Load within 5 minutes. |
| Final Generation Outage Programme | An outage programme as agreed by NGET with each Generator and each Interconnector Owner at various stages through the Operational Planning Phase and Programming Phase which does not commit the parties to abide by it, but which at various stages will be used as the basis on which National Electricity Transmission System outages will be planned. |
| Final Operational Notification or FON | <p>A notification from NGET to a Generator or DC Converter Station owner confirming that the User has demonstrated compliance:</p> <p>(a) with the Grid Code, (or where they apply, that relevant derogations have been granted), and</p> <p>(b) where applicable, with Appendices F1 to F5 of the Bilateral Agreement,</p> <p>in each case in respect of the Plant and Apparatus specified in such notification.</p> |
| Final Physical Notification Data | Has the meaning set out in the BSC . |
| Final Report | A report prepared by the Test Proposer at the conclusion of a System Test for submission to NGET (if it did not propose the System Test) and other members of the Test Panel . |
| Financial Year | Bears the meaning given in Condition A1 (Definitions and Interpretation) of NGET's Transmission Licence . |
| Flicker Severity (Long Term) | A value derived from 12 successive measurements of Flicker Severity (Short Term) (over a two hour period) and a calculation of the cube root of the mean sum of the cubes of 12 individual measurements, as further set out in Engineering Recommendation P28 as current at the Transfer Date . |
| Flicker Severity (Short Term) | A measure of the visual severity of flicker derived from the time series output of a flickermeter over a 10 minute period and as such provides an indication of the risk of Customer complaints. |
| Forecast Data | Those items of Standard Planning Data and Detailed Planning Data which will always be forecast. |
| Frequency | The number of alternating current cycles per second (expressed in Hertz) at which a System is running. |
| Frequency Sensitive AGR Unit | Each Generating Unit in an Existing AGR Plant for which the Generator has notified NGET that it has a safety case agreed with the Nuclear Installations Inspectorate enabling it to operate in Frequency Sensitive Mode , to the extent that such unit is within its Frequency Sensitive AGR Unit Limit . Each such Generating Unit shall be treated as if it were operating in accordance with BC3.5.1 provided that it is complying with its Frequency Sensitive AGR Unit Limit . |

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| Frequency Sensitive AGR Unit Limit | In respect of each Frequency Sensitive AGR Unit , 8 (or such lower number which when added to the number of instances of flexibility for the purposes of assisting in a period of low System or Localised NRAPM totals 8) instances of reduction of output in any calendar year as instructed by NGET in relation to operation in Frequency Sensitive Mode (or such greater number as may be agreed between NGET and the Generator), for the purpose of assisting with Frequency control, provided the level of operation of each Frequency Sensitive AGR Unit in Frequency Sensitive Mode shall not be outside that agreed by the Nuclear Installations Inspectorate in the relevant safety case. |
| Frequency Sensitive Mode | A Genset operating mode which will result in Active Power output changing, in response to a change in System Frequency , in a direction which assists in the recovery to Target Frequency , by operating so as to provide Primary Response and/or Secondary Response and/or High Frequency Response . |
| Fuel Security Code | The document of that title designated as such by the Secretary of State , as from time to time amended. |
| Gas Turbine Unit | A Generating Unit driven by a gas turbine (for instance by an aero-engine). |
| Gas Zone Diagram | A single line diagram showing boundaries of, and interfaces between, gas-insulated HV Apparatus modules which comprise part, or the whole, of a substation at a Connection Site (or in the case of OTSDUW Plant and Apparatus, Transmission Interface Site), together with the associated stop valves and gas monitors required for the safe operation of the National Electricity Transmission System or the User System , as the case may be. |
| Gate Closure | Has the meaning set out in the BSC . |
| GC Modification Proposal | A proposal to modify the Grid Code which is not rejected pursuant to the terms of the Grid Code and has not yet been implemented. |
| General Conditions or GC | That portion of the Grid Code which is identified as the General Conditions . |
| Generating Plant Demand Margin | The difference between Output Usable and forecast Demand . |
| Generating Unit | An Onshore Generating Unit and/or an Offshore Generating Unit . |
| Generating Unit Data | The Physical Notification, Export and Import Limits and Other Relevant Data only in respect of each Generating Unit : <ul style="list-style-type: none"> (a) which forms part of the BM Unit which represents that Cascade Hydro Scheme; (b) at an Embedded Exemptable Large Power Station, where the relevant Bilateral Agreement specifies that compliance with BC1 and/or BC2 is required: <ul style="list-style-type: none"> (i) to each Generating Unit, or (ii) to each Power Park Module where the Power Station comprises Power Park Modules |
| Generation Capacity | Has the meaning set out in the BSC . |

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| Generation Planning Parameters | Those parameters listed in Appendix 2 of OC2 . |
| Generator | A person who generates electricity under licence or exemption under the Act acting in its capacity as a generator in Great Britain or Offshore . |
| Generator Performance Chart | A diagram which shows the MW and Mvar capability limits within which a Generating Unit will be expected to operate under steady state conditions. |
| Genset | A Generating Unit, Power Park Module or CCGT Module at a Large Power Station or any Generating Unit, Power Park Module or CCGT Module which is directly connected to the National Electricity Transmission System . |
| Good Industry Practice | The exercise of that degree of skill, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced operator engaged in the same type of undertaking under the same or similar circumstances. |
| Governor Deadband | The total magnitude of the change in steady state speed (expressed as a range of Hz ($\pm x$ Hz) where "x" is a numerical value) within which there is no resultant change in the position of the governing valves of the speed/load Governing System. |
| Great Britain or GB | The landmass of England and Wales and Scotland, including internal waters. |
| Grid Code Review Panel or Panel | The panel with the functions set out in GC.4. |
| Grid Entry Point | An Onshore Grid Entry Point or an Offshore Grid Entry Point . |
| Grid Supply Point | A point of supply from the National Electricity Transmission System to Network Operators or Non-Embedded Customers . |
| Group | Those National Electricity Transmission System sub-stations bounded solely by the faulted circuit(s) and the overloaded circuit(s) excluding any third party connections between the Group and the rest of the National Electricity Transmission System , the faulted circuit(s) being a Secured Event . |
| Headroom | The Power Available (in MW) less the actual Active Power exported from the Power Park Module (in MW). |
| High Frequency Response | An automatic reduction in Active Power output in response to an increase in System Frequency above the Target Frequency (or such other level of Frequency as may have been agreed in an Ancillary Services Agreement). This reduction in Active Power output must be in accordance with the provisions of the relevant Ancillary Services Agreement which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the Frequency increase on the basis set out in the Ancillary Services Agreement and fully achieved within 10 seconds of the time of the start of the Frequency increase and it must be sustained at no lesser reduction thereafter. The interpretation of the High Frequency Response to a + 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.3. |

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| High Voltage or HV | For E&W Transmission Systems , a voltage exceeding 650 volts. For Scottish Transmission Systems , a voltage exceeding 1000 volts. |
| HV Connections | Apparatus connected at the same voltage as that of the National Electricity Transmission System , including Users' circuits, the higher voltage windings of Users' transformers and associated connection Apparatus . |
| HP Turbine Power Fraction | Ratio of steady state mechanical power delivered by the HP turbine to the total steady state mechanical power delivered by the total steam turbine at Registered Capacity . |
| IEC | International Electrotechnical Commission. |
| IEC Standard | A standard approved by the International Electrotechnical Commission. |
| Implementing Safety Co-ordinator | The Safety Co-ordinator implementing Safety Precautions . |
| Import Usable | That portion of Registered Import Capacity which is expected to be available and which is not unavailable due to a Planned Outage . |
| Incident Centre | A centre established by NGET or a User as the focal point in NGET or in that User , as the case may be, for the communication and dissemination of information between the senior management representatives of NGET , or of that User , as the case may be, and the relevant other parties during a Joint System Incident in order to avoid overloading NGET's , or that User's , as the case may be, existing operational/control arrangements. |
| Independent Back-Up Protection | A Back-Up Protection system which utilises a discrete relay, different current transformers and an alternate operating principle to the Main Protection systems(s) such that it can operate autonomously in the event of a failure of the Main Protection . |
| Independent Main Protection | A Main Protection system which utilises a physically discrete relay and different current transformers to any other Main Protection . |
| Indicated Constraint Boundary Margin | The difference between a constraint boundary transfer limit and the difference between the sum of BM Unit Maximum Export Limits and the forecast of local Demand within the constraint boundary. |
| Indicated Imbalance | The difference between the sum of Physical Notifications for BM Units comprising Generating Units or CCGT Modules and the forecast of Demand for the whole or any part of the System . |
| Indicated Margin | The difference between the sum of BM Unit Maximum Export Limits submitted and the forecast of Demand for the whole or any part of the System |
| Instructor Facilities | A device or system which gives certain Transmission Control Centre instructions with an audible or visible alarm, and incorporates the means to return message acknowledgements to the Transmission Control Centre |
| Integral Equipment Test or IET | A test on equipment, associated with Plant and/or Apparatus , which takes place when that Plant and/or Apparatus forms part of a Synchronised System and which, in the reasonable judgement of the person wishing to perform the test, may cause an Operational Effect . |

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| Interconnection Agreement | An agreement made between NET and an Externally Interconnected System Operator and/or an Interconnector User and/or other relevant persons for the External Interconnection relating to an External Interconnection and/or an agreement under which an Interconnector User can use an External Interconnection . |
| Interconnector Export Capacity | In relation to an External Interconnection means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand, of the maximum level at which the External Interconnection can export to the Grid Entry Point . |
| Interconnector Import Capacity | In relation to an External Interconnection means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand of the maximum level at which the External Interconnection can import from the Grid Entry Point . |
| Interconnector Owner | Has the meaning given to the term in the Connection and Use of System Code . |
| Interconnector User | Has the meaning set out in the BSC . |
| Interface Agreement | Has the meaning set out in the CUSC . |
| Interface Point | As the context admits or requires either; <ul style="list-style-type: none"> (a) the electrical point of connection between an Offshore Transmission System and an Onshore Transmission System, or (b) the electrical point of connection between an Offshore Transmission System and a Network Operator's User System. |
| Interface Point Capacity | The maximum amount of Active Power transferable at the Interface Point as declared by a User under the OTSDUW Arrangements expressed in whole MW. |
| Interface Point Target Voltage/Power factor | The nominal target voltage/power factor at an Interface Point which a Network Operator requires NET to achieve by operation of the relevant Offshore Transmission System . |
| Interim Operational Notification or ION | A notification from NET to a Generator or DC Converter Station owner acknowledging that the User has demonstrated compliance, except for the Unresolved Issues ; <ul style="list-style-type: none"> (a) with the Grid Code, and (b) where applicable, with Appendices F1 to F5 of the Bilateral Agreement, <p>in each case in respect of the Plant and Apparatus (including OTSUA) specified in such notification and provided that in the case of the OTSDUW Arrangements such notification shall be provided to a Generator in two parts dealing with the OTSUA and Generator's Plant and Apparatus (called respectively "Interim Operational Notification Part A" or "ION A" and "Interim Operational Notification Part B" or "ION B") as provided for in the CP.</p> |
| Intermittent Power Source | The primary source of power for a Generating Unit that can not be considered as controllable, e.g. wind, wave or solar. |

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| Intertripping | <ul style="list-style-type: none"> (a) The tripping of circuit-breaker(s) by commands initiated from Protection at a remote location independent of the state of the local Protection; or (b) Operational Intertripping. |
| Intertrip Apparatus | Apparatus which performs Intertripping . |
| IP Turbine Power Fraction | Ratio of steady state mechanical power delivered by the IP turbine to the total steady state mechanical power delivered by the total steam turbine at Registered Capacity . |
| Isolating Device | A device for achieving Isolation . |
| Isolation | <p>The disconnection of HV Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2) from the remainder of the System in which that HV Apparatus is situated by either of the following:</p> <ul style="list-style-type: none"> (a) an Isolating Device maintained in an isolating position. The isolating position must either be: <ul style="list-style-type: none"> (i) maintained by immobilising and Locking the Isolating Device in the isolating position and affixing a Caution Notice to it. Where the Isolating Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-Ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or (ii) maintained and/or secured by such other method which must be in accordance with the Local Safety Instructions of NGET or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be; or (b) an adequate physical separation which must be in accordance with and maintained by the method set out in the Local Safety Instructions of NGET or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be. |
| Joint BM Unit Data | Has the meaning set out in the BSC . |
| Joint System Incident | An Event wherever occurring (other than on an Embedded Medium Power Station or an Embedded Small Power Station) which, in the opinion of NGET or a User , has or may have a serious and/or widespread effect, in the case of an Event on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station), on the National Electricity Transmission System , and in the case of an Event on the National Electricity Transmission System , on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station). |
| Key Safe | A device for the secure retention of keys. |
| Key Safe Key | A key unique at a Location capable of operating a lock, other than a control lock, on a Key Safe . |

Large Power Station

A **Power Station** which is

- (a) directly connected to:
 - (i) **NGET's Transmission System** where such **Power Station** has a **Registered Capacity** of 100MW or more; or
 - (ii) **SPT's Transmission System** where such **Power Station** has a **Registered Capacity** of 30MW or more; or
 - (iii) **SHETL's Transmission System** where such **Power Station** has a **Registered Capacity** of 10MW or more; or
 - (iv) an **Offshore Transmission System** where such **Power Station** has a **Registered Capacity** of 10MW or more;

or,

- (b) **Embedded** within a **User System** (or part thereof) where such **User System** (or part thereof) is connected under normal operating conditions to:
 - (i) **NGET's Transmission System** and such **Power Station** has a **Registered Capacity** of 100MW or more; or
 - (ii) **SPT's Transmission System** and such **Power Station** has a **Registered Capacity** of 30MW or more; or
 - (iii) **SHETL's Transmission System** and such **Power Station** has a **Registered Capacity** of 10MW or more;

or,

- (c) **Embedded** within a **User System** (or part thereof) where the **User System** (or part thereof) is not connected to the **National Electricity Transmission System**, although such **Power Station** is in:
 - (i) **NGET's Transmission Area** where such **Power Station** has a **Registered Capacity** of 100MW or more; or
 - (ii) **SPT's Transmission Area** where such **Power Station** has a **Registered Capacity** of 30MW or more; or
 - (iii) **SHETL's Transmission Area** where such **Power Station** has a **Registered Capacity** of 10MW or more;

Licence

Any licence granted to **NGET** or a **Relevant Transmission Licensee** or a **User**, under Section 6 of the **Act**.

Licence Standards

Those standards set out or referred to in Condition C17 of **NGET's Transmission Licence** and/or Condition D3 and/or Condition E16 of a **Relevant Transmission Licensee's Transmission Licence**.

Limited Frequency Sensitive Mode

A mode whereby the operation of the **Genset** (or **DC Converter** at a **DC Converter Station** exporting **Active Power** to the **Total System**) is **Frequency** insensitive except when the **System Frequency** exceeds 50.4Hz, from which point **Limited High Frequency Response** must be provided.

Limited High Frequency Response

A response of a **Genset** (or **DC Converter** at a **DC Converter Station** exporting **Active Power** to the **Total System**) to an increase in **System Frequency** above 50.4Hz leading to a reduction in **Active Power** in accordance with the provisions of BC3.7.2.

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| Limited Operational Notification or LON | <p>A notification from NGET to a Generator or DC Converter Station owner stating that the User's Plant and/or Apparatus specified in such notification may be, or is, unable to comply:</p> <p>(a) with the provisions of the Grid Code specified in the notice, and</p> <p>(b) where applicable, with Appendices F1 to F5 of the Bilateral Agreement ,</p> <p>and specifying the Unresolved Issues.</p> |
| Load | The Active, Reactive or Apparent Power , as the context requires, generated, transmitted or distributed. |
| Loaded | Supplying electrical power to the System . |
| Load Factor | The ratio of the actual output of a Generating Unit to the possible maximum output of that Generating Unit . |
| Load Management Block | A block of Demand controlled by a Supplier or other party through the means of radio teleswitching or by some other means. |
| Local Joint Restoration Plan | <p>A plan produced under OC9.4.7.12 detailing the agreed method and procedure by which a Genset at a Black Start Station (possibly with other Gensets at that Black Start Station) will energise part of the Total System and meet complementary blocks of local Demand so as to form a Power Island.</p> <p>In Scotland, the plan may also: cover more than one Black Start Station; include Gensets other than those at a Black Start Station and cover the creation of one or more Power Islands.</p> |
| Local Safety Instructions | For safety co-ordination in England and Wales, instructions on each User Site and Transmission Site , approved by the relevant NGET or User's manager, setting down the methods of achieving the objectives of NGET's or the User's Safety Rules , as the case may be, to ensure the safety of personnel carrying out work or testing on Plant and/or Apparatus on which his Safety Rules apply and, in the case of a User , any other document(s) on a User Site which contains rules with regard to maintaining or securing the isolating position of an Isolating Device , or maintaining a physical separation or maintaining or securing the position of an Earthing Device . |
| Local Switching Procedure | A procedure produced under OC7.6 detailing the agreed arrangements in respect of carrying out of Operational Switching at Connection Sites and parts of the National Electricity Transmission System adjacent to those Connection Sites . |
| Localised Negative Reserve Active Power Margin or Localised NRAPM | That margin of Active Power sufficient to allow transfers to and from a System Constraint Group (as the case may be) to be contained within such reasonable limit as NGET may determine. |
| Location | Any place at which Safety Precautions are to be applied. |
| Locked | A condition of HV Apparatus that cannot be altered without the operation of a locking device. |
| Locking | The application of a locking device which enables HV Apparatus to be Locked . |

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| Low Frequency Relay | Has the same meaning as Under Frequency Relay . |
| Low Voltage or LV | For E&W Transmission Systems a voltage not exceeding 250 volts. For Scottish Transmission Systems , a voltage exceeding 50 volts but not exceeding 1000 volts. |
| LV Side of the Offshore Platform | Unless otherwise specified in the Bilateral Agreement , the busbar on the Offshore Platform (typically 33kV) at which the relevant Offshore Grid Entry Point is located. |
| Main Protection | A Protection system which has priority above other Protection in initiating either a fault clearance or an action to terminate an abnormal condition in a power system. |
| Manufacturer's Data & Performance Report | A report submitted by a manufacturer to NGET relating to a specific version of a Power Park Unit demonstrating the performance characteristics of such Power Park Unit in respect of which NGET has evaluated its relevance for the purposes of the Compliance Processes . |
| Market Operation Data Interface System (MODIS) | A computer system operated by NGET and made available for use by Customers connected to or using the National Electricity Transmission System for the purpose of submitting EU Transparency Availability Data to NGET . |
| Market Suspension Threshold | Has the meaning given to the term 'Market Suspension Threshold' in Section G of the BSC . |
| Material Effect | An effect causing NGET or a Relevant Transmission Licensee to effect any works or to alter the manner of operation of Transmission Plant and/or Transmission Apparatus at the Connection Site (which term shall, in this definition and in the definition of " Modification " only, have the meaning ascribed thereto in the CUSC) or the site of connection or a User to effect any works or to alter the manner of operation of its Plant and/or Apparatus at the Connection Site or the site of connection which in either case involves that party in expenditure of more than £10,000. |
| Maximum Export Capacity | The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow from an Offshore Transmission System connected to a Network Operator's User System , to that User System . |
| Maximum Generation Service or MGS | A service utilised by NGET in accordance with the CUSC and the Balancing Principles Statement in operating the Total System . |
| Maximum Generation Service Agreement | An agreement between a User and NGET for the payment by NGET to that User in respect of the provision by such User of a Maximum Generation Service . |
| Maximum Import Capacity | The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow to an Offshore Transmission System connected to a Network Operator's User System , from that User System . |

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| Medium Power Station | <p>A Power Station which is</p> <p>(a) directly connected to NGET's Transmission System where such Power Station has a Registered Capacity of 50MW or more but less than 100MW;</p> <p>or,</p> <p>(b) Embedded within a User System (or part thereof) where such User System (or part thereof) is connected under normal operating conditions to NGET's Transmission System and such Power Station has a Registered Capacity of 50MW or more but less than 100MW;</p> <p>or,</p> <p>(c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in NGET's Transmission Area and such Power Station has a Registered Capacity of 50MW or more but less than 100MW.</p> |
| Medium Voltage or MV | For E&W Transmission Systems a voltage exceeding 250 volts but not exceeding 650 volts. |
| Mills | Milling plant which supplies pulverised fuel to the boiler of a coal fired Power Station . |
| Minimum Generation | The minimum output (in whole MW) which a Genset can generate or DC Converter at a DC Converter Station can import or export to the Total System under stable operating conditions, as registered with NGET under the PC (and amended pursuant to the PC). For the avoidance of doubt, the output may go below this level as a result of operation in accordance with BC3.7. |
| Minimum Import Capacity | The minimum input (in whole MW) into a DC Converter at a DC Converter Station (in any of its operating configurations) at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter at the User System Entry Point) at which a DC Converter can operate in a stable manner, as registered with NGET under the PC (and amended pursuant to the PC). |
| Modification | Any actual or proposed replacement, renovation, modification, alteration or construction by or on behalf of a User or NGET to either that User's Plant or Apparatus or Transmission Plant or Apparatus , as the case may be, or the manner of its operation which has or may have a Material Effect on NGET or a User , as the case may be, at a particular Connection Site . |
| Mothballed DC Converter at a DC Converter Station | A DC Converter at a DC Converter Station that has previously imported or exported power which the DC Converter Station owner plans not to use to import or export power for the remainder of the current Financial Year but which could be returned to service. |
| Mothballed Generating Unit | A Generating Unit that has previously generated which the Generator plans not to use to generate for the remainder of the current NGET Financial Year but which could be returned to service. |
| Mothballed Power Park Module | A Power Park Module that has previously generated which the Generator plans not to use to generate for the remainder of the current Financial Year but which could be returned to service. |

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| Multiple Point of Connection | A double (or more) Point of Connection , being two (or more) Points of Connection interconnected to each other through the User's System . |
| National Demand | <p>The amount of electricity supplied from the Grid Supply Points plus:-</p> <ul style="list-style-type: none"> • that supplied by Embedded Large Power Stations, and • National Electricity Transmission System Losses, <p>minus:-</p> <ul style="list-style-type: none"> • the Demand taken by Station Transformers and Pumped Storage Units' <p>and, for the purposes of this definition, does not include:-</p> <ul style="list-style-type: none"> • any exports from the National Electricity Transmission System across External Interconnections. |
| National Electricity Transmission System | The Onshore Transmission System and, where owned by Offshore Transmission Licensees , Offshore Transmission Systems . |
| National Electricity Transmission System Demand | <p>The amount of electricity supplied from the Grid Supply Points plus:-</p> <ul style="list-style-type: none"> • that supplied by Embedded Large Power Stations, and • exports from the National Electricity Transmission System across External Interconnections, and • National Electricity Transmission System Losses, <p>and, for the purposes of this definition, includes:-</p> <ul style="list-style-type: none"> • the Demand taken by Station Transformers and Pumped Storage Units. |
| National Electricity Transmission System Losses | The losses of electricity incurred on the National Electricity Transmission System . |
| National Electricity Transmission System Operator Area | Has the meaning set out in Schedule 1 of NGET's Transmission Licence . |
| National Electricity Transmission System Study Network Data File | A computer file produced by NGET which in NGET's view provides an appropriate representation of the National Electricity Transmission System for a specific point in time. The computer file will contain information and data on Demand on the National Electricity Transmission System and on Large Power Stations including Genset power output consistent with Output Usable and NGET's view of prevailing system conditions. |
| National Electricity Transmission System Warning | <p>A warning issued by NGET to Users (or to certain Users only) in accordance with OC7.4.8.2, which provides information relating to System conditions or Events and is intended to :</p> <ol style="list-style-type: none"> (a) alert Users to possible or actual Plant shortage, System problems and/or Demand reductions; (b) inform of the applicable period; (c) indicate intended consequences for Users; and (d) enable specified Users to be in a state of readiness to receive instructions from NGET. |

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| National Electricity Transmission System Warning - Demand Control Imminent | A warning issued by NGET , in accordance with OC7.4.8.7, which is intended to provide short term notice, where possible, to those Users who are likely to receive Demand reduction instructions from NGET within 30 minutes. |
| National Electricity Transmission System Warning - High Risk of Demand Reduction | A warning issued by NGET , in accordance with OC7.4.8.6, which is intended to alert recipients that there is a high risk of Demand reduction being implemented and which may normally result from an inadequate System Margin . |
| National Electricity Transmission System Warning - Inadequate System Margin | A warning issued by NGET , in accordance with OC7.4.8.5, which is intended to alert recipients of an inadequate System Margin and which if not improved may result in Demand reduction being instructed. |
| National Electricity Transmission System Warning - Risk of System Disturbance | A warning issued by NGET , in accordance with OC7.4.8.8, which is intended to alert Users of the risk of widespread and serious System disturbance which may affect Users . |
| Network Data | The data to be provided by NGET to Users in accordance with the PC , as listed in Part 3 of the Appendix to the PC . |
| Network Operator | A person with a User System directly connected to the National Electricity Transmission System to which Customers and/or Power Stations (not forming part of the User System) are connected, acting in its capacity as an operator of the User System , but shall not include a person acting in the capacity of an Externally Interconnected System Operator or a Generator in respect of OTSUA . |
| NGET | National Grid Electricity Transmission plc (NO: 2366977) whose registered office is at 1-3 Strand, London, WC2N 5EH. |
| NGET Control Engineer | The nominated person employed by NGET to direct the operation of the National Electricity Transmission System or such person as nominated by NGET . |
| NGET Operational Strategy | NGET's operational procedures which form the guidelines for operation of the National Electricity Transmission System . |
| No-Load Field Voltage | Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992]. |
| No System Connection | As defined in OC8A.1.6.2 and OC8B.1.7.2 |
| Notification of User's Intention to Synchronise | A notification from a Generator or DC Converter Station owner to NGET informing NGET of the date upon which any OTSUA , a Generating Unit(s) , CCGT Module(s) , Power Park Module(s) or DC Converter(s) will be ready to be Synchronised to the Total System . |
| Non-Embedded Customer | A Customer in Great Britain , except for a Network Operator acting in its capacity as such, receiving electricity direct from the Onshore Transmission System irrespective of from whom it is supplied. |
| Non-Synchronous Generating Unit | An Onshore Non-Synchronous Generating Unit or Offshore Non-Synchronous Generating Unit . |
| Normal CCGT Module | A CCGT Module other than a Range CCGT Module . |
| Novel Unit | A tidal, wave, wind, geothermal, or any similar, Generating Unit . |

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| OC9 De-synchronised Island Procedure | Has the meaning set out in OC9.5.4. |
| Offshore | Means wholly or partly in Offshore Waters , and when used in conjunction with another term and not defined means that the associated term is to be read accordingly. |
| Offshore DC Converter | Any User Apparatus located Offshore used to convert alternating current electricity to direct current electricity, or vice versa. An Offshore DC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. |
| Offshore Development Information Statement | A statement prepared by NGET in accordance with Special Condition C4 of NGET's Transmission Licence . |
| Offshore Generating Unit | Unless otherwise provided in the Grid Code, any Apparatus located Offshore which produces electricity, including, an Offshore Synchronous Generating Unit and Offshore Non-Synchronous Generating Unit . |
| Offshore Grid Entry Point | In the case of:- <ul style="list-style-type: none"> (a) an Offshore Generating Unit or an Offshore DC Converter, as the case may be, which is directly connected to an Offshore Transmission System, the point at which it connects to that Offshore Transmission System, or; (b) an Offshore Power Park Module which is directly connected to an Offshore Transmission System, the point where one Power Park String (registered by itself as a Power Park Module) or the collection of points where a number of Offshore Power Park Strings (registered as a single Power Park Module) connects to that Offshore Transmission System, or; (c) an External Interconnection which is directly connected to an Offshore Transmission System, the point at which it connects to that Offshore Transmission System. |
| Offshore Non-Synchronous Generating Unit | An Offshore Generating Unit that is not an Offshore Synchronous Generating Unit including for the avoidance of doubt a Power Park Unit located Offshore . |
| Offshore Platform | A single structure comprising of Plant and Apparatus located Offshore which includes one or more Offshore Grid Entry Points . |
| Offshore Power Park Module | A collection of one or more Offshore Power Park Strings (registered as a Power Park Module under the PC). There is no limit to the number of Power Park Strings within the Power Park Module , so long as they either: <ul style="list-style-type: none"> (a) connect to the same busbar which cannot be electrically split; or (b) connect to a collection of directly electrically connected busbars of the same nominal voltage and are configured in accordance with the operating arrangements set out in the relevant Bilateral Agreement. |

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| Offshore Power Park String | A collection of Offshore Generating Units that are powered by an Intermittent Power Source , joined together by cables forming part of a User System with a single point of connection to an Offshore Transmission System . The connection to an Offshore Transmission System may include a DC Converter . |
| Offshore Synchronous Generating Unit | An Offshore Generating Unit in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the National Electricity Transmission System divided by the number of pole pairs of the Generating Unit . |
| Offshore Tender Process | The process followed by the Authority to make, in prescribed cases, a determination on a competitive basis of the person to whom an offshore transmission licence is to be granted. |
| Offshore Transmission Distribution Connection Agreement | An agreement entered into by NGET and a Network Operator in respect of the connection to and use of a Network Operator's User System by an Offshore Transmission System . |
| Offshore Transmission Licensee | Such person in relation to whose Transmission Licence the standard conditions in Section E (offshore transmission owner standard conditions) of such Transmission Licence have been given effect, or any person in that prospective role who has acceded to the STC . |
| Offshore Transmission System | A system consisting (wholly or mainly) of high voltage electric lines and used for the transmission of electricity from one Power Station to a sub-station or to another Power Station or between sub-stations, and includes any Plant and Apparatus (including OTSUA) and meters in connection with the transmission of electricity but does not include any Remote Transmission Assets . An Offshore Transmission System extends from the Interface Point , or the Offshore Grid Entry Point(s) and may include Plant and Apparatus located Onshore and Offshore and, where the context permits, references to the Offshore Transmission System includes OTSUA . |
| Offshore Waters | Has the meaning given to "offshore waters" in Section 90(9) of the Energy Act 2004. |
| Offshore Works Assumptions | In relation to a particular User means those assumptions set out in Appendix P of the relevant Construction Agreement as amended from time to time. |
| Onshore | Means within Great Britain , and when used in conjunction with another term and not defined means that the associated term is to be read accordingly. |
| Onshore DC Converter | Any User Apparatus located Onshore with a Completion Date after 1 st April 2005 used to convert alternating current electricity to direct current electricity, or vice versa. An Onshore DC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, an Onshore DC Converter represents the bipolar configuration. |
| Onshore Generating Unit | Unless otherwise provided in the Grid Code, any Apparatus located Onshore which produces electricity, including, an Onshore Synchronous Generating Unit and Onshore Non-Synchronous Generating Unit . |

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| Onshore Grid Entry Point | A point at which a Onshore Generating Unit or a CCGT Module or a CCGT Unit or a Onshore DC Converter or a Onshore Power Park Module or an External Interconnection , as the case may be, which is directly connected to the Onshore Transmission System connects to the Onshore Transmission System . |
| Onshore Non-Synchronous Generating Unit | A Generating Unit located Onshore that is not a Synchronous Generating Unit including for the avoidance of doubt a Power Park Unit located Onshore . |
| Onshore Power Park Module | A collection of Non-Synchronous Generating Units (registered as a Power Park Module under the PC) that are powered by an Intermittent Power Source , joined together by a System with a single electrical point of connection directly to the Onshore Transmission System (or User System if Embedded) with no intermediate Offshore Transmission System connections. The connection to the Onshore Transmission System (or User System if Embedded) may include a DC Converter . |
| Onshore Synchronous Generating Unit | An Onshore Generating Unit including, for the avoidance of doubt, a CCGT Unit in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the National Electricity Transmission System divided by the number of pole pairs of the Generating Unit . |
| Onshore Transmission Licensee | NGET, SPT, or SHETL. |
| Onshore Transmission System | The system consisting (wholly or mainly) of high voltage electric lines owned or operated by Onshore Transmission Licensees and used for the transmission of electricity from one Power Station to a substation or to another Power Station or between substations or to or from Offshore Transmission Systems or to or from any External Interconnection , and includes any Plant and Apparatus and meters owned or operated by any Onshore Transmission Licensee in connection with the transmission of electricity but does not include any Remote Transmission Assets . |
| On-Site Generator Site | A site which is determined by the BSC Panel to be a Trading Unit under the BSC by reason of having fulfilled the Class 1 or Class 2 requirements as such terms are used in the BSC . |
| Operating Code or OC | That portion of the Grid Code which is identified as the Operating Code . |
| Operating Margin | Contingency Reserve plus Operating Reserve. |
| Operating Reserve | The additional output from Large Power Stations or the reduction in Demand , which must be realisable in real-time operation to respond in order to contribute to containing and correcting any System Frequency fall to an acceptable level in the event of a loss of generation or a loss of import from an External Interconnection or mismatch between generation and Demand . |
| Operation | A scheduled or planned action relating to the operation of a System (including an Embedded Power Station). |
| Operational Data | Data required under the Operating Codes and/or Balancing Codes . |
| Operational Day | The period from 0500 hours on one day to 0500 on the following day. |

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| Operation Diagrams | Diagrams which are a schematic representation of the HV Apparatus and the connections to all external circuits at a Connection Site (and in the case of OTSDUW, Transmission Interface Site), incorporating its numbering, nomenclature and labelling. |
| Operational Effect | Any effect on the operation of the relevant other System which causes the National Electricity Transmission System or the System of the other User or Users , as the case may be, to operate (or be at a materially increased risk of operating) differently to the way in which they would or may have operated in the absence of that effect. |
| Operational Intertripping | The automatic tripping of circuit-breakers to prevent abnormal system conditions occurring, such as over voltage, overload, System instability, etc. after the tripping of other circuit-breakers following power System fault(s) which includes System to Generating Unit , System to CCGT Module , System to Power Park Module , System to DC Converter and System to Demand intertripping schemes. |
| Operational Notifications | Any Energisation Operational Notification , Interim Operational Notification , Final Operational Notification or Limited Operational Notification issued from NGET to a User . |
| Operational Planning | Planning through various timescales the matching of generation output with forecast National Electricity Transmission System Demand together with a reserve of generation to provide a margin, taking into account outages of certain Generating Units , of parts of the National Electricity Transmission System and of parts of User Systems to which Power Stations and/or Customers are connected, carried out to achieve, so far as possible, the standards of security set out in NGET's Transmission Licence , each Relevant Transmission Licensee's Transmission Licence or Electricity Distribution Licence , as the case may be. |
| Operational Planning Margin | An operational planning margin set by NGET . |
| Operational Planning Phase | The period from 8 weeks to the end of the 5 th year ahead of real time operation. |
| Operational Procedures | Management instructions and procedures, both in support of the Safety Rules and for the local and remote operation of Plant and Apparatus , issued in connection with the actual operation of Plant and/or Apparatus at or from a Connection Site . |
| Operational Switching | Operation of Plant and/or Apparatus to the instruction of the relevant Control Engineer . For the avoidance of doubt, the operation of Transmission Plant and/or Apparatus forming part of the National Electricity Transmission System in England and Wales, will be to the instruction of NGET and in Scotland and Offshore will be to the instruction of the Relevant Transmission Licensee . |
| Other Relevant Data | The data listed in BC1.4.2(f) under the heading Other Relevant Data . |
| Offshore Transmission System Development User Works or OTSDUW | In relation to a particular User where the OTSDUW Arrangements apply, means those activities and/or works for the design, planning, consenting and/or construction and installation of the Offshore Transmission System to be undertaken by the User as identified in Part 2 of Appendix I of the relevant Construction Agreement . |

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| OTSDUW Arrangements | The arrangements whereby certain aspects of the design, consenting, construction, installation and/or commissioning of transmission assets are capable of being undertaken by a User prior to the transfer of those assets to a Relevant Transmission Licensee under an Offshore Tender Process . |
| OTSDUW Data and Information | The data and information to be provided by Users undertaking OTSDUW , to NGET in accordance with Appendix F of the Planning Code . |
| OTSDUW DC Converter | A Transmission DC Converter designed and/or constructed and/or installed by a User under the OTSDUW Arrangements and/or operated by the User until the OTSUA Transfer Time . |
| OTSDUW Development and Data Timetable | The timetable for both the delivery of OTSDUW Data and Information and OTSDUW Network Data and Information as referred to in Appendix F of the Planning Code and the development of the scope of the OTSDUW . |
| OTSDUW Network Data and Information | The data and information to be provided by NGET to Users undertaking OTSDUW in accordance with Appendix F of the Planning Code . |
| OTSDUW Plant and Apparatus | Plant and Apparatus , including any OTSDUW DC Converter , designed by the User under the OTSDUW Arrangements . |
| Offshore Transmission System User Assets or OTSUA | OTSDUW Plant and Apparatus constructed and/or installed by a User under the OTSDUW Arrangements which form an Offshore Transmission System that once transferred to a Relevant Transmission Licensee under an Offshore Tender Process will become part of the National Electricity Transmission System . |
| OTSUA Transfer Time | The time and date at which the OTSUA are transferred to a Relevant Transmission Licensee . |
| Out of Synchronism | The condition where a System or Generating Unit cannot meet the requirements to enable it to be Synchronised . |
| Output Usable or OU | <p>The (daily or weekly) forecast value (in MW), at the time of the (daily or weekly) peak demand, of the maximum level at which the Genset can export to the Grid Entry Point, or in the case of Embedded Power Stations, to the User System Entry Point. In addition, for a Genset powered by an Intermittent Power Source the forecast value is based upon the Intermittent Power Source being at a level which would enable the Genset to generate at Registered Capacity.</p> <p>For the purpose of OC2 only, the term Output Usable shall include the terms Interconnector Export Capacity and Interconnector Import Capacity where the term Output Usable is being applied to an External Interconnection.</p> |
| Over-excitation Limiter | Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992]. |
| Part 1 System Ancillary Services | Ancillary Services which are required for System reasons and which must be provided by Users in accordance with the Connection Conditions . An exhaustive list of Part 1 System Ancillary Services is included in that part of CC.8.1 headed Part 1. |

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| Part 2 System Ancillary Services | Ancillary Services which are required for System reasons and which must be provided by a User if the User has agreed to provide them under a Bilateral Agreement . A non-exhaustive list of Part 2 System Ancillary Services is included in that part of CC.8.1 headed Part 2. |
| Part Load | The condition of a Genset , or Cascade Hydro Scheme which is Loaded but is not running at its Maximum Export Limit. |
| Permit for Work for proximity work | <p>In respect of E&W Transmission Systems, a document issued by the Relevant E&W Transmission Licensee or an E&W User in accordance with its respective Safety Rules to enable work to be carried out in accordance with OC8A.8 and which provides for Safety Precautions to be applied and maintained. An example format of a Relevant E&W Transmission Licensee's permit for work is attached as Appendix E to OC8A.</p> <p>In respect of Scottish Transmission Systems, a document issued by a Relevant Scottish Transmission Licensee or a Scottish User in accordance with its respective Safety Rules to enable work to be carried out in accordance with OC8B.8 and which provides for Safety Precautions to be applied and maintained. Example formats of Relevant Scottish Transmission Licensees' permits for work are attached as Appendix E to OC8B.</p> |
| Partial Shutdown | The same as a Total Shutdown except that all generation has ceased in a separate part of the Total System and there is no electricity supply from External Interconnections or other parts of the Total System to that part of the Total System and, therefore, that part of the Total System is shutdown, with the result that it is not possible for that part of the Total System to begin to function again without NGET's directions relating to a Black Start . |
| Phase (Voltage) Unbalance | The ratio (in percent) between the rms values of the negative sequence component and the positive sequence component of the voltage. |
| Physical Notification | Data that describes the BM Participant's best estimate of the expected input or output of Active Power of a BM Unit and/or (where relevant) Generating Unit , the accuracy of the Physical Notification being commensurate with Good Industry Practice . |
| Planning Code or PC | That portion of the Grid Code which is identified as the Planning Code . |
| Planned Maintenance Outage | An outage of NGET electronic data communication facilities as provided for in CC.6.5.8 and NGET's associated computer facilities of which normally at least 5 days notice is given, but in any event of which at least twelve hours notice has been given by NGET to the User and which is anticipated to last no longer than 2 hours. The length of such an outage may in exceptional circumstances be extended where at least 24 hours notice has been given by NGET to the User . It is anticipated that normally any planned outage would only last around one hour. |
| Planned Outage | An outage of a Large Power Station or of part of the National Electricity Transmission System , or of part of a User System , co-ordinated by NGET under OC2 . |
| Plant | Fixed and movable items used in the generation and/or supply and/or transmission of electricity, other than Apparatus . |

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| Point of Common Coupling | That point on the National Electricity Transmission System electrically nearest to the User installation at which either Demands or Loads are, or may be, connected. |
| Point of Connection | An electrical point of connection between the National Electricity Transmission System and a User's System . |
| Point of Isolation | The point on Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2) at which Isolation is achieved. |
| Post-Control Phase | The period following real time operation. |
| Power Available | A signal prepared in accordance with good industry practice, representing the instantaneous sum of the potential Active Power available from each individual Power Park Unit within the Power Park Module calculated using any applicable combination of meteorological (including wind speed), electrical or mechanical data measured at each Power Park Unit at a specified time. Power Available shall be a value between 0MW and Registered Capacity which is the sum of the potential Active Power available of each Power Park Unit within the Power Park Module . A turbine that is not generating will be considered as not available. For the avoidance of doubt, the Power Available signal would be the Active Power output that a Power Park Module could reasonably be expected to export at the Grid Entry Point or User System Entry Point taking all the above criteria into account including Power Park Unit constraints such as optimisation modes but would exclude a reduction in the Active Power export of the Power Park Module instructed by NGET (for example) for the purposes selecting a Power Park Module to operate in Frequency Sensitive Mode or when an Emergency Instruction has been issued. |
| Power Factor | The ratio of Active Power to Apparent Power . |
| Power Island | Gensets at an isolated Power Station , together with complementary local Demand . In Scotland a Power Island may include more than one Power Station . |
| Power Park Module | Any Onshore Power Park Module or Offshore Power Park Module . |
| Power Park Module Availability Matrix | The matrix described in Appendix 1 to BC1 under the heading Power Park Module Availability Matrix . |
| Power Park Module Planning Matrix | A matrix in the form set out in Appendix 4 of OC2 showing the combination of Power Park Units within a Power Park Module which would be expected to be running under normal conditions. |
| Power Park Unit | A Generating Unit within a Power Park Module . |
| Power Station | An installation comprising one or more Generating Units or Power Park Modules (even where sited separately) owned and/or controlled by the same Generator , which may reasonably be considered as being managed as one Power Station . |
| Power System Stabiliser or PSS | Equipment controlling the Exciter output via the voltage regulator in such a way that power oscillations of the synchronous machines are dampened. Input variables may be speed, frequency or power (or a combination of these). |

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| Preface | The preface to the Grid Code (which does not form part of the Grid Code and therefore is not binding). |
| Preliminary Notice | A notice in writing, sent by NGET both to all Users identified by it under OC12.4.2.1 and to the Test Proposer , notifying them of a proposed System Test . |
| Preliminary Project Planning Data | Data relating to a proposed User Development at the time the User applies for a CUSC Contract but before an offer is made and accepted. |
| Primary Response | The automatic increase in Active Power output of a Genset or, as the case may be, the decrease in Active Power Demand in response to a System Frequency fall. This increase in Active Power output or, as the case may be, the decrease in Active Power Demand must be in accordance with the provisions of the relevant Ancillary Services Agreement which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the start of the Frequency fall on the basis set out in the Ancillary Services Agreement and fully available by the latter, and sustainable for at least a further 20 seconds. The interpretation of the Primary Response to a – 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2. |
| Programming Phase | The period between Operational Planning Phase and the Control Phase . It starts at the 8 weeks ahead stage and finishes at 17:00 on the day ahead of real time. |
| Proposal Notice | A notice submitted to NGET by a User which would like to undertake a System Test . |
| Proposal Report | A report submitted by the Test Panel which contains: <ul style="list-style-type: none"> (a) proposals for carrying out a System Test (including the manner in which the System Test is to be monitored); (b) an allocation of costs (including un-anticipated costs) between the affected parties (the general principle being that the Test Proposer will bear the costs); and (c) such other matters as the Test Panel considers appropriate. <p>The report may include requirements for indemnities to be given in respect of claims and losses arising from a System Test.</p> |
| Protection | The provisions for detecting abnormal conditions on a System and initiating fault clearance or actuating signals or indications. |
| Protection Apparatus | A group of one or more Protection relays and/or logic elements designated to perform a specified Protection function. |
| Pumped Storage Generator | A Generator which owns and/or operates any Pumped Storage Plant . |
| Pumped Storage Plant | The Dinorwig, Ffestiniog, Cruachan and Foyers Power Stations . |
| Pumped Storage Unit | A Generating Unit within a Pumped Storage Plant . |
| Quiescent Physical Notification or QPN | Data that describes the MW levels to be deducted from the Physical Notification of a BM Unit to determine a resultant operating level to which the Dynamic Parameters associated with that BM Unit apply, and the associated times for such MW levels. The MW level of the QPN must always be set to zero. |

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| Range CCGT Module | A CCGT Module where there is a physical connection by way of a steam or hot gas main between that CCGT Module and another CCGT Module or other CCGT Modules , which connection contributes (if open) to efficient modular operation, and which physical connection can be varied by the operator. |
| Rated Field Voltage | Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992]. |
| Rated MW | The "rating-plate" MW output of a Generating Unit, Power Park Module or DC Converter , being: <ul style="list-style-type: none"> (a) that output up to which the Generating Unit was designed to operate (Calculated as specified in British Standard BS EN 60034 – 1: 1995); or (b) the nominal rating for the MW output of a Power Park Module being the maximum continuous electric output power which the Power Park Module was designed to achieve under normal operating conditions; or (c) the nominal rating for the MW import capacity and export capacity (if at a DC Converter Station) of a DC Converter. |
| Reactive Despatch Instruction | Has the meaning set out in the CUSC . |
| Reactive Despatch Network Restriction | A restriction placed upon an Embedded Generating Unit, Embedded Power Park Module or DC Converter at an Embedded DC Converter Station by the Network Operator that prevents the Generator or DC Converter Station owner in question (as applicable) from complying with any Reactive Despatch Instruction with respect to that Generating Unit, Power Park Module or DC Converter at a DC Converter Station , whether to provide Mvars over the range referred to in CC 6.3.2 or otherwise. |
| Reactive Energy | The integral with respect to time of the Reactive Power . |
| Reactive Power | The product of voltage and current and the sine of the phase angle between them measured in units of voltamperes reactive and standard multiples thereof, ie: <p>1000 VAr = 1 kVAr</p> <p>1000 kVAr = 1 Mvar</p> |
| Record of Inter-System Safety Precautions or RISSP | A written record of inter-system Safety Precautions to be compiled in accordance with the provisions of OC8 . |

Registered Capacity

- (a) In the case of a **Generating Unit** other than that forming part of a **CCGT Module** or **Power Park Module**, the normal full load capacity of a **Generating Unit** as declared by the **Generator**, less the MW consumed by the **Generating Unit** through the **Generating Unit's Unit Transformer** when producing the same (the resultant figure being expressed in whole MW, or in MW to one decimal place).
- (b) In the case of a **CCGT Module** or **Power Park Module**, the normal full load capacity of the **CCGT Module** or **Power Park Module** (as the case may be) as declared by the **Generator**, being the **Active Power** declared by the **Generator** as being deliverable by the **CCGT Module** or **Power Park Module** at the **Grid Entry Point** (or in the case of an **Embedded CCGT Module** or **Power Park Module**, at the **User System Entry Point**), expressed in whole MW, or in MW to one decimal place.
- (c) In the case of a **Power Station**, the maximum amount of **Active Power** deliverable by the **Power Station** at the **Grid Entry Point** (or in the case of an **Embedded Power Station** at the **User System Entry Point**), as declared by the **Generator**, expressed in whole MW, or in MW to one decimal place. The maximum **Active Power** deliverable is the maximum amount deliverable simultaneously by the **Generating Units** and/or **CCGT Modules** and/or **Power Park Modules** less the MW consumed by the **Generating Units** and/or **CCGT Modules** in producing that **Active Power**.
- (d) In the case of a **DC Converter** at a **DC Converter Station**, the normal full load amount of **Active Power** transferable from a **DC Converter** at the **Onshore Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter Station** owner, expressed in whole MW, or in MW to one decimal place.
- (e) In the case of a **DC Converter Station**, the maximum amount of **Active Power** transferable from a **DC Converter Station** at the **Onshore Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter Station** owner, expressed in whole MW, or in MW to one decimal place.

Registered Data

Those items of **Standard Planning Data** and **Detailed Planning Data** which upon connection become fixed (subject to any subsequent changes).

Registered Import Capability

In the case of a **DC Converter Station** containing **DC Converters** connected to an **External System**, the maximum amount of **Active Power** transferable into a **DC Converter Station** at the **Onshore Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter Station** owner, expressed in whole MW.

In the case of a **DC Converter** connected to an **External System** and in a **DC Converter Station**, the normal full load amount of **Active Power** transferable into a **DC Converter** at the **Onshore Grid Entry Point** (or in the case of an **Embedded DC Converter Station** at the **User System Entry Point**), as declared by the **DC Converter** owner, expressed in whole MW.

Regulations

The Utilities Contracts Regulations 1996, as amended from time to time.

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| Reheater Time Constant | Determined at Registered Capacity , the reheater time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase. |
| Relevant E&W Transmission Licensee | As the context requires NGET and/or an E&W Offshore Transmission Licensee . |
| Relevant Scottish Transmission Licensee | As the context requires SPT and/or SHETL and/or a Scottish Offshore Transmission Licensee . |
| Relevant Transmission Licensee | Means SP Transmission Ltd (SPT) in its Transmission Area or Scottish Hydro-Electric Transmission Ltd (SHETL) in its Transmission Area or any Offshore Transmission Licensee in its Transmission Area . |
| Relevant Unit | As defined in the STC , Schedule 3. |
| Remote Transmission Assets | Any Plant and Apparatus or meters owned by NGET which: <ul style="list-style-type: none"> (a) are Embedded in a User System and which are not directly connected by Plant and/or Apparatus owned by NGET to a sub-station owned by NGET; and (b) are by agreement between NGET and such User operated under the direction and control of such User. |
| Requesting Safety Co-ordinator | The Safety Co-ordinator requesting Safety Precautions . |
| Responsible Engineer/Operator | A person nominated by a User to be responsible for System control. |
| Responsible Manager | A manager who has been duly authorised by a User or NGET to sign Site Responsibility Schedules on behalf of that User or NGET , as the case may be. For Connection Sites in Scotland and Offshore a manager who has been duly authorised by the Relevant Transmission Licensee to sign Site Responsibility Schedules on behalf of that Relevant Transmission Licensee . |
| Re-synchronisation | The bringing of parts of the System which have become Out of Synchronism with any other System back into Synchronism , and like terms shall be construed accordingly. |
| Safety Co-ordinator | A person or persons nominated by a Relevant E&W Transmission Licensee and each E&W User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) on an E&W Transmission System and/or by the Relevant Scottish Transmission Licensee and each Scottish User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) on a Scottish Transmission System to be responsible for the co-ordination of Safety Precautions at each Connection Point (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) when work (which includes testing) is to be carried out on a System which necessitates the provision of Safety Precautions on HV Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2), pursuant to OC8 . |

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| Safety From The System | That condition which safeguards persons when work is to be carried out on or near a System from the dangers which are inherent in the System . |
| Safety Key | A key unique at the Location capable of operating a lock which will cause an Isolating Device and/or Earthing Device to be Locked . |
| Safety Log | A chronological record of messages relating to safety co-ordination sent and received by each Safety Co-ordinator under OC8 . |
| Safety Precautions | Isolation and/or Earthing . |
| Safety Rules | The rules of NET (in England and Wales) and the Relevant Transmission Licensee (in Scotland or Offshore) or a User that seek to ensure that persons working on Plant and/or Apparatus to which the rules apply are safeguarded from hazards arising from the System . |
| Scottish Offshore Transmission System | An Offshore Transmission System with an Interface Point in Scotland. |
| Scottish Offshore Transmission Licensee | A person who owns or operates a Scottish Offshore Transmission System pursuant to a Transmission Licence . |
| Scottish Transmission System | Collectively SPT's Transmission System and SHETL's Transmission System and any Scottish Offshore Transmission Systems . |
| Scottish User | A User in Scotland or any Offshore User who owns or operates Plant and/or Apparatus connected (or which will at the OTSUA Transfer Time be connected) to a Scottish Offshore Transmission System |
| Secondary Response | The automatic increase in Active Power output of a Genset or, as the case may be, the decrease in Active Power Demand in response to a System Frequency fall. This increase in Active Power output or, as the case may be, the decrease in Active Power Demand must be in accordance with the provisions of the relevant Ancillary Services Agreement which will provide that it will be fully available by 30 seconds from the time of the start of the Frequency fall and be sustainable for at least a further 30 minutes. The interpretation of the Secondary Response to a -0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2. |
| Secretary of State | Has the same meaning as in the Act . |
| Secured Event | Has the meaning set out in the Security and Quality of Supply Standard . |
| Security and Quality of Supply Standard | The version of the document entitled 'Security and Quality of Supply Standard' established pursuant to the Transmission Licence in force at the time of entering into the relevant Bilateral Agreement . |
| Setpoint Voltage | The value of voltage at the Grid Entry Point , or User System Entry Point if Embedded , on the automatic control system steady state operating characteristic, as a percentage of the nominal voltage, at which the transfer of Reactive Power between a Power Park Module , DC Converter or Non-Synchronous Generating Unit and the Transmission System , or Network Operator's system if Embedded , is zero. |
| Settlement Period | A period of 30 minutes ending on the hour and half-hour in each hour during a day. |

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| Seven Year Statement | A statement, prepared by NGET in accordance with the terms of NGET's Transmission Licence , showing for each of the seven succeeding Financial Years , the opportunities available for connecting to and using the National Electricity Transmission System and indicating those parts of the National Electricity Transmission System most suited to new connections and transport of further quantities of electricity. |
| SF₆ Gas Zone | A segregated zone surrounding electrical conductors within a casing containing SF ₆ gas. |
| SHETL | Scottish Hydro-Electric Transmission Limited |
| Shutdown | The condition of a Generating Unit where the generator rotor is at rest or on barring. |
| Significant Code Review | Means a review of one or more matters which the Authority considers is likely to: <ul style="list-style-type: none"> (a) relate to the Grid Code (either on its own or in conjunction with any other industry codes); and (b) be of particular significance in relation to its principal objective and/or general duties (under section 3A of the Act), statutory functions and/or relevant obligations arising under EU law, and concerning which the Authority has issued a notice to NGET (among others, as appropriate) stating: <ul style="list-style-type: none"> (i) that the review will constitute a Significant Code Review; (ii) the start date of the Significant Code Review; and (iii) the matters that will fall within the scope of the review; |
| Significant Code Review Phase | Means the period commencing on the start date of a Significant Code Review as stated in the notice issued by the Authority , and ending either: <ul style="list-style-type: none"> (a) on the date on which the Authority issues a statement that no directions will be issued in relation to the Grid Code; or (b) if no statement is made under (a), and the Authority has directed NGET to raise GC Modification Proposal associated with the Significant Code Review, on the date on which NGET has raise such a GC Modification Proposal; or (c) immediately, if neither a statement nor directions are issued by the Authority within (and including) twenty eight (28) days from the Authority's publication of its Significant Code Review conclusions. |
| Significant Incident | An Event which either: <ul style="list-style-type: none"> (a) was notified by a User to NGET under OC7, and which NGET considers has had or may have had a significant effect on the National Electricity Transmission System, and NGET requires the User to report that Event in writing in accordance with OC10 and notifies the User accordingly; or (b) was notified by NGET to a User under OC7, and which that User considers has had or may have had a significant effect on that User's System, and that User requires NGET to report that Event in writing in accordance with the provisions of OC10 and notifies NGET accordingly. |

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| Simultaneous Tap Change | A tap change implemented on the generator step-up transformers of Synchronised Gensets , effected by Generators in response to an instruction from NGET issued simultaneously to the relevant Power Stations . The instruction, preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from NGET of the instruction. |
| Single Line Diagram | A schematic representation of a three-phase network in which the three phases are represented by single lines. The diagram shall include (but not necessarily be limited to) busbars, overhead lines, underground cables, power transformers and reactive compensation equipment. It shall also show where Large Power Stations are connected, and the points at which Demand is supplied. |
| Single Point of Connection | A single Point of Connection , with no interconnection through the User's System to another Point of Connection . |
| Site Common Drawings | Drawings prepared for each Connection Site (and in the case of OTSDUW, Transmission Interface Site) which incorporate Connection Site (and in the case of OTSDUW, Transmission Interface Site) layout drawings, electrical layout drawings, common protection/ control drawings and common services drawings. |
| Site Responsibility Schedule | A schedule containing the information and prepared on the basis of the provisions set out in Appendix 1 of the CC . |
| Slope | The ratio of the steady state change in voltage, as a percentage of the nominal voltage, to the steady state change in Reactive Power output, in per unit of Reactive Power capability. For the avoidance of doubt, the value indicates the percentage voltage reduction that will result in a 1 per unit increase in Reactive Power generation. |

Small Power Station

A **Power Station** which is

- (a) directly connected to:
- (i) **NGET's Transmission System** where such **Power Station** has a **Registered Capacity** of less than 50MW; or
 - (ii) **SPT's Transmission System** where such **Power Station** has a **Registered Capacity** of less than 30MW; or
 - (iii) **SHETL's Transmission System** where such a **Power Station** has a **Registered Capacity** of less than 10 MW; or
 - (iv) an **Offshore Transmission System** where such **Power Station** has a **Registered Capacity** of less than 10MW;

or,

- (b) **Embedded** within a **User System** (or part thereof) where such **User System** (or part thereof) is connected under normal operating conditions to:
- (i) **NGET's Transmission System** and such **Power Station** has a **Registered Capacity** of less than 50MW; or
 - (ii) **SPT's Transmission System** and such **Power Station** has a **Registered Capacity** of less than 30MW; or
 - (iii) **SHETL's Transmission System** and such **Power Station** has a **Registered Capacity** of less than 10MW;

or,

- (c) **Embedded** within a **User System** (or part thereof) where the **User System** (or part thereof) is not connected to the **National Electricity Transmission System**, although such **Power Station** is in:
- (i) **NGET's Transmission Area** and such **Power Station** has a **Registered Capacity** of less than 50MW; or
 - (ii) **SPT's Transmission Area** and such **Power Station** has a **Registered Capacity** of less than 30MW; or
 - (iii) **SHETL's Transmission Area** and such **Power Station** has a **Registered Capacity** of less than 10MW;

Speeder Motor Setting Range

The minimum and maximum no-load speeds (expressed as a percentage of rated speed) to which the turbine is capable of being controlled, by the speeder motor or equivalent, when the **Generating Unit** terminals are on open circuit.

SPT

SP Transmission Limited

Standard Planning Data

The general data required by **NGET** under the **PC**. It is generally also the data which **NGET** requires from a new **User** in an application for a **CUSC Contract**, as reflected in the **PC**.

Start Time

The time named as such in an instruction issued by **NGET** pursuant to the **BC**.

Start-Up

The action of bringing a **Generating Unit** from **Shutdown** to **Synchronous Speed**.

Statement of Readiness

Has the meaning set out in the **Bilateral Agreement** and/or **Construction Agreement**.

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| Station Board | A switchboard through which electrical power is supplied to the Auxiliaries of a Power Station , and which is supplied by a Station Transformer . It may be interconnected with a Unit Board . |
| Station Transformer | A transformer supplying electrical power to the Auxiliaries of <ul style="list-style-type: none"> (a) a Power Station, which is not directly connected to the Generating Unit terminals (typical voltage ratios being 132/11kV or 275/11kV), or (b) a DC Converter Station. |
| STC Committee | The committee established under the STC . |
| Steam Unit | A Generating Unit whose prime mover converts the heat-energy in steam to mechanical energy. |
| Subtransmission System | The part of a User's System which operates at a single transformation below the voltage of the relevant Transmission System . |
| Supergrid Voltage | Any voltage greater than 200kV. |
| Supplier | <ul style="list-style-type: none"> (a) A person supplying electricity under an Electricity Supply Licence; or (b) A person supplying electricity under exemption under the Act; in each case acting in its capacity as a supplier of electricity to Customers in Great Britain . |
| Surplus | <p>A MW figure relating to a System Zone equal to the total Output Usable in the System Zone:</p> <ul style="list-style-type: none"> (a) minus the forecast of Active Power Demand in the System Zone, and (b) minus the export limit in the case of an export limited System Zone, <p style="padding-left: 40px;">or</p> <ul style="list-style-type: none"> plus the import limit in the case of an import limited System Zone, and (c) (only in the case of a System Zone comprising the National Electricity Transmission System) minus the Operational Planning Margin. <p>For the avoidance of doubt, a Surplus of more than zero in an export limited System Zone indicates an excess of generation in that System Zone; and a Surplus of less than zero in an import limited System Zone indicates insufficient generation in that System Zone.</p> |
| Synchronised | <ul style="list-style-type: none"> (a) The condition where an incoming Generating Unit or Power Park Module or DC Converter or System is connected to the busbars of another System so that the Frequencies and phase relationships of that Generating Unit, Power Park Module, DC Converter or System, as the case may be, and the System to which it is connected are identical, like terms shall be construed accordingly e.g. "Synchronism". (b) The condition where an importing BM Unit is consuming electricity. |

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| Synchronising Generation | The amount of MW (in whole MW) produced at the moment of synchronising. |
| Synchronising Group | A group of two or more Gensets) which require a minimum time interval between their Synchronising or De-Synchronising times. |
| Synchronous Compensation | The operation of rotating synchronous Apparatus for the specific purpose of either the generation or absorption of Reactive Power . |
| Synchronous Generating Unit | Any Onshore Synchronous Generating Unit or Offshore Synchronous Generating Unit . |
| Synchronous Speed | That speed required by a Generating Unit to enable it to be Synchronised to a System . |
| System | Any User System and/or the National Electricity Transmission System , as the case may be. |
| System Ancillary Services | Collectively Part 1 System Ancillary Services and Part 2 System Ancillary Services . |
| System Constraint | A limitation on the use of a System due to lack of transmission capacity or other System conditions. |
| System Constrained Capacity | That portion of Registered Capacity or Registered Import Capacity not available due to a System Constraint . |
| System Constraint Group | A part of the National Electricity Transmission System which, because of System Constraints , is subject to limits of Active Power which can flow into or out of (as the case may be) that part. |
| System Fault Dependability Index or Dp | <p>A measure of the ability of Protection to initiate successful tripping of circuit-breakers which are associated with a faulty item of Apparatus. It is calculated using the formula:</p> $Dp = 1 - F_1/A$ <p>Where:</p> <p>A = Total number of System faults</p> <p>F₁ = Number of System faults where there was a failure to trip a circuit-breaker.</p> |
| System Margin | <p>The margin in any period between</p> <p>(a) the sum of Maximum Export Limits and</p> <p>(b) forecast Demand and the Operating Margin,</p> <p>for that period.</p> |
| System Negative Reserve Active Power Margin or System NRAPM | That margin of Active Power sufficient to allow the largest loss of Load at any time. |
| System Operator - Transmission Owner Code or STC | Has the meaning set out in NGET's Transmission Licence |

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| System Telephony | An alternative method by which a User's Responsible Engineer/Operator and NGET Control Engineer(s) speak to one and another for the purposes of control of the Total System in both normal operating conditions and where practicable, emergency operating conditions. |
| System Tests | Tests which involve simulating conditions, or the controlled application of irregular, unusual or extreme conditions, on the Total System , or any part of the Total System , but which do not include commissioning or recommissioning tests or any other tests of a minor nature. |
| System to Demand Intertrip Scheme | An intertrip scheme which disconnects Demand when a System fault has arisen to prevent abnormal conditions occurring on the System . |
| System to Generator Operational Intertripping | A Balancing Service involving the initiation by a System to Generator Operational Intertripping Scheme of automatic tripping of the User's circuit breaker(s), or Relevant Transmission Licensee's circuit breaker(s) where agreed by NGET , the User and the Relevant Transmission Licensee , resulting in the tripping of BM Unit(s) or (where relevant) Generating Unit(s) comprised in a BM Unit to prevent abnormal system conditions occurring, such as over voltage, overload, System instability, etc, after the tripping of other circuit-breakers following power System fault(s). |
| System to Generator Operational Intertripping Scheme | A System to Generating Unit or System to CCGT Module or System to Power Park Module Intertripping Scheme forming a condition of connection and specified in Appendix F3 of the relevant Bilateral Agreement, being either a Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme or Category 4 Intertripping Scheme. |
| System Zone | A region of the National Electricity Transmission System within a described boundary or the whole of the National Electricity Transmission System , as further provided for in OC2.2.4, and the term " Zonal " will be construed accordingly. |
| Target Frequency | That Frequency determined by NGET , in its reasonable opinion, as the desired operating Frequency of the Total System . This will normally be 50.00Hz plus or minus 0.05Hz, except in exceptional circumstances as determined by NGET , in its reasonable opinion when this may be 49.90 or 50.10Hz. An example of exceptional circumstances may be difficulties caused in operating the System during disputes affecting fuel supplies. |
| Technical Specification | In relation to Plant and/or Apparatus , <ul style="list-style-type: none"> (a) the relevant European Specification; or (b) if there is no relevant European Specification, other relevant standards which are in common use in the European Community. |
| Test Co-ordinator | A person who co-ordinates System Tests . |
| Test Panel | A panel, whose composition is detailed in OC12 , which is responsible, inter alia, for considering a proposed System Test , and submitting a Proposal Report and a Test Programme . |

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| Test Programme | A programme submitted by the Test Panel to NGET , the Test Proposer , and each User identified by NGET under OC12.4.2.1, which states the switching sequence and proposed timings of the switching sequence, a list of those staff involved in carrying out the System Test (including those responsible for the site safety) and such other matters as the Test Panel deems appropriate. |
| Test Proposer | The person who submits a Proposal Notice . |
| Total Shutdown | The situation existing when all generation has ceased and there is no electricity supply from External Interconnections and, therefore, the Total System has shutdown with the result that it is not possible for the Total System to begin to function again without NGET's directions relating to a Black Start . |
| Total System | The National Electricity Transmission System and all User Systems in the National Electricity Transmission System Operator Area . |
| Trading Point | A commercial and, where so specified in the Grid Code, an operational interface between a User and NGET , which a User has notified to NGET . |
| Transfer Date | Such date as may be appointed by the Secretary of State by order under section 65 of the Act . |
| Transmission | Means, when used in conjunction with another term relating to equipment or a site, whether defined or not, that the associated term is to be read as being part of or directly associated with the National Electricity Transmission System , and not of or with the User System . |
| Transmission Area | Has the meaning set out in the Transmission Licence of a Transmission Licensee . |
| Transmission DC Converter | Any Transmission Licensee Apparatus (or OTSUA that will become Transmission Licensee Apparatus at the OTSUA Transfer Time) used to convert alternating current electricity to direct current electricity, or vice versa. A Transmission Network DC Converter is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. |
| Transmission Entry Capacity | Has the meaning set out in the CUSC . |
| Transmission Interface Circuit | In NGET's Transmission Area , a Transmission circuit which connects a System operating at a voltage above 132kV to a System operating at a voltage of 132kV or below In SHETL's Transmission Area and SPT's Transmission Area , a Transmission circuit which connects a System operating at a voltage of 132kV or above to a System operating at a voltage below 132kV. |
| Transmission Interface Point | means the electrical point of connection between the Offshore Transmission System and an Onshore Transmission System . |
| Transmission Interface Site | the site at which the Transmission Interface Point is located. |
| Transmission Licence | A licence granted under Section 6(1)(b) of the Act . |

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| Transmission Licensee | Any Onshore Transmission Licensee or Offshore Transmission Licensee |
| Transmission Site | <p>In England and Wales, means a site owned (or occupied pursuant to a lease, licence or other agreement) by NGET in which there is a Connection Point. For the avoidance of doubt, a site owned by a User but occupied by NGET as aforesaid, is a Transmission Site.</p> <p>In Scotland and Offshore, means a site owned (or occupied pursuant to a lease, licence or other agreement) by a Relevant Transmission Licensee in which there is a Connection Point. For the avoidance of doubt, a site owned by a User but occupied by the Relevant Transmission Licensee as aforesaid, is a Transmission Site.</p> |
| Transmission System | Has the same meaning as the term "licensee's transmission system" in the Transmission Licence of a Transmission Licensee . |
| Turbine Time Constant | Determined at Registered Capacity , the turbine time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase. |
| Unbalanced Load | The situation where the Load on each phase is not equal. |
| Under-excitation Limiter | Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992]. |
| Under Frequency Relay | An electrical measuring relay intended to operate when its characteristic quantity (Frequency) reaches the relay settings by decrease in Frequency . |
| Unit Board | A switchboard through which electrical power is supplied to the Auxiliaries of a Generating Unit and which is supplied by a Unit Transformer . It may be interconnected with a Station Board . |
| Unit Transformer | A transformer directly connected to a Generating Unit's terminals, and which supplies power to the Auxiliaries of a Generating Unit . Typical voltage ratios are 23/11kV and 15/6.6Kv. |
| Unit Load Controller Response Time Constant | The time constant, expressed in units of seconds, of the power output increase which occurs in the Secondary Response timescale in response to a step change in System Frequency . |
| Unresolved Issues | Any relevant Grid Code provisions or Bilateral Agreement requirements identified by NGET with which the relevant User has not demonstrated compliance to NGET's reasonable satisfaction at the date of issue of the Interim Operational Notification and/or Limited Operational Notification and which are detailed in such Interim Operational Notification and/or Limited Operational Notification . |
| User | A term utilised in various sections of the Grid Code to refer to the persons using the National Electricity Transmission System , as more particularly identified in each section of the Grid Code concerned. In the Preface and the General Conditions the term means any person to whom the Grid Code applies. |

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| User Data File Structure | The file structure given at DRC 18 which will be specified by NGET which a Generator or DC Converter Station owner must use for the purposes of CP to submit DRC data Schedules and information demonstrating compliance with the Grid Code and, where applicable, with the CUSC Contract(s) , unless otherwise agreed by NGET . |
| User Development | In the PC means either User's Plant and/or Apparatus to be connected to the National Electricity Transmission System , or a Modification relating to a User's Plant and/or Apparatus already connected to the National Electricity Transmission System , or a proposed new connection or Modification to the connection within the User System . |
| User Self Certification of Compliance | A certificate, in the form attached at CP.A.2.(1) completed by a Generator or DC Converter Station owner to which the Compliance Statement is attached which confirms that such Plant and Apparatus complies with the relevant Grid Code provisions and where appropriate, with the CUSC Contract(s), as identified in the Compliance Statement and, if appropriate, identifies any Unresolved Issues and/or any exceptions to such compliance and details the derogation(s) granted in respect of such exceptions. |
| User Site | <p>In England and Wales, a site owned (or occupied pursuant to a lease, licence or other agreement) by a User in which there is a Connection Point. For the avoidance of doubt, a site owned by NGET but occupied by a User as aforesaid, is a User Site.</p> <p>In Scotland and Offshore, a site owned (or occupied pursuant to a lease, licence or other agreement) by a User in which there is a Connection Point. For the avoidance of doubt, a site owned by a Relevant Transmission Licensee but occupied by a User as aforesaid, is a User Site.</p> |
| User System | <p>Any system owned or operated by a User comprising:-</p> <p>(a) Generating Units; and/or</p> <p>(b) Systems consisting (wholly or mainly) of electric lines used for the distribution of electricity from Grid Supply Points or Generating Units or other entry points to the point of delivery to Customers, or other Users;</p> <p>and Plant and/or Apparatus (including prior to the OTSUA Transfer Time, any OTSUA) connecting:-</p> <p>(c) The system as described above; or</p> <p>(d) Non-Embedded Customers equipment;</p> <p>to the National Electricity Transmission System or to the relevant other User System, as the case may be.</p> <p>The User System includes any Remote Transmission Assets operated by such User or other person and any Plant and/or Apparatus and meters owned or operated by the User or other person in connection with the distribution of electricity but does not include any part of the National Electricity Transmission System.</p> |
| User System Entry Point | A point at which a Generating Unit , a CCGT Module or a CCGT Unit or a Power Park Module or a DC Converter , as the case may be, which is Embedded connects to the User System . |
| Water Time Constant | Bears the meaning ascribed to the term "Water inertia time" in IEC308 . |

Weekly ACS Conditions Means that particular combination of weather elements that gives rise to a level of peak **Demand** within a week, taken to commence on a Monday and end on a Sunday, which has a particular chance of being exceeded as a result of weather variation alone. This particular chance is determined such that the combined probabilities of **Demand** in all weeks of the year exceeding the annual peak **Demand** under **Annual ACS Conditions** is 50%, and in the week of maximum risk the weekly peak **Demand** under **Weekly ACS Conditions** is equal to the annual peak **Demand** under **Annual ACS Conditions**.

Zonal System Security Requirements That generation required, within the boundary circuits defining the **System Zone**, which when added to the secured transfer capability of the boundary circuits exactly matches the **Demand** within the **System Zone**.

A number of the terms listed above are defined in other documents, such as the **Balancing and Settlement Code** and the **Transmission Licence**. Appendix 1 sets out the current definitions from the other documents of those terms so used in the Grid Code and defined in other documents for ease of reference, but does not form part of the Grid Code.

GD.2 Construction of References

GD.2.1 In the Grid Code:

- (i) a table of contents, a Preface, a Revision section, headings, and the Appendix to this **Glossary and Definitions** are inserted for convenience only and shall be ignored in construing the Grid Code;
- (ii) unless the context otherwise requires, all references to a particular paragraph, sub-paragraph, Appendix or Schedule shall be a reference to that paragraph, sub-paragraph Appendix or Schedule in or to that part of the Grid Code in which the reference is made;
- (iii) unless the context otherwise requires, the singular shall include the plural and vice versa, references to any gender shall include all other genders and references to persons shall include any individual, body corporate, corporation, joint venture, trust, unincorporated association, organisation, firm or partnership and any other entity, in each case whether or not having a separate legal personality;
- (iv) references to the words "include" or "including" are to be construed without limitation to the generality of the preceding words;
- (v) unless there is something in the subject matter or the context which is inconsistent therewith, any reference to an Act of Parliament or any Section of or Schedule to, or other provision of an Act of Parliament shall be construed at the particular time, as including a reference to any modification, extension or re-enactment thereof then in force and to all instruments, orders and regulations then in force and made under or deriving validity from the relevant Act of Parliament;
- (vi) where the **Glossary and Definitions** refers to any word or term which is more particularly defined in a part of the Grid Code, the definition in that part of the Grid Code will prevail (unless otherwise stated) over the definition in the **Glossary & Definitions** in the event of any inconsistency;
- (vii) a cross-reference to another document or part of the Grid Code shall not of itself impose any additional or further or co-existent obligation or confer any additional or further or co-existent right in the part of the text where such cross-reference is contained;
- (viii) nothing in the Grid Code is intended to or shall derogate from **NGET's** statutory or licence obligations;
- (ix) a "holding company" means, in relation to any person, a holding company of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;
- (x) a "subsidiary" means, in relation to any person, a subsidiary of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;
- (xi) references to time are to London time; and
- (xii) (a) Save where (b) below applies, where there is a reference to an item of data being expressed in a whole number of MW, fractions of a MW below 0.5 shall be rounded down to the nearest whole MW and fractions of a MW of 0.5 and above shall be rounded up to the nearest whole MW;

(b) In the case of the definition of **Registered Capacity**, fractions of a MW below 0.05 shall be rounded down to one decimal place and fractions of a MW of 0.05 and above shall be rounded up to one decimal place.

< END OF GLOSSARY & DEFINITIONS >

CONNECTION CONDITIONS

(CC)

CONTENTS

(This contents page does not form part of the Grid Code)

| <u>Paragraph No/Title</u> | <u>Page Number</u> |
|--|--------------------|
| CC.1 INTRODUCTION..... | 2 |
| CC.2 OBJECTIVE | 2 |
| CC.3 SCOPE | 2 |
| CC.4 PROCEDURE | 4 |
| CC.5 CONNECTION | 4 |
| CC.6 TECHNICAL, DESIGN AND OPERATIONAL CRITERIA..... | 6 |
| CC.7 SITE RELATED CONDITIONS | 42 |
| CC.8 ANCILLARY SERVICES | 49 |
| APPENDIX 1 - SITE RESPONSIBILITY SCHEDULES | 51 |
| PROFORMA FOR SITE RESPONSIBILITY SCHEDULE | 55 |
| APPENDIX 2 - OPERATION DIAGRAMS..... | 59 |
| PART 1A - PROCEDURES RELATING TO OPERATION DIAGRAMS | 59 |
| PART 1B - PROCEDURES RELATING TO GAS ZONE DIAGRAMS | 62 |
| PART 2 - NON-EXHAUSTIVE LIST OF APPARATUS TO BE INCLUDED ON OPERATION DIAGRAMS..... | 63 |
| APPENDIX 3 - MINIMUM FREQUENCY RESPONSE REQUIREMENT PROFILE AND OPERATING RANGE FOR NEW POWER STATIONS AND DC CONVERTER STATIONS | 65 |
| APPENDIX 4 - FAULT RIDE THROUGH REQUIREMENTS..... | 70 |
| APPENDIX 4A | 70 |
| APPENDIX 4B | 73 |
| APPENDIX 5 - TECHNICAL REQUIREMENTS LOW FREQUENCY RELAYS FOR THE AUTOMATIC DISCONNECTION OF SUPPLIES AT LOW FREQUENCY | 77 |
| APPENDIX 6 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC EXCITATION CONTROL SYSTEMS FOR ONSHORE SYNCHRONOUS GENERATING UNITS..... | 79 |
| APPENDIX 7 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC VOLTAGE CONTROL SYSTEMS FOR ONSHORE NON-SYNCHRONOUS GENERATING UNITS, ONSHORE DC CONVERTERS, ONSHORE POWER PARK MODULES AND OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT | 83 |

CC.1 INTRODUCTION

CC.1.1 The **Connection Conditions** ("CC") specify both:

- (a) the minimum technical, design and operational criteria which must be complied with by:
 - (i) any **User** connected to or seeking connection with the **National Electricity Transmission System**, or
 - (ii) **Generators** (other than in respect of **Small Power Stations**) or **DC Converter Station** owners connected to or seeking connection to a **User's System** which is located in **Great Britain** or **Offshore**, and
- (b) the minimum technical, design and operational criteria with which **NGET** will comply in relation to the part of the **National Electricity Transmission System** at the **Connection Site** with **Users**. In the case of any **OTSDUW Plant and Apparatus**, the **CC** also specify the minimum technical, design and operational criteria which must be complied with by the **User** when undertaking **OTSDUW**.

CC.2 OBJECTIVE

CC.2.1 The objective of the **CC** is to ensure that by specifying minimum technical, design and operational criteria the basic rules for connection to the **National Electricity Transmission System** and (for certain **Users**) to a **User's System** are similar for all **Users** of an equivalent category and will enable **NGET** to comply with its statutory and **Transmission Licence** obligations.

CC.2.2 In the case of any **OTSDUW** the objective of the **CC** is to ensure that by specifying the minimum technical, design and operational criteria the basic rules relating to an **Offshore Transmission System** designed and constructed by an **Offshore Transmission Licensee** and designed and/or constructed by a **User** under the **OTSDUW Arrangements** are equivalent.

CC.2.3 Provisions of the **CC** which apply in relation to **OTSDUW** and **OTSUA**, and/or a **Transmission Interface Site**, shall (in any particular case) apply up to the **OTSUA Transfer Time**, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply, without prejudice to the continuing application of provisions of the **CC** applying in relation to the relevant **Offshore Transmission System** and/or **Connection Site**. It is the case therefore that in cases where the **OTSUA** become operational prior to the **OTSUA Transfer Time** that a **Generator** is required to comply with this **CC** both as it applies to its **Plant and Apparatus** at a **Connection Site/Connection Point** and the **OTSUA** at the **Transmission Interface Site/Transmission Interface Point** until the **OTSUA Transfer Time** and this **CC** shall be construed accordingly.

CC.2.4 In relation to **OTSDUW**, provisions otherwise to be contained in a **Bilateral Agreement** may be contained in the **Construction Agreement**, and accordingly a reference in the **CC** to a relevant **Bilateral Agreement** includes the relevant **Construction Agreement**.

CC.3 SCOPE

CC.3.1 The **CC** applies to **NGET** and to **Users**, which in the **CC** means:

- (a) **Generators** (other than those which only have **Embedded Small Power Stations**), including those undertaking **OTSDUW**;
- (b) **Network Operators**;
- (c) **Non-Embedded Customers**;
- (d) **DC Converter Station** owners; and
- (e) **BM Participants** and **Externally Interconnected System Operators** in respect of CC.6.5 only.

CC.3.2 The above categories of **User** will become bound by the **CC** prior to them generating, distributing, supplying or consuming, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role as well as to **Users** actually connected.

CC.3.3 **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** Provisions.

The following provisions apply in respect of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**.

CC.3.3.1 The obligations within the **CC** that are expressed to be applicable to **Generators** in respect of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **DC Converter Station** Owners in respect of **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** (where the obligations are in each case listed in CC.3.3.2) shall be read and construed as obligations that the **Network Operator** within whose **System** any such **Medium Power Station** or **DC Converter Station** is **Embedded** must ensure are performed and discharged by the **Generator** or the **DC Converter Station** owner. **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** which are located **Offshore** and which are connected to an **Onshore User System** will be required to meet the applicable requirements of the Grid Code as though they are an **Onshore Generator** or **Onshore DC Converter Station Owner** connected to an **Onshore User System Entry Point**.

CC.3.3.2 The **Network Operator** within whose **System** a **Medium Power Station** not subject to a **Bilateral Agreement** is **Embedded** or a **DC Converter Station** not subject to a **Bilateral Agreement** is **Embedded** must ensure that the following obligations in the **CC** are performed and discharged by the **Generator** in respect of each such **Embedded Medium Power Station** or the **DC Converter Station** owner in the case of an **Embedded DC Converter Station**:

CC.5.1

CC.5.2.2

CC.5.3

CC.6.1.3

CC.6.1.5 (b)

CC.6.3.2, CC.6.3.3, CC.6.3.4, CC.6.3.6, CC.6.3.7, CC.6.3.8, CC.6.3.9, CC.6.3.10, CC.6.3.12, CC.6.3.13, CC.6.3.15, CC.6.3.16

CC.6.4.4

CC.6.5.6 (where required by CC.6.4.4)

In respect of CC.6.2.2.2, CC.6.2.2.3, CC.6.2.2.5, CC.6.1.5(a), CC.6.1.5(b) and CC.6.3.11 equivalent provisions as co-ordinated and agreed with the **Network Operator** and **Generator** or **DC Converter Station** owner may be required. Details of any such requirements will be notified to the **Network Operator** in accordance with CC.3.5.

CC.3.3.3 In the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** the requirements in:

CC.6.1.6

CC.6.3.8

CC.6.3.12

CC.6.3.15

CC.6.3.16

that would otherwise have been specified in a **Bilateral Agreement** will be notified to the relevant **Network Operator** in writing in accordance with the provisions of the **CUSC** and the **Network Operator** must ensure such requirements are performed and discharged by the **Generator** or the **DC Converter Station** owner.

CC.3.4 In the case of **Offshore Embedded Power Stations** connected to an **Offshore User's System** which directly connects to an **Offshore Transmission System**, any additional requirements in respect of such **Offshore Embedded Power Stations** may be specified in the relevant **Bilateral Agreement** with the **Network Operator** or in any **Bilateral Agreement** between **NGET** and such **Offshore Embedded Power Station**.

CC.3.5 In the case of a **Generator** undertaking **OTSDUW** connecting to an **Onshore Network Operator's System**, any additional requirements in respect of such **OTSDUW Plant and Apparatus** will be specified in the relevant **Bilateral Agreement** with the **Generator**. For the avoidance of doubt, requirements applicable to **Generators** undertaking **OTSDUW** and connecting to a **Network Operator's User System**, shall be consistent with those applicable requirements of **Generators** undertaking **OTSDUW** and connecting to a **Transmission Interface Point**.

CC.4 PROCEDURE

CC.4.1 The **CUSC** contains certain provisions relating to the procedure for connection to the **National Electricity Transmission System** or, in the case of **Embedded Power Stations** or **Embedded DC Converter Stations**, becoming operational and includes provisions relating to certain conditions to be complied with by **Users** prior to and during the course of **NGET** notifying the **User** that it has the right to become operational. The procedure for a **User** to become connected is set out in the **Compliance Processes**.

CC.5 CONNECTION

CC.5.1 The provisions relating to connecting to the **National Electricity Transmission System** (or to a **User's System** in the case of a connection of an **Embedded Large Power Station** or **Embedded Medium Power Station** or **Embedded DC Converter Station**) are contained in:

- (a) the **CUSC** and/or **CUSC Contract** (or in the relevant application form or offer for a **CUSC Contract**);
- (b) or, in the case of an **Embedded Development**, the relevant **Distribution Code** and/or the **Embedded Development Agreement** for the connection (or in the relevant application form or offer for an **Embedded Development Agreement**),

and include provisions relating to both the submission of information and reports relating to compliance with the relevant **Connection Conditions** for that **User**, **Safety Rules**, commissioning programmes, **Operation Diagrams** and approval to connect (and their equivalents in the case of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** or **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**). References in the **CC** to the "**Bilateral Agreement**" and/or "**Construction Agreement**" and/or "**Embedded Development Agreement**" shall be deemed to include references to the application form or offer therefor.

CC.5.2 Items For Submission

CC.5.2.1 Prior to the **Completion Date** (or, where the **Generator** is undertaking **OTSDUW**, any later date specified) under the **Bilateral Agreement** and/or **Construction Agreement**, the following is submitted pursuant to the terms of the **Bilateral Agreement** and/or **Construction Agreement**:

- (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**, pursuant to the requirements of the **Planning Code**;
- (b) details of the **Protection** arrangements and settings referred to in CC.6;
- (c) copies of all **Safety Rules** and **Local Safety Instructions** applicable at **Users' Sites** which will be used at the **NGET/User** interface (which, for the purpose of **OC8**, must be to **NGET's** satisfaction regarding the procedures for **Isolation** and **Earthing**. For **User Sites** in Scotland and **Offshore NGET** will consult the **Relevant Transmission Licensee** when determining whether the procedures for **Isolation** and **Earthing** are satisfactory);
- (d) information to enable **NGET** to prepare **Site Responsibility Schedules** on the basis of the provisions set out in Appendix 1;
- (e) an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point** as described in CC.7;
- (f) the proposed name of the **User Site** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- (g) written confirmation that **Safety Co-ordinators** acting on behalf of the **User** are authorised and competent pursuant to the requirements of **OC8**;
- (h) **RISSP** prefixes pursuant to the requirements of **OC8**. **NGET** is required to circulate prefixes utilising a proforma in accordance with **OC8**;
- (i) a list of the telephone numbers for **Joint System Incidents** at which senior management representatives nominated for the purpose can be contacted and confirmation that they are fully authorised to make binding decisions on behalf of the **User**, pursuant to **OC9**;
- (j) a list of managers who have been duly authorised to sign **Site Responsibility Schedules** on behalf of the **User**;
- (k) information to enable **NGET** to prepare **Site Common Drawings** as described in CC.7;
- (l) a list of the telephone numbers for the **Users** facsimile machines referred to in CC.6.5.9; and
- (m) for **Sites** in Scotland and **Offshore** a list of persons appointed by the **User** to undertake operational duties on the **User's System** (including any **OTSDUW** prior to the **OTSUA Transfer Time**) and to issue and receive operational messages and instructions in relation to the **User's System** (including any **OTSDUW** prior to the **OTSUA Transfer Time**); and an appointed person or persons responsible for the maintenance and testing of **User's Plant** and **Apparatus**.

CC.5.2.2

Prior to the **Completion Date** the following must be submitted to **NGET** by the **Network Operator** in respect of an **Embedded Development**:

- (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**, pursuant to the requirements of the **Planning Code**;
- (b) details of the **Protection** arrangements and settings referred to in CC.6;
- (c) the proposed name of the **Embedded Medium Power Station** or **Embedded DC Converter Station Site** (which shall be agreed with **NGET** unless it is the same as, or confusingly similar to, the name of other **Transmission Site** or **User Site**);

- CC.5.2.3 Prior to the **Completion Date** contained within an **Offshore Transmission Distribution Connection Agreement** the following must be submitted to **NGET** by the **Network Operator** in respect of a proposed new **Interface Point** within its **User System**:
- (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**, pursuant to the requirements of the **Planning Code**;
 - (b) details of the **Protection** arrangements and settings referred to in CC.6;
 - (c) the proposed name of the **Interface Point** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- CC.5.2.4 In the case of **OTSDUW Plant and Apparatus** (in addition to items under CC.5.2.1 in respect of the **Connection Site**), prior to the **Completion Date** (or any later date specified) under the **Construction Agreement** the following must be submitted to **NGET** by the **User** in respect of the proposed new **Connection Point** and **Interface Point**:
- (a) updated **Planning Code** data (**Standard Planning Data**, **Detailed Planning Data** and **OTSDUW Data and Information**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**, pursuant to the requirements of the **Planning Code**;
 - (b) details of the **Protection** arrangements and settings referred to in CC.6;
 - (c) information to enable preparation of the **Site Responsibility Schedules** at the **Transmission Interface Site** on the basis of the provisions set out in Appendix 1.
 - (d) the proposed name of the **Interface Point** (which shall not be the same as, or confusingly similar to, the name of any **Transmission Site** or of any other **User Site**);
- CC.5.3
- (a) Of the items CC.5.2.1 (c), (e), (g), (h), (k) and (m) need not be supplied in respect of **Embedded Power Stations** or **Embedded DC Converter Stations**,
 - (b) item CC.5.2.1(i) need not be supplied in respect of **Embedded Small Power Stations** and **Embedded Medium Power Stations** or **Embedded DC Converter Stations** with a **Registered Capacity** of less than 100MW, and
 - (c) items CC.5.2.1(d) and (j) are only needed in the case where the **Embedded Power Station** or the **Embedded DC Converter Station** is within a **Connection Site** with another **User**.
- CC.5.4 In addition, at the time the information is given under CC.5.2(g), **NGET** will provide written confirmation to the **User** that the **Safety Co-ordinators** acting on behalf of **NGET** are authorised and competent pursuant to the requirements of **OC8**.

CC.6 TECHNICAL, DESIGN AND OPERATIONAL CRITERIA

CC.6.1 National Electricity Transmission System Performance Characteristics

- CC.6.1.1 **NGET** shall ensure that, subject as provided in the **Grid Code**, the **National Electricity Transmission System** complies with the following technical, design and operational criteria in relation to the part of the **National Electricity Transmission System** at the **Connection Site** with a **User** and in the case of **OTSDUW Plant and Apparatus**, a **Transmission Interface Point** (unless otherwise specified in CC.6) although in relation to operational criteria **NGET** may be unable (and will not be required) to comply with this obligation to the extent that there are insufficient **Power Stations** or **User Systems** are not available or **Users** do not comply with **NGET's** instructions or otherwise do not comply with the **Grid Code** and each **User** shall ensure that its **Plant** and **Apparatus** complies with the criteria set out in CC.6.1.5.

Grid Frequency Variations

CC.6.1.2 The **Frequency** of the **National Electricity Transmission System** shall be nominally 50Hz and shall be controlled within the limits of 49.5 - 50.5Hz unless exceptional circumstances prevail.

CC.6.1.3 The **System Frequency** could rise to 52Hz or fall to 47Hz in exceptional circumstances. Design of **User's Plant** and **Apparatus** and **OTSDUW Plant and Apparatus** must enable operation of that **Plant** and **Apparatus** within that range in accordance with the following:

| <u>Frequency Range</u> | <u>Requirement</u> |
|------------------------|--|
| 51.5Hz - 52Hz | Operation for a period of at least 15 minutes is required each time the Frequency is above 51.5Hz. |
| 51Hz - 51.5Hz | Operation for a period of at least 90 minutes is required each time the Frequency is above 51Hz. |
| 49.0Hz - 51Hz | Continuous operation is required |
| 47.5Hz - 49.0Hz | Operation for a period of at least 90 minutes is required each time the Frequency is below 49.0Hz. |
| 47Hz - 47.5Hz | Operation for a period of at least 20 seconds is required each time the Frequency is below 47.5Hz. |

For the avoidance of doubt, disconnection, by frequency or speed based relays is not permitted within the frequency range 47.5Hz to 51.5Hz, unless agreed with **NGET** in accordance with CC.6.3.12.

Grid Voltage Variations

CC.6.1.4 Subject as provided below, the voltage on the 400kV part of the **National Electricity Transmission System** at each **Connection Site** with a **User** (and in the case of **OTSDUW Plant and Apparatus**, a **Transmission Interface Point**) will normally remain within $\pm 5\%$ of the nominal value unless abnormal conditions prevail. The minimum voltage is -10% and the maximum voltage is +10% unless abnormal conditions prevail, but voltages between +5% and +10% will not last longer than 15 minutes unless abnormal conditions prevail. Voltages on the 275kV and 132kV parts of the **National Electricity Transmission System** at each **Connection Site** with a **User** (and in the case of **OTSDUW Plant and Apparatus**, a **Transmission Interface Point**) will normally remain within the limits $\pm 10\%$ of the nominal value unless abnormal conditions prevail. At nominal **System** voltages below 132kV the voltage of the **National Electricity Transmission System** at each **Connection Site** with a **User** (and in the case of **OTSDUW Plant and Apparatus**, a **Transmission Interface Point**) will normally remain within the limits $\pm 6\%$ of the nominal value unless abnormal conditions prevail. Under fault conditions, voltage may collapse transiently to zero at the point of fault until the fault is cleared. The normal operating ranges of the **National Electricity Transmission System** are summarised below:

| <u>National Electricity Transmission System</u> <u>Nominal Voltage</u> | <u>Normal Operating Range</u> |
|---|-------------------------------|
| 400kV | 400kV $\pm 5\%$ |
| 275kV | 275kV $\pm 10\%$ |
| 132kV | 132kV $\pm 10\%$ |

NGET and a **User** may agree greater or lesser variations in voltage to those set out above in relation to a particular **Connection Site**, and insofar as a greater or lesser variation is agreed, the relevant figure set out above shall, in relation to that **User** at the particular **Connection Site**, be replaced by the figure agreed.

Voltage Waveform Quality

CC.6.1.5

All **Plant** and **Apparatus** connected to the **National Electricity Transmission System**, and that part of the **National Electricity Transmission System** at each **Connection Site** or, in the case of **OTSDUW Plant and Apparatus**, at each **Interface Point**, should be capable of withstanding the following distortions of the voltage waveform in respect of harmonic content and phase unbalance:

(a) Harmonic Content

The **Electromagnetic Compatibility Levels** for harmonic distortion on the **Onshore Transmission System** from all sources under both **Planned Outage** and fault outage conditions, (unless abnormal conditions prevail) shall comply with the levels shown in the tables of Appendix A of **Engineering Recommendation G5/4**. The **Electromagnetic Compatibility Levels** for harmonic distortion on an **Offshore Transmission System** will be defined in relevant **Bilateral Agreements**.

Engineering Recommendation G5/4 contains planning criteria which **NGET** will apply to the connection of non-linear **Load** to the **National Electricity Transmission System**, which may result in harmonic emission limits being specified for these **Loads** in the relevant **Bilateral Agreement**. The application of the planning criteria will take into account the position of existing and prospective **Users' Plant and Apparatus** (and **OTSDUW Plant and Apparatus**) in relation to harmonic emissions. **Users** must ensure that connection of distorting loads to their **User Systems** do not cause any harmonic emission limits specified in the **Bilateral Agreement**, or where no such limits are specified, the relevant planning levels specified in **Engineering Recommendation G5/4** to be exceeded.

(b) Phase Unbalance

Under **Planned Outage** conditions, the weekly 95 percentile of **Phase (Voltage) Unbalance**, calculated in accordance with IEC 61000-4-30 and IEC 61000-3-13, on the **National Electricity Transmission System** for voltages above 150kV should remain, in England and Wales, below 1.5%, and in Scotland, below 2%, and for voltages of 150kV and below, across GB below 2%, unless abnormal conditions prevail and **Offshore** (or in the case of **OTSDUW, OTSDUW Plant and Apparatus**) will be defined in relevant **Bilateral Agreements**.

The Phase Unbalance is calculated from the ratio of root mean square (rms) of negative phase sequence voltage to rms of positive phase sequence voltage, based on 10-minute average values, in accordance with IEC 61000-4-30.

CC.6.1.6

Across GB, under the **Planned Outage** conditions stated in CC.6.1.5(b) infrequent short duration peaks with a maximum value of 2% are permitted for **Phase (Voltage) Unbalance**, for voltages above 150kV, subject to the prior agreement of **NGET** under the **Bilateral Agreement** and in relation to **OTSDUW**, the **Construction Agreement**. **NGET** will only agree following a specific assessment of the impact of these levels on **Transmission Apparatus** and other **Users Apparatus** with which it is satisfied.

Voltage Fluctuations

CC.6.1.7

Voltage changes at a **Point of Common Coupling** on the **Onshore Transmission System** shall not exceed:

(a) The limits specified in Table CC.6.1.7 with the stated frequency of occurrence, where:

$$(i) \quad \% \Delta V_{\text{steadystate}} = \left| 100 \times \frac{\Delta V_{\text{steadystate}}}{V_0} \right|$$

and

$$\% \Delta V_{\text{max}} = 100 \times \frac{\Delta V_{\text{max}}}{V_0} ;$$

- (ii) V_0 is the initial steady state system voltage;
- (iii) $V_{\text{steadystate}}$ is the system voltage reached when the rate of change of system voltage over time is less than or equal to 0.5% over 1 second and $\Delta V_{\text{steadystate}}$ is the absolute value of the difference between $V_{\text{steadystate}}$ and V_0 ;
- (iv) ΔV_{max} is the absolute value of the maximum change in the system voltage relative to the initial steady state system voltage of V_0 ;
- (v) All voltages are the root mean square of the voltage measured over one cycle refreshed every half a cycle as per IEC 61000-4-30;
- (vi) The voltage changes specified are the absolute maximum allowed, applied to phase to ground or phase to phase voltages whichever is the highest change;
- (vii) Voltage changes in category 3 do not exceed the limits depicted in the time dependant characteristic shown in Figure CC.6.1.7;
- (viii) Voltage changes in category 3 only occur infrequently, typically not planned more than once per year on average over the lifetime of a connection, and in circumstances notified to **NGET**, such as for example commissioning in accordance with a commissioning programme, implementation of a planned outage notified in accordance with **OC2** or an **Operation** or **Event** notified in accordance with **OC7**; and
- (ix) For connections with a **Completion Date** after 1st September 2015 and where voltage changes would constitute a risk to the **National Electricity Transmission System** or, in **NGET**'s view, the **System** of any **User**, **Bilateral Agreements** may include provision for **NGET** to reasonably limit the number of voltage changes in category 2 or 3 to a lower number than specified in Table CC.6.1.7 to ensure that the total number of voltage changes at the **Point of Common Coupling** across multiple **Users** remains within the limits of Table CC.6.1.7.

| Category | Maximum number of Occurrences | $\% \Delta V_{\text{max}}$ & $\% \Delta V_{\text{steadystate}}$ |
|----------|---|---|
| 1 | No Limit | $ \% \Delta V_{\text{max}} \leq 1\% \& \% \Delta V_{\text{steadystate}} \leq 1\%$ |
| 2 | $\frac{3600}{\sqrt[0.304]{2.5 \times \% \Delta V_{\text{max}}}}$ occurrences per hour with events evenly distributed | $1\% < \% \Delta V_{\text{max}} \leq 3\% \& \% \Delta V_{\text{steadystate}} \leq 3\%$ |
| 3 | No more than 4 per day for Commissioning, Maintenance and Fault Restoration | For decreases in voltage: $\% \Delta V_{\text{max}} \leq 12\%^1 \& \% \Delta V_{\text{steadystate}} \leq 3\%$ For increases in voltage: $\% \Delta V_{\text{max}} \leq 5\%^2 \& \% \Delta V_{\text{steadystate}} \leq 3\%$ (see Figure CC6.1.7) |

Table CC.6.1.7 - Limits for Rapid Voltage Changes

- ¹ A decrease in voltage of up to 12% is permissible for up to 80ms, as highlighted in the shaded area in Figure CC.6.1.7, reducing to up to 10% after 80ms and to up to 3% after 2 seconds.
- ² An increase in voltage of up to 5% is permissible if it is reduced to up to 3% after 0.5 seconds.

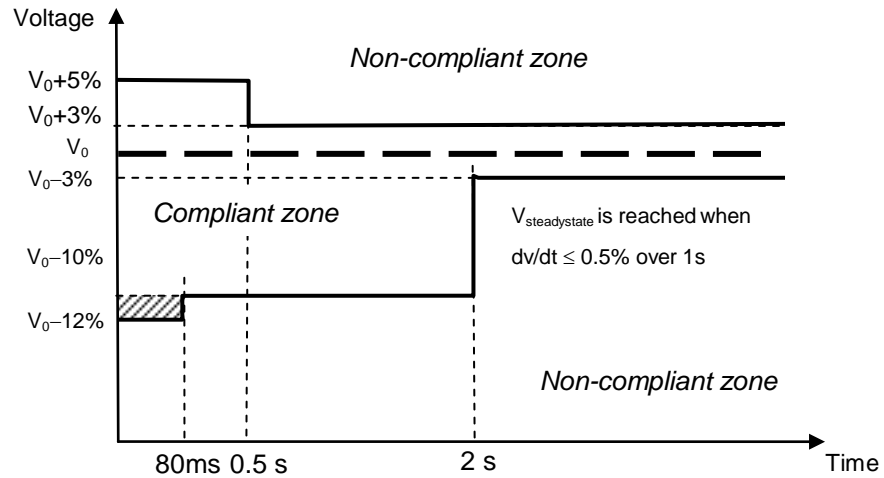


Figure CC.6.1.7 -
Time and magnitude limits for a category 3 Rapid Voltage Change

- (b) For voltages above 132kV, **Flicker Severity (Short Term)** of 0.8 Unit and a **Flicker Severity (Long Term)** of 0.6 Unit, for voltages 132kV and below, **Flicker Severity (Short Term)** of 1.0 Unit and a **Flicker Severity (Long Term)** of 0.8 Unit, as set out in **Engineering Recommendation P28** as current at the **Transfer Date**.

CC.6.1.8

Voltage fluctuations at a **Point of Common Coupling** with a fluctuating **Load** directly connected to an **Offshore Transmission System** (or in the case of **OTSDUW, OTSDUW Plant and Apparatus**) shall not exceed the limits set out in the **Bilateral Agreement**.

CC.6.2 Plant and Apparatus relating to Connection Site and Interface Point

The following requirements apply to **Plant** and **Apparatus** relating to the **Connection Point**, and **OTSDUW Plant and Apparatus** relating to the **Interface Point** (until the **OTSUA Transfer Time**) and **Connection Point** which (except as otherwise provided in the relevant paragraph) each **User** must ensure are complied with in relation to its **Plant** and **Apparatus** and which in the case of CC.6.2.2.2.2, CC.6.2.3.1.1 and CC.6.2.1.1(b) only, **NGET** must ensure are complied with in relation to **Transmission Plant and Apparatus**, as provided in those paragraphs.

CC.6.2.1 General Requirements

- CC.6.2.1.1 (a) The design of connections between the **National Electricity Transmission System** and:
- (i) any **Generating Unit** (other than a **CCGT Unit** or **Power Park Unit**) **DC Converter, Power Park Module** or **CCGT Module**, or
 - (ii) any **Network Operator's User System**, or
 - (iii) **Non-Embedded Customers** equipment;
- will be consistent with the **Licence Standards**.

In the case of **OTSDUW**, the design of the **OTSUA's** connections at the **Interface Point** and **Connection Point** will be consistent with **Licence Standards**.

- (b) The **National Electricity Transmission System** (and any **OTSDUW Plant and Apparatus**) at nominal **System** voltages of 132kV and above is/shall be designed to be earthed with an **Earth Fault Factor** of, in England and Wales or **Offshore**, below 1.4 and in Scotland, below 1.5. Under fault conditions the rated **Frequency** component of voltage could fall transiently to zero on one or more phases or, in England and Wales, rise to 140% phase-to-earth voltage, or in Scotland, rise to 150% phase-to-earth voltage. The voltage rise would last only for the time that the fault conditions exist. The fault conditions referred to here are those existing when the type of fault is single or two phase-to-earth.
- (c) For connections to the **National Electricity Transmission System** at nominal **System** voltages of below 132kV the earthing requirements and voltage rise conditions will be advised by **NGET** as soon as practicable prior to connection and in the case of **OTSDUW Plant and Apparatus** shall be advised to **NGET** by the **User**.

CC.6.2.1.2 Substation Plant and Apparatus

- (a) The following provisions shall apply to all **Plant** and **Apparatus** which is connected at the voltage of the **Connection Point** (and **OTSDUW Plant and Apparatus** at the **Interface Point**) and which is contained in equipment bays that are within the **Transmission busbar Protection** zone at the **Connection Point**. This includes circuit breakers, switch disconnectors, disconnectors, **Earthing Devices**, power transformers, voltage transformers, reactors, current transformers, surge arresters, bushings, neutral equipment, capacitors, line traps, coupling devices, external insulation and insulation co-ordination devices. Where necessary, this is as more precisely defined in the **Bilateral Agreement**.

- (i) Plant and/or Apparatus prior to 1st January 1999

Each item of such **Plant** and/or **Apparatus** which at 1st January 1999 is either :

installed; or

owned (but is either in storage, maintenance or awaiting installation); or

ordered;

and is the subject of a **Bilateral Agreement** with regard to the purpose for which it is in use or intended to be in use, shall comply with the relevant

standards/specifications applicable at the time that the **Plant** and/or **Apparatus** was designed (rather than commissioned) and any further requirements as specified in the **Bilateral Agreement**.

- (ii) Plant and/or Apparatus post 1st January 1999 for a new Connection Point (including OTSDUW Plant and Apparatus at the Interface Point)

Each item of such **Plant** and/or **Apparatus** installed in relation to a new **Connection Point** (or **OTSDUW Plant and Apparatus** at the **Interface Point**) after 1st January 1999 shall comply with the relevant **Technical Specifications** and any further requirements identified by **NGET**, acting reasonably, to reflect the options to be followed within the **Technical Specifications** and/or to complement if necessary the **Technical Specifications** so as to enable **NGET** to comply with its obligations in relation to the **National Electricity Transmission System** or, in Scotland or **Offshore**, the **Relevant Transmission Licensee** to comply with its obligations in relation to its **Transmission System**. This information, including the application dates of the relevant **Technical Specifications**, will be as specified in the **Bilateral Agreement**.

- (iii) New Plant and/or Apparatus post 1st January 1999 for an existing Connection Point (including OTSDUW Plant and Apparatus at the Interface Point)

Each new additional and/or replacement item of such **Plant** and/or **Apparatus** installed in relation to a change to an existing **Connection Point** (or **OTSDUW Plant and Apparatus** at the **Interface Point** and **Connection Point**) after 1st January 1999 shall comply with the standards/specifications applicable when the change was designed, or such other standards/specifications as necessary to ensure that the item of **Plant** and/or **Apparatus** is reasonably fit for its intended purpose having due regard to the obligations of **NGET**, the relevant **User** and, in Scotland, or **Offshore**, also the **Relevant Transmission Licensee** under their respective **Licences**. Where appropriate this information, including the application dates of the relevant standards/specifications, will be as specified in the varied **Bilateral Agreement**.

- (iv) Used Plant and/or Apparatus being moved, re-used or modified

If, after its installation, any such item of **Plant** and/or **Apparatus** is subsequently:

- moved to a new location; or
- used for a different purpose; or
- otherwise modified;

then the standards/specifications as described in (i), (ii), or (iii) above as applicable will apply as appropriate to such **Plant** and/or **Apparatus**, which must be reasonably fit for its intended purpose having due regard to the obligations of **NGET**, the relevant **User** and, in Scotland or **Offshore**, also the **Relevant Transmission Licensee** under their respective **Licences**.

- (b) **NGET** shall at all times maintain a list of those **Technical Specifications** and additional requirements which might be applicable under this CC.6.2.1.2 and which may be referenced by **NGET** in the **Bilateral Agreement**. **NGET** shall provide a copy of the list upon request to any **User**. **NGET** shall also provide a copy of the list to any new **User** upon receipt of an application form for a **Bilateral Agreement** for a new **Connection Point**.
- (c) Where the **User** provides **NGET** with information and/or test reports in respect of **Plant** and/or **Apparatus** which the **User** reasonably believes demonstrate the compliance of such items with the provisions of a **Technical Specification** then **NGET** shall promptly and without unreasonable delay give due and proper consideration to such information.

- (d) **Plant** and **Apparatus** shall be designed, manufactured and tested in premises with an accredited certificate in accordance with the quality assurance requirements of the relevant standard in the BS EN ISO 9000 series (or equivalent as reasonably approved by **NGET**) or in respect of test premises which do not include a manufacturing facility premises with an accredited certificate in accordance with BS EN 45001.
- (e) Each connection between a **User** and the **National Electricity Transmission System** must be controlled by a circuit-breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the point of connection. The **Seven Year Statement** gives values of short circuit current and the rating of **Transmission** circuit breakers at existing and committed **Connection Points** for future years.
- (f) Each connection between a **Generator** undertaking **OTSDUW** or an **Onshore Transmission Licensee**, must be controlled by a circuit breaker (or circuit breakers) capable of interrupting the maximum short circuit current at the **Transmission Interface Point**. The **Seven Year Statement** gives values of short circuit current and the rating of **Transmission** circuit breakers at existing and committed **Transmission Interface Points** for future years.

CC.6.2.2 Requirements at Connection Points or, in the case of OTSDUW at Interface Points that relate to Generators or OTSDUW Plant and Apparatus or DC Converter Station owners

CC.6.2.2.1 Not Used.

CC.6.2.2.2 Generating Unit, OTSDUW Plant and Apparatus and Power Station Protection Arrangements

CC.6.2.2.2.1 Minimum Requirements

Protection of Generating Units (other than Power Park Units), DC Converters, **OTSDUW Plant and Apparatus** or Power Park Modules and their connections to the **National Electricity Transmission System** shall meet the requirements given below. These are necessary to reduce the impact on the **National Electricity Transmission System** of faults on **OTSDUW Plant and Apparatus** circuits or circuits owned by Generators or DC Converter Station owners.

CC.6.2.2.2.2 Fault Clearance Times

- (a) The required fault clearance time for faults on the Generator's or DC Converter Station owner's equipment directly connected to the **National Electricity Transmission System** or **OTSDUW Plant and Apparatus** and for faults on the **National Electricity Transmission System** directly connected to the Generator or DC Converter Station owner's equipment or **OTSDUW Plant and Apparatus**, from fault inception to the circuit breaker arc extinction, shall be set out in the **Bilateral Agreement**. The fault clearance time specified in the **Bilateral Agreement** shall not be shorter than the durations specified below:

- (i) 80ms at 400kV
- (ii) 100ms at 275kV
- (iii) 120ms at 132kV and below

but this shall not prevent the **User** or **NGET** or the **Generator** (including in respect of **OTSDUW Plant and Apparatus**) from selecting a shorter fault clearance time on their own **Plant** and **Apparatus** provided **Discrimination** is achieved..

A longer fault clearance time may be specified in the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. A longer fault clearance time for faults on the **Generator** or **DC Converter Station** owner's equipment or **OTSDUW Plant and Apparatus** may be agreed with **NGET** in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements, in **NGET's** view, permit. The probability that the fault clearance time stated in the **Bilateral Agreement** will be exceeded by any given fault, must be less than 2%.

- (b) In the event that the required fault clearance time is not met as a result of failure to operate on the **Main Protection System(s)** provided, the **Generators** or **DC Converter Station** owners or **Generators** in the case of **OTSDUW Plant and Apparatus** shall, except as specified below provide **Independent Back-Up Protection**. **NGET** will also provide **Back-Up Protection** and **NGET** and the **User's Back-Up Protections** will be co-ordinated so as to provide **Discrimination**.

On a **Generating Unit** (other than a **Power Park Unit**), **DC Converter** or **Power Park Module** or **OTSDUW Plant and Apparatus** in respect of which the **Completion Date** is after 20 January 2016 and connected to the **National Electricity Transmission System** at 400kV or 275kV and where two **Independent Main Protections** are provided to clear faults on the **HV Connections** within the required fault clearance time, the **Back-Up Protection** provided by the **Generators** (including in respect of **OTSDUW Plant and Apparatus**) and **DC Converter Station** owner shall operate to give a fault clearance time of no longer than 300ms at the minimum infeed for normal operation for faults on the **HV Connections**. Where two **Independent Main Protections** are installed the **Back-Up Protection** may be integrated into one (or both) of the **Independent Main Protection** relays.

On a **Generating Unit** (other than a **Power Park Unit**), **DC Converter** or **Power Park Module** or **OTSDUW Plant and Apparatus** in respect of which the **Completion Date** is after 20 January 2016 and connected to the **National Electricity Transmission System** at 132 kV and where only one **Main Protection** is provided to clear faults on the **HV Connections** within the required fault clearance time, the **Independent Back-Up Protection** provided by the **Generator** (including in respect of **OTSDUW Plant and Apparatus**) and the **DC Converter Station** owner shall operate to give a fault clearance time of no longer than 300ms at the minimum infeed for normal operation for faults on the **HV Connections**.

On a **Generating Unit** (other than a **Power Park Unit**), **DC Converter** or **Power Park Module** or **OTSDUW Plant and Apparatus** connected to the **National Electricity Transmission System** and on **Generating Units** (other than a **Power Park Unit**), **DC Converters** or **Power Park Modules** or **OTSDUW Plant and Apparatus** connected to the **National Electricity Transmission System** at 400 kV or 275 kV or 132 kV, in respect of which the **Completion Date** is before the 20 January 2016, the **Back-Up Protection** or **Independent Back-Up Protection** shall operate to give a fault clearance time of no longer than 800ms in England and Wales or 300ms in Scotland at the minimum infeed for normal operation for faults on the **HV Connections**.

A Generating Unit (other than a **Power Park Unit**), **DC Converter** or **Power Park Module** or **OTSDUW Plant and Apparatus**) with **Back-Up Protection** or **Independent Back-Up Protection** will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the **National Electricity Transmission System** by breaker fail **Protection** at 400kV or 275kV or of a fault cleared by **Back-Up Protection** where the **Generator** (including in the case of **OTSDUW Plant and Apparatus**) or **DC Converter** is connected at 132kV and below. This will permit **Discrimination** between **Generator** in respect of **OTSDUW Plant and Apparatus** or **DC Converter Station** owners' **Back-Up Protection** or **Independent Back-Up Protection** and the **Back-Up Protection** provided on the **National Electricity Transmission System** and other **Users' Systems**.

- (c) When the **Generating Unit** (other than **Power Park Units**), or the **DC Converter** or **Power Park Module** or **OTSDUW Plant and Apparatus** is connected to the **National Electricity Transmission System** at 400kV or 275kV, and in Scotland and **Offshore** also at 132kV, and a circuit breaker is provided by the **Generator** (including in respect of **OTSDUW Plant and Apparatus**) or the **DC Converter Station** owner, or **NGET**, as the case may be, to interrupt fault current interchange with the **National Electricity Transmission System**, or **Generator's System**, or **DC Converter Station** owner's **System**, as the case may be, circuit breaker fail **Protection** shall be provided by the **Generator** (including in respect of **OTSDUW Plant and Apparatus**) or **DC Converter Station** owner, or **NGET**, as the case may be, on this circuit breaker. In the event, following operation of a **Protection** system, of a failure to interrupt fault current by these circuit-breakers within the **Fault Current Interruption Time**, the circuit breaker fail **Protection** is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200ms.
- (d) The target performance for the **System Fault Dependability Index** shall be not less than 99%. This is a measure of the ability of **Protection** to initiate successful tripping of circuit breakers which are associated with the faulty item of **Apparatus**.

CC.6.2.2.3 Equipment to be provided

CC.6.2.2.3.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the **Bilateral Agreement**. In this **CC** the term "interconnecting connections" means the primary conductors from the current transformer accommodation on the circuit side of the circuit breaker to the **Connection Point** or the primary conductors from the current transformer accommodation on the circuit side of the **OTSDUW Plant and Apparatus** of the circuit breaker to the **Transmission Interface Point**.

CC.6.2.2.3.2 Circuit-breaker fail Protection

The **Generator** or **DC Converter Station** owner will install circuit breaker fail **Protection** equipment in accordance with the requirements of the **Bilateral Agreement**. The **Generator** or **DC Converter Station** owner will also provide a back-trip signal in the event of loss of air from its pressurised head circuit breakers, during the **Generating Unit** (other than a **CCGT Unit** or **Power Park Unit**) or **CCGT Module** or **DC Converter** or **Power Park Module** run-up sequence, where these circuit breakers are installed.

CC.6.2.2.3.3 Loss of Excitation

The **Generator** must provide **Protection** to detect loss of excitation on a **Generating Unit** and initiate a **Generating Unit** trip.

CC.6.2.2.3.4 Pole-Slipping Protection

Where, in **NGET's** reasonable opinion, **System** requirements dictate, **NGET** will specify in the **Bilateral Agreement** a requirement for **Generators** to fit pole-slipping **Protection** on their **Generating Units**.

CC.6.2.2.3.5 Signals for Tariff Metering

Generators and **DC Converter Station** owners will install current and voltage transformers supplying all tariff meters at a voltage to be specified in, and in accordance with, the **Bilateral Agreement**.

CC.6.2.2.4 Work on Protection Equipment

No busbar **Protection**, mesh corner **Protection**, circuit-breaker fail **Protection** relays, AC or DC wiring (other than power supplies or DC tripping associated with the **Generating Unit**, **DC Converter** or **Power Park Module** itself) may be worked upon or altered by the **Generator** or **DC Converter Station** owner personnel in the absence of a representative of **NGET** or in Scotland or **Offshore**, a representative of **NGET**, or written authority from **NGET** to perform such work or alterations in the absence of a representative of **NGET**.

CC.6.2.2.5 Relay Settings

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the **Connection Point** in accordance with the **Bilateral Agreement** and in relation to **OTSDUW Plant and Apparatus**, across the **Interface Point** in accordance with the **Bilateral Agreement** to ensure effective disconnection of faulty **Apparatus**.

CC.6.2.3 Requirements at Connection Points relating to Network Operators and Non-Embedded Customers

CC.6.2.3.1 Protection Arrangements for Network Operators and Non-Embedded Customers

CC.6.2.3.1.1 **Protection of Network Operator and Non-Embedded Customers User Systems** directly connected to the **National Electricity Transmission System**, shall meet the requirements given below:

Fault Clearance Times

(a) The required fault clearance time for faults on **Network Operator** and **Non-Embedded Customer** equipment directly connected to the **National Electricity Transmission System**, and for faults on the **National Electricity Transmission System** directly connected to the **Network Operator's** or **Non-Embedded Customer's** equipment, from fault inception to the circuit breaker arc extinction, shall be set out in each **Bilateral Agreement**. The fault clearance time specified in the **Bilateral Agreement** shall not be shorter than the durations specified below:

- (i) 80ms at 400kV
- (ii) 100ms at 275kV
- (iii) 120ms at 132kV and below

but this shall not prevent the **User** or **NGET** from selecting a shorter fault clearance time on its own **Plant** and **Apparatus** provided **Discrimination** is achieved.

For the purpose of establishing the **Protection** requirements in accordance with CC.6.2.3.1.1 only, the point of connection of the **Network Operator** or **Non-Embedded Customer** equipment to the **National Electricity Transmission System** shall be deemed to be the low voltage busbars at a **Grid Supply Point**, irrespective of the ownership of the equipment at the **Grid Supply Point**.

A longer fault clearance time may be specified in the **Bilateral Agreement** for faults on the **National Electricity Transmission System**. A longer fault clearance time for faults on the **Network Operator** and **Non-Embedded Customers** equipment may be agreed with **NGET** in accordance with the terms of the **Bilateral Agreement** but only if **System** requirements in **NGET's** view permit. The probability that the fault clearance time stated in the **Bilateral Agreement** will be exceeded by any given fault must be less than 2%.

- (b) (i) For the event of failure of the **Protection** systems provided to meet the above fault clearance time requirements, **Back-Up Protection** shall be provided by the **Network Operator** or **Non-Embedded Customer** as the case may be.
- (ii) **NGET** will also provide **Back-Up Protection**, which will result in a fault clearance time longer than that specified for the **Network Operator** or **Non-Embedded Customer Back-Up Protection** so as to provide **Discrimination**.
- (iii) For connections with the **National Electricity Transmission System** at 132kV and below, it is normally required that the **Back-Up Protection** on the **National Electricity Transmission System** shall discriminate with the **Network Operator** or **Non-Embedded Customer's Back-Up Protection**.
- (iv) For connections with the **National Electricity Transmission System** at 400kV or 275kV, the **Back-Up Protection** will be provided by the **Network Operator** or **Non-Embedded Customer**, as the case may be, with a fault clearance time not longer than 300ms for faults on the **Network Operator's** or **Non-Embedded Customer's Apparatus**.

- (v) Such **Protection** will also be required to withstand, without tripping, the loading incurred during the clearance of a fault on the **National Electricity Transmission System** by breaker fail **Protection** at 400kV or 275kV. This will permit **Discrimination** between **Network Operator's Back-Up Protection** or **Non-Embedded Customer's Back-Up Protection**, as the case may be, and **Back-Up Protection** provided on the **National Electricity Transmission System** and other **User Systems**. The requirement for and level of **Discrimination** required will be specified in the **Bilateral Agreement**.
- (c) (i) Where the **Network Operator** or **Non-Embedded Customer** is connected to the **National Electricity Transmission System** at 400kV or 275kV, and in Scotland also at 132kV, and a circuit breaker is provided by the **Network Operator** or **Non-Embedded Customer**, or **NGET**, as the case may be, to interrupt the interchange of fault current with the **National Electricity Transmission System** or the **System** of the **Network Operator** or **Non-Embedded Customer**, as the case may be, circuit breaker fail **Protection** will be provided by the **Network Operator** or **Non-Embedded Customer**, or **NGET**, as the case may be, on this circuit breaker.
 - (ii) In the event, following operation of a **Protection** system, of a failure to interrupt fault current by these circuit-breakers within the **Fault Current Interruption Time**, the circuit breaker fail **Protection** is required to initiate tripping of all the necessary electrically adjacent circuit-breakers so as to interrupt the fault current within the next 200ms.
- (d) The target performance for the **System Fault Dependability Index** shall be not less than 99%. This is a measure of the ability of **Protection** to initiate successful tripping of circuit breakers which are associated with the faulty items of **Apparatus**.

CC.6.2.3.2 Fault Disconnection Facilities

- (a) Where no **Transmission** circuit breaker is provided at the **User's** connection voltage, the **User** must provide **NGET** with the means of tripping all the **User's** circuit breakers necessary to isolate faults or **System** abnormalities on the **National Electricity Transmission System**. In these circumstances, for faults on the **User's System**, the **User's Protection** should also trip higher voltage **Transmission** circuit breakers. These tripping facilities shall be in accordance with the requirements specified in the **Bilateral Agreement**.
- (b) **NGET** may require the installation of a **System to Generator Operational Intertripping Scheme** in order to enable the timely restoration of circuits following power **System** fault(s). These requirements shall be set out in the relevant **Bilateral Agreement**.

CC.6.2.3.3 Automatic Switching Equipment

Where automatic reclosure of **Transmission** circuit breakers is required following faults on the **User's System**, automatic switching equipment shall be provided in accordance with the requirements specified in the **Bilateral Agreement**.

CC.6.2.3.4 Relay Settings

Protection and relay settings will be co-ordinated (both on connection and subsequently) across the **Connection Point** in accordance with the **Bilateral Agreement** to ensure effective disconnection of faulty **Apparatus**.

CC.6.2.3.5 Work on Protection equipment

Where a **Transmission Licensee** owns the busbar at the **Connection Point**, no busbar **Protection**, mesh corner **Protection** relays, AC or DC wiring (other than power supplies or DC tripping associated with the **Network Operator** or **Non-Embedded Customer's Apparatus** itself) may be worked upon or altered by the **Network Operator** or **Non-Embedded Customer** personnel in the absence of a representative of **NGET** or in Scotland, a representative of **NGET**, or written authority from **NGET** to perform such work or alterations in the absence of a representative of **NGET**.

CC.6.2.3.6 Equipment to be provided

CC.6.2.3.6.1 Protection of Interconnecting Connections

The requirements for the provision of **Protection** equipment for interconnecting connections will be specified in the **Bilateral Agreement**.

CC.6.3 GENERAL GENERATING UNIT (AND OTSDUW) REQUIREMENTS

CC.6.3.1 This section sets out the technical and design criteria and performance requirements for **Generating Units, DC Converters** and **Power Park Modules** (whether directly connected to the **National Electricity Transmission System** or **Embedded**) and (where provided in this section) **OTSDUW Plant and Apparatus** which each **Generator** or **DC Converter Station** owner must ensure are complied with in relation to its **Generating Units, DC Converters** and **Power Park Modules** and **OTSDUW Plant and Apparatus** but does not apply to **Small Power Stations** or individually to **Power Park Units**. References to **Generating Units, DC Converters** and **Power Park Modules** in this CC.6.3 should be read accordingly. The performance requirements that **OTSDUW Plant and Apparatus** must be capable of providing at the **Interface Point** under this section may be provided using a combination of **Generator Plant and Apparatus** and/or **OTSDUW Plant and Apparatus**.

Plant Performance Requirements

- (a) When supplying **Rated MW** all **Onshore Synchronous Generating Units** must be capable of continuous operation at any point between the limits 0.85 **Power Factor** lagging and 0.95 **Power Factor** leading at the **Onshore Synchronous Generating Unit** terminals. At **Active Power** output levels other than **Rated MW**, all **Onshore Synchronous Generating Units** must be capable of continuous operation at any point between the **Reactive Power** capability limits identified on the **Generator Performance Chart**.

In addition to the above paragraph, where **Onshore Synchronous Generating Unit(s)**:

- (i) have a **Connection Entry Capacity** which has been increased above **Rated MW** (or the **Connection Entry Capacity** of the **CCGT module** has increased above the sum of the **Rated MW** of the **Generating Units** comprising the **CCGT module**), and such increase takes effect after 1st May 2009, the minimum lagging **Reactive Power** capability at the terminals of the **Onshore Synchronous Generating Unit(s)** must be 0.9 **Power Factor** at all **Active Power** output levels in excess of **Rated MW**. Further, the **User** shall comply with the provisions of and any instructions given pursuant to BC1.8 and the relevant **Bilateral Agreement**; or
- (ii) have a **Connection Entry Capacity** in excess of **Rated MW** (or the **Connection Entry Capacity** of the **CCGT module** exceeds the sum of **Rated MW** of the **Generating Units** comprising the **CCGT module**) and a **Completion Date** before 1st May 2009, alternative provisions relating to **Reactive Power** capability may be specified in the **Bilateral Agreement** and where this is the case such provisions must be complied with.

The short circuit ratio of **Onshore Synchronous Generating Units** with an **Apparent Power** rating of less than 1600MVA shall be not less than 0.5. The short circuit ratio of **Onshore Synchronous Generating Units** with a rated **Apparent Power** of 1600MVA or above shall be not less than 0.4.

- (b) Subject to paragraph (c) below, all **Onshore Non-Synchronous Generating Units**, **Onshore DC Converters** and **Onshore Power Park Modules** must be capable of maintaining zero transfer of **Reactive Power** at the **Onshore Grid Entry Point** (or **User System Entry Point** if **Embedded**) at all **Active Power** output levels under steady state voltage conditions. For **Onshore Non-Synchronous Generating Units** and **Onshore Power Park Modules** the steady state tolerance on **Reactive Power** transfer to and from the **National Electricity Transmission System** expressed in MVA shall be no greater than 5% of the **Rated MW**. For **Onshore DC Converters** the steady state tolerance on **Reactive Power** transfer to and from the **National Electricity Transmission System** shall be specified in the **Bilateral Agreement**.

- (c) Subject to the provisions of CC.6.3.2(d) below, all **Onshore Non-Synchronous Generating Units, Onshore DC Converters** (excluding current source technology) and **Onshore Power Park Modules** (excluding those connected to the **Total System** by a current source **Onshore DC Converter**) and **OTSDUW Plant and Apparatus** at the **Interface Point** with a **Completion Date** on or after 1 January 2006 must be capable of supplying **Rated MW** output or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus** at any point between the limits 0.95 **Power Factor** lagging and 0.95 **Power Factor** leading at the **Onshore Grid Entry Point** in England and Wales or **Interface Point** in the case of **OTSDUW Plant and Apparatus** or at the HV side of the 33/132kV or 33/275kV or 33/400kV transformer for **Generators** directly connected to the **Onshore Transmission System** in Scotland (or **User System Entry Point** if **Embedded**). With all **Plant** in service, the **Reactive Power** limits defined at **Rated MW** or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus** at Lagging **Power Factor** will apply at all **Active Power** output levels above 20% of the **Rated MW** or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus** output as defined in Figure 1. With all **Plant** in service, the **Reactive Power** limits defined at **Rated MW** at Leading **Power Factor** will apply at all **Active Power** output levels above 50% of the **Rated MW** output or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus** as defined in Figure 1. With all **Plant** in service, the **Reactive Power** limits will reduce linearly below 50% **Active Power** output as shown in Figure 1 unless the requirement to maintain the **Reactive Power** limits defined at **Rated MW** or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus** at Leading **Power Factor** down to 20% **Active Power** output is specified in the **Bilateral Agreement**. These **Reactive Power** limits will be reduced pro rata to the amount of **Plant** in service.

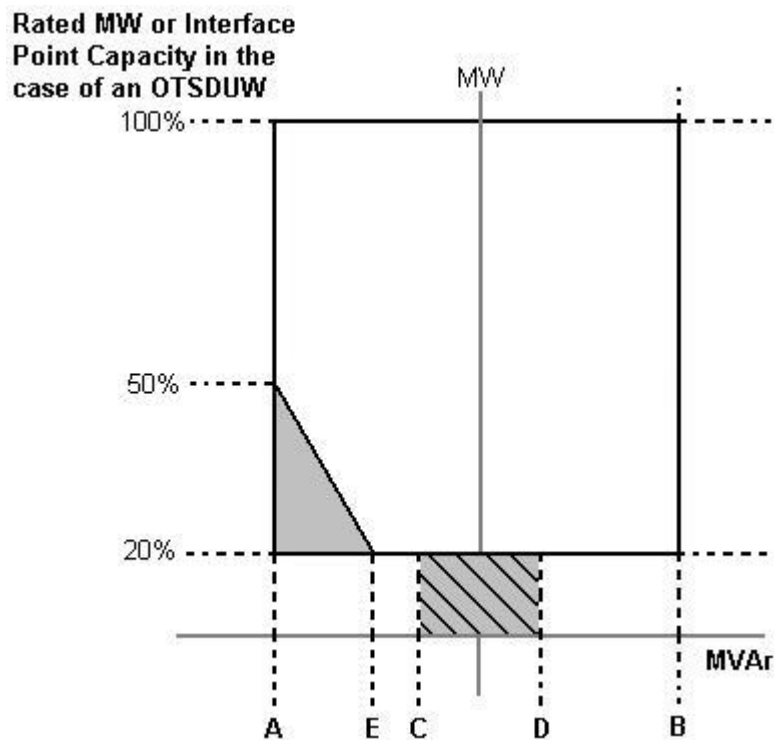


Figure 1

- | | |
|-------------------------------------|--|
| Point A is equivalent (in MVar) to | 0.95 leading Power Factor at Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus |
| Point B is equivalent (in MVar) to: | 0.95 lagging Power Factor at Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus |
| Point C is equivalent (in MVar) to: | -5% of Rated MW output or Interface Point Capacity in the case of OTSDUW Plant and Apparatus |

- Point D is equivalent (in MVar) to: +5% of Rated MW output or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus**
- Point E is equivalent (in MVar) to: -12% of Rated MW output or **Interface Point Capacity** in the case of **OTSDUW Plant and Apparatus**

- (d) All **Onshore Non-Synchronous Generating Units** and **Onshore Power Park Modules** in Scotland with a **Completion Date** after 1 April 2005 and before 1 January 2006 must be capable of supplying **Rated MW** at the range of power factors either:
- (i) from 0.95 lead to 0.95 lag as illustrated in Figure 1 at the **User System Entry Point** for **Embedded Generators** or at the HV side of the 33/132kV or 33/275kV or 33/400kV transformer for **Generators** directly connected to the **Onshore Transmission System**. With all **Plant** in service, the **Reactive Power** limits defined at **Rated MW** will apply at all **Active Power** output levels above 20% of the **Rated MW** output as defined in Figure 1. These **Reactive Power** limits will be reduced pro rata to the amount of **Plant** in service, or
 - (ii) from 0.95 lead to 0.90 lag at the **Onshore Non-Synchronous Generating Unit** (including **Power Park Unit**) terminals. For the avoidance of doubt **Generators** complying with this option (ii) are not required to comply with CC.6.3.2(b).
- (e) The short circuit ratio of Offshore Synchronous Generating Units at a Large Power Station shall be not less than 0.5. At a Large Power Station all Offshore Synchronous Generating Units, Offshore Non-Synchronous Generating Units, Offshore DC Converters and **Offshore Power Park Modules** must be capable of maintaining:
- (i) zero transfer of **Reactive Power** at the **Offshore Grid Entry Point** for all **Generators** with an **Offshore Grid Entry Point** at the **LV Side of the Offshore Platform** at all **Active Power** output levels under steady state voltage conditions. The steady state tolerance on **Reactive Power** transfer to and from an **Offshore Transmission System** expressed in MVar shall be no greater than 5% of the **Rated MW**, or
 - (ii) a transfer of **Reactive Power** at the **Offshore Grid Entry Point** at a value specified in the **Bilateral Agreement** that will be equivalent to zero at the **LV Side of the Offshore Platform**. In addition, the steady state tolerance on **Reactive Power** transfer to and from an **Offshore Transmission System** expressed in MVar at the **LV Side of the Offshore Platform** shall be no greater than 5% of the **Rated MW**, or
 - (iii) the **Reactive Power** capability (within associated steady state tolerance) specified in the **Bilateral Agreement** if any alternative has been agreed with the **Generator**, **Offshore Transmission Licensee** and **NGET**.
- (f) In addition, a **Genset** shall meet the operational requirements as specified in BC2.A.2.6.

CC.6.3.3

Each **Generating Unit**, **DC Converter** (including an **OTSDUW DC Converter**), **Power Park Module** and/or **CCGT Module** must be capable of:

- (a) continuously maintaining constant **Active Power** output for **System Frequency** changes within the range 50.5 to 49.5 Hz; and

- (b) (subject to the provisions of CC.6.1.3) maintaining its **Active Power** output at a level not lower than the figure determined by the linear relationship shown in Figure 2 for **System Frequency** changes within the range 49.5 to 47 Hz, such that if the **System Frequency** drops to 47 Hz the **Active Power** output does not decrease by more than 5%. In the case of a **CCGT Module**, the above requirement shall be retained down to the **Low Frequency Relay** trip setting of 48.8 Hz, which reflects the first stage of the Automatic Low **Frequency Demand Disconnection** scheme notified to **Network Operators** under OC6.6.2. For **System Frequency** below that setting, the existing requirement shall be retained for a minimum period of 5 minutes while **System Frequency** remains below that setting, and special measure(s) that may be required to meet this requirement shall be kept in service during this period. After that 5 minutes period, if **System Frequency** remains below that setting, the special measure(s) must be discontinued if there is a materially increased risk of the **Gas Turbine** tripping. The need for special measure(s) is linked to the inherent **Gas Turbine Active Power** output reduction caused by reduced shaft speed due to falling **System Frequency**.

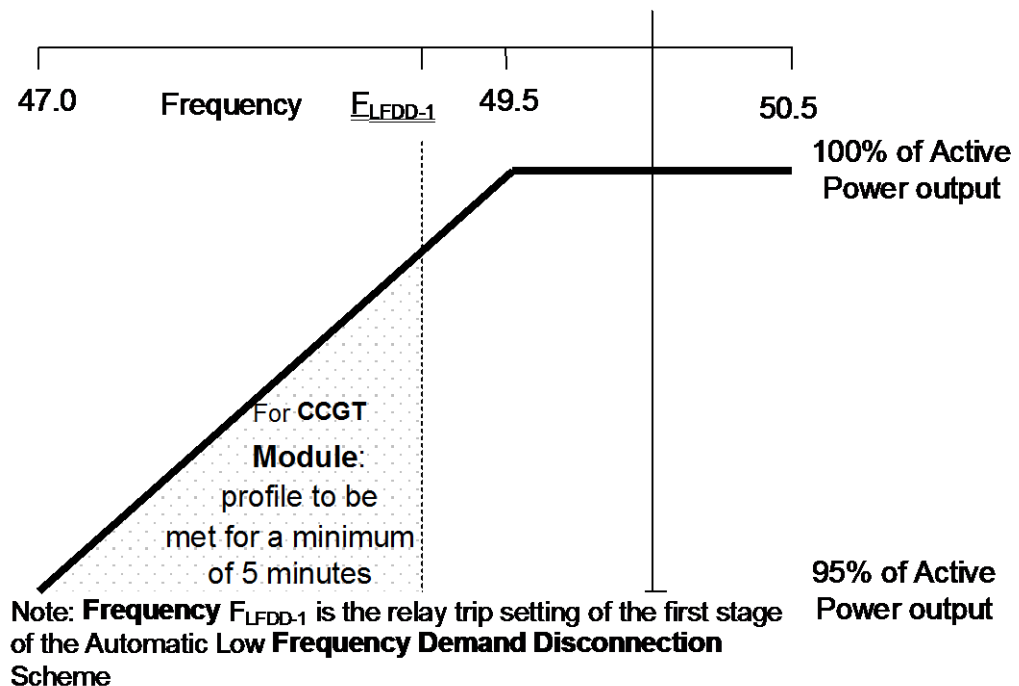


Figure 2

- (c) For the avoidance of doubt in the case of a **Generating Unit** or **Power Park Module** (or **OTSDUW DC Converters** at the **Interface Point**) using an **Intermittent Power Source** where the mechanical power input will not be constant over time, the requirement is that the **Active Power** output shall be independent of **System Frequency** under (a) above and should not drop with **System Frequency** by greater than the amount specified in (b) above.
- (d) A **DC Converter Station** must be capable of maintaining its **Active Power** input (i.e. when operating in a mode analogous to **Demand**) from the **National Electricity Transmission System** (or **User System** in the case of an **Embedded DC Converter Station**) at a level not greater than the figure determined by the linear relationship shown in Figure 3 for **System Frequency** changes within the range 49.5 to 47 Hz, such that if the **System Frequency** drops to 47.8 Hz the **Active Power** input decreases by more than 60%.

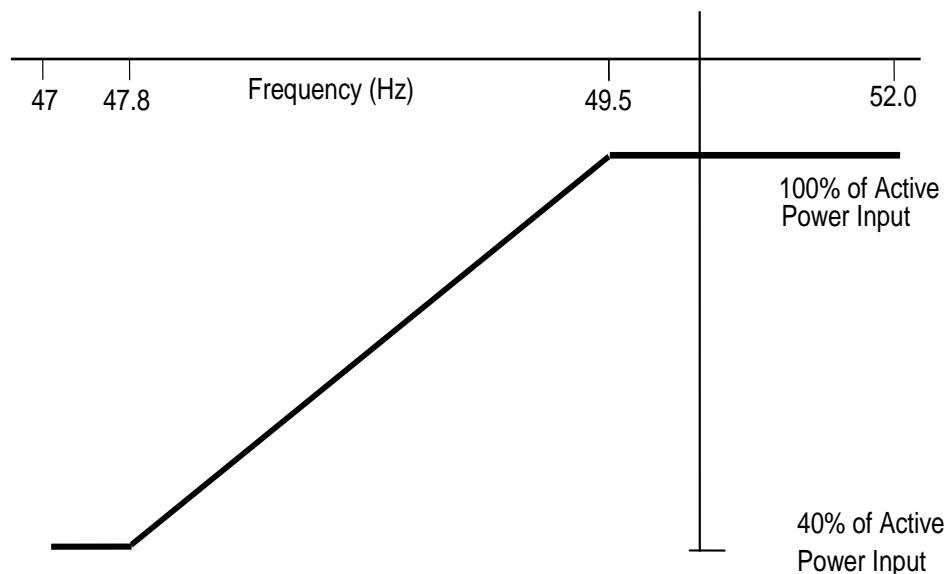


Figure 3

- (e) At a **Large Power Station**, in the case of an **Offshore Generating Unit**, **Offshore Power Park Module**, **Offshore DC Converter** and **OTSDUW DC Converter**, the **Generator** shall comply with the requirements of CC.6.3.3. **Generators** should be aware that Section K of the **STC** places requirements on **Offshore Transmission Licensees** which utilise a **Transmission DC Converter** as part of their **Offshore Transmission System** to make appropriate provisions to enable **Generators** to fulfil their obligations.
- (f) In the case of an **OTSDUW DC Converter** the **OTSDUW Plant and Apparatus** shall provide a continuous signal indicating the real time frequency measured at the **Interface Point** to the **Offshore Grid Entry Point**.

CC.6.3.4

At the **Grid Entry Point**, the **Active Power** output under steady state conditions of any **Generating Unit**, **DC Converter** or **Power Park Module** directly connected to the **National Electricity Transmission System** or in the case of **OTSDUW**, the **Active Power** transfer at the **Interface Point**, under steady state conditions of any **OTSDUW Plant and Apparatus** should not be affected by voltage changes in the normal operating range specified in paragraph CC.6.1.4 by more than the change in **Active Power** losses at reduced or increased voltage. In addition:

- (a) For any **Onshore Generating Unit**, **Onshore DC Converter** and **Onshore Power Park Module** or **OTSDUW** the **Reactive Power** output under steady state conditions should be fully available within the voltage range $\pm 5\%$ at 400kV, 275kV and 132kV and lower voltages, except for an **Onshore Power Park Module** or **Onshore Non-Synchronous Generating Unit** if **Embedded** at 33kV and below (or directly connected to the **Onshore Transmission System** at 33kV and below) where the requirement shown in Figure 4 applies.
- (b) At a **Large Power Station**, in the case of an **Offshore Generating Unit**, **Offshore DC Converter** and **Offshore Power Park Module** where an alternative reactive capability has been agreed with the **Generator**, as specified in CC.6.3.2(e) (iii), the voltage / **Reactive Power** requirement shall be specified in the **Bilateral Agreement**. The **Reactive Power** output under steady state conditions shall be fully available within the voltage range $\pm 5\%$ at 400kV, 275kV and 132kV and lower voltages.

Voltage at an **Onshore Grid Entry Point** or **User System Entry Point** if Embedded
(% of Nominal) at 33 kV and below

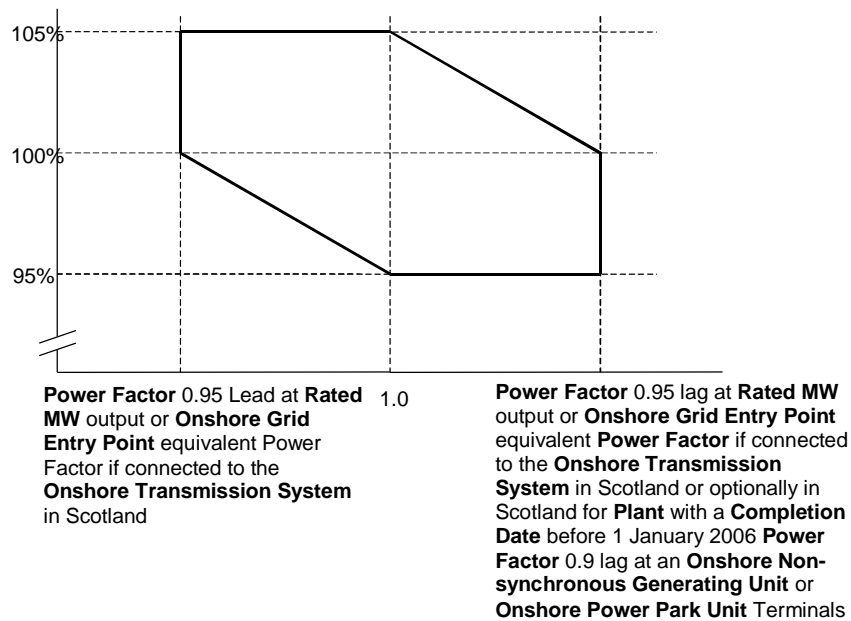


Figure 4

CC.6.3.5 It is an essential requirement that the **National Electricity Transmission System** must incorporate a **Black Start Capability**. This will be achieved by agreeing a **Black Start Capability** at a number of strategically located **Power Stations**. For each **Power Station** **NET** will state in the **Bilateral Agreement** whether or not a **Black Start Capability** is required.

Control Arrangements

- CC.6.3.6 (a) Each:
- (i) **Offshore Generating Unit** in a **Large Power Station** or **Onshore Generating Unit**; or,
 - (ii) **Onshore DC Converter** with a **Completion Date** on or after 1 April 2005 or **Offshore DC Converter** at a **Large Power Station**; or,
 - (iii) **Onshore Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006; or,
 - (iv) **Onshore Power Park Module** in operation in Scotland on or after 1 January 2006 (with a **Completion Date** after 1 July 2004 and in a **Power Station** with a **Registered Capacity** of 50MW or more); or,
 - (v) **Offshore Power Park Module** in a **Large Power Station** with a **Registered Capacity** of 50MW or more;

must be capable of contributing to **Frequency** control by continuous modulation of **Active Power** supplied to the **National Electricity Transmission System** or the **User System** in which it is **Embedded**. For the avoidance of doubt each **OTSDUW DC Converter** shall provide each **User** in respect of its **Offshore Power Stations** connected to and/or using an **Offshore Transmission System** a continuous signal indicating the real time **Frequency** measured at the **Transmission Interface Point**.

- (b) Each:
- (i) **Onshore Generating Unit**; or,
 - (ii) **Onshore DC Converter** (with a **Completion Date** on or after 1 April 2005 excluding current source technologies); or
 - (iii) **Onshore Power Park Module** in England and Wales with a **Completion Date** on

or after 1 January 2006; or,

- (iv) **Onshore Power Park Module** in Scotland irrespective of **Completion Date**; or,
- (v) **Offshore Generating Unit** at a **Large Power Station**, **Offshore DC Converter** at a **Large Power Station** or **Offshore Power Park Module** at a **Large Power Station** which provides a reactive range beyond the minimum requirements specified in CC.6.3.2(e) (iii); or,
- (vi) **OTSDUW Plant and Apparatus** at a **Transmission Interface Point**

must be capable of contributing to voltage control by continuous changes to the **Reactive Power** supplied to the **National Electricity Transmission System** or the **User System** in which it is **Embedded**.

CC.6.3.7

- (a) Each **Generating Unit**, **DC Converter** or **Power Park Module** (excluding **Onshore Power Park Modules** in Scotland with a **Completion Date** before 1 July 2004 or **Onshore Power Park Modules** in a **Power Station** in Scotland with a **Registered Capacity** less than 50MW or **Offshore Power Park Modules** in a **Large Power Station** located **Offshore** with a **Registered Capacity** less than 50MW) must be fitted with a fast acting proportional **Frequency** control device (or turbine speed governor) and unit load controller or equivalent control device to provide **Frequency** response under normal operational conditions in accordance with **Balancing Code 3 (BC3)**. In the case of a **Power Park Module** the **Frequency** or speed control device(s) may be on the **Power Park Module** or on each individual **Power Park Unit** or be a combination of both. The **Frequency** control device(s) (or speed governor(s)) must be designed and operated to the appropriate:

- (i) **European Specification**; or
- (ii) in the absence of a relevant **European Specification**, such other standard which is in common use within the European Community (which may include a manufacturer specification);

as at the time when the installation of which it forms part was designed or (in the case of modification or alteration to the **Frequency** control device (or turbine speed governor)) when the modification or alteration was designed.

The **European Specification** or other standard utilised in accordance with subparagraph CC.6.3.7 (a) (ii) will be notified to **NGET** by the **Generator** or **DC Converter Station** owner or, in the case of an **Embedded Medium Power Station** not subject to a **Bilateral Agreement** or **Embedded DC Converter Station** not subject to a **Bilateral Agreement**, the relevant **Network Operator**:

- (i) as part of the application for a **Bilateral Agreement**; or
- (ii) as part of the application for a varied **Bilateral Agreement**; or
- (iii) in the case of an **Embedded Development**, within 28 days of entry into the **Embedded Development Agreement** (or such later time as agreed with **NGET**); or
- (iv) as soon as possible prior to any modification or alteration to the **Frequency** control device (or governor); and

- (b) The **Frequency** control device (or speed governor) in co-ordination with other control devices must control the **Generating Unit**, **DC Converter** or **Power Park Module Active Power Output** with stability over the entire operating range of the **Generating Unit**, **DC Converter** or **Power Park Module**; and
- (c) The **Frequency** control device (or speed governor) must meet the following minimum requirements:
 - (i) Where a **Generating Unit**, **DC Converter** or **Power Park Module** becomes isolated from the rest of the **Total System** but is still supplying **Customers**, the

Frequency control device (or speed governor) must also be able to control **System Frequency** below 52Hz unless this causes the **Generating Unit, DC Converter** or **Power Park Module** to operate below its **Designed Minimum Operating Level** when it is possible that it may, as detailed in BC 3.7.3, trip after a time. For the avoidance of doubt the **Generating Unit, DC Converter** or **Power Park Module** is only required to operate within the **System Frequency** range 47 - 52 Hz as defined in CC.6.1.3;

- (ii) the **Frequency** control device (or speed governor) must be capable of being set so that it operates with an overall speed **Droop** of between 3% and 5%. For the avoidance of doubt, in the case of a **Power Park Module** the speed **Droop** should be equivalent of a fixed setting between 3% and 5% applied to each **Power Park Unit** in service;
- (iii) in the case of all **Generating Units, DC Converter** or **Power Park Module** other than the **Steam Unit** within a **CCGT Module** the **Frequency** control device (or speed governor) deadband should be no greater than 0.03Hz (for the avoidance of doubt, $\pm 0.015\text{Hz}$). In the case of the **Steam Unit** within a **CCGT Module**, the speed **Governor Deadband** should be set to an appropriate value consistent with the requirements of CC.6.3.7(c)(i) and the requirements of BC3.7.2 for the provision of **Limited High Frequency Response**;

For the avoidance of doubt, the minimum requirements in (ii) and (iii) for the provision of **System Ancillary Services** do not restrict the negotiation of **Commercial Ancillary Services** between **NGET** and the **User** using other parameters; and

- (d) A facility to modify, so as to fulfil the requirements of the **Balancing Codes**, the **Target Frequency** setting either continuously or in a maximum of 0.05 Hz steps over at least the range 50 ± 0.1 Hz should be provided in the unit load controller or equivalent device.
- (e)
 - (i) Each **Onshore Generating Unit** and/or **CCGT Module** which has a **Completion Date** after 1 January 2001 in England and Wales, and after 1 April 2005 in Scotland, must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (ii) Each **DC Converter** at a **DC Converter Station** which has a **Completion Date** on or after 1 April 2005 and each **Offshore DC Converter** at a **Large Power Station** must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (iii) Each **Onshore Power Park Module** in operation in England and Wales with a **Completion Date** on or after 1 January 2006 must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (iv) Each **Onshore Power Park Module** in operation on or after 1 January 2006 in Scotland (with a **Completion Date** on or after 1 April 2005 and a **Registered Capacity** of 50MW or more) must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (v) Each **Offshore Generating Unit** in a **Large Power Station** must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (vi) Each **Offshore Power Park Module** in a **Large Power Station** with a **Registered Capacity** of 50 MW or greater, must be capable of meeting the minimum **Frequency** response requirement profile subject to and in accordance with the provisions of Appendix 3.
 - (vii) Subject to the requirements of CC.6.3.7(e), **Offshore Generating Units** at a **Large Power Station**, **Offshore Power Park Modules** at a **Large Power Station** and **Offshore DC Converters** in a **Large Power Station** shall comply with the requirements of CC.6.3.7. **Generators** should be aware that Section K of the **STC**

places requirements on **Offshore Transmission Licensees** which utilise a **Transmission DC Converter** as part of their **Offshore Transmission System** to make appropriate provisions to enable **Generators** to fulfil their obligations.

- (viii) Each **OTSDUW DC Converter** must be capable of providing a continuous signal indicating the real time frequency measured at the **Interface Point** to the **Offshore Grid Entry Point**.
- (f) For the avoidance of doubt, the requirements of Appendix 3 do not apply to:
 - (i) **Generating Units** and/or **CCGT Modules** which have a **Completion Date** before 1 January 2001 in England and Wales, and before 1 April 2005 in Scotland, for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged; or
 - (ii) **DC Converters** at a **DC Converter Station** which have a **Completion Date** before 1 April 2005; or
 - (iii) **Onshore Power Park Modules** in England and Wales with a **Completion Date** before 1 January 2006 for whom only the requirements of **Limited Frequency Sensitive Mode** (BC3.5.2) operation shall apply; or
 - (iv) **Onshore Power Park Modules** in operation in Scotland before 1 January 2006 for whom only the requirements of **Limited Frequency Sensitive Mode** (BC3.5.2) operation shall apply; or
 - (v) **Onshore Power Park Modules** in operation after 1 January 2006 in Scotland which have a **Completion Date** before 1 April 2005 for whom the remaining requirements of this clause CC.6.3.7 shall continue to apply unchanged; or
 - (vi) **Offshore Power Park Modules** which are in a **Large Power Station** with a **Registered Capacity** less than 50MW for whom only the requirements of **Limited Frequency Sensitive Mode** (BC3.5.2) operation shall apply; or

Excitation and Voltage Control Performance Requirements

CC.6.3.8

- (a) Excitation and voltage control performance requirements applicable to **Onshore Generating Units, Onshore Power Park Modules, Onshore DC Converters** and **OTSDUW Plant and Apparatus**.
 - (i) A continuously-acting automatic excitation control system is required to provide constant terminal voltage control of the **Onshore Synchronous Generating Unit** without instability over the entire operating range of the **Onshore Generating Unit**.
 - (ii) In respect of **Onshore Synchronous Generating Units** with a **Completion Date** before 1 January 2009, the requirements for excitation control facilities, including **Power System Stabilisers**, where in **NGET's** view these are necessary for system reasons, will be specified in the **Bilateral Agreement**. If any **Modification** to the excitation control facilities of such **Onshore Synchronous Generating Units** is made on or after 1 January 2009 the requirements that shall apply may be specified in the **Bilateral Agreement** as varied. To the extent that the **Bilateral Agreement** does not specify, the requirements given or referred to in CC.A.6 shall apply. The performance requirements for a continuously acting automatic excitation control system that shall be complied with by the **User** in respect of such **Onshore Synchronous Generating Units** with a **Completion Date** on or after 1 January 2009 are given or referred to in CC.A.6. Reference is made to on-load commissioning witnessed by **NGET** in BC2.11.2.
 - (iii) In the case of an **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module** or **OTSDUW Plant and Apparatus** at the **Interface Point** a continuously-acting automatic control system is required to provide control of the voltage (or zero transfer of **Reactive Power** as applicable to CC.6.3.2) at the **Onshore Grid Entry Point** or **User System Entry Point** or in the case of **OTSDUW Plant and Apparatus** at the **Interface Point** without instability over the entire operating range of the **Onshore Non-Synchronous Generating**

Unit, Onshore DC Converter, Onshore Power Park Module or OTSDUW Plant and Apparatus. Any **Plant or Apparatus** used in the provisions of such voltage control within an **Onshore Power Park Module** may be located at the **Power Park Unit** terminals, an appropriate intermediate busbar or the **Connection Point**. **OTSDUW Plant and Apparatus** used in the provision of such voltage control may be located at the **Offshore Grid Entry Point**, an appropriate intermediate busbar or at the **Interface Point**. In the case of an **Onshore Power Park Module** in Scotland with a **Completion Date** before 1 January 2009, voltage control may be at the **Power Park Unit** terminals, an appropriate intermediate busbar or the **Connection Point** as specified in the **Bilateral Agreement**. When operating below **20% Rated MW** the automatic control system may continue to provide voltage control utilising any available reactive capability. If voltage control is not being provided the automatic control system shall be designed to ensure a smooth transition between the shaded area bound by CD and the non shaded area bound by AB in Figure 1 of CC.6.3.2 (c).

- (iv) The performance requirements for a continuously acting automatic voltage control system in respect of **Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters** with a **Completion Date** before 1 January 2009 will be specified in the **Bilateral Agreement**. If any **Modification** to the continuously acting automatic voltage control system of such **Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters** is made on or after 1 January 2009 the requirements that shall apply may be specified in the **Bilateral Agreement** as varied. To the extent that the **Bilateral Agreement** does not specify, the requirements given or referred to in CC.A.7 shall apply. The performance requirements for a continuously acting automatic voltage control system that shall be complied with by the **User** in respect of **Onshore Power Park Modules, Onshore Non-Synchronous Generating Units and Onshore DC Converters** or **OTSDUW Plant and Apparatus** at the **Interface Point** with a **Completion Date** on or after 1 January 2009 are given or referred to in CC.A.7.
- (v) Unless otherwise required for testing in accordance with OC5.A.2, the automatic excitation control system of an **Onshore Synchronous Generating Unit** shall always be operated such that it controls the **Onshore Synchronous Generating Unit** terminal voltage to a value that is
- equal to its rated value; or
 - only where provisions have been made in the **Bilateral Agreement**, greater than its rated value.
- (vi) In particular, other control facilities, including constant **Reactive Power** output control modes and constant **Power Factor** control modes (but excluding VAR limiters) are not required. However, if present in the excitation or voltage control system they will be disabled unless the **Bilateral Agreement** records otherwise. Operation of such control facilities will be in accordance with the provisions contained in **BC2**.
- (b) Excitation and voltage control performance requirements applicable to **Offshore Generating Units** at a **Large Power Station, Offshore Power Park Modules** at a **Large Power Station** and **Offshore DC Converters** at a **Large Power Station**.

A continuously acting automatic control system is required to provide either:

- (i) control of **Reactive Power** (as specified in CC.6.3.2(e) (i) (ii)) at the **Offshore Grid Entry Point** without instability over the entire operating range of the **Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module**. The performance requirements for this automatic control system will be specified in the **Bilateral Agreement** or;
- (ii) where an alternative reactive capability has been specified in the **Bilateral Agreement**, in accordance with CC.6.3.2 (e) (iii), the **Offshore Generating Unit**,

Offshore Power Park Module or **Offshore DC Converter** will be required to control voltage and / or **Reactive Power** without instability over the entire operating range of the **Offshore Generating Unit, Offshore Power Park Module** or **Offshore DC Converter**. The performance requirements of the control system will be specified in the **Bilateral Agreement**.

In addition to CC.6.3.8(b) (i) and (ii) the requirements for excitation control facilities, including **Power System Stabilisers**, where in **NGET's** view these are necessary for system reasons, will be specified in the **Bilateral Agreement**. Reference is made to on-load commissioning witnessed by **NGET** in BC2.11.2.

Steady state Load Inaccuracies

CC.6.3.9 The standard deviation of **Load** error at steady state **Load** over a 30 minute period must not exceed 2.5 per cent of a **Genset's Registered Capacity**. Where a **Genset** is instructed to **Frequency** sensitive operation, allowance will be made in determining whether there has been an error according to the governor droop characteristic registered under the **PC**.

For the avoidance of doubt in the case of a **Power Park Module** allowance will be made for the full variation of mechanical power output.

Negative Phase Sequence Loadings

CC.6.3.10 In addition to meeting the conditions specified in CC.6.1.5(b), each **Synchronous Generating Unit** will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by **System Back-Up Protection** on the **National Electricity Transmission System** or **User System** located **Onshore** in which it is **Embedded**.

Neutral Earthing

CC.6.3.11 At nominal **System** voltages of 132kV and above the higher voltage windings of a transformer of a **Generating Unit, DC Converter, Power Park Module** or transformer resulting from **OTSDUW** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph CC.6.2.1.1 (b) will be met on the **National Electricity Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

CC.6.3.12 As stated in CC.6.1.3, the **System Frequency** could rise to 52Hz or fall to 47Hz. Each **Generating Unit, DC Converter, OTSDUW Plant and Apparatus, Power Park Module** or any constituent element must continue to operate within this **Frequency** range for at least the periods of time given in CC.6.1.3 unless **NGET** has agreed to any **Frequency**-level relays and/or rate-of-change-of-**Frequency** relays which will trip such **Generating Unit, DC Converter, OTSDUW Plant and Apparatus, Power Park Module** and any constituent element within this **Frequency** range, under the **Bilateral Agreement**.

CC.6.3.13 **Generators** (including in respect of **OTSDUW Plant and Apparatus**) and **DC Converter Station** owners will be responsible for protecting all their **Generating Units** (and **OTSDUW Plant and Apparatus**), **DC Converters** or **Power Park Modules** against damage should **Frequency** excursions outside the range 52Hz to 47Hz ever occur. Should such excursions occur, it is up to the **Generator** or **DC Converter Station** owner to decide whether to disconnect his **Apparatus** for reasons of safety of **Apparatus, Plant** and/or personnel.

CC.6.3.14 It may be agreed in the **Bilateral Agreement** that a **Genset** shall have a **Fast-Start Capability**. Such **Gensets** may be used for **Operating Reserve** and their **Start-Up** may be initiated by **Frequency**-level relays with settings in the range 49Hz to 50Hz as specified pursuant to **OC2**.

CC.6.3.15 Fault Ride Through

This section sets out the fault ride through requirements on **Generating Units, Power Park Modules, DC Converters and OTSDUW Plant and Apparatus. Onshore Generating Units, Onshore Power Park Modules, Onshore DC Converters** (including **Embedded Medium Power Stations and Embedded DC Converter Stations** not subject to a **Bilateral Agreement** and with an **Onshore User System Entry Point** (irrespective of whether they are located **Onshore** or **Offshore**)) and **OTSDUW Plant and Apparatus** are required to operate through **System** faults and disturbances as defined in CC.6.3.15.1 (a), CC.6.3.15.1 (b) and CC.6.3.15.3. **Offshore Generating Units** at a **Large Power Station, Offshore Power Park Modules** at a **Large Power Station** and **Offshore DC Converters** at a **Large Power Station** shall have the option of meeting either:

- (i) CC.6.3.15.1 (a), CC.6.3.15.1 (b) and CC.6.3.15.3, or:
- (ii) CC.6.3.15.2 (a), CC.6.3.15.2 (b) and CC.6.3.15.3

Offshore Generators and **Offshore DC Converter** owners, should notify **NGET** which option they wish to select within 28 days (or such longer period as **NGET** may agree, in any event this being no later than 3 months before the **Completion Date** of the offer for a final **CUSC Contract** which would be made following the appointment of the **Offshore Transmission Licensee**).

CC.6.3.15.1 Fault Ride through applicable to Generating Units, Power Park Modules and DC Converters and **OTSDUW Plant and Apparatus**

- (a) Short circuit faults on the **Onshore Transmission System** (which may include an **Interface Point**) at **Supergrid Voltage** up to 140ms in duration.
 - (i) Each **Generating Unit, DC Converter, or Power Park Module** and any constituent **Power Park Unit** thereof and **OTSDUW Plant and Apparatus** shall remain transiently stable and connected to the **System** without tripping of any **Generating Unit, DC Converter or Power Park Module** and / or any constituent **Power Park Unit, OTSDUW Plant and Apparatus**, and for **Plant and Apparatus** installed on or after 1 December 2017, reactive compensation equipment, for a close-up solid three-phase short circuit fault or any unbalanced short circuit fault on the **Onshore Transmission System** (including in respect of **OTSDUW Plant and Apparatus, the Interface Point**) operating at **Supergrid Voltages** for a total fault clearance time of up to 140 ms. A solid three-phase or unbalanced earthed fault results in zero voltage on the faulted phase(s) at the point of fault. The duration of zero voltage is dependent on local **Protection** and circuit breaker operating times. This duration and the fault clearance times will be specified in the **Bilateral Agreement**. Following fault clearance, recovery of the **Supergrid Voltage** on the **Onshore Transmission System** to 90% may take longer than 140ms as illustrated in Appendix 4A Figures CC.A.4A.1 (a) and (b). It should be noted that in the case of an **Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) which is connected to an **Offshore Transmission System** which includes a **Transmission DC Converter** as part of that **Offshore Transmission System, the Offshore Grid Entry Point** voltage may not indicate the presence of a fault on the **Onshore Transmission System**. The fault will affect the level of **Active Power** that can be transferred to the **Onshore Transmission System** and therefore subject the **Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) to a load rejection.
 - (ii) Each **Generating Unit, Power Park Module** and **OTSDUW Plant and Apparatus**, shall be designed such that upon both clearance of the fault on the **Onshore Transmission System** as detailed in CC.6.3.15.1 (a) (i) and within 0.5 seconds of the restoration of the voltage at the **Onshore Grid Entry Point** (for **Onshore Generating Units or Onshore Power Park Modules**) or **Interface Point** (for **Offshore Generating Units, Offshore Power Park Modules or OTSDUW Plant and Apparatus**) to the minimum levels specified in CC.6.1.4 (or within 0.5

seconds of restoration of the voltage at the **User System Entry Point** to 90% of nominal or greater if **Embedded**), **Active Power** output or in the case of **OTSDUW Plant and Apparatus**, **Active Power** transfer capability, shall be restored to at least 90% of the level available immediately before the fault. Once the **Active Power** output, or in the case of **OTSDUW Plant and Apparatus**, **Active Power** transfer capability, has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped

During the period of the fault as detailed in CC.6.3.15.1 (a) (i) for which the voltage at the **Grid Entry Point** (or **Interface Point** in the case of **OTSDUW Plant and Apparatus**) is outside the limits specified in CC.6.1.4, each **Generating Unit** or **Power Park Module** or **OTSDUW Plant and Apparatus** shall generate maximum reactive current without exceeding the transient rating limit of the **Generating Unit**, **OTSDUW Plant and Apparatus** or **Power Park Module** and / or any constituent **Power Park Unit** or reactive compensation equipment. For **Plant and Apparatus** installed on or after 1 December 2017, switched reactive compensation equipment (such as mechanically switched capacitors and reactors) shall be controlled such that it is not switched in or out of service during the fault but may act to assist in post fault voltage recovery.

- (iii) Each **DC Converter** shall be designed to meet the **Active Power** recovery characteristics (and **OTSDUW DC Converter** shall be designed to meet the **Active Power** transfer capability at the **Interface Point**) as specified in the **Bilateral Agreement** upon clearance of the fault on the **Onshore Transmission System** as detailed in CC.6.3.15.1 (a) (i).
- (b) **Supergrid Voltage** dips on the **Onshore Transmission System** greater than 140ms in duration

In addition to the requirements of CC.6.3.15.1 (a) each **Generating Unit**, **OTSDUW Plant and Apparatus**, or each **Power Park Module** and / or any constituent **Power Park Unit**, each with a **Completion Date** on or after the 1 April 2005 shall:

- (i) remain transiently stable and connected to the **System** without tripping of any **Generating Unit**, **OTSDUW Plant and Apparatus**, or **Power Park Module** and / or any constituent **Power Park Unit**, for balanced **Supergrid Voltage** dips and associated durations on the **Onshore Transmission System** (which could be at the **Interface Point**) anywhere on or above the heavy black line shown in Figure 5. Appendix 4A and Figures CC.A.4A.3 (a), (b) and (c) provide an explanation and illustrations of Figure 5; and,

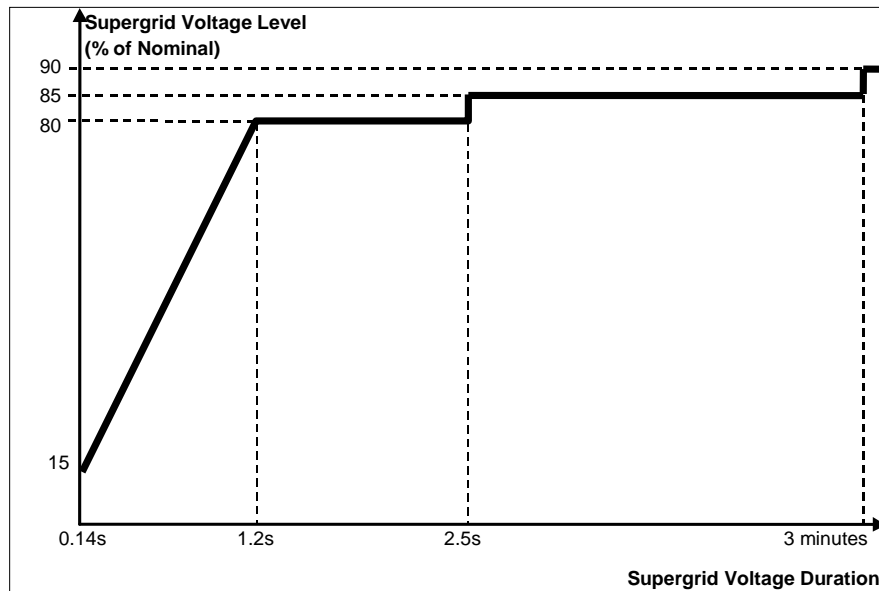


Figure 5

- (ii) provide **Active Power** output at the **Grid Entry Point** or in the case of an **OTSDUW, Active Power** transfer capability at the **Transmission Interface Point**, during **Supergrid Voltage** dips on the **Onshore Transmission System** as described in Figure 5, at least in proportion to the retained balanced voltage at the **Onshore Grid Entry Point** (for **Onshore Generating Units** and **Onshore Power Park Modules**) or **Interface Point** (for **Offshore Generating Units, OTSDUW Plant and Apparatus** and **Offshore Power Park Modules**) (or the retained balanced voltage at the **User System Entry Point** if **Embedded**) except in the case of a **Non-Synchronous Generating Unit** or **OTSDUW Plant and Apparatus** or **Power Park Module** where there has been a reduction in the **Intermittent Power Source** or in the case of **OTSDUW Active Power** transfer capability in the time range in Figure 5 that restricts the **Active Power** output or in the case of an **OTSDUW Active Power** transfer capability below this level and shall generate maximum reactive current (where the voltage at the **Grid Entry Point**, or in the case of an **OTSDUW Plant and Apparatus**, the **Interface Point** voltage, is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the **Generating Unit, OTSDUW Plant and Apparatus** or **Power Park Module** and any constituent **Power Park Unit**; and,
- (iii) restore **Active Power** output (or, in the case of **OTSDUW, Active Power** transfer capability), following **Supergrid Voltage** dips on the **Onshore Transmission System** as described in Figure 5, within 1 second of restoration of the voltage at the:
- Onshore Grid Entry Point** for directly connected **Onshore Generating Units** and **Onshore Power Park Modules** or,
 - Interface Point** for **Offshore Generating Units, OTSDUW Plant and Apparatus** and **Offshore Power Park Modules** or,
 - User System Entry Point** for **Embedded Onshore Generating Units** and **Embedded Onshore Power Park Modules** or,
 - User System Entry Point** for **Embedded Medium Power Stations** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** and with an **Onshore User System Entry Point** (irrespective of whether they are located **Onshore** or **Offshore**)

to the minimum levels specified in CC.6.1.4 to at least 90% of the level available immediately before the occurrence of the dip except in the case of a **Non-**

Synchronous Generating Unit, OTSDUW Plant and Apparatus or Power Park Module where there has been a reduction in the **Intermittent Power Source** in the time range in Figure 5 that restricts the **Active Power** output or, in the case of **OTSDUW, Active Power** transfer capability below this level. Once the **Active Power** output or, in the case of **OTSDUW, Active Power** transfer capability has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:

- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
- the oscillations are adequately damped.

For the avoidance of doubt a balanced **Onshore Transmission System Supergrid Voltage** meets the requirements of CC.6.1.5 (b) and CC.6.1.6.

CC.6.3.15.2 Fault Ride Through applicable to **Offshore Generating Units** at a **Large Power Station, Offshore Power Park Modules** at a **Large Power Station** and **Offshore DC Converters** at a **Large Power Station** who choose to meet the fault ride through requirements at the **LV side of the Offshore Platform**

- (a) Requirements on **Offshore Generating Units, Offshore Power Park Modules** and **Offshore DC Converters** to withstand voltage dips on the **LV Side of the Offshore Platform** for up to 140ms in duration as a result of faults and / or voltage dips on the **Onshore Transmission System** operating at **Supergrid Voltage**
 - (i) Each **Offshore Generating Unit, Offshore DC Converter, or Offshore Power Park Module** and any constituent **Power Park Unit** thereof shall remain transiently stable and connected to the **System** without tripping of any **Offshore Generating Unit, or Offshore DC Converter or Offshore Power Park Module** and / or any constituent **Power Park Unit** or, in the case of **Plant and Apparatus** installed on or after 1 December 2017, reactive compensation equipment, for any balanced or unbalanced voltage dips on the **LV Side of the Offshore Platform** whose profile is anywhere on or above the heavy black line shown in Figure 6. For the avoidance of doubt, the profile beyond 140ms in Figure 6 shows the minimum recovery in voltage that will be seen by the generator following clearance of the fault at 140ms. Appendix 4B and Figures CC.A.4B.2 (a) and (b) provide further illustration of the voltage recovery profile that may be seen. It should be noted that in the case of an **Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) which is connected to an **Offshore Transmission System** which includes a **Transmission DC Converter** as part of that **Offshore Transmission System**, the **Offshore Grid Entry Point** voltage may not indicate the presence of a fault on the **Onshore Transmission System**. The voltage dip will affect the level of **Active Power** that can be transferred to the **Onshore Transmission System** and therefore subject the **Offshore Generating Unit, Offshore DC Converter or Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) to a load rejection.

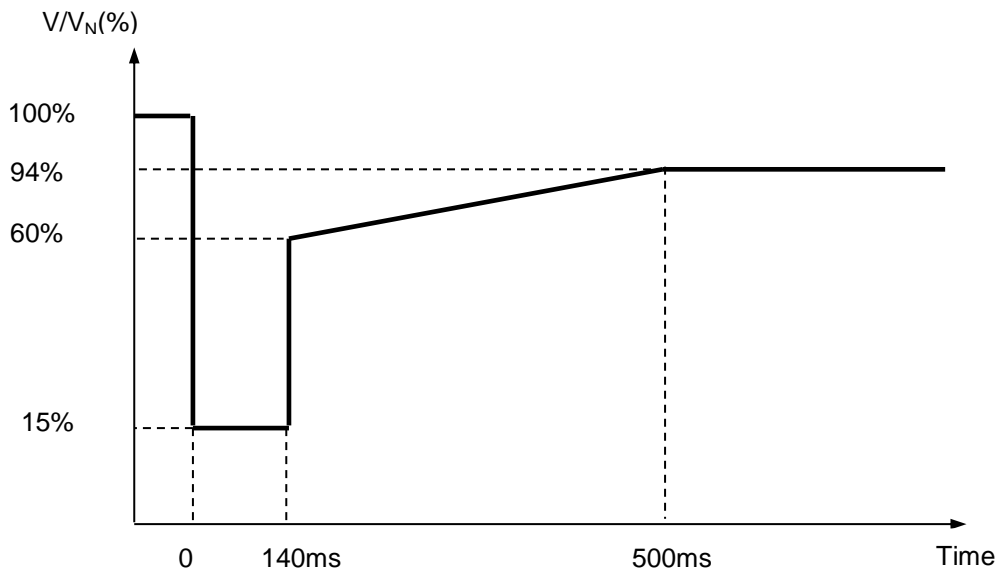


Figure 6

V/V_N is the ratio of the actual voltage on one or more phases at the **LV Side of the Offshore Platform** to the nominal voltage of the **LV Side of the Offshore Platform**.

- (ii) Each **Offshore Generating Unit**, or **Offshore Power Park Module** and any constituent **Power Park Unit** thereof shall provide **Active Power** output, during voltage dips on the **LV Side of the Offshore Platform** as described in Figure 6, at least in proportion to the retained voltage at the **LV Side of the Offshore Platform** except in the case of an **Offshore Non-Synchronous Generating Unit** or **Offshore Power Park Module** where there has been a reduction in the **Intermittent Power Source** in the time range in Figure 6 that restricts the **Active Power** output below this level and shall generate maximum reactive current without exceeding the transient rating limits of the **Offshore Generating Unit** or **Offshore Power Park Module** and any constituent **Power Park Unit** or, in the case of **Plant and Apparatus** installed on or after 1 December 2017, reactive compensation equipment. Once the **Active Power** output has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:
- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
 - the oscillations are adequately damped
- and;
- (iii) Each **Offshore DC Converter** shall be designed to meet the **Active Power** recovery characteristics as specified in the **Bilateral Agreement** upon restoration of the voltage at the **LV Side of the Offshore Platform**.
- (b) Requirements of **Offshore Generating Units**, **Offshore Power Park Modules** to withstand voltage dips on the **LV Side of the Offshore Platform** greater than 140ms in duration.

In addition to the requirements of CC.6.3.15.2. (a) each **Offshore Generating Unit** or **Offshore Power Park Module** and / or any constituent **Power Park Unit**, shall:

- (i) remain transiently stable and connected to the **System** without tripping of any **Offshore Generating Unit** or **Offshore Power Park Module** and / or any constituent **Power Park Unit**, for any balanced voltage dips on the **LV side of the Offshore Platform** and associated durations anywhere on or above the heavy black line shown in Figure 7. Appendix 4B and Figures CC.A.4B.3. (a), (b) and (c) provide an explanation and illustrations of Figure 7. It should be noted that in the

case of an **Offshore Generating Unit**, or **Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) which is connected to an **Offshore Transmission System** which includes a **Transmission DC Converter** as part of that **Offshore Transmission System**, the **Offshore Grid Entry Point** voltage may not indicate the presence of a voltage dip on the **Onshore Transmission System**. The voltage dip will affect the level of **Active Power** that can be transferred to the **Onshore Transmission System** and therefore subject the **Offshore Generating Unit**, or **Offshore Power Park Module** (including any **Offshore Power Park Unit** thereof) to a load rejection.

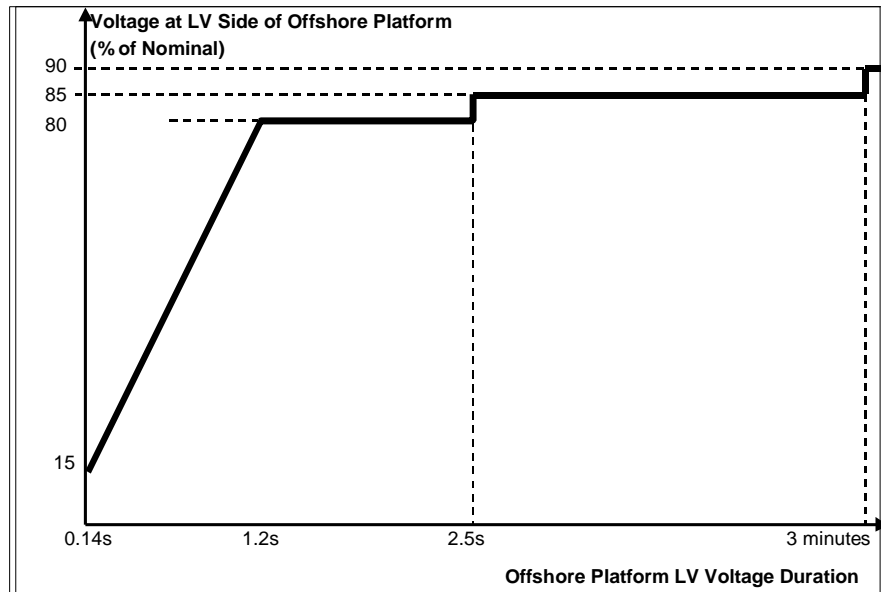


Figure 7

- (ii) provide **Active Power** output, during voltage dips on the **LV Side of the Offshore Platform** as described in Figure 7, at least in proportion to the retained balanced or unbalanced voltage at the **LV Side of the Offshore Platform** except in the case of an **Offshore Non-Synchronous Generating Unit** or **Offshore Power Park Module** where there has been a reduction in the **Intermittent Power Source** in the time range in Figure 7 that restricts the **Active Power** output below this level and shall generate maximum reactive current (where the voltage at the **Offshore Grid Entry Point** is outside the limits specified in CC.6.1.4) without exceeding the transient rating limits of the **Offshore Generating Unit** or **Offshore Power Park Module** and any constituent **Power Park Unit** or reactive compensation equipment. For **Plant and Apparatus** installed on or after 1 December 2017, switched reactive compensation equipment (such as mechanically switched capacitors and reactors) shall be controlled such that it is not switched in or out of service during the fault but may act to assist in post fault voltage recovery; and,
- (iii) within 1 second of the restoration of the voltage at the **LV Side of the Offshore Platform** (to the minimum levels specified in CC.6.1.4) restore **Active Power** to at least 90% of the **Offshore Generating Unit's** or **Offshore Power Park Module's** immediate pre-disturbed value, unless there has been a reduction in the **Intermittent Power Source** in the time range in Figure 7 that restricts the **Active Power** output below this level. Once the **Active Power** output has been restored to the required level, **Active Power** oscillations shall be acceptable provided that:
- the total **Active Energy** delivered during the period of the oscillations is at least that which would have been delivered if the **Active Power** was constant
 - the oscillations are adequately damped

CC.6.3.15.3 Other Requirements

- (i) In the case of a **Power Park Module** (comprising of wind-turbine generator units), the requirements in CC.6.3.15.1 and CC.6.3.15.2 do not apply when the **Power Park Module** is operating at less than 5% of its **Rated MW** or during very high wind speed conditions when more than 50% of the wind turbine generator units in a **Power Park Module** have been shut down or disconnected under an emergency shutdown sequence to protect **User's Plant** and **Apparatus**.
- (ii) In addition to meeting the conditions specified in CC.6.1.5(b) and CC.6.1.6, each **Non-Synchronous Generating Unit, OTSDUW Plant and Apparatus** or **Power Park Module** with a **Completion Date** after 1 April 2005 and any constituent **Power Park Unit** thereof will be required to withstand, without tripping, the negative phase sequence loading incurred by clearance of a close-up phase-to-phase fault, by **System Back-Up Protection** on the **Onshore Transmission System** operating at **Supergrid Voltage**.
- (iii) In the case of an **Onshore Power Park Module** in Scotland with a **Completion Date** before 1 January 2004 and a **Registered Capacity** less than 30MW the requirements in CC.6.3.15.1 (a) do not apply. In the case of an **Onshore Power Park Module** in Scotland with a **Completion Date** on or after 1 January 2004 and before 1 July 2005 and a **Registered Capacity** less than 30MW the requirements in CC.6.3.15.1 (a) are relaxed from the minimum **Onshore Transmission System Supergrid Voltage** of zero to a minimum **Onshore Transmission System Supergrid Voltage** of 15% of nominal. In the case of an **Onshore Power Park Module** in Scotland with a **Completion Date** before 1 January 2004 and a **Registered Capacity** of 30MW and above the requirements in CC.6.3.15.1 (a) are relaxed from the minimum **Onshore Transmission System Supergrid Voltage** of zero to a minimum **Onshore Transmission System Supergrid Voltage** of 15% of nominal.
- (iv) To avoid unwanted island operation, **Non-Synchronous Generating Units** in Scotland (and those directly connected to a **Scottish Offshore Transmission System**), **Power Park Modules** in Scotland (and those directly connected to a **Scottish Offshore Transmission System**), or **OTSDUW Plant and Apparatus** with an **Interface Point** in Scotland shall be tripped for the following conditions:
 - (1) **Frequency** above 52Hz for more than 2 seconds
 - (2) **Frequency** below 47Hz for more than 2 seconds
 - (3) Voltage as measured at the **Onshore Connection Point** or **Onshore User System Entry Point** or **Offshore Grid Entry Point** or **Interface Point** in the case of **OTSDUW Plant and Apparatus** is below 80% for more than 2.5 seconds
 - (4) Voltage as measured at the **Onshore Connection Point** or **Onshore User System Entry Point** or **Offshore Grid Entry Point** or **Interface Point** in the case of **OTSDUW Plant and Apparatus** is above 120% (115% for 275kV) for more than 1 second.

The times in sections (1) and (2) are maximum trip times. Shorter times may be used to protect the **Non-Synchronous Generating Units**, or **OTSDUW Plant and Apparatus** or **Power Park Modules**.

Additional Damping Control Facilities for DC Converters

- CC.6.3.16
- (a) **DC Converter** owners, or Generators in respect of **OTSDUW DC Converters** or **Network Operators** in the case of an **Embedded DC Converter Station** not subject to a **Bilateral Agreement** must ensure that any of their **Onshore DC Converters** or **OTSDUW DC Converters** will not cause a sub-synchronous resonance problem on the **Total System**. Each **DC Converter** or **OTSDUW DC Converter** is required to be provided with sub-synchronous resonance damping control facilities.
 - (b) Where specified in the **Bilateral Agreement**, each **DC Converter** or **OTSDUW DC Converter** is required to be provided with power oscillation damping or any other identified additional control facilities.

System to Generator Operational Intertripping Scheme

CC.6.3.17 **NGET** may require that a **System to Generator Operational Intertripping Scheme** be installed as part of a condition of the connection of the **Generator**. Scheme specific details shall be included in the relevant **Bilateral Agreement** and shall, in respect of **Bilateral Agreements** entered into on or after 16th March 2009 include the following information:

- (1) the relevant category(ies) of the scheme (referred to as Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme and Category 4 Intertripping Scheme);
- (2) the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** to be either permanently armed or that can be instructed to be armed in accordance with BC2.8;
- (3) the time within which the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** circuit breaker(s) are to be automatically tripped;
- (4) the location to which the trip signal will be provided by **NGET**. Such location will be provided by **NGET** prior to the commissioning of the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)**.

Where applicable, the **Bilateral Agreement** shall include the conditions on the **National Electricity Transmission System** during which **NGET** may instruct the **System to Generator Operational Intertripping Scheme** to be armed and the conditions that would initiate a trip signal.

CC.6.3.18 The time within which the **Generating Unit(s)** or **CCGT Module** or **Power Park Module** circuit breaker(s) need to be automatically tripped is determined by the specific conditions local to the **Generator**. This 'time to trip' (defined as time from provision of the trip signal by **NGET** to the specified location, to circuit breaker main contact opening) can typically range from 100ms to 10sec. A longer time to trip may allow the initiation of an automatic reduction in the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** output prior to the automatic tripping of the **Generating Unit(s)** or **CCGT Module(s)** or **Power Park Module(s)** circuit breaker. Where applicable **NGET** may provide separate trip signals to allow for either a longer or shorter 'time to trip' to be initiated.

CC.6.4 General Network Operator And Non-Embedded Customer Requirements

CC.6.4.1 This part of the **Grid Code** describes the technical and design criteria and performance requirements for **Network Operators** and **Non-Embedded Customers**.

Neutral Earthing

- CC.6.4.2 At nominal **System** voltages of 132kV and above the higher voltage windings of three phase transformers and transformer banks connected to the **National Electricity Transmission System** must be star connected with the star point suitable for connection to earth. The earthing and lower voltage winding arrangement shall be such as to ensure that the **Earth Fault Factor** requirement of paragraph CC.6.2.1.1 (b) will be met on the **National Electricity Transmission System** at nominal **System** voltages of 132kV and above.

Frequency Sensitive Relays

- CC.6.4.3 As explained under **OC6**, each **Network Operator**, will make arrangements that will facilitate automatic low **Frequency Disconnection of Demand** (based on **Annual ACS Conditions**). CC.A.5.5. of Appendix 5 includes specifications of the local percentage **Demand** that shall be disconnected at specific frequencies. The manner in which **Demand** subject to low **Frequency** disconnection will be split into discrete MW blocks is specified in OC6.6. Technical requirements relating to **Low Frequency Relays** are also listed in Appendix 5.

Operational Metering

- CC.6.4.4 Where **NGET** can reasonably demonstrate that an **Embedded Medium Power Station** or **Embedded DC Converter Station** has a significant effect on the **National Electricity Transmission System**, it may require the **Network Operator** within whose **System** the **Embedded Medium Power Station** or **Embedded DC Converter Station** is situated to ensure that the operational metering equipment described in CC.6.5.6 is installed such that **NGET** can receive the data referred to in CC.6.5.6. In the case of an **Embedded Medium Power Station** subject to, or proposed to be subject to a **Bilateral Agreement** **NGET** shall notify such **Network Operator** of the details of such installation in writing within 3 months of being notified of the application to connect under **CUSC** and in the case of an **Embedded Medium Power Station** not subject to, or not proposed to be subject to a **Bilateral Agreement** in writing as a **Site Specific Requirement** in accordance with the timescales in CUSC 6.5.5. In either case the **Network Operator** shall ensure that the data referred to in CC.6.5.6 is provided to **NGET**.

CC.6.5 Communications Plant

- CC.6.5.1 In order to ensure control of the **National Electricity Transmission System**, telecommunications between **Users** and **NGET** must (including in respect of any **OTSDUW Plant and Apparatus** at the **OTSUA Transfer Time**), if required by **NGET**, be established in accordance with the requirements set down below.

CC.6.5.2 Control Telephony and System Telephony

- CC.6.5.2.1 **Control Telephony** is the principle method by which a **User's Responsible Engineer/Operator** and **NGET Control Engineers** speak to one another for the purposes of control of the **Total System** in both normal and emergency operating conditions. **Control Telephony** provides secure point to point telephony for routine **Control Calls**, priority **Control Calls** and emergency **Control Calls**.

- CC.6.5.2.2 **System Telephony** is an alternate method by which a **User's Responsible Engineer/Operator** and **NGET Control Engineers** speak to one another for the purposes of control of the **Total System** in both normal operating conditions and where practicable, emergency operating conditions. **System Telephony** uses the Public Switched Telephony Network to provide telephony for **Control Calls**, inclusive of emergency **Control Calls**.

- CC.6.5.2.3 Calls made and received over **Control Telephony** and **System Telephony** may be recorded and subsequently replayed for commercial and operational reasons.

CC.6.5.3 Supervisory Tones

- CC.6.5.3.1 **Control Telephony** supervisory tones indicate to the calling and receiving parties dial, engaged, ringing, secondary engaged (signifying that priority may be exercised) and priority disconnect tones.

- CC.6.5.3.2 **System Telephony** supervisory tones indicate to the calling and receiving parties dial, engaged and ringing tones.
- CC.6.5.4 Obligations in respect of Control Telephony and System Telephony
- CC.6.5.4.1 Where **NGET** requires **Control Telephony**, **Users** are required to use the **Control Telephony** with **NGET** in respect of all **Connection Points** with the **National Electricity Transmission System** and in respect of all **Embedded Large Power Stations** and **Embedded DC Converter Stations**. **NGET** will install **Control Telephony** at the **User's Control Point** where the **User's** telephony equipment is not capable of providing the required facilities or is otherwise incompatible with the **Transmission Control Telephony**. Details of and relating to the **Control Telephony** required are contained in the **Bilateral Agreement**.
- CC.6.5.4.2 Where in **NGET's** sole opinion the installation of **Control Telephony** is not practicable at a **User's Control Point(s)**, **NGET** shall specify in the **Bilateral Agreement** whether **System Telephony** is required. Where **System Telephony** is required by **NGET**, the **User** shall ensure that **System Telephony** is installed.
- CC.6.5.4.3 Where **System Telephony** is installed, **Users** are required to use the **System Telephony** with **NGET** in respect of those **Control Point(s)** for which it has been installed. Details of and relating to the **System Telephony** required are contained in the **Bilateral Agreement**.
- CC.6.5.4.4 Where **Control Telephony** or **System Telephony** is installed, routine testing of such facilities may be required by **NGET** (not normally more than once in any calendar month). The **User** and **NGET** shall use reasonable endeavours to agree a test programme and where **NGET** requests the assistance of the **User** in performing the agreed test programme the **User** shall provide such assistance.
- CC.6.5.4.5 **Control Telephony** and **System Telephony** shall only be used for the purposes of operational voice communication between **NGET** and the relevant **User**.
- CC.6.5.4.6 **Control Telephony** contains emergency calling functionality to be used for urgent operational communication only. Such functionality enables **NGET** and **Users** to utilise a priority call in the event of an emergency. **NGET** and **Users** shall only use such priority call functionality for urgent operational communications.
- CC.6.5.5 Technical Requirements for Control Telephony and System Telephony
- CC.6.5.5.1 Detailed information on the technical interfaces and support requirements for **Control Telephony** applicable in **NGET's Transmission Area** is provided in the **Control Telephony Electrical Standard** identified in the Annex to the **General Conditions**. Where additional information, or information in relation to **Control Telephony** applicable in Scotland, is requested by **Users**, this will be provided, where possible, by **NGET**.
- CC.6.5.5.2 **System Telephony** shall consist of a dedicated Public Switched Telephone Network telephone line that shall be installed and configured by the relevant **User**. **NGET** shall provide a dedicated free phone number (UK only), for the purposes of receiving incoming calls to **NGET**, which **Users** shall utilise for **System Telephony**. **System Telephony** shall only be utilised by the **NGET Control Engineer** and the **User's Responsible Engineer/Operator** for the purposes of operational communications.
- Operational Metering
- CC.6.5.6 (a) **NGET** shall provide system control and data acquisition (SCADA) outstation interface equipment. The **User** shall provide such voltage, current, **Frequency**, **Active Power** and **Reactive Power** measurement outputs and plant status indications and alarms to the **Transmission SCADA** outstation interface equipment as required by **NGET** in accordance with the terms of the **Bilateral Agreement**. In the case of **OTSDUW**, the **User** shall provide such SCADA outstation interface equipment and voltage, current, **Frequency**, **Active Power** and **Reactive Power** measurement outputs and plant status indications and alarms to the SCADA outstation interface equipment as required by **NGET** in accordance with the terms of the **Bilateral Agreement**.

- (b) For the avoidance of doubt, for **Active Power** and **Reactive Power** measurements, circuit breaker and disconnect status indications from:
- (i) **CCGT Modules at Large Power Stations**, the outputs and status indications must each be provided to **NGET** on an individual **CCGT Unit** basis. In addition, where identified in the **Bilateral Agreement**, **Active Power** and **Reactive Power** measurements from **Unit Transformers** and/or **Station Transformers** must be provided.
 - (ii) **DC Converters at DC Converter Stations** and **OTSDUW DC Converters**, the outputs and status indications must each be provided to **NGET** on an individual **DC Converter** basis. In addition, where identified in the **Bilateral Agreement**, **Active Power** and **Reactive Power** measurements from converter and/or station transformers must be provided.
 - (iii) **Power Park Modules at Embedded Large Power Stations** and at directly connected **Power Stations**, the outputs and status indications must each be provided to **NGET** on an individual **Power Park Module** basis. In addition, where identified in the **Bilateral Agreement**, **Active Power** and **Reactive Power** measurements from station transformers must be provided.
 - (iv) In respect of **OTSDUW Plant and Apparatus**, the outputs and status indications must be provided to **NGET** for each piece of electrical equipment. In addition, where identified in the **Bilateral Agreement**, **Active Power** and **Reactive Power** measurements at the **Interface Point** must be provided.
- (c) For the avoidance of doubt, the requirements of CC.6.5.6(a) in the case of a **Cascade Hydro Scheme** will be provided for each **Generating Unit** forming part of that **Cascade Hydro Scheme**. In the case of **Embedded Generating Units** forming part of a **Cascade Hydro Scheme** the data may be provided by means other than a **NGET** SCADA outstation located at the **Power Station**, such as, with the agreement of the **Network Operator** in whose system such **Embedded Generating Unit** is located, from the **Network Operator's** SCADA system to **NGET**. Details of such arrangements will be contained in the relevant **Bilateral Agreements** between **NGET** and the **Generator** and the **Network Operator**.
- (d) In the case of a **Power Park Module**, additional energy input signals (e.g. wind speed, and wind direction) may be specified in the **Bilateral Agreement**. For **Power Park Modules** with a **Completion Date** on or after 1st April 2016 a **Power Available** signal will also be specified in the **Bilateral Agreement**. The signals would be used to establish the potential level of energy input from the **Intermittent Power Source** for monitoring pursuant to CC.6.6.1 and **Ancillary Services** and will, in the case of a wind farm, be used to provide **NGET** with advanced warning of excess wind speed shutdown and to determine the level of **Headroom** available from **Power Park Modules** for the purposes of calculating response and reserve. For the avoidance of doubt, the **Power Available** signal would be automatically provided to **NGET** and represent the sum of the potential output of all available and operational **Power Park Units** within the **Power Park Module**. The refresh rate of the **Power Available** signal shall be specified in the **Bilateral Agreement**.

Instructor Facilities

- CC.6.5.7 The **User** shall accommodate **Instructor Facilities** provided by **NGET** for the receipt of operational messages relating to **System** conditions.

Electronic Data Communication Facilities

- CC.6.5.8 (a) All **BM Participants** must ensure that appropriate electronic data communication facilities are in place to permit the submission of data, as required by the **Grid Code**, to **NGET**.
- (b) In addition,
- (1) any **User** that wishes to participate in the **Balancing Mechanism**;

or

- (2) any **BM Participant** in respect of its **BM Units** at a **Power Station** where the **Construction Agreement** and/or a **Bilateral Agreement** has a **Completion Date** on or after 1 January 2013 and the **BM Participant** is required to provide all **Part 1 System Ancillary Services** in accordance with CC.8.1 (unless **NGET** has otherwise agreed)

must ensure that appropriate automatic logging devices are installed at the **Control Points** of its **BM Units** to submit data to and to receive instructions from **NGET**, as required by the **Grid Code**. For the avoidance of doubt, in the case of an **Interconnector User** the **Control Point** will be at the **Control Centre** of the appropriate **Externally Interconnected System Operator**.

- (c) Detailed specifications of these required electronic facilities will be provided by **NGET** on request and they are listed as **Electrical Standards** in the Annex to the **General Conditions**.

Facsimile Machines

CC.6.5.9 Each **User** and **NGET** shall provide a facsimile machine or machines:

- (a) in the case of **Generators**, at the **Control Point** of each **Power Station** and at its **Trading Point**;
- (b) in the case of **NGET** and **Network Operators**, at the **Control Centre(s)**; and
- (c) in the case of **Non-Embedded Customers** and **DC Converter Station** owners at the **Control Point**.

Each **User** shall notify, prior to connection to the **System** of the **User's Plant and Apparatus**, **NGET** of its or their telephone number or numbers, and will notify **NGET** of any changes. Prior to connection to the **System** of the **User's Plant and Apparatus** **NGET** shall notify each **User** of the telephone number or numbers of its facsimile machine or machines and will notify any changes.

CC.6.5.10 Busbar Voltage

NGET shall, subject as provided below, provide each **Generator** or **DC Converter Station** owner at each **Grid Entry Point** where one of its **Power Stations** or **DC Converter Stations** is connected with appropriate voltage signals to enable the **Generator** or **DC Converter Station** owner to obtain the necessary information to permit its **Gensets** or **DC Converters** to be **Synchronised** to the **National Electricity Transmission System**. The term "**voltage signal**" shall mean in this context, a point of connection on (or wire or wires from) a relevant part of **Transmission Plant** and/or **Apparatus** at the **Grid Entry Point**, to which the **Generator** or **DC Converter Station** owner, with **NGET's** agreement (not to be unreasonably withheld) in relation to the **Plant** and/or **Apparatus** to be attached, will be able to attach its **Plant** and/or **Apparatus** (normally a wire or wires) in order to obtain measurement outputs in relation to the busbar.

CC.6.5.11 Bilingual Message Facilities

- (a) A Bilingual Message Facility is the method by which the **User's Responsible Engineer/Operator**, the **Externally Interconnected System Operator** and **NGET Control Engineers** communicate clear and unambiguous information in two languages for the purposes of control of the **Total System** in both normal and emergency operating conditions.
- (b) A Bilingual Message Facility, where required, will provide up to two hundred pre-defined messages with up to five hundred and sixty characters each. A maximum of one minute is allowed for the transmission to, and display of, the selected message at any destination. The standard messages must be capable of being displayed at any combination of locations and can originate from any of these locations. Messages displayed in the UK will be displayed in the English language.

- (c) Detailed information on a Bilingual Message Facility and suitable equipment required for individual **User** applications will be provided by **NGET** upon request.

CC.6.6 System Monitoring

CC.6.6.1 Monitoring equipment is provided on the **National Electricity Transmission System** to enable **NGET** to monitor its power system dynamic performance conditions. Where this monitoring equipment requires voltage and current signals on the **Generating Unit** (other than **Power Park Unit**), **DC Converter** or **Power Park Module** circuit from the **User** or from **OTSDUW Plant and Apparatus**, **NGET** will inform the **User** and they will be provided by the **User** with both the timing of the installation of the equipment for receiving such signals and its exact position being agreed (the **User's** agreement not to be unreasonably withheld) and the costs being dealt with, pursuant to the terms of the **Bilateral Agreement**.

CC.6.6.2 For all on site monitoring by **NGET** of witnessed tests pursuant to the **CP** or **OC5** the **User** shall provide suitable test signals as outlined in OC5.A.1.

CC.6.6.2.1 The signals which shall be provided by the **User** to **NGET** for onsite monitoring shall be of the following resolution, unless otherwise agreed by **NGET**:

- (i) 1 Hz for reactive range tests
- (ii) 10 Hz for frequency control tests
- (iii) 100 Hz for voltage control tests

CC.6.6.2.2 The **User** will provide all relevant signals for this purpose in the form of d.c. voltages within the range -10V to +10V. In exceptional circumstances some signals may be accepted as d.c. voltages within the range -60V to +60V with prior agreement between the **User** and **NGET**. All signals shall:

- (i) in the case of an **Onshore Power Park Module, DC Converter Station** or **Synchronous Generating Unit**, be suitably terminated in a single accessible location at the **Generator** or **DC Converter Station** owner's site.
- (ii) in the case of an **Offshore Power Park Module** and **OTSDUW Plant and Apparatus**, be transmitted onshore without attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and be suitably terminated in a single robust location normally located at or near the onshore **Interface Point** of the **Offshore Transmission System** to which it is connected.

CC.6.6.2.3 All signals shall be suitably scaled across the range. The following scaling would (unless **NGET** notify the **User** otherwise) be acceptable to **NGET**:

- (a) 0MW to **Registered Capacity** or **Interface Point Capacity** 0-8V dc
- (b) Maximum leading **Reactive Power** to maximum lagging **Reactive Power** -8 to 8V dc
- (c) 48 – 52Hz as -8 to 8V dc
- (d) Nominal terminal or connection point voltage -10% to +10% as -8 to 8V dc

CC.6.6.2.4 The **User** shall provide to **NGET** a 230V power supply adjacent to the signal terminal location.

CC.7 SITE RELATED CONDITIONS

CC.7.1 Not used.

CC.7.2 Responsibilities For Safety

CC.7.2.1 In England and Wales, any **User** entering and working on its **Plant** and/or **Apparatus** (including, until the **OTSUA Transfer Time**, any **OTSUA**) on a **Transmission Site** will work to the **Safety Rules** of **NGET**.

In Scotland or **Offshore**, any **User** entering and working on its **Plant** and/or **Apparatus** (including, until the **OTSUA Transfer Time**, any **OTSUA**) on a **Transmission Site** will work to the **Safety Rules** of the **Relevant Transmission Licensee**, as advised by **NGET**.

CC.7.2.2 **NGET** entering and working on **Transmission Plant** and/or **Apparatus** on a **User Site** will work to the **User's Safety Rules**. For **User Sites** in Scotland or **Offshore**, **NGET** shall procure that the **Relevant Transmission Licensee** entering and working on **Transmission Plant** and/or **Apparatus** on a **User Site** will work to the **User's Safety Rules**.

CC.7.2.3 A **User** may, with a minimum of six weeks notice, apply to **NGET** for permission to work according to that **Users** own **Safety Rules** when working on its **Plant** and/or **Apparatus** on a **Transmission Site** rather than those set out in CC.7.2.1. If **NGET** is of the opinion that the **User's Safety Rules** provide for a level of safety commensurate with those set out in CC.7.2.1, **NGET** will notify the **User**, in writing, that, with effect from the date requested by the **User**, the **User** may use its own **Safety Rules** when working on its **Plant** and/or **Apparatus** on the **Transmission Site**. For a **Transmission Site** in Scotland or **Offshore**, in forming its opinion, **NGET** will seek the opinion of the **Relevant Transmission Licensee**. Until receipt of such written approval from **NGET**, the **User** will continue to use the **Safety Rules** as set out in CC.7.2.1.

CC.7.2.4 In the case of a **User Site** in England and Wales, **NGET** may, with a minimum of six weeks notice, apply to a **User** for permission to work according to **NGET's Safety Rules** when working on **Transmission Plant** and/or **Apparatus** on that **User Site**, rather than the **User's Safety Rules**. If the **User** is of the opinion that **NGET's Safety Rules** provide for a level of safety commensurate with that of that **User's Safety Rules**, it will notify **NGET**, in writing, that, with the effect from the date requested by **NGET**, **NGET** may use its own **Safety Rules** when working on its **Transmission Plant** and/or **Apparatus** on that **User Site**. Until receipt of such written approval from the **User**, **NGET** shall continue to use the **User's Safety Rules**.

In the case of a **User Site** in Scotland or **Offshore**, **NGET** may, with a minimum of six weeks notice, apply to a **User** for permission for the **Relevant Transmission Licensee** to work according to the **Relevant Transmission Licensee's Safety Rules** when working on **Transmission Plant** and/or **Apparatus** on that **User Site**, rather than the **User's Safety Rules**. If the **User** is of the opinion that the **Relevant Transmission Licensee's Safety Rules**, provide for a level of safety commensurate with that of that **User's Safety Rules**, it will notify **NGET**, in writing, that, with effect from the date requested by **NGET**, that the **Relevant Transmission Licensee** may use its own **Safety Rules** when working on its **Transmission Plant** and/or **Apparatus** on that **User's Site**. Until receipt of such written approval from the **User**, **NGET** shall procure that the **Relevant Transmission Licensee** shall continue to use the **User's Safety Rules**.

CC.7.2.5 For a **Transmission Site** in England and Wales, if **NGET** gives its approval for the **User's Safety Rules** to apply to the **User** when working on its **Plant** and/or **Apparatus**, that does not imply that the **User's Safety Rules** will apply to entering the **Transmission Site** and access to the **User's Plant** and/or **Apparatus** on that **Transmission Site**. Bearing in mind **NGET's** responsibility for the whole **Transmission Site**, entry and access will always be in accordance with **NGET's** site access procedures. For a **User Site** in England and Wales, if the **User** gives its approval for **NGET's Safety Rules** to apply to **NGET** when working on its **Plant** and **Apparatus**, that does not imply that **NGET's Safety Rules** will apply to entering the **User Site**, and access to the **Transmission Plant** and **Apparatus** on that **User Site**. Bearing in mind the **User's** responsibility for the whole **User Site**, entry and access will always be in accordance with the **User's** site access procedures.

For a **Transmission Site** in Scotland or **Offshore**, if **NGET** gives its approval for the **User's Safety Rules** to apply to the **User** when working on its **Plant** and/or **Apparatus**, that does not imply that the **User's Safety Rules** will apply to entering the **Transmission Site** and access to the **User's Plant** and/or **Apparatus** on that **Transmission Site**. Bearing in mind the **Relevant Transmission Licensee's** responsibility for the whole **Transmission Site**, entry and access will always be in accordance with the **Relevant Transmission Licensee's** site access procedures. For a **User Site** in Scotland or **Offshore**, if the **User** gives its approval for **Relevant Transmission Licensee Safety Rules** to apply to the **Relevant Transmission Licensee** when working on its **Plant** and **Apparatus**, that does not imply that the **Relevant Transmission Licensee's Safety Rules** will apply to entering the **User Site**, and access to the **Transmission Plant** and **Apparatus** on that **User Site**. Bearing in mind the **User's** responsibility for the whole **User Site**, entry and access will always be in accordance with the **User's** site access procedures.

CC.7.2.6 For **User Sites** in England and Wales, **Users** shall notify **NGET** of any **Safety Rules** that apply to **NGET's** staff working on **User Sites**. For **Transmission Sites** in England and Wales, **NGET** shall notify **Users** of any **Safety Rules** that apply to the **User's** staff working on the **Transmission Site**.

For **User Sites** in Scotland or **Offshore**, **Users** shall notify **NGET** of any **Safety Rules** that apply to the **Relevant Transmission Licensee's** staff working on **User Sites**. For **Transmission Sites** in Scotland or **Offshore** **NGET** shall procure that the **Relevant Transmission Licensee** shall notify **Users** of any **Safety Rules** that apply to the **User's** staff working on the **Transmission Site**.

CC.7.2.7 Each **Site Responsibility Schedule** must have recorded on it the **Safety Rules** which apply to each item of **Plant** and/or **Apparatus**.

CC.7.2.8 In the case of **OTSUA** a **User Site** or **Transmission Site** shall, for the purposes of this CC.7.2, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.

CC.7.3 Site Responsibility Schedules

CC.7.3.1 In order to inform site operational staff and **NGET Control Engineers** of agreed responsibilities for **Plant** and/or **Apparatus** at the operational interface, a **Site Responsibility Schedule** shall be produced for **Connection Sites** (and in the case of **OTSUA**, until the **OTSUA Transfer Time**, **Interface Sites**) in England and Wales for **NGET** and **Users** with whom they interface, and for **Connection Sites** (and in the case of **OTSUA**, until the **OTSUA Transfer Time**, **Interface Sites**) in Scotland or **Offshore** for **NGET**, the **Relevant Transmission Licensee** and **Users** with whom they interface.

CC.7.3.2 The format, principles and basic procedure to be used in the preparation of **Site Responsibility Schedules** are set down in Appendix 1.

CC.7.4 Operation And Gas Zone Diagrams

Operation Diagrams

CC.7.4.1 An **Operation Diagram** shall be prepared for each **Connection Site** at which a **Connection Point** exists (and in the case of **OTSDUW Plant and Apparatus**, by **User's** for each **Interface Point**) using, where appropriate, the graphical symbols shown in Part 1A of Appendix 2. **Users** should also note that the provisions of **OC11** apply in certain circumstances.

- CC.7.4.2 The **Operation Diagram** shall include all **HV Apparatus** and the connections to all external circuits and incorporate numbering, nomenclature and labelling, as set out in **OC11**. At those **Connection Sites** (or in the case of **OTSDUW Plant and Apparatus, Interface Points**) where gas-insulated metal enclosed switchgear and/or other gas-insulated **HV Apparatus** is installed, those items must be depicted within an area delineated by a chain dotted line which intersects gas-zone boundaries. The nomenclature used shall conform with that used on the relevant **Connection Site** and circuit (and in the case of **OTSDUW Plant and Apparatus, Interface Point** and circuit). The **Operation Diagram** (and the list of technical details) is intended to provide an accurate record of the layout and circuit interconnections, ratings and numbering and nomenclature of **HV Apparatus** and related **Plant**.
- CC.7.4.3 A non-exhaustive guide to the types of **HV Apparatus** to be shown in the **Operation Diagram** is shown in Part 2 of Appendix 2, together with certain basic principles to be followed unless equivalent principles are approved by **NGET**.
- Gas Zone Diagrams
- CC.7.4.4 A **Gas Zone Diagram** shall be prepared for each **Connection Site** at which a **Connection Point** (and in the case of **OTSDUW Plant and Apparatus, by User's** for an **Interface Point**) exists where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised. They shall use, where appropriate, the graphical symbols shown in Part 1B of Appendix 2.
- CC.7.4.5 The nomenclature used shall conform with that used in the relevant **Connection Site** and circuit (and in the case of **OTSDUW Plant and Apparatus, relevant Interface Point** and circuit).
- CC.7.4.6 The basic principles set out in Part 2 of Appendix 2 shall be followed in the preparation of **Gas Zone Diagrams** unless equivalent principles are approved by **NGET**.
- Preparation of Operation and Gas Zone Diagrams for Users' Sites and Transmission Interface Sites
- CC.7.4.7 In the case of a **User Site**, the **User** shall prepare and submit to **NGET**, an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point** (and in the case of **OTSDUW Plant and Apparatus**, on what will be the **Offshore Transmission** side of the **Connection Point** and the **Interface Point**) and **NGET** shall provide the **User** with an **Operation Diagram** for all **HV Apparatus** on the **Transmission** side of the **Connection Point** (and in the case of **OTSDUW Plant and Apparatus** on what will be the **Onshore Transmission** side of the **Interface Point**, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** prior to the **Completion Date** under the **Bilateral Agreement** and/or **Construction Agreement**.
- CC.7.4.8 The **User** will then prepare, produce and distribute, using the information submitted on the **User's Operation Diagram** and **NGET Operation Diagram**, a composite **Operation Diagram** for the complete **Connection Site** (and in the case of **OTSDUW Plant and Apparatus, Interface Point**), also in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** .
- CC.7.4.9 The provisions of CC.7.4.7 and CC.7.4.8 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.
- Preparation of Operation and Gas Zone Diagrams for Transmission Sites
- CC.7.4.10 In the case of an **Transmission Site**, the **User** shall prepare and submit to **NGET** an **Operation Diagram** for all **HV Apparatus** on the **User** side of the **Connection Point**, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.
- CC.7.4.11 **NGET** will then prepare, produce and distribute, using the information submitted on the **User's Operation Diagram**, a composite **Operation Diagram** for the complete **Connection Site**, also in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** .
- CC.7.4.12 The provisions of CC.7.4.10 and CC.7.4.11 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is utilised.

CC.7.4.13 Changes to Operation and Gas Zone Diagrams

CC.7.4.13.1 When **NGET** has decided that it wishes to install new **HV Apparatus** or it wishes to change the existing numbering or nomenclature of **Transmission HV Apparatus** at a **Transmission Site**, **NGET** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to each such **User** a revised **Operation Diagram** of that **Transmission Site**, incorporating the new **Transmission HV Apparatus** to be installed and its numbering and nomenclature or the changes, as the case may be. **OC11** is also relevant to certain **Apparatus**.

CC.7.4.13.2 When a **User** has decided that it wishes to install new **HV Apparatus**, or it wishes to change the existing numbering or nomenclature of its **HV Apparatus** at its **User Site**, the **User** will (unless it gives rise to a **Modification** under the **CUSC**, in which case the provisions of the **CUSC** as to the timing apply) one month prior to the installation or change, send to **NGET** a revised **Operation Diagram** of that **User Site** incorporating the new **User HV Apparatus** to be installed and its numbering and nomenclature or the changes as the case may be. **OC11** is also relevant to certain **Apparatus**.

CC.7.4.13.3 The provisions of CC.7.4.13.1 and CC.7.4.13.2 shall apply in relation to **Gas Zone Diagrams** where gas-insulated switchgear and/or other gas-insulated **HV Apparatus** is installed.

Validity

CC.7.4.14 (a) The composite **Operation Diagram** prepared by **NGET** or the **User**, as the case may be, will be the definitive **Operation Diagram** for all operational and planning activities associated with the **Connection Site**. If a dispute arises as to the accuracy of the composite **Operation Diagram**, a meeting shall be held at the **Connection Site**, as soon as reasonably practicable, between **NGET** and the **User**, to endeavour to resolve the matters in dispute.

(b) The composite **Operation Diagram** prepared by **NGET** or the **User**, as the case may be, will be the definitive **Operation Diagram** for all operational and planning activities associated with the **Interface Point** until the **OTSUA Transfer Time**. If a dispute arises as to the accuracy of the composite **Operation Diagram** prior to the **OTSUA Transfer Time**, a meeting shall be held at the **Interface Point**, as soon as reasonably practicable, between **NGET** and the **User**, to endeavour to resolve the matters in dispute.

(c) An equivalent rule shall apply for **Gas Zone Diagrams** where they exist for a **Connection Site**.

CC.7.4.15 In the case of **OTSUA**, a **User Site** and **Transmission Site** shall, for the purposes of this CC.7.4, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System** and references to **HV Apparatus** in this CC.7.4 shall include references to **HV OTSUA**.

CC.7.5 Site Common Drawings

CC.7.5.1 **Site Common Drawings** will be prepared for each **Connection Site** (and in the case of **OTSDUW**, each **Interface Point**) and will include **Connection Site** (and in the case of **OTSDUW**, **Interface Point**) layout drawings, electrical layout drawings, common **Protection/control** drawings and common services drawings.

Preparation of Site Common Drawings for a User Site and Transmission Interface Site

CC.7.5.2 In the case of a **User Site**, **NGET** shall prepare and submit to the **User**, **Site Common Drawings** for the **Transmission** side of the **Connection Point** (and in the case of **OTSDUW Plant and Apparatus**, on what will be the **Onshore Transmission** side of the **Interface Point**), and the **User** shall prepare and submit to **NGET**, **Site Common Drawings** for the **User** side of the **Connection Point** (and in the case of **OTSDUW**, on what will be the **Offshore Transmission** side of the **Interface Point**) in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

CC.7.5.3 The **User** will then prepare, produce and distribute, using the information submitted on the **Transmission Site Common Drawings, Site Common Drawings** for the complete **Connection Site** (and in the case of **OTSDUW, Interface Point**) in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** .

Preparation of Site Common Drawings for a Transmission Site

CC.7.5.4 In the case of a **Transmission Site**, the **User** will prepare and submit to **NGET Site Common Drawings** for the **User** side of the **Connection Point** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

CC.7.5.5 **NGET** will then prepare, produce and distribute, using the information submitted in the **User's Site Common Drawings, Site Common Drawings** for the complete **Connection Site** in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**.

CC.7.5.6 When a **User** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site** (and in the case of **OTSDUW, Interface Point**) it will:

- (a) if it is a **User Site**, as soon as reasonably practicable, prepare, produce and distribute revised **Site Common Drawings** for the complete **Connection Site** (and in the case of **OTSDUW, Interface Point**); and
- (b) if it is a **Transmission Site**, as soon as reasonably practicable, prepare and submit to **NGET** revised **Site Common Drawings** for the **User** side of the **Connection Point** (and in the case of **OTSDUW, Interface Point**) and **NGET** will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the **User's Site Common Drawings, revised Site Common Drawings** for the complete **Connection Site** (and in the case of **OTSDUW, Interface Point**).

In either case, if in the **User's** reasonable opinion the change can be dealt with by it notifying **NGET** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

CC.7.5.7 When **NGET** becomes aware that it is necessary to change any aspect of the **Site Common Drawings** at a **Connection Site**(and in the case of **OTSDUW, Interface Point**) it will:

- (a) if it is a **Transmission Site**, as soon as reasonably practicable, prepare, produce and distribute revised **Site Common Drawings** for the complete **Connection Site** (and in the case of **OTSDUW, Interface Point**); and
- (b) if it is a **User Site**, as soon as reasonably practicable, prepare and submit to the **User** revised **Site Common Drawings** for the **Transmission** side of the **Connection Point** (in the case of **OTSDUW, Interface Point**) and the **User** will then, as soon as reasonably practicable, prepare, produce and distribute, using the information submitted in the **Transmission Site Common Drawings, revised Site Common Drawings** for the complete **Connection Site** (and in the case of **OTSDUW, Interface Point**).

In either case, if in **NGET's** reasonable opinion the change can be dealt with by it notifying the **User** in writing of the change and for each party to amend its copy of the **Site Common Drawings** (or where there is only one set, for the party holding that set to amend it), then it shall so notify and each party shall so amend. If the change gives rise to a **Modification** under the **CUSC**, the provisions of the **CUSC** as to timing will apply.

Validity

CC.7.5.8 (a) The **Site Common Drawings** for the complete **Connection Site** prepared by the **User** or **NGET**, as the case may be, will be the definitive **Site Common Drawings** for all operational and planning activities associated with the **Connection Site**. If a dispute arises as to the accuracy of the **Site Common Drawings**, a meeting shall be held at the **Site**, as soon as reasonably practicable, between **NGET** and the **User**, to endeavour to resolve the matters in dispute.

- (b) The **Site Common Drawing** prepared by **NGET** or the **User**, as the case may be, will be the definitive **Site Common Drawing** for all operational and planning activities associated with the **Interface Point** until the **OTSUA Transfer Time**. If a dispute arises as to the accuracy of the composite **Operation Diagram** prior to the **OTSUA Transfer Time**, a meeting shall be held at the **Interface Point**, as soon as reasonably practicable, between **NGET** and the **User**, to endeavour to resolve the matters in dispute.

CC.7.5.9 In the case of **OTSUA**, a **User Site** and **Transmission Site** shall, for the purposes of this CC.7.5, include a site at which there is an **Interface Point** until the **OTSUA Transfer Time** when it becomes part of the **National Electricity Transmission System**.

CC.7.6 Access

CC.7.6.1 The provisions relating to access to **Transmission Sites** by **Users**, and to **Users' Sites** by **Transmission Licensees**, are set out in each **Interface Agreement** (or in the case of **Interfaces Sites** prior to the **OTSUA Transfer Time** agreements in similar form) with, for **Transmission Sites** in England and Wales, **NGET** and each **User**, and for **Transmission Sites** in Scotland and **Offshore**, the **Relevant Transmission Licensee** and each **User**.

CC.7.6.2 In addition to those provisions, where a **Transmission Site** in England and Wales contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by **NGET** and where a **Transmission Site** in Scotland or **Offshore** contains exposed **HV** conductors, unaccompanied access will only be granted to individuals holding an **Authority for Access** issued by the **Relevant Transmission Licensee**.

CC.7.6.3 The procedure for applying for an **Authority for Access** is contained in the **Interface Agreement**.

CC.7.7 Maintenance Standards

CC.7.7.1 It is the **User's** responsibility to ensure that all its **Plant** and **Apparatus** (including, until the **OTSUA Transfer Time**, any **OTSUA**) on a **Transmission Site** is tested and maintained adequately for the purpose for which it is intended, and to ensure that it does not pose a threat to the safety of any **Transmission Plant**, **Apparatus** or personnel on the **Transmission Site**. **NGET** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** at any time

CC.7.7.2 For **User Sites** in England and Wales, **NGET** has a responsibility to ensure that all **Transmission Plant** and **Apparatus** on a **User Site** is tested and maintained adequately for the purposes for which it is intended and to ensure that it does not pose a threat to the safety of any **User's Plant**, **Apparatus** or personnel on the **User Site**.

For **User Sites** in Scotland and **Offshore**, **NGET** shall procure that the **Relevant Transmission Licensee** has a responsibility to ensure that all **Transmission Plant** and **Apparatus** on a **User Site** is tested and maintained adequately for the purposes for which it is intended and to ensure that it does not pose a threat to the safety of any **User's Plant**, **Apparatus** or personnel on the **User Site**.

The **User** will have the right to inspect the test results and maintenance records relating to such **Plant** and **Apparatus** on its **User Site** at any time.

CC.7.8 Site Operational Procedures

CC.7.8.1 **NGET** and **Users** with an interface with **NGET**, must make available staff to take necessary **Safety Precautions** and carry out operational duties as may be required to enable work/testing to be carried out and for the operation of **Plant** and **Apparatus** (including, prior to the **OTSUA Transfer Time**, any **OTSUA**) connected to the **Total System**.

CC.7.9 **Generators** and **DC Converter Station** owners shall provide a **Control Point** in respect of each **Power Station** directly connected to the **National Electricity Transmission System** and **Embedded Large Power Station** or **DC Converter Station** to receive an act upon instructions pursuant to OC7 and BC2 at all times that **Generating Units** or **Power Park Modules** at the **Power Station** are generating or available to generate or **DC Converters** at the **DC Converter Station** are importing or exporting or available to do so. The **Control Point** shall be continuously manned except where the **Bilateral Agreement** in respect of such **Embedded Power Station** specifies that compliance with BC2 is not required, where the **Control Point** shall be manned between the hours of 0800 and 1800 each day.

CC.8 ANCILLARY SERVICES

CC.8.1 System Ancillary Services

The **CC** contain requirements for the capability for certain **Ancillary Services**, which are needed for **System** reasons ("**System Ancillary Services**"). There follows a list of these **System Ancillary Services**, together with the paragraph number of the **CC** (or other part of the **Grid Code**) in which the minimum capability is required or referred to. The list is divided into two categories: Part 1 lists the **System Ancillary Services** which

- (a) **Generators** in respect of **Large Power Stations** are obliged to provide (except **Generators** in respect of **Large Power Stations** which have a **Registered Capacity** of less than 50MW and comprise **Power Park Modules**); and,
- (b) **Generators** in respect of **Large Power Stations** with a **Registered Capacity** of less than 50MW and comprise **Power Park Modules** are obliged to provide in respect of **Reactive Power** only; and,
- (c) **DC Converter Station** owners are obliged to have the capability to supply; and
- (d) **Generators** in respect of **Medium Power Stations** (except **Embedded Medium Power Stations**) are obliged to provide in respect of **Reactive Power** only;

and Part 2 lists the **System Ancillary Services** which **Generators** will provide only if agreement to provide them is reached with **NGET**:

Part 1

- (a) **Reactive Power** supplied (in accordance with CC.6.3.2) otherwise than by means of synchronous or static compensators (except in the case of a **Power Park Module** where synchronous or static compensators within the **Power Park Module** may be used to provide **Reactive Power**)
- (b) **Frequency** Control by means of **Frequency** sensitive generation - CC.6.3.7 and BC3.5.1

Part 2

- (c) **Frequency** Control by means of **Fast Start** - CC.6.3.14
- (d) **Black Start Capability** - CC.6.3.5
- (e) **System to Generator Operational Intertripping**

Commercial Ancillary Services

Other **Ancillary Services** are also utilised by **NGET** in operating the **Total System** if these have been agreed to be provided by a **User** (or other person) under an **Ancillary Services Agreement** or under a **Bilateral Agreement**, with payment being dealt with under an **Ancillary Services Agreement** or in the case of **Externally Interconnected System Operators** or **Interconnector Users**, under any other agreement (and in the case of **Externally Interconnected System Operators** and **Interconnector Users** includes ancillary services equivalent to or similar to **System Ancillary Services**) ("**Commercial Ancillary Services**"). The capability for these **Commercial Ancillary Services** is set out in the relevant **Ancillary Services Agreement** or **Bilateral Agreement** (as the case may be).

APPENDIX 1 - SITE RESPONSIBILITY SCHEDULES

FORMAT, PRINCIPLES AND BASIC PROCEDURE TO BE USED IN THE PREPARATION OF SITE RESPONSIBILITY SCHEDULES

CC.A.1.1 Principles

Types of Schedules

CC.A.1.1.1 At all **Complexes** (which in the context of this CC shall include, **Interface Sites** until the **OTSUA Transfer Time**) the following **Site Responsibility Schedules** shall be drawn up using the relevant proforma attached or with such variations as may be agreed between **NGET** and **Users**, but in the absence of agreement the relevant proforma attached will be used. In addition, in the case of **OTSDUW Plant and Apparatus**, and in readiness for the **OTSUA Transfer Time**, the **User** shall provide **NGET** with the necessary information such that **Site Responsibility Schedules** in this form can be prepared by the **Relevant Transmission Licensees** for the **Transmission Interface Site**:

- (a) Schedule of **HV Apparatus**
- (b) Schedule of **Plant, LV/MV Apparatus**, services and supplies;
- (c) Schedule of telecommunications and measurements **Apparatus**.

Other than at **Generating Unit, DC Converter, Power Park Module** and **Power Station** locations, the schedules referred to in (b) and (c) may be combined.

New Connection Sites

CC.A.1.1.2 In the case of a new **Connection Site** each **Site Responsibility Schedule** for a **Connection Site** shall be prepared by **NGET** in consultation with relevant **Users** at least 2 weeks prior to the **Completion Date** (or, where the **OTSUA** is to become **Operational** prior to the **OTSUA Transfer Time**, an alternative date) under the **Bilateral Agreement** and/or **Construction Agreement** for that **Connection Site** (which may form part of a **Complex**). In the case of a new **Interface Site** where the **OTSUA** is to become **Operational** prior to the **OTSUA Transfer Time** each **Site Responsibility Schedule** for an **Interface Site** shall be prepared by **NGET** in consultation with relevant **Users** at least 2 weeks prior to the **Completion Date** under the **Bilateral Agreement** and/or **Construction Agreement** for that **Interface Site** (which may form part of a **Complex**) (and references to and requirements placed on "**Connection Site**" in this CC shall also be read as "**Interface Site**" where the context requires and until the **OTSUA Transfer Time**). Each **User** shall, in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement**, provide information to **NGET** to enable it to prepare the **Site Responsibility Schedule**.

Sub-division

CC.A.1.1.3 Each **Site Responsibility Schedule** will be subdivided to take account of any separate **Connection Sites** on that **Complex**.

Scope

CC.A.1.1.4 Each **Site Responsibility Schedule** shall detail for each item of **Plant** and **Apparatus**:

- (a) **Plant/Apparatus** ownership;
- (b) Site Manager (Controller) (except in the case of **Plant/Apparatus** located in **SPT's Transmission Area**);
- (c) Safety issues comprising applicable **Safety Rules** and **Control Person** or other responsible person (**Safety Co-ordinator**), or such other person who is responsible for safety;
- (d) Operations issues comprising applicable **Operational Procedures** and control engineer;
- (e) Responsibility to undertake statutory inspections, fault investigation and maintenance.

Each **Connection Point** shall be precisely shown.

Detail

- CC.A.1.1.5 (a) In the case of **Site Responsibility Schedules** referred to in CC.A.1.1.1(b) and (c), with the exception of **Protection Apparatus** and **Intertrip Apparatus** operation, it will be sufficient to indicate the responsible **User** or **Transmission Licensee**, as the case may be.
- (b) In the case of the **Site Responsibility Schedule** referred to in CC.A.1.1.1(a) and for **Protection Apparatus** and **Intertrip Apparatus**, the responsible management unit must be shown in addition to the **User** or **Transmission Licensee**, as the case may be.
- CC.A.1.1.6 The **HV Apparatus Site Responsibility Schedule** for each **Connection Site** must include lines and cables emanating from or traversing¹ the **Connection Site**.

Issue Details

- CC.A.1.1.7 Every page of each **Site Responsibility Schedule** shall bear the date of issue and the issue number.

Accuracy Confirmation

- CC.A.1.1.8 When a **Site Responsibility Schedule** is prepared it shall be sent by **NGET** to the **Users** involved for confirmation of its accuracy.
- CC.A.1.1.9 The **Site Responsibility Schedule** shall then be signed on behalf of **NGET** by its **Responsible Manager** (see CC.A.1.1.16) and on behalf of each **User** involved by its **Responsible Manager** (see CC.A.1.1.16), by way of written confirmation of its accuracy. For **Connection Sites** in Scotland or **Offshore**, the **Site Responsibility Schedule** will also be signed on behalf of the **Relevant Transmission Licensee** by its **Responsible Manager**.

Distribution and Availability

- CC.A.1.1.10 Once signed, two copies will be distributed by **NGET**, not less than two weeks prior to its implementation date, to each **User** which is a party on the **Site Responsibility Schedule**, accompanied by a note indicating the issue number and the date of implementation.
- CC.A.1.1.11 **NGET** and **Users** must make the **Site Responsibility Schedules** readily available to operational staff at the **Complex** and at the other relevant control points.

Alterations to Existing Site Responsibility Schedules

- CC.A.1.1.12 Without prejudice to the provisions of CC.A.1.1.15 which deals with urgent changes, when a **User** identified on a **Site Responsibility Schedule** becomes aware that an alteration is necessary, it must inform **NGET** immediately and in any event 8 weeks prior to any change taking effect (or as soon as possible after becoming aware of it, if less than 8 weeks remain when the **User** becomes aware of the change). This will cover the commissioning of new **Plant** and/or **Apparatus** at the **Connection Site**, whether requiring a revised **Bilateral Agreement** or not, de-commissioning of **Plant** and/or **Apparatus**, and other changes which affect the accuracy of the **Site Responsibility Schedule**.
- CC.A.1.1.13 Where **NGET** has been informed of a change by a **User**, or itself proposes a change, it will prepare a revised **Site Responsibility Schedule** by not less than six weeks prior to the change taking effect (subject to it having been informed or knowing of the change eight weeks prior to that time) and the procedure set out in CC.A.1.1.8 shall be followed with regard to the revised **Site Responsibility Schedule**.
- CC.A.1.1.14 The revised **Site Responsibility Schedule** shall then be signed in accordance with the procedure set out in CC.A.1.1.9 and distributed in accordance with the procedure set out in CC.A.1.1.10, accompanied by a note indicating where the alteration(s) has/have been made, the new issue number and the date of implementation.

¹ Details of circuits traversing the **Connection Site** are only needed from the date which is the earlier of the date when the **Site Responsibility Schedule** is first updated and 15th October 2004. In Scotland or **Offshore**, from a date to be agreed between **NGET** and the **Relevant Transmission Licensee**.

Urgent Changes

CC.A.1.1.15 When a **User** identified on a **Site Responsibility Schedule**, or **NGET**, as the case may be, becomes aware that an alteration to the **Site Responsibility Schedule** is necessary urgently to reflect, for example, an emergency situation which has arisen outside its control, the **User** shall notify **NGET**, or **NGET** shall notify the **User**, as the case may be, immediately and will discuss:

- (a) what change is necessary to the **Site Responsibility Schedule**;
- (b) whether the **Site Responsibility Schedule** is to be modified temporarily or permanently;
- (c) the distribution of the revised **Site Responsibility Schedule**.

NGET will prepare a revised **Site Responsibility Schedule** as soon as possible, and in any event within seven days of it being informed of or knowing the necessary alteration. The **Site Responsibility Schedule** will be confirmed by **Users** and signed on behalf of **NGET** and **Users** (by the persons referred to in CC.A.1.1.9) as soon as possible after it has been prepared and sent to **Users** for confirmation.

Responsible Managers

CC.A.1.1.16 Each **User** shall, prior to the **Completion Date** under each **Bilateral Agreement** and/or **Construction Agreement**, supply to **NGET** a list of Managers who have been duly authorised to sign **Site Responsibility Schedules** on behalf of the **User** and **NGET** shall, prior to the **Completion Date** under each **Bilateral Agreement** and/or **Construction Agreement**, supply to that **User** the name of its **Responsible Manager** and for **Connection Sites** in Scotland or **Offshore**, the name of the **Relevant Transmission Licensee's Responsible Manager** and each shall supply to the other any changes to such list six weeks before the change takes effect where the change is anticipated, and as soon as possible after the change, where the change was not anticipated.

De-commissioning of Connection Sites

CC.A.1.1.17 Where a **Connection Site** is to be de-commissioned, whichever of **NGET** or the **User** who is initiating the de-commissioning must contact the other to arrange for the **Site Responsibility Schedule** to be amended at the relevant time.

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

AREA _____

COMPLEX: _____

SCHEDULE: _____

CONNECTION SITE: _____

| ITEM OF PLANT/ APPARATUS | PLANT APPARATUS OWNER | SITE MANAGER | SAFETY | | OPERATIONS | | PARTY RESPONSIBLE FOR UNDERTAKING STATUTORY INSPECTIONS, FAULT INVESTIGATION & MAINTENANCE | REMARKS |
|-----------------------------|-----------------------|--------------|--------------|---|------------------------|---------------------------------------|--|---------|
| | | | SAFETY RULES | CONTROL OR OTHER RESPONSIBLE PERSON (SAFETY CO-ORDINATOR) | OPERATIONAL PROCEDURES | CONTROL OR OTHER RESPONSIBLE ENGINEER | | |
| | | | | | | | | |

PAGE: _____

ISSUE NO: _____

DATE: _____

PROFORMA FOR SITE RESPONSIBILITY SCHEDULE

_____ AREA

COMPLEX: _____

SCHEDULE: _____

CONNECTION SITE: _____

| ITEM OF PLANT/ APPARATUS | PLANT APPARATUS OWNER | SITE MANAGER | SAFETY | | OPERATIONS | | PARTY RESPONSIBLE FOR UNDERTAKING STATUTORY INSPECTIONS, FAULT INVESTIGATION & MAINTENANCE | REMARKS |
|-----------------------------|--------------------------|-----------------|-----------------|---|---------------------------|--|---|---------|
| | | | SAFETY RULES | CONTROL OR OTHER RESPONSIBLE PERSON (SAFETY CO- ORDINATOR) | OPERATIONAL PROCEDURES | CONTROL OR OTHER RESPONSIBLE ENGINEER | | |
| | | | | | | | | |

NOTES:

SIGNED: _____ NAME: _____ COMPANY: _____ DATE: _____

SIGNED: _____ NAME: _____ COMPANY: _____ DATE: _____

SIGNED: _____ NAME: _____ COMPANY: _____ DATE: _____

SIGNED: _____ NAME: _____ COMPANY: _____ DATE: _____

PAGE: _____ ISSUE NO: _____ DATE: _____

**SP TRANSMISSION Ltd
SITE RESPONSIBILITY SCHEDULE
OWNERSHIP, MAINTENANCE AND OPERATIONS OF EQUIPMENT
IN JOINT USER SITUATIONS**

Sheet No. _____
Revision: _____
Date: _____

Network Area: _____

SECTION 'A' BUILDING AND SITE

| | | | |
|-------------|----------------------|--|--|
| OWNER | ACCESS REQUIRED:- | SECTION 'B' CUSTOMER OR OTHER PARTY | |
| LESSEE | SPECIAL CONDITIONS:- | | |
| MAINTENANCE | LOCATION OF SUPPLY | | |
| SAFETY | TERMINALS:- | | |
| SECURITY | | | |

SECTION 'C' PLANT

| ITEM Nos. | EQUIPMENT | IDENTIFICATION | OWNER | SAFETY RULES APPLICABLE | OPERATION | | | MAINTENANCE | | | FAULT INVESTIGATION | | TESTING | | RELAY SETTINGS | REMARKS |
|-----------|-----------|----------------|-------|-------------------------|-----------|---------|-----------|-------------|----------------|-------------------|---------------------|-------------------|----------------|----------------|----------------|---------|
| | | | | | Tripping | Closing | Isolating | Earthing | Primary Equip. | Protection Equip. | Primary Equip. | Protection Equip. | Trip and Alarm | Primary Equip. | | |
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SECTION 'D' CONFIGURATION AND CONTROL

| ITEM Nos. | CONFIGURATION RESPONSIBILITY | TELEPHONE NUMBER | REMARKS |
|-----------|------------------------------|------------------|---------|
| | | | |
| | | | |
| | | | |

ABBREVIATIONS:

- D - SP AUTHORISED PERSON - DISTRIBUTION SYSTEM
- NGC - NATIONAL GRID COMPANY
- SPD - SP DISTRIBUTION LTD
- SPPS - POWERSYSTEMS
- SPT - SP TRANSMISSION LTD
- ST - SCOTTISH POWER TELECOMMUNICATIONS
- T - SP AUTHORISED PERSON - TRANSMISSION SYSTEM
- U - USER

SECTION 'E' ADDITIONAL INFORMATION

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| |

SIGNED _____ FOR _____ SP Transmission _____ DATE _____
 SIGNED _____ FOR _____ SP Distribution _____ DATE _____
 SIGNED _____ FOR _____ PowerSystems/User _____ DATE _____

Scottish Hydro-Electric Transmission Limited

Site Responsibility Schedule

| Substation Type | | | | Number: | | | Revision: | | | |
|-----------------|-------|------------|------------|------------------|-----------------------------|-------------------|--------------|------------------------|-------|--|
| Equipment | Owner | Controller | Maintainer | Responsible User | Responsible Management Unit | Control Authority | Safety Rules | Operational Procedures | Notes | |
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APPENDIX 2 - OPERATION DIAGRAMS

PART 1A - PROCEDURES RELATING TO OPERATION DIAGRAMS

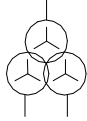
| | | | |
|---|--|--|--|
| FIXED CAPACITOR | | SWITCH DISCONNECTOR | |
| EARTH | | SWITCH DISCONNECTOR WITH INCORPORATED EARTH SWITCH | |
| EARTHING RESISTOR | | DISCONNECTOR (CENTRE ROTATING POST) | |
| LIQUID EARTHING RESISTOR | | DISCONNECTOR (SINGLE BREAK DOUBLE ROTATING) | |
| ARC SUPPRESSION COIL | | DISCONNECTOR (SINGLE BREAK) | |
| FIXED MAINTENANCE EARTHING DEVICE | | DISCONNECTOR (NON-INTERLOCKED) | |
| CARRIER COUPLING EQUIPMENT (WITHOUT VT) | | DISCONNECTOR (POWER OPERATED) | |
| CARRIER COUPLING EQUIPMENT (WITH VT ON ONE PHASE) | | NA - NON-AUTOMATIC | |
| CARRIER COUPLING EQUIPMENT (WITH VT ON 3 PHASES) | | A - AUTOMATIC | |
| | | SO - SEQUENTIAL OPERATION | |
| | | F1 - FAULT INTERFERING OPERATION | |
| AC GENERATOR | | EARTH SWITCH | |
| SYNCHRONOUS COMPENSATOR | | FAULT THROWING SWITCH (PHASE TO PHASE) | |
| CIRCUIT BREAKER | | FAULT THROWING SWITCH (EARTH FAULT) | |
| CIRCUIT BREAKER WITH DELAYED AUTO RECLOSE | | SURGE ARRESTOR | |
| WITHDRAWABLE METALCLAD SWITCHGEAR | | THYRISTOR | |

TRANSFORMERS
(VECTORS TO INDICATE
WINDING CONFIGURATION)

TWO WINDING



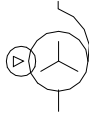
THREE WINDING



AUTO

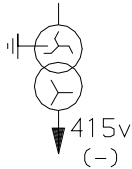


AUTO WITH DELTA TERTIARY



EARTHING OR AUX. TRANSFORMER

(-) INDICATE REMOTE SITE
IF APPLICABLE



VOLTAGE TRANSFORMERS

SINGLE PHASE WOUND



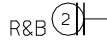
THREE PHASE WOUND



SINGLE PHASE CAPACITOR



TWO SINGLE PHASE CAPACITOR



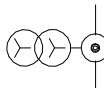
THREE PHASE CAPACITOR



* CURRENT TRANSFORMER
(WHERE SEPARATE PRIMARY
APPARATUS)



* COMBINED VT/CT UNIT
FOR METERING



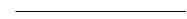
REACTOR



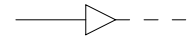
* BUSBARS



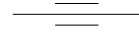
* OTHER PRIMARY CONNECTIONS



* CABLE & CABLE SEALING END



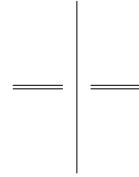
* THROUGH WALL BUSHING



* BYPASS FACILITY



* CROSSING OF CONDUCTORS
(LOWER CONDUCTOR
TO BE BROKEN)

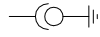


PREFERENTIAL ABBREVIATIONS

| | |
|-----------------------|----------|
| AUXILIARY TRANSFORMER | Aux T |
| EARTHING TRANSFORMER | ET |
| GAS TURBINE | Gas T |
| GENERATOR TRANSFORMER | Gen T |
| GRID TRANSFORMER | Gr T |
| SERIES REACTOR | Ser Reac |
| SHUNT REACTOR | Sh Reac |
| STATION TRANSFORMER | Stn T |
| SUPERGRID TRANSFORMER | SGT |
| UNIT TRANSFORMER | UT |

* NON-STANDARD SYMBOL

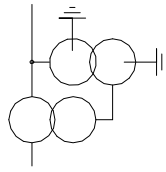
PORTABLE MAINTENANCE
EARTH DEVICE



DISCONNECTOR
(PANTOGRAPH TYPE)



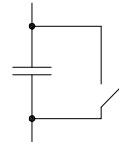
QUADRATURE BOOSTER



DISCONNECTOR
(KNEE TYPE)



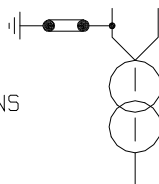
SHORTING/DISCHARGE SWITCH



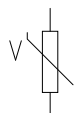
CAPACITOR
(INCLUDING HARMONIC FILTER)



SINGLE PHASE TRANSFORMER (BR)
NEUTRAL AND PHASE CONNECTIONS

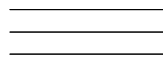


RESISTOR WITH INHERENT
NON-LINEAR VARIABILITY,
VOLTAGE DEPENDANT

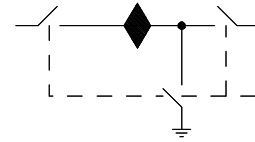


PART 1B - PROCEDURES RELATING TO GAS ZONE DIAGRAMS

GAS INSULATED
BUSBAR



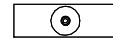
DOUBLE-BREAK
DISCONNECTOR



GAS BOUNDARY



EXTERNAL MOUNTED
CURRENT TRANSFORMER
(WHERE SEPARATE
PRIMARY APPARATUS)



GAS/GAS BOUNDARY



STOP VALVE
NORMALLY CLOSED



GAS/CABLE BOUNDARY



STOP VALVE
NORMALLY OPEN



GAS/AIR BOUNDARY



GAS MONITOR



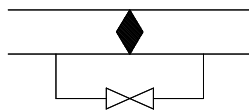
GAS/TRANSFORMER BOUNDARY



FILTER



MAINTENANCE VALVE



QUICK ACTING COUPLING



PART 2 - NON-EXHAUSTIVE LIST OF APPARATUS TO BE INCLUDED ON OPERATION DIAGRAMS

Basic Principles

- (1) Where practicable, all the **HV Apparatus** on any **Connection Site** shall be shown on one **Operation Diagram**. Provided the clarity of the diagram is not impaired, the layout shall represent as closely as possible the geographical arrangement on the **Connection Site**.
- (2) Where more than one **Operation Diagram** is unavoidable, duplication of identical information on more than one **Operation Diagram** must be avoided.
- (3) The **Operation Diagram** must show accurately the current status of the **Apparatus** e.g. whether commissioned or decommissioned. Where decommissioned, the associated switchbay will be labelled "spare bay".
- (4) Provision will be made on the **Operation Diagram** for signifying approvals, together with provision for details of revisions and dates.
- (5) **Operation Diagrams** will be prepared in A4 format or such other format as may be agreed with **NGET**.
- (6) The **Operation Diagram** should normally be drawn single line. However, where appropriate, detail which applies to individual phases shall be shown. For example, some **HV Apparatus** is numbered individually per phase.

Apparatus To Be Shown On Operation Diagram

- (1) Busbars
- (2) Circuit Breakers
- (3) Disconnecter (Isolator) and Switch Disconnecters (Switching Isolators)
- (4) Disconnectors (Isolators) - Automatic Facilities
- (5) Bypass Facilities
- (6) Earthing Switches
- (7) Maintenance Earths
- (8) Overhead Line Entries
- (9) Overhead Line Traps
- (10) Cable and Cable Sealing Ends
- (11) Generating Unit
- (12) Generator Transformers
- (13) Generating Unit Transformers, Station Transformers, including the lower voltage circuit-breakers.
- (14) Synchronous Compensators
- (15) Static Variable Compensators
- (16) Capacitors (including Harmonic Filters)
- (17) Series or Shunt Reactors (Referred to as "Inductors" at nuclear power station sites)
- (18) Supergrid and Grid Transformers
- (19) Tertiary Windings
- (20) Earthing and Auxiliary Transformers
- (21) Three Phase VT's

- (22) Single Phase VT & Phase Identity
- (23) High Accuracy VT and Phase Identity
- (24) Surge Arrestors/Diverter
- (25) Neutral Earthing Arrangements on HV Plant
- (26) Fault Throwing Devices
- (27) Quadrature Boosters
- (28) Arc Suppression Coils
- (29) Single Phase Transformers (BR) Neutral and Phase Connections
- (30) Current Transformers (where separate plant items)
- (31) Wall Bushings
- (32) Combined VT/CT Units
- (33) Shorting and Discharge Switches
- (34) Thyristor
- (35) Resistor with Inherent Non-Linear Variability, Voltage Dependent
- (36) Gas Zone

APPENDIX 3 - MINIMUM FREQUENCY RESPONSE REQUIREMENT PROFILE AND OPERATING RANGE FOR NEW POWER STATIONS AND DC CONVERTER STATIONS

CC.A.3.1 Scope

The frequency response capability is defined in terms of **Primary Response**, **Secondary Response** and **High Frequency Response**. This appendix defines the minimum frequency response requirement profile for:

- (a) each **Onshore Generating Unit** and/or **CCGT Module** which has a **Completion Date** after 1 January 2001 in England and Wales and 1 April 2005 in Scotland and **Offshore Generating Unit** in a **Large Power Station**,
- (b) each **DC Converter** at a **DC Converter Station** which has a **Completion Date** on or after 1 April 2005 or each **Offshore DC Converter** which is part of a **Large Power Station**.
- (c) each **Onshore Power Park Module** in England and Wales with a **Completion Date** on or after 1 January 2006.
- (d) each **Onshore Power Park Module** in operation in Scotland after 1 January 2006 with a **Completion Date** after 1 April 2005 and in **Power Stations** with a **Registered Capacity** of 50MW or more.
- (e) each **Offshore Power Park Module** in a **Large Power Station** with a **Registered Capacity** of 50MW or more.

For the avoidance of doubt, this appendix does not apply to:

- (i) **Generating Units** and/or **CCGT Modules** which have a **Completion Date** before 1 January 2001 in England and Wales and before 1 April 2005 in Scotland,
- (ii) **DC Converters** at a **DC Converter Station** which have a **Completion Date** before 1 April 2005.
- (iii) **Power Park Modules** in England and Wales with a **Completion Date** before 1 January 2006.
- (iv) **Power Park Modules** in operation in Scotland before 1 January 2006.
- (v) **Power Park Modules** in Scotland with a **Completion Date** before 1 April 2005.
- (vi) **Power Park Modules** in **Power Stations** with a **Registered Capacity** less than 50MW.
- (vii) **Small Power Stations** or individually to **Power Park Units**; or.
- (viii) an **OTSDUW DC Converter** where the **Interface Point Capacity** is less than 50MW.

OTSDUW Plant and Apparatus should facilitate the delivery of frequency response services provided by **Offshore Generating Units** and **Offshore Power Park Modules** at the **Interface Point**.

The functional definition provides appropriate performance criteria relating to the provision of Frequency control by means of Frequency sensitive generation in addition to the other requirements identified in CC.6.3.7.

In this Appendix 3 to the **CC**, for a **CCGT Module** or a **Power Park Module** with more than one **Generating Unit**, the phrase **Minimum Generation** applies to the entire **CCGT Module** or **Power Park Module** operating with all **Generating Units Synchronised** to the **System**.

The minimum **Frequency** response requirement profile is shown diagrammatically in Figure CC.A.3.1. The capability profile specifies the minimum required levels of **Primary Response**, **Secondary Response** and **High Frequency Response** throughout the normal plant operating range. The definitions of these **Frequency** response capabilities are illustrated diagrammatically in Figures CC.A.3.2 & CC.A.3.3.

CC.A.3.2

Plant Operating Range

The upper limit of the operating range is the **Registered Capacity** of the **Generating Unit** or **CCGT Module** or **DC Converter** or **Power Park Module**.

The **Minimum Generation** level may be less than, but must not be more than, 65% of the **Registered Capacity**. Each **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** must be capable of operating satisfactorily down to the **Designed Minimum Operating Level** as dictated by **System** operating conditions, although it will not be instructed to below its **Minimum Generation** level. If a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** is operating below **Minimum Generation** because of high **System Frequency**, it should recover adequately to its **Minimum Generation** level as the **System Frequency** returns to **Target Frequency** so that it can provide **Primary** and **Secondary Response** from **Minimum Generation** if the **System Frequency** continues to fall. For the avoidance of doubt, under normal operating conditions steady state operation below **Minimum Generation** is not expected. The **Designed Minimum Operating Level** must not be more than 55% of **Registered Capacity**.

In the event of a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** load rejecting down to no less than its **Designed Minimum Operating Level** it should not trip as a result of automatic action as detailed in BC3.7. If the load rejection is to a level less than the **Designed Minimum Operating Level** then it is accepted that the condition might be so severe as to cause it to be disconnected from the **System**.

CC.A.3.3

Minimum Frequency Response Requirement Profile

Figure CC.A.3.1 shows the minimum **Frequency** response requirement profile diagrammatically for a 0.5 Hz change in **Frequency**. The percentage response capabilities and loading levels are defined on the basis of the **Registered Capacity** of the **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter**. Each **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** must be capable of operating in a manner to provide **Frequency** response at least to the solid boundaries shown in the figure. If the **Frequency** response capability falls within the solid boundaries, the **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** is providing response below the minimum requirement which is not acceptable. Nothing in this appendix is intended to prevent a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** from being designed to deliver a **Frequency** response in excess of the identified minimum requirement.

The **Frequency** response delivered for **Frequency** deviations of less than 0.5 Hz should be no less than a figure which is directly proportional to the minimum **Frequency** response requirement for a **Frequency** deviation of 0.5 Hz. For example, if the **Frequency** deviation is 0.2 Hz, the corresponding minimum **Frequency** response requirement is 40% of the level shown in Figure CC.A.3.1. The **Frequency** response delivered for **Frequency** deviations of more than 0.5 Hz should be no less than the response delivered for a **Frequency** deviation of 0.5 Hz.

Each **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** must be capable of providing some response, in keeping with its specific operational characteristics, when operating between 95% to 100% of **Registered Capacity** as illustrated by the dotted lines in Figure CC.A.3.1.

At the **Minimum Generation** level, each **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** is required to provide high and low frequency response depending on the **System Frequency** conditions. Where the **Frequency** is high, the **Active Power** output is therefore expected to fall below the **Minimum Generation** level.

The **Designed Minimum Operating Level** is the output at which a **Generating Unit** and/or **CCGT Module** and/or **Power Park Module** and/or **DC Converter** has no **High Frequency Response** capability. It may be less than, but must not be more than, 55% of the **Registered Capacity**. This implies that a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** is not obliged to reduce its output to below this level unless the **Frequency** is at or above 50.5 Hz (cf BC3.7).

CC.A.3.4 Testing Of Frequency Response Capability

The response capabilities shown diagrammatically in Figure CC.A.3.1 are measured by taking the responses as obtained from some of the dynamic response tests specified by **NGET** and carried out by **Generators** and **DC Converter Station** owners for compliance purposes and to validate the content of **Ancillary Services Agreements** using an injection of a **Frequency** change to the plant control system (i.e. governor and load controller). The injected signal is a linear ramp from zero to 0.5 Hz **Frequency** change over a ten second period, and is sustained at 0.5 Hz **Frequency** change thereafter, as illustrated diagrammatically in figures CC.A.3.2 and CC.A.3.3. In the case of an **Embedded Medium Power Station** not subject to a **Bilateral Agreement** or **Embedded DC Converter Station** not subject to a **Bilateral Agreement**, **NGET** may require the **Network Operator** within whose **System** the **Embedded Medium Power Station** or **Embedded DC Converter Station** is situated, to ensure that the **Embedded Person** performs the dynamic response tests reasonably required by **NGET** in order to demonstrate compliance within the relevant requirements in the **CC**.

The **Primary Response** capability (P) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the minimum increase in **Active Power** output between 10 and 30 seconds after the start of the ramp injection as illustrated diagrammatically in Figure CC.A.3.2. This increase in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** fall as illustrated by the response from Figure CC.A.3.2.

The **Secondary Response** capability (S) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the minimum increase in **Active Power** output between 30 seconds and 30 minutes after the start of the ramp injection as illustrated diagrammatically in Figure CC.A.3.2.

The **High Frequency Response** capability (H) of a **Generating Unit** or a **CCGT Module** or **Power Park Module** or **DC Converter** is the decrease in **Active Power** output provided 10 seconds after the start of the ramp injection and sustained thereafter as illustrated diagrammatically in Figure CC.A.3.3. This reduction in **Active Power** output should be released increasingly with time over the period 0 to 10 seconds from the time of the start of the **Frequency** rise as illustrated by the response in Figure CC.A.3.2.

CC.A.3.5 Repeatability Of Response

When a **Generating Unit** or **CCGT Module** or **Power Park Module** or **DC Converter** has responded to a significant **Frequency** disturbance, its response capability must be fully restored as soon as technically possible. Full response capability should be restored no later than 20 minutes after the initial change of **System Frequency** arising from the **Frequency** disturbance.

Figure CC.A.3.1 - Minimum Frequency Response Requirement Profile for a 0.5 Hz frequency change from Target Frequency

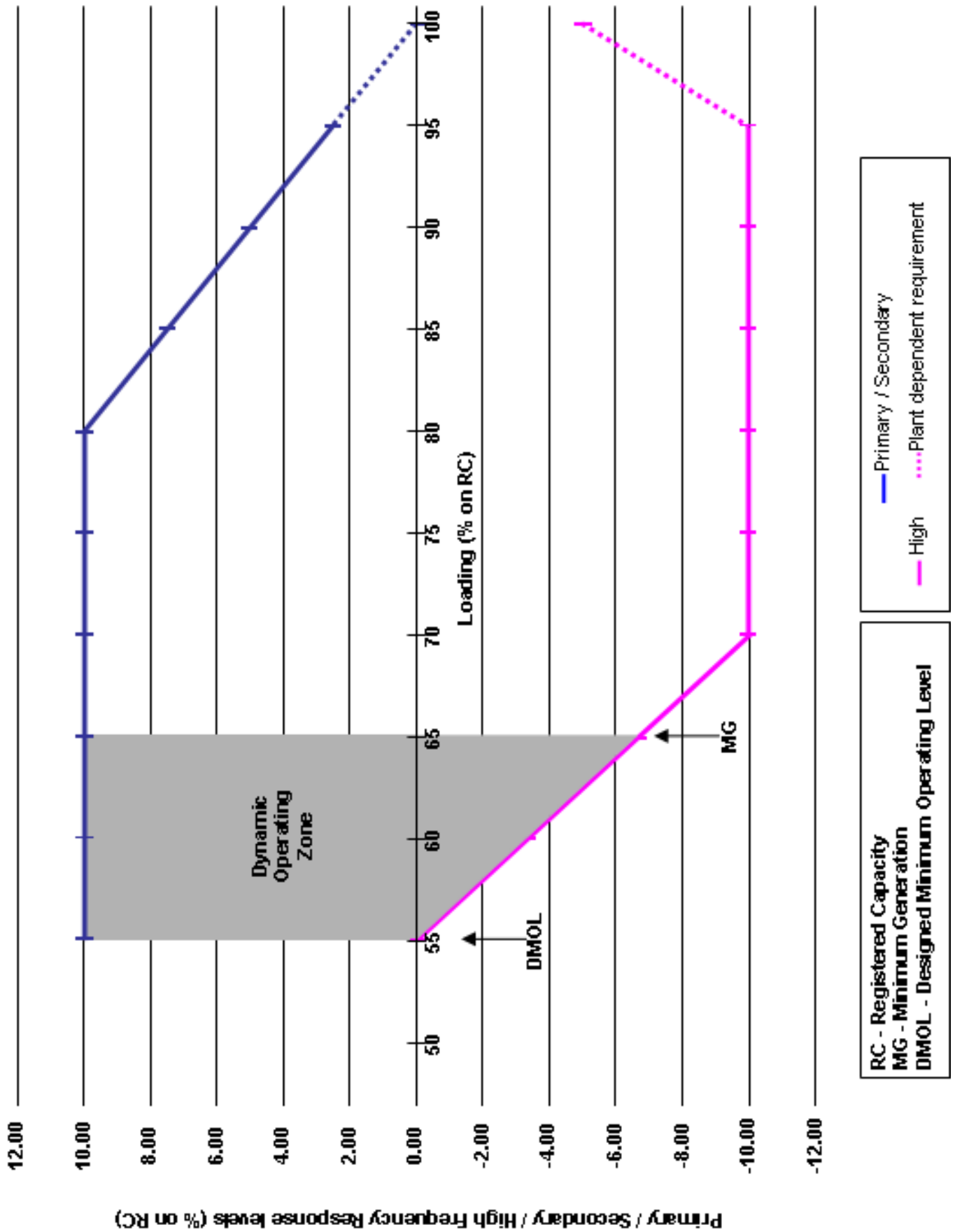


Figure CC.A.3.2 - Interpretation of Primary and Secondary Response Values

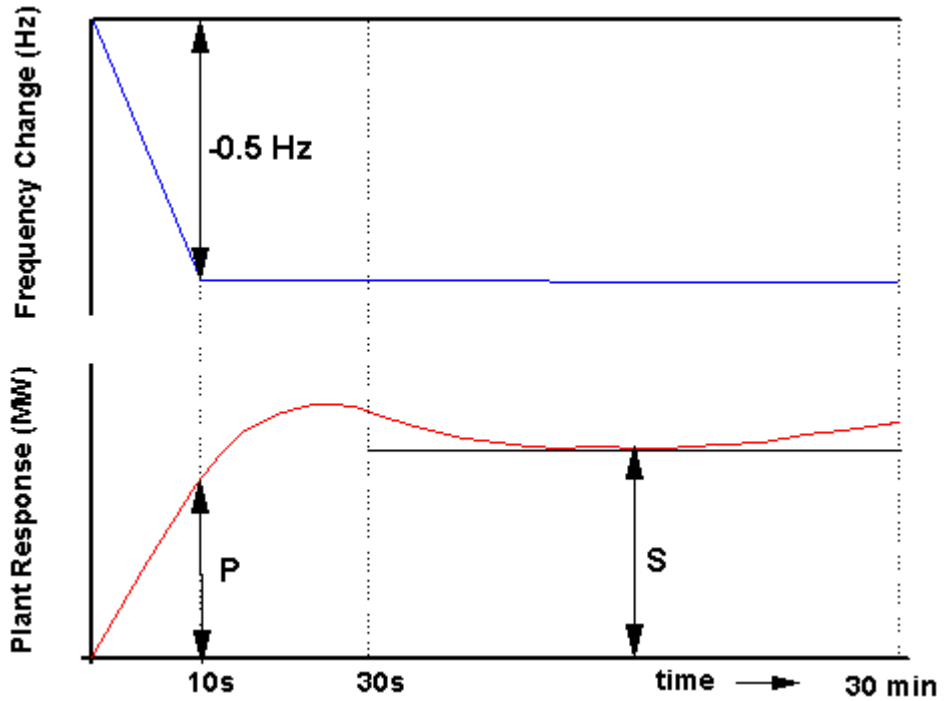
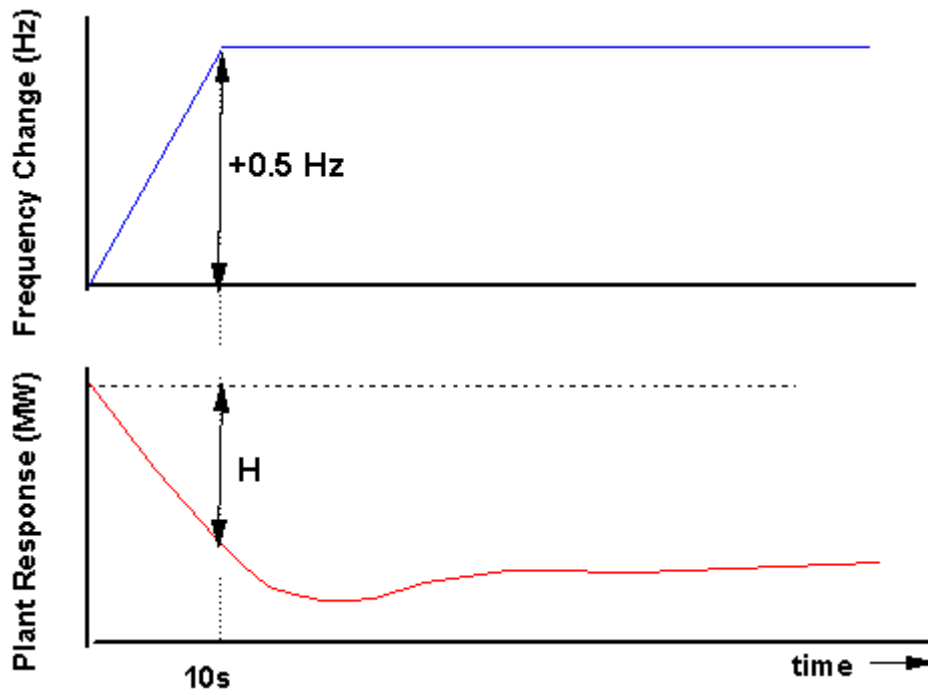


Figure CC.A.3.3 - Interpretation of High Frequency Response Values



APPENDIX 4 - FAULT RIDE THROUGH REQUIREMENTS

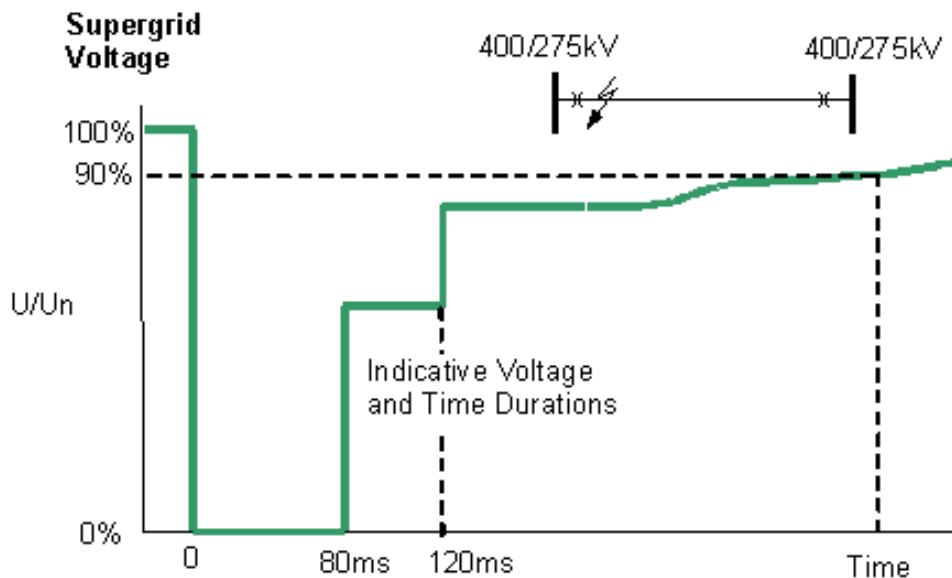
APPENDIX 4A - FAULT RIDE THROUGH REQUIREMENTS FOR ONSHORE GENERATING UNITS, ONSHORE POWER PARK MODULES, ONSHORE DC CONVERTERS OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT, OFFSHORE POWER PARK MODULES IN A LARGE POWER STATION AND OFFSHORE DC CONVERTERS IN A LARGE POWER STATION WHICH SELECT TO MEET THE FAULT RIDE THROUGH REQUIREMENTS AT THE INTERFACE POINT

CC.A.4A.1 Scope

The fault ride through requirement is defined in CC.6.3.15.1 (a), (b) and CC.6.3.15.3. This Appendix provides illustrations by way of examples only of CC.6.3.15.1 (a) (i) and further background and illustrations to CC.6.3.15.1 (b) (i) and is not intended to show all possible permutations.

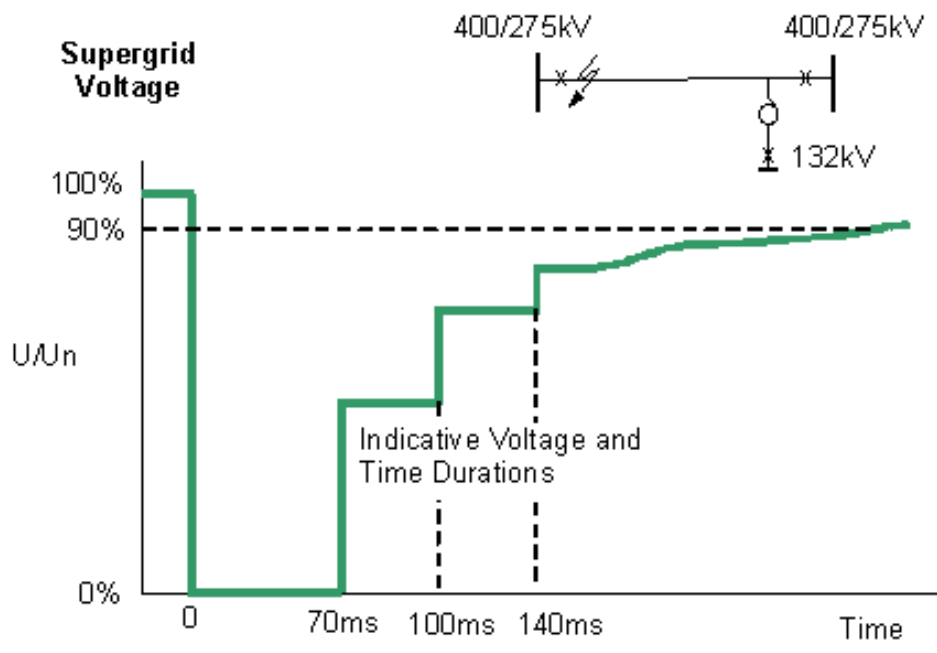
CC.A.4A.2 Short Circuit Faults At Supergrid Voltage On The Onshore Transmission System Up To 140ms In Duration

For short circuit faults at **Supergrid Voltage** on the **Onshore Transmission System** (which could be at an **Interface Point**) up to 140ms in duration, the fault ride through requirement is defined in CC.6.3.15.1 (a) (i). Figures CC.A.4A.1 (a) and (b) illustrate two typical examples of voltage recovery for short-circuit faults cleared within 140ms by two circuit breakers (a) and three circuit breakers (b) respectively.



Typical fault cleared in less than 140ms: 2 ended circuit

Figure CC.A.4A.1 (a)



Typical fault cleared in 140ms:- 3 ended circuit

Figure CC.A.4A.1 (b)

CC.A.4A.3 Supergrid Voltage Dips On The Onshore Transmission System Greater Than 140ms In Duration

For balanced **Supergrid Voltage** dips on the **Onshore Transmission System** (which could be at an **Interface Point**) having durations greater than 140ms and up to 3 minutes the fault ride through requirement is defined in CC.6.3.15.1 (b) (i) and Figure 5 which is reproduced in this Appendix as Figure CC.A.4A.2 and termed the voltage–duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at a point on the **Onshore Transmission System** (or **User System** if located **Onshore**) to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected **Generating Units, Power Park Modules** or **OTSDUW Plant and Apparatus** must withstand or ride through.

Figures CC.A.4A.3 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.

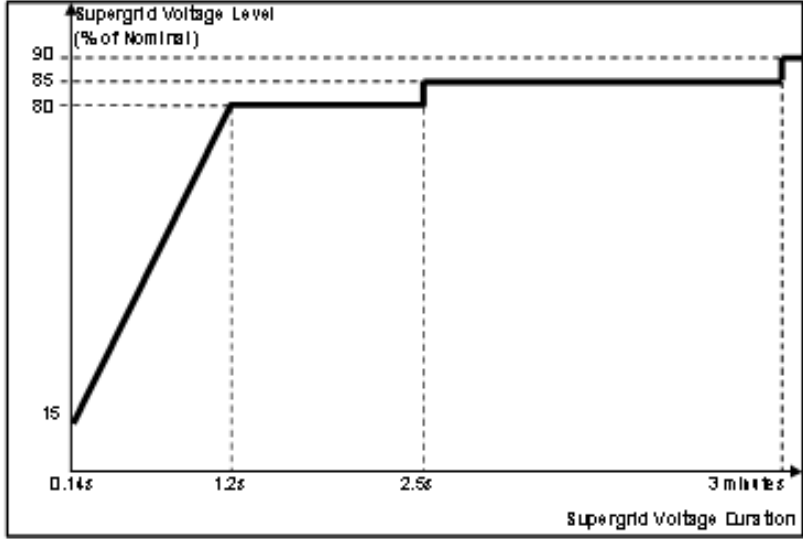
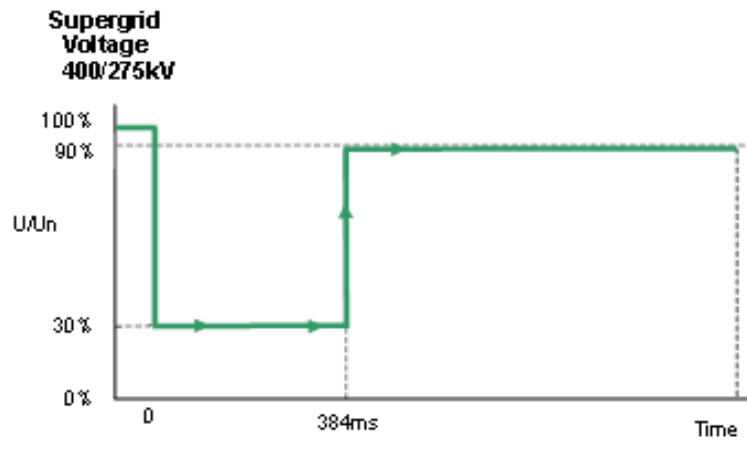
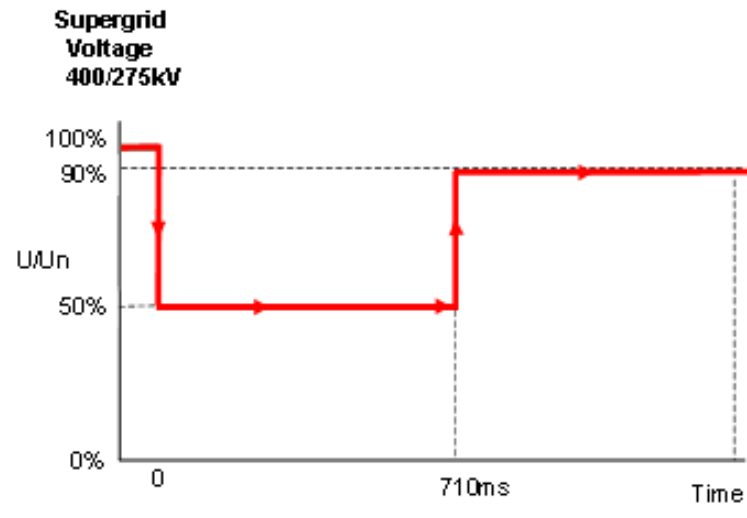


Figure CC.A.4A.2



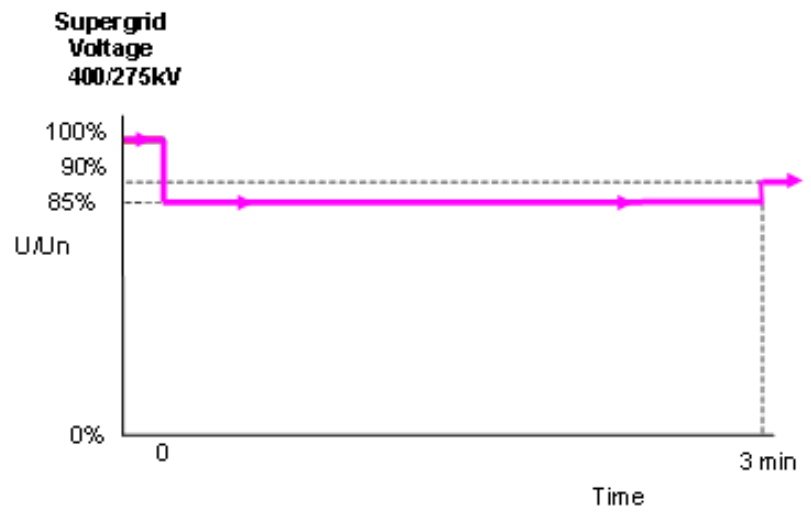
30% retained voltage, 384ms duration

Figure CC.A.4A.3 (a)



50% retained voltage, 710ms duration

Figure CC.A.4A.3 (b)



85% retained voltage, 3 minutes duration

Figure CC.A.4A.3 (c)

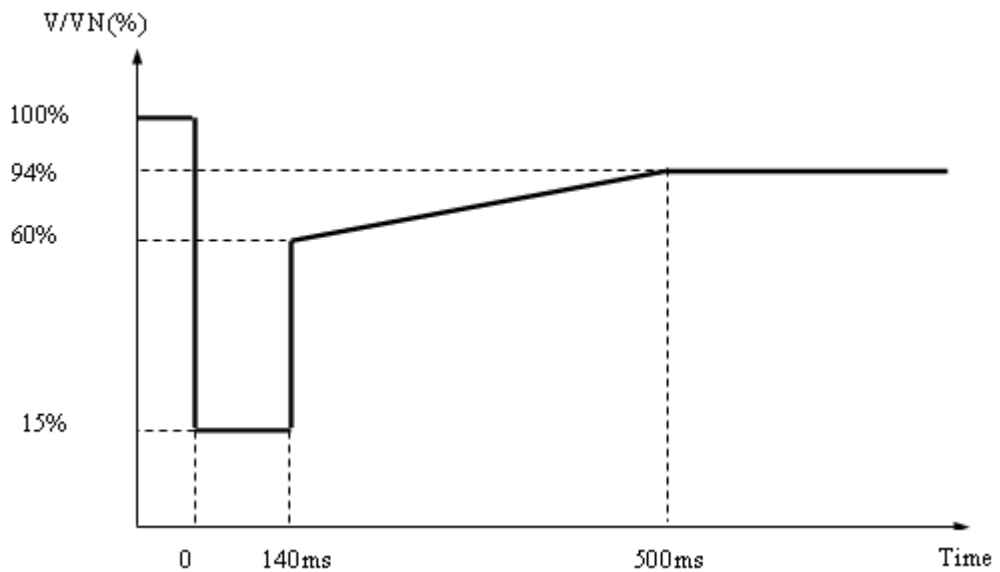
APPENDIX 4B - FAULT RIDE THROUGH REQUIREMENTS FOR OFFSHORE GENERATING UNITS IN A LARGE POWER STATION, OFFSHORE POWER PARK MODULES IN A LARGE POWER STATION AND OFFSHORE DC CONVERTERS IN A LARGE POWER STATION WHICH SELECT TO MEET THE FAULT RIDE THROUGH REQUIREMENTS AT THE LV SIDE OF THE OFFSHORE PLATFORM AS SPECIFIED IN CC.6.3.15.2

CC.A.4B.1 Scope

The fault ride through requirement is defined in CC.6.3.15.2 (a), (b) and CC.6.3.15.3. This Appendix provides illustrations by way of examples only of CC.6.3.15.2 (a) (i) and further background and illustrations to CC.6.3.15.2 (b) (i) and is not intended to show all possible permutations.

CC.A.4B.2 Voltage Dips On The LV Side Of The Offshore Platform Up To 140ms In Duration

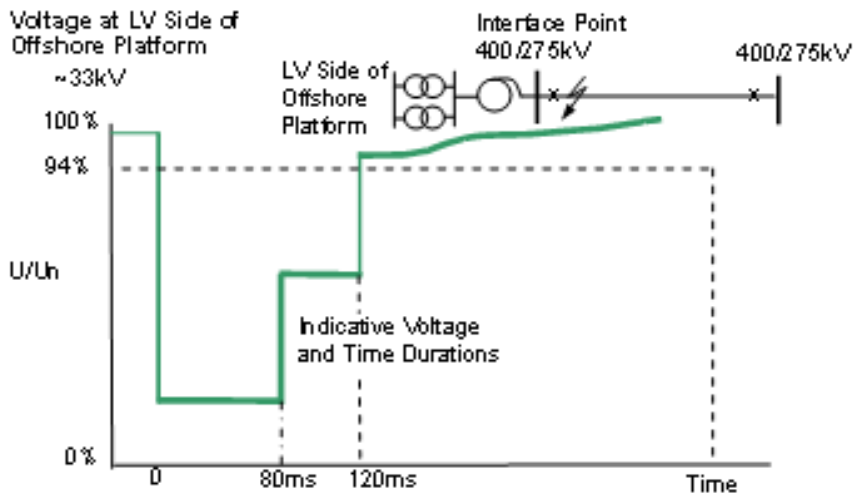
For voltage dips on the **LV Side of the Offshore Platform** which last up to 140ms in duration, the fault ride through requirement is defined in CC.6.3.15.2 (a) (i). This includes Figure 6 which is reproduced here in Figure CC.A.4B.1. The purpose of this requirement is to translate the conditions caused by a balanced or unbalanced fault which occurs on the **Onshore Transmission System** (which may include the **Interface Point**) at the **LV Side of the Offshore Platform**.



V/V_N is the ratio of the voltage at the **LV side of the Offshore Platform** to the nominal voltage of the LV side of the **Offshore Platform**.

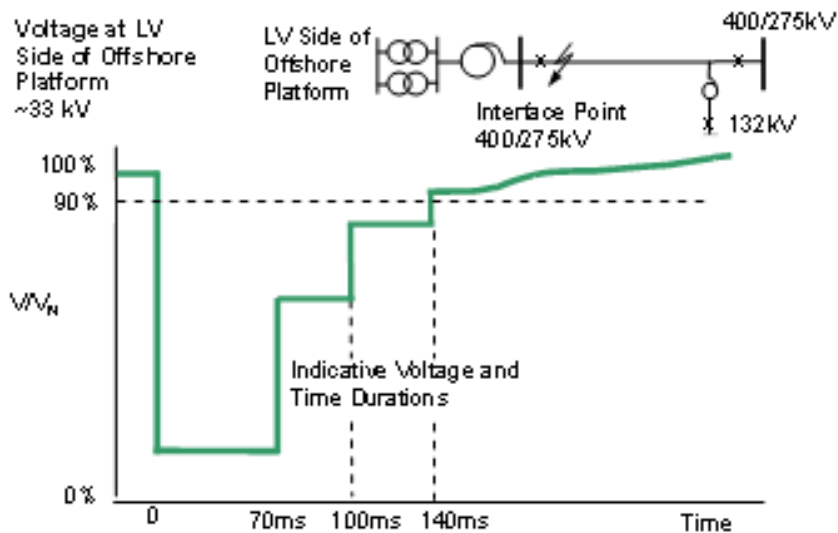
Figure CC.A.4B.1

Figures CC.A.4B.2 (a) and CC.A.4B.2 (b) illustrate two typical examples of the voltage recovery seen at the **LV Side of the Offshore Platform** for a short circuit fault cleared within 140ms by (a) two circuit breakers and (b) three circuit breakers on the **Onshore Transmission System**.



Typical fault cleared in less than 140ms: 2 ended circuit

Figure CC.A.4B.2 (a)



Typical fault cleared in 140ms:- 3 ended circuit

Figure CC.A.4B.2 (b)

CCA.4B.3 Voltage Dips Which Occur On The LV Side Of The Offshore Platform Greater Than 140ms In Duration

In addition to CCA.4B.2 the fault ride through requirements applicable for **Offshore Generating Units**, and **Offshore Power Park Modules** during balanced voltage dips which occur at the **LV Side of the Offshore Platform** and have durations greater than 140ms and up to 3 minutes are defined in CC.6.3.15.2 (b) (i) and Figure 7 which is reproduced in this Appendix as Figure CC.A.4B.3 and termed the voltage-duration profile.

This profile is not a voltage-time response curve that would be obtained by plotting the transient voltage response at the **LV Side of the Offshore Platform** to a disturbance. Rather, each point on the profile (i.e. the heavy black line) represents a voltage level and an associated time duration which connected **Offshore Generating Units**, or **Offshore Power Park Modules** must withstand or ride through.

Figures CC.A.4B.3 (a), (b) and (c) illustrate the meaning of the voltage-duration profile for voltage dips having durations greater than 140ms.

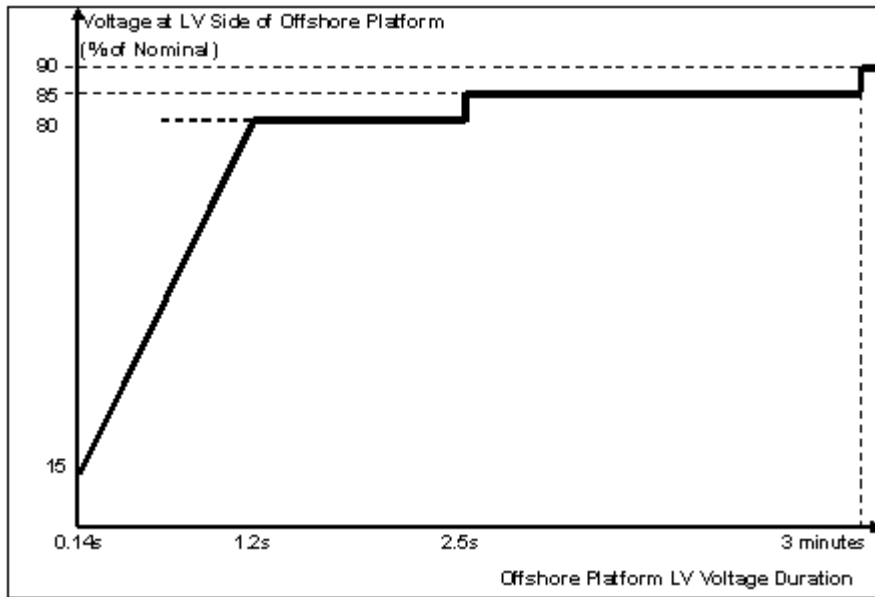
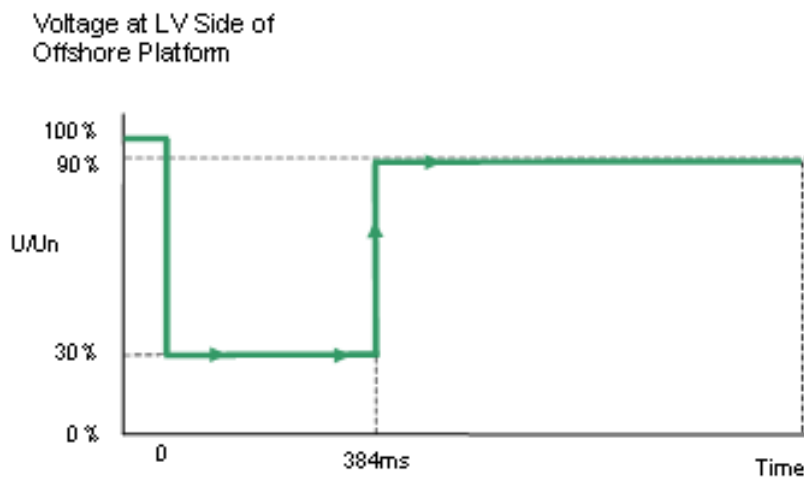


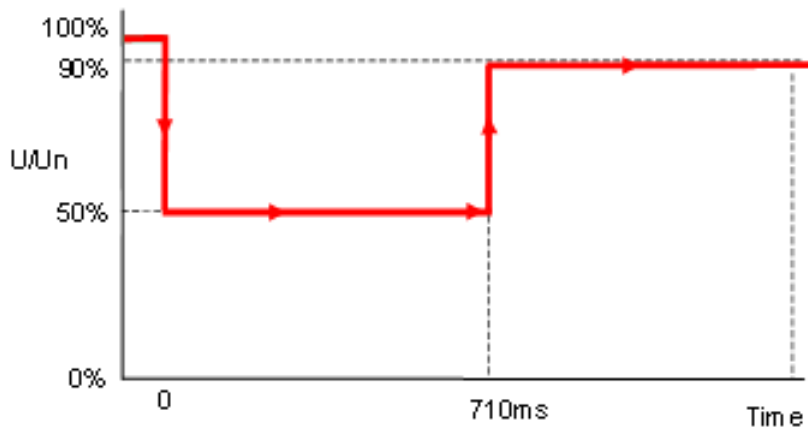
Figure CC.A.4B.3



30 % retained voltage , 384ms duration

Figure CC.A.4B.3 (a)

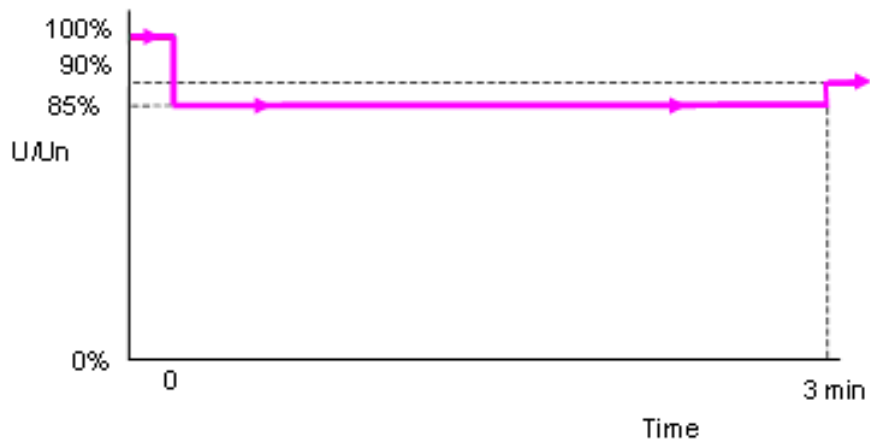
Voltage at LV Side of Offshore Platform



50% retained voltage, 710ms duration

Figure CC.A.4B.3(b)

Voltage at LV Side of Offshore Platform



85% retained voltage, 3 minutes duration

Figure CC.A.4B.3(c)

APPENDIX 5 - TECHNICAL REQUIREMENTS

LOW FREQUENCY RELAYS FOR THE AUTOMATIC DISCONNECTION OF SUPPLIES AT LOW FREQUENCY

CC.A.5.1 Low Frequency Relays

CC.A.5.1.1 The **Low Frequency Relays** to be used shall have a setting range of 47.0 to 50Hz and be suitable for operation from a nominal AC input of 63.5, 110 or 240V. The following general parameters specify the requirements of approved **Low Frequency Relays** for automatic installations installed and commissioned after 1st April 2007 and provide an indication, without prejudice to the provisions that may be included in a **Bilateral Agreement**, for those installed and commissioned before 1st April 2007:

- (a) **Frequency** settings: 47-50Hz in steps of 0.05Hz or better, preferably 0.01Hz;
- (b) Operating time: Relay operating time shall not be more than 150 ms;
- (c) Voltage lock-out: Selectable within a range of 55 to 90% of nominal voltage;
- (d) Facility stages: One or two stages of **Frequency** operation;
- (e) Output contacts: Two output contacts per stage to be capable of repetitively making and breaking for 1000 operations;
- (f) Accuracy: 0.01 Hz maximum error under reference environmental and system voltage conditions.
0.05 Hz maximum error at 8% of total harmonic distortion
Electromagnetic Compatibility Level.

CC.A.5.2 Low Frequency Relay Voltage Supplies

CC.A.5.2.1 It is essential that the voltage supply to the **Low Frequency Relays** shall be derived from the primary **System** at the supply point concerned so that the **Frequency** of the **Low Frequency Relays** input voltage is the same as that of the primary **System**. This requires either:

- (a) the use of a secure supply obtained from voltage transformers directly associated with the grid transformer(s) concerned, the supply being obtained where necessary via a suitable automatic voltage selection scheme; or
- (b) the use of the substation 240V phase-to-neutral selected auxiliary supply, provided that this supply is always derived at the supply point concerned and is never derived from a standby supply **Generating Unit** or from another part of the **User System**.

CC.A.5.3 Scheme Requirements

CC.A.5.3.1 The tripping facility should be engineered in accordance with the following reliability considerations:

(a) Dependability

Failure to trip at any one particular **Demand** shedding point would not harm the overall operation of the scheme. However, many failures would have the effect of reducing the amount of **Demand** under low **Frequency** control. An overall reasonable minimum requirement for the dependability of the **Demand** shedding scheme is 96%, i.e. the average probability of failure of each **Demand** shedding point should be less than 4%. Thus the **Demand** under low **Frequency** control will not be reduced by more than 4% due to relay failure.

(b) Outages

Low **Frequency Demand** shedding schemes will be engineered such that the amount of **Demand** under control is as specified in Table CC.A.5.5.1a and is not reduced unacceptably during equipment outage or maintenance conditions.

CC.A.5.3.2 The total operating time of the scheme, including circuit breakers operating time, shall where reasonably practicable, be less than 200 ms. For the avoidance of doubt, the replacement of plant installed prior to October 2009 will not be required in order to achieve lower total scheme operating times.

CC.A.5.4 Low Frequency Relay Testing

CC.A.5.4.1 **Low Frequency Relays** installed and commissioned after 1st January 2007 shall be type tested in accordance with and comply with the functional test requirements for **Frequency Protection** contained in Energy Networks Association Technical Specification 48-6-5 Issue 1 dated 2005 “**ENA Protection Assessment Functional Test Requirements – Voltage and Frequency Protection**”.

For the avoidance of doubt, **Low Frequency Relays** installed and commissioned before 1st January 2007 shall comply with the version of CC.A.5.1.1 applicable at the time such **Low Frequency Relays** were commissioned.

CC.A.5.5 Scheme Settings

CC.A.5.5.1 Table CC.A.5.5.1a shows, for each **Transmission Area**, the percentage of **Demand** (based on **Annual ACS Conditions**) at the time of forecast **National Electricity Transmission System** peak **Demand** that each **Network Operator** whose **System** is connected to the **Onshore Transmission System** within such **Transmission Area** shall disconnect by **Low Frequency Relays** at a range of frequencies. Where a **Network Operator’s System** is connected to the **National Electricity Transmission System** in more than one **Transmission Area**, the settings for the **Transmission Area** in which the majority of the **Demand** is connected shall apply.

| Frequency Hz | % Demand disconnection for each Network Operator in Transmission Area | | |
|-----------------------|--|------------|--------------|
| | NGET | SPT | SHETL |
| 48.8 | 5 | | |
| 48.75 | 5 | | |
| 48.7 | 10 | | |
| 48.6 | 7.5 | | 10 |
| 48.5 | 7.5 | 10 | |
| 48.4 | 7.5 | 10 | 10 |
| 48.2 | 7.5 | 10 | 10 |
| 48.0 | 5 | 10 | 10 |
| 47.8 | 5 | | |
| Total % Demand | 60 | 40 | 40 |

Table CC.A.5.5.1a

Note – the percentages in table CC.A.5.5.1a are cumulative such that, for example, should the frequency fall to 48.6 Hz in the **NGET Transmission Area**, 27.5% of the total **Demand** connected to the **National Electricity Transmission System** in the **NGET Transmission Area** shall be disconnected by the action of **Low Frequency Relays**.

The percentage **Demand** at each stage shall be allocated as far as reasonably practicable. The cumulative total percentage **Demand** is a minimum.

APPENDIX 6 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC EXCITATION CONTROL SYSTEMS FOR ONSHORE SYNCHRONOUS GENERATING UNITS

CC.A.6.1 Scope

CC.A.6.1.1 This Appendix sets out the performance requirements of continuously acting automatic excitation control systems for **Onshore Synchronous Generating Units** that must be complied with by the **User**. This Appendix does not limit any site specific requirements that may be included in a **Bilateral Agreement** where in **NGET's** reasonable opinion these facilities are necessary for system reasons.

CC.A.6.1.2 Where the requirements may vary the likely range of variation is given in this Appendix. It may be necessary to specify values outside this range where **NGET** identifies a system need, and notwithstanding anything to the contrary **NGET** may specify in the **Bilateral Agreement** values outside of the ranges provided in this Appendix 6. The most common variations are in the on-load excitation ceiling voltage requirements and the response time required of the **Exciter**. Actual values will be included in the **Bilateral Agreement**.

CC.A.6.1.3 Should a **Generator** anticipate making a change to the excitation control system it shall notify **NGET** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **Generator** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.

CC.A.6.2 Requirements

CC.A.6.2.1 The **Excitation System** of an **Onshore Synchronous Generating Unit** shall include an excitation source (**Exciter**), a **Power System Stabiliser** and a continuously acting **Automatic Voltage Regulator (AVR)** and shall meet the following functional specification.

CC.A.6.2.2 In respect of **Onshore Synchronous Generating Units** with a **Completion Date** on or after 1 January 2009, and **Onshore Synchronous Generating Units** with a **Completion Date** before 1 January 2009 subject to a **Modification** to the excitation control facilities where the **Bilateral Agreement** does not specify otherwise, the continuously acting automatic excitation control system shall include a **Power System Stabiliser (PSS)** as a means of supplementary control. The functional specification of the **Power System Stabiliser** is included in CC.A.6.2.5.

CC.A.6.2.3 Steady State Voltage Control

CC.A.6.2.3.1 An accurate steady state control of the **Onshore Generating Unit** pre-set terminal voltage is required. As a measure of the accuracy of the steady-state voltage control, the **Automatic Voltage Regulator** shall have static zero frequency gain, sufficient to limit the change in terminal voltage to a drop not exceeding 0.5% of rated terminal voltage, when the **Onshore Generating Unit** output is gradually changed from zero to rated MVA output at rated voltage, **Active Power** and **Frequency**.

CC.A.6.2.4 Transient Voltage Control

CC.A.6.2.4.1 For a step change from 90% to 100% of the nominal **Onshore Generating Unit** terminal voltage, with the **Onshore Generating Unit** on open circuit, the **Excitation System** response shall have a damped oscillatory characteristic. For this characteristic, the time for the **Onshore Generating Unit** terminal voltage to first reach 100% shall be less than 0.6 seconds. Also, the time to settle within 5% of the voltage change shall be less than 3 seconds.

CC.A.6.2.4.2 To ensure that adequate synchronising power is maintained, when the **Onshore Generating Unit** is subjected to a large voltage disturbance, the **Exciter** whose output is varied by the **Automatic Voltage Regulator** shall be capable of providing its achievable upper and lower limit ceiling voltages to the **Onshore Generating Unit** field in a time not exceeding that specified in the **Bilateral Agreement**. This will normally be not less than 50 ms and not greater than 300 ms. The achievable upper and lower limit ceiling voltages may be dependent on the voltage disturbance.

CC.A.6.2.4.3 The **Exciter** shall be capable of attaining an **Excitation System On Load Positive Ceiling Voltage** of not less than a value specified in the **Bilateral Agreement** that will be:

not less than 2 per unit (pu)

normally not greater than 3 pu

exceptionally up to 4 pu

of **Rated Field Voltage** when responding to a sudden drop in voltage of 10 percent or more at the **Onshore Generating Unit** terminals. **NGET** may specify a value outside the above limits where **NGET** identifies a system need.

CC.A.6.2.4.4 If a static type **Exciter** is employed:

(i) the field voltage should be capable of attaining a negative ceiling level specified in the **Bilateral Agreement** after the removal of the step disturbance of CC.A.6.2.4.3. The specified value will be 80% of the value specified in CC.A.6.2.4.3. **NGET** may specify a value outside the above limits where **NGET** identifies a system need.

(ii) the **Exciter** must be capable of maintaining free firing when the **Onshore Generating Unit** terminal voltage is depressed to a level which may be between 20% to 30% of rated terminal voltage

(iii) the **Exciter** shall be capable of attaining a positive ceiling voltage not less than 80% of the **Excitation System On Load Positive Ceiling Voltage** upon recovery of the **Onshore Generating Unit** terminal voltage to 80% of rated terminal voltage following fault clearance. **NGET** may specify a value outside the above limits where **NGET** identifies a system need.

(iv) The requirement to provide a separate power source for the **Exciter** will be specified in the **Bilateral Agreement** if **NGET** identifies a **Transmission System** need.

CC.A.6.2.5 Power Oscillations Damping Control

CC.A.6.2.5.1 To allow the **Onshore Generating Unit** to maintain second and subsequent swing stability and also to ensure an adequate level of low frequency electrical damping power, the **Automatic Voltage Regulator** shall include a **Power System Stabiliser** as a means of supplementary control.

CC.A.6.2.5.2 Whatever supplementary control signal is employed, it shall be of the type which operates into the **Automatic Voltage Regulator** to cause the field voltage to act in a manner which results in the damping power being improved while maintaining adequate synchronising power.

CC.A.6.2.5.3 The arrangements for the supplementary control signal shall ensure that the **Power System Stabiliser** output signal relates only to changes in the supplementary control signal and not the steady state level of the signal. For example, if generator electrical power output is chosen as a supplementary control signal then the **Power System Stabiliser** output should relate only to changes in generator electrical power output and not the steady state level of power output. Additionally the **Power System Stabiliser** should not react to mechanical power changes in isolation for example during rapid changes in steady state load or when providing frequency response.

CC.A.6.2.5.4 The output signal from the **Power System Stabiliser** shall be limited to not more than $\pm 10\%$ of the **Onshore Generating Unit** terminal voltage signal at the **Automatic Voltage Regulator** input. The gain of the **Power System Stabiliser** shall be such that an increase in the gain by a factor of 3 shall not cause instability.

- CC.A.6.2.5.5 The **Power System Stabiliser** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application.
- CC.A.6.2.5.6 The **Generator** will agree **Power System Stabiliser** settings with **NGET** prior to the on-load commissioning detailed in BC2.11.2(d). To allow assessment of the performance before on-load commissioning the **Generator** will provide to **NGET** a report covering the areas specified in CP.A.3.2.1.
- CC.A.6.2.5.7 The **Power System Stabiliser** must be active within the **Excitation System** at all times when **Synchronised** including when the **Under Excitation Limiter** or **Over Excitation Limiter** are active. When operating at low load when **Synchronising** or **De-Synchronising** an **Onshore Generating Unit**, the **Power System Stabiliser** may be out of service.
- CC.A.6.2.5.8 Where a **Power System Stabiliser** is fitted to a **Pumped Storage Unit** it must function when the **Pumped Storage Unit** is in both generating and pumping modes.
- CC.A.6.2.6 Overall **Excitation System** Control Characteristics
- CC.A.6.2.6.1 The overall **Excitation System** shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5 Hz will be judged to be acceptable for this application.
- CC.A.6.2.6.2 The response of the **Automatic Voltage Regulator** combined with the **Power System Stabiliser** shall be demonstrated by injecting similar step signal disturbances into the **Automatic Voltage Regulator** reference as detailed in OC5A.2.2 and OC5.A.2.4. The **Automatic Voltage Regulator** shall include a facility to allow step injections into the **Automatic Voltage Regulator** voltage reference, with the **Onshore Generating Unit** operating at points specified by **NGET** (up to rated MVA output). The damping shall be judged to be adequate if the corresponding **Active Power** response to the disturbances decays within two cycles of oscillation.
- CC.A.6.2.6.3 A facility to inject a band limited random noise signal into the **Automatic Voltage Regulator** voltage reference shall be provided for demonstrating the frequency domain response of the **Power System Stabiliser**. The tuning of the **Power System Stabiliser** shall be judged to be adequate if the corresponding **Active Power** response shows improved damping with the **Power System Stabiliser** in combination with the **Automatic Voltage Regulator** compared with the **Automatic Voltage Regulator** alone over the frequency range 0.3Hz – 2Hz.
- CC.A.6.2.7 Under-Excitation Limiters
- CC.A.6.2.7.1 The security of the power system shall also be safeguarded by means of MVAr **Under Excitation Limiters** fitted to the generator **Excitation System**. The **Under Excitation Limiter** shall prevent the **Automatic Voltage Regulator** reducing the generator excitation to a level which would endanger synchronous stability. The **Under Excitation Limiter** shall operate when the excitation system is providing automatic control. The **Under Excitation Limiter** shall respond to changes in the **Active Power** (MW) and the **Reactive Power** (MVAr), and to the square of the generator voltage in such a direction that an increase in voltage will permit an increase in leading MVAr. The characteristic of the **Under Excitation Limiter** shall be substantially linear from no-load to the maximum **Active Power** output of the **Onshore Generating Unit** at any setting and shall be readily adjustable.

- CC.A.6.2.7.2 The performance of the **Under Excitation Limiter** shall be independent of the rate of change of the **Onshore Generating Unit** load and shall be demonstrated by testing as detailed in OC5.A.2.5. The resulting maximum overshoot in response to a step injection which operates the **Under Excitation Limiter** shall not exceed 4% of the **Onshore Generating Unit** rated MVA. The operating point of the **Onshore Generating Unit** shall be returned to a steady state value at the limit line and the final settling time shall not be greater than 5 seconds. When the step change in **Automatic Voltage Regulator** reference voltage is reversed, the field voltage should begin to respond without any delay and should not be held down by the **Under Excitation Limiter**. Operation into or out of the preset limit levels shall ensure that any resultant oscillations are damped so that the disturbance is within 0.5% of the **Onshore Generating Unit** MVA rating within a period of 5 seconds.
- CC.A.6.2.7.3 The **Generator** shall also make provision to prevent the reduction of the **Onshore Generating Unit** excitation to a level which would endanger synchronous stability when the **Excitation System** is under manual control.
- CC.A.6.2.8 Over-Excitation Limiters
- CC.A.6.2.8.1 The settings of the **Over-Excitation Limiter**, where it exists, shall ensure that the generator excitation is not limited to less than the maximum value that can be achieved whilst ensuring the **Onshore Generating Unit** is operating within its design limits. If the generator excitation is reduced following a period of operation at a high level, the rate of reduction shall not exceed that required to remain within any time dependent operating characteristics of the **Onshore Generating Unit**.
- CC.A.6.2.8.2 The performance of the **Over-Excitation Limiter**, where it exists, shall be demonstrated by testing as described in OC5.A.2.6. Any operation beyond the **Over-Excitation Limit** shall be controlled by the **Over-Excitation Limiter** without the operation of any **Protection** that could trip the **Onshore Generating Unit**.
- CC.A.6.2.8.3 The **Generator** shall also make provision to prevent any over-excitation restriction of the generator when the **Excitation System** is under manual control, other than that necessary to ensure the **Onshore Generating Unit** is operating within its design limits.

APPENDIX 7 - PERFORMANCE REQUIREMENTS FOR CONTINUOUSLY ACTING AUTOMATIC VOLTAGE CONTROL SYSTEMS FOR ONSHORE NON-SYNCHRONOUS GENERATING UNITS, ONSHORE DC CONVERTERS, ONSHORE POWER PARK MODULES AND OTSDUW PLANT AND APPARATUS AT THE INTERFACE POINT

CC.A.7.1 Scope

CC.A.7.1.1 This Appendix sets out the performance requirements of continuously acting automatic voltage control systems for **Onshore Non-Synchronous Generating Units, Onshore DC Converters, Onshore Power Park Modules** and **OTSDUW Plant and Apparatus** at the **Interface Point** that must be complied with by the **User**. This Appendix does not limit any site specific requirements that may be included in a **Bilateral Agreement** where in **NGET's** reasonable opinion these facilities are necessary for system reasons.

CC.A.7.1.2 Proposals by **Generators** to make a change to the voltage control systems are required to be notified to **NGET** under the **Planning Code** (PC.A.1.2(b) and (c)) as soon as the **Generator** anticipates making the change. The change may require a revision to the **Bilateral Agreement**.

CC.A.7.2 Requirements

CC.A.7.2.1 **NGET** requires that the continuously acting automatic voltage control system for the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter** or **Onshore Power Park Module** or **OTSDUW Plant and Apparatus** shall meet the following functional performance specification. If a **Network Operator** has confirmed to **NGET** that its network to which an **Embedded Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module** or **OTSDUW Plant and Apparatus** is connected is restricted such that the full reactive range under the steady state voltage control requirements (CC.A.7.2.2) cannot be utilised, **NGET** may specify in the **Bilateral Agreement** alternative limits to the steady state voltage control range that reflect these restrictions. Where the **Network Operator** subsequently notifies **NGET** that such restriction has been removed, **NGET** may propose a **Modification** to the **Bilateral Agreement** (in accordance with the **CUSC** contract) to remove the alternative limits such that the continuously acting automatic voltage control system meets the following functional performance specification. All other requirements of the voltage control system will remain as in this Appendix.

CC.A.7.2.2 Steady State Voltage Control

CC.A.7.2.2.1 The **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module** or **OTSDUW Plant and Apparatus** shall provide continuous steady state control of the voltage at the **Onshore Grid Entry Point** (or **Onshore User System Entry Point** if **Embedded**) (or the **Interface Point** in the case of **OTSDUW Plant and Apparatus**) with a **Setpoint Voltage** and **Slope** characteristic as illustrated in Figure CC.A.7.2.2a. It should be noted that where the **Reactive Power** capability requirement of a directly connected **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, Onshore Power Park Module** in Scotland, or **OTSDUW Plant and Apparatus** in Scotland as specified in CC.6.3.2 (c), is not at the **Onshore Grid Entry Point** or **Interface Point**, the values of Q_{min} and Q_{max} shown in this figure will be as modified by the 33/132kV or 33/275kV or 33/400kV transformer.

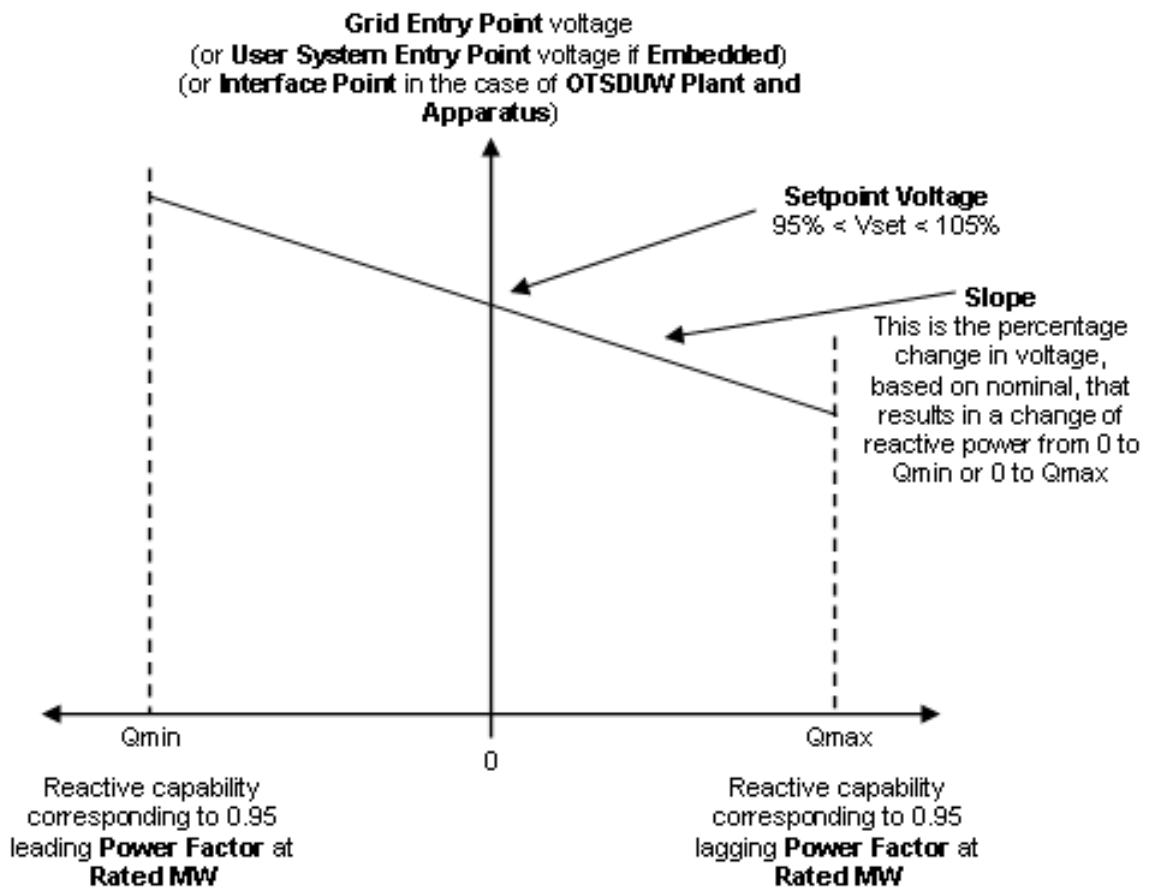


Figure CC.A.7.2.2a

- CC.A.7.2.2.2 The continuously acting automatic control system shall be capable of operating to a **Setpoint Voltage** between 95% and 105% with a resolution of 0.25% of the nominal voltage. For the avoidance of doubt values of 95%, 95.25%, 95.5% ... may be specified, but not intermediate values. The initial **Setpoint Voltage** will be 100%. The tolerance within which this **Setpoint Voltage** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.25% and a Setpoint Voltage of 100%, the achieved value shall be between 99.75% and 100.25%. **NGET** may request the **Generator** to implement an alternative **Setpoint Voltage** within the range of 95% to 105%. For **Embedded Generators** the **Setpoint Voltage** will be discussed between **NGET** and the relevant **Network Operator** and will be specified to ensure consistency with CC.6.3.4.
- CC.A.7.2.2.3 The **Slope** characteristic of the continuously acting automatic control system shall be adjustable over the range 2% to 7% (with a resolution of 0.5%). For the avoidance of doubt values of 2%, 2.5%, 3% may be specified, but not intermediate values. The initial **Slope** setting will be 4%. The tolerance within which this **Slope** shall be achieved is specified in BC2.A.2.6. For the avoidance of doubt, with a tolerance of 0.5% and a **Slope** setting of 4%, the achieved value shall be between 3.5% and 4.5%. **NGET** may request the **Generator** to implement an alternative slope setting within the range of 2% to 7%. For **Embedded Generators** the **Slope** setting will be discussed between **NGET** and the relevant **Network Operator** and will be specified to ensure consistency with CC.6.3.4.

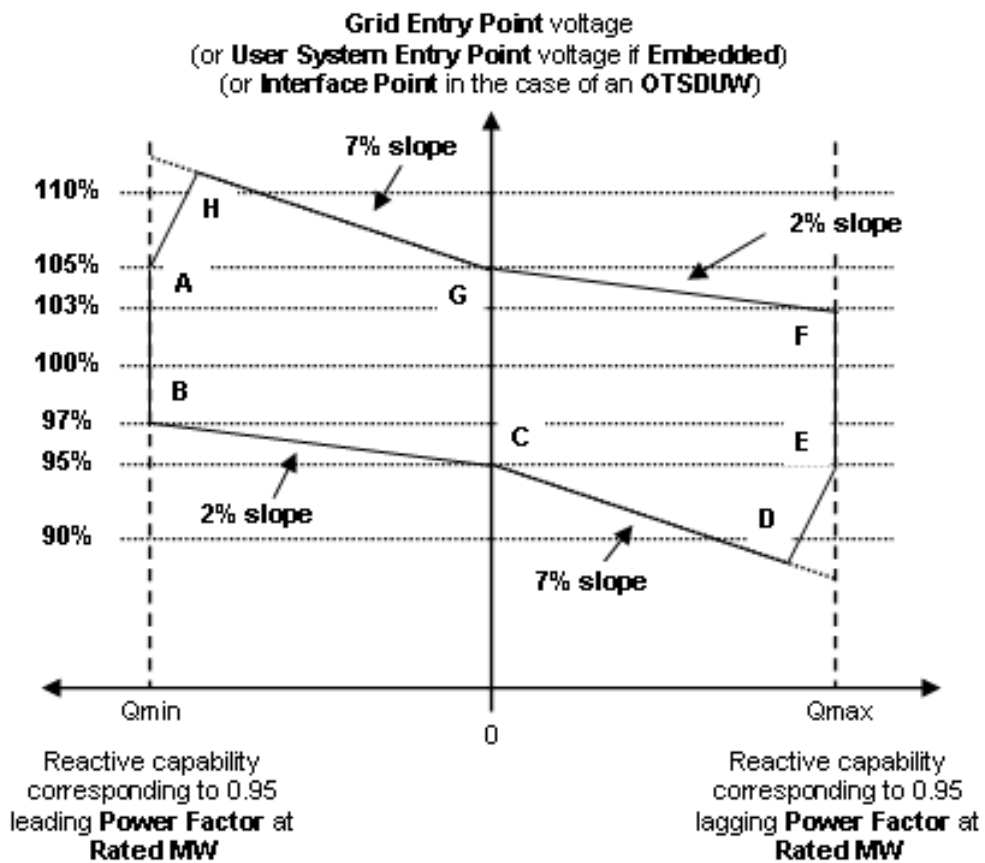


Figure CC.A.7.2.2b

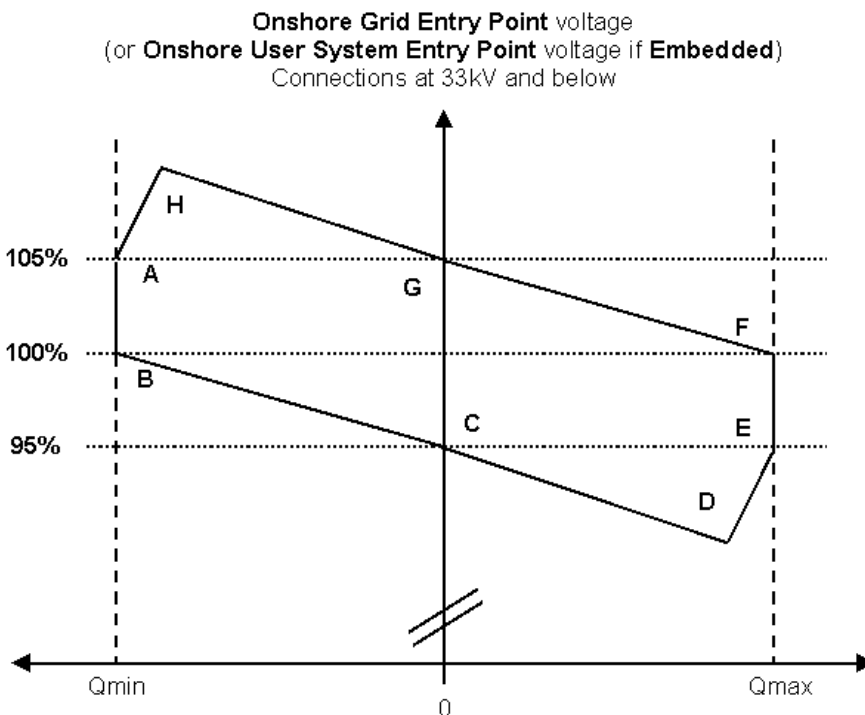


Figure CC.A.7.2.2c

- CC.A.7.2.2.4 Figure CC.A.7.2.2b shows the required envelope of operation for **Onshore Non-Synchronous Generating Units, Onshore DC Converters, OTSDUW Plant and Apparatus** and **Onshore Power Park Modules** except for those **Embedded** at 33kV and below or directly connected to the **National Electricity Transmission System** at 33kV and below. Figure CC.A.7.2.2c shows the required envelope of operation for **Onshore Non-Synchronous Generating Units, Onshore DC Converters** and **Onshore Power Park Modules Embedded** at 33kV and below or directly connected to the **National Electricity Transmission System** at 33kV and below. Where the **Reactive Power** capability requirement of a directly connected **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** in Scotland, as specified in CC.6.3.2 (c), is not at the **Onshore Grid Entry Point** or **Interface Point** in the case of **OTSDUW Plant and Apparatus**, the values of Q_{min} and Q_{max} shown in this figure will be as modified by the 33/132kV or 33/275kV or 33/400kV transformer. The enclosed area within points ABCDEFGH is the required capability range within which the **Slope** and **Setpoint Voltage** can be changed.
- CC.A.7.2.2.5 Should the operating point of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** deviate so that it is no longer a point on the operating characteristic (figure CC.A.7.2.2a) defined by the target **Setpoint Voltage** and **Slope**, the continuously acting automatic voltage control system shall act progressively to return the value to a point on the required characteristic within 5 seconds.
- CC.A.7.2.2.6 Should the **Reactive Power** output of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** reach its maximum lagging limit at a **Onshore Grid Entry Point** voltage (or **Onshore User System Entry Point** voltage if **Embedded** or **Interface Point** in the case of **OTSDUW Plant and Apparatus**) above 95%, the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** shall maintain maximum lagging **Reactive Power** output for voltage reductions down to 95%. This requirement is indicated by the line EF in figures CC.A.7.2.2b and CC.A.7.2.2c. Should the **Reactive Power** output of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** reach its maximum leading limit at a **Onshore Grid Entry Point** voltage (or **Onshore User System Entry Point** voltage if **Embedded** or **Interface Point** in the case of **OTSDUW Plant and Apparatus**) below 105%, the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** shall maintain maximum leading **Reactive Power** output for voltage increases up to 105%. This requirement is indicated by the line AB in figures CC.A.7.2.2b and CC.A.7.2.2c.

CC.A.7.2.2.7 For **Onshore Grid Entry Point** voltages (or **Onshore User System Entry Point** voltages if **Embedded** or **Interface Point** voltages) below 95%, the lagging **Reactive Power** capability of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** should be that which results from the supply of maximum lagging reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line DE in figures CC.A.7.2.2b and CC.A.7.2.2c. For **Onshore Grid Entry Point** voltages (or **User System Entry Point** voltages if **Embedded** or **Interface Point** voltages) above 105%, the leading **Reactive Power** capability of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** should be that which results from the supply of maximum leading reactive current whilst ensuring the current remains within design operating limits. An example of the capability is shown by the line AH in figures CC.A.7.2.2b and CC.A.7.2.2c. Should the **Reactive Power** output of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** reach its maximum lagging limit at an **Onshore Grid Entry Point** voltage (or **Onshore User System Entry Point** voltage if **Embedded** or **Interface Point** in the case of **OTSDUW Plant and Apparatus**) below 95%, the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter** or **Onshore Power Park Module** shall maintain maximum lagging reactive current output for further voltage decreases. Should the **Reactive Power** output of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** reach its maximum leading limit at a **Onshore Grid Entry Point** voltage (or **User System Entry Point** voltage if **Embedded** or **Interface Point** voltage in the case of an **OTSDUW Plant and Apparatus**) above 105%, the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** shall maintain maximum leading reactive current output for further voltage increases.

CC.A.7.2.2.8 All **OTSDUW Plant and Apparatus** must be capable of enabling **Users** undertaking **OTSDUW** to comply with an instruction received from **NGET** relating to a variation of the **Setpoint Voltage** at the **Interface Point** within 2 minutes of such instruction being received.

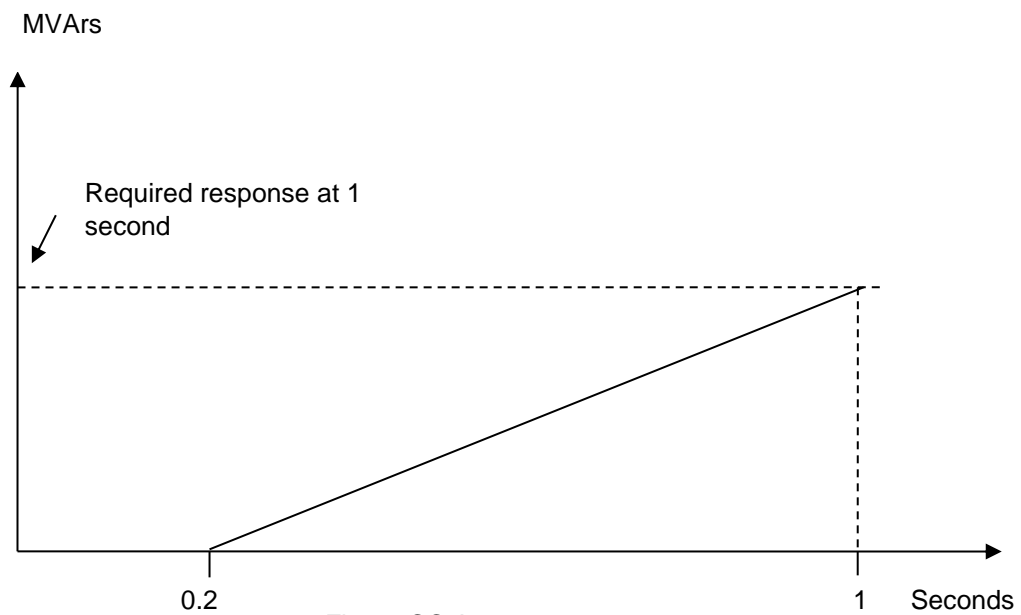
CC.A.7.2.2.9 For **OTSDUW Plant and Apparatus** connected to a **Network Operator's System** where the **Network Operator** has confirmed to **NGET** that its **System** is restricted in accordance with CC.A.7.2.1, clause CC.A.7.2.2.8 will not apply unless **NGET** can reasonably demonstrate that the magnitude of the available change in **Reactive Power** has a significant effect on voltage levels on the **Onshore National Electricity Transmission System**.

CC.A.7.2.3 Transient Voltage Control

CC.A.7.2.3.1 For an on-load step change in **Onshore Grid Entry Point** or **Onshore User System Entry Point** voltage, or in the case of **OTSDUW Plant and Apparatus** an on-load step change in **Transmission Interface Point** voltage, the continuously acting automatic control system shall respond according to the following minimum criteria:

- (i) the **Reactive Power** output response of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** shall commence within 0.2 seconds of the application of the step. It shall progress linearly although variations from a linear characteristic shall be acceptable provided that the MVar seconds delivered at any time up to 1 second are at least those that would result from the response shown in figure CC.A.7.2.3.1a.
- (ii) the response shall be such that 90% of the change in the **Reactive Power** output of the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module**, will be achieved within
 - 1 second, where the step is sufficiently large to require a change in the steady state **Reactive Power** output from zero to its maximum leading value or maximum lagging value, as required by CC.6.3.2 (or, if appropriate, CC.A.7.2.2.6 or CC.A.7.2.2.7); and

- 2 seconds, for **Plant and Apparatus** installed on or after 1 December 2017, where the step is sufficiently large to require a change in the steady state **Reactive Power** output from its maximum leading value to its maximum lagging value or vice versa.
- (iii) the magnitude of the **Reactive Power** output response produced within 1 second shall vary linearly in proportion to the magnitude of the step change.
- (iv) within 2 seconds from achieving 90% of the response as defined in CC.A.7.2.3.1 (ii), the peak to peak magnitude of any oscillations shall be less than 5% of the change in steady state **Reactive Power**.
- (v) following the transient response, the conditions of CC.A.7.2.2 apply.



CC.A.7.2.3.2 An **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** installed on or after 1 December 2017 shall be capable of

- (a) changing its **Reactive Power** output from its maximum lagging value to its maximum leading value, or vice versa, then reverting back to the initial level of **Reactive Power** output once every 15 seconds for at least 5 times within any 5 minute period; and
- (b) changing its **Reactive Power** output from zero to its maximum leading value then reverting back to zero **Reactive Power** output at least 25 times within any 24 hour period and from zero to its maximum lagging value then reverting back to zero **Reactive Power** output at least 25 times within any 24 hour period. Any subsequent restriction on reactive capability shall be notified to **NGET** in accordance with BC2.5.3.2, and BC2.6.1.

In all cases, the response shall be in accordance to CC.A.7.2.3.1 where the change in **Reactive Power** output is in response to an on-load step change in **Onshore Grid Entry Point** or **Onshore User System Entry Point** voltage, or in the case of **OTSDUW Plant and Apparatus** an on-load step change in **Transmission Interface Point** voltage.

CC.A.7.2.4 Power Oscillation Damping

- CC.A.7.2.4.1 The requirement for the continuously acting voltage control system to be fitted with a **Power System Stabiliser (PSS)** shall be specified in the **Bilateral Agreement** if, in **NGET's** view, this is required for system reasons. However if a **Power System Stabiliser** is included in the voltage control system its settings and performance shall be agreed with **NGET** and commissioned in accordance with BC2.11.2. To allow assessment of the performance before on-load commissioning the **Generator** will provide to **NGET** a report covering the areas specified in CP.A.3.2.2.
- CC.A.7.2.5 Overall Voltage Control System Characteristics
- CC.A.7.2.5.1 The continuously acting automatic voltage control system is required to respond to minor variations, steps, gradual changes or major variations in **Onshore Grid Entry Point** voltage (or **Onshore User System Entry Point** voltage if **Embedded** or **Interface Point** voltage in the case of **OTSDUW Plant and Apparatus**).
- CC.A.7.2.5.2 The overall voltage control system shall include elements that limit the bandwidth of the output signal. The bandwidth limiting must be consistent with the speed of response requirements and ensure that the highest frequency of response cannot excite torsional oscillations on other plant connected to the network. A bandwidth of 0-5Hz would be judged to be acceptable for this application. All other control systems employed within the **Onshore Non-Synchronous Generating Unit, Onshore DC Converter, OTSDUW Plant and Apparatus** or **Onshore Power Park Module** should also meet this requirement
- CC.A.7.2.5.3 The response of the voltage control system (including the **Power System Stabiliser** if employed) shall be demonstrated by testing in accordance with OC5A.A.3.

< END OF CONNECTION CONDITIONS >

OPERATING CODE NO. 9

(OC9)

CONTINGENCY PLANNING

CONTENTS

(This contents page does not form part of the Grid Code)

| <u>Paragraph No/Title</u> | <u>Page Number</u> |
|--|--------------------|
| OC9.1 INTRODUCTION..... | 2 |
| OC9.2 OBJECTIVE | 2 |
| OC9.3 SCOPE..... | 3 |
| OC9.4 BLACK START..... | 3 |
| OC9.4.1 - OC9.4.4 Total Shutdown And Partial Shutdown..... | 3 |
| OC9.4.5 Black Start Stations | 3 |
| OC9.4.6 Black Start Situation | 4 |
| OC9.4.7 Black Start | 4 |
| OC9.5 RE-SYNCHRONISATION OF DE-SYNCHRONISED ISLANDS | 12 |
| OC9.5.2 Options | 12 |
| OC9.5.3 Choice Of Option..... | 14 |
| OC9.5.4 Agreeing Procedures..... | 15 |
| OC9.6 JOINT SYSTEM INCIDENT PROCEDURE..... | 17 |

OC9.1 INTRODUCTION

Operating Code No.9 ("OC9") covers the following:

OC9.1.1 Black Starts

The implementation of recovery procedures following a **Total Shutdown** or **Partial Shutdown**.

OC9.1.2 Re-Synchronisation Of Islands

The **Re-Synchronisation** of parts of the **Total System** which have become **Out of Synchronism** with each other irrespective of whether or not a **Total Shutdown** or **Partial Shutdown** has occurred.

OC9.1.3 Joint System Incident Procedure

The establishment of a communication route and arrangements between senior management representatives of **NGET** and **Users** involved in, or who may be involved in, an actual or potential serious or widespread disruption to the **Total System** or a part of the **Total System**, which requires, or may require, urgent managerial response, day or night, but which does not fall within the provisions of OC9.1.4.

OC9.1.4 It should be noted that under section 96 of the **Act** the **Secretary of State** may give directions to **NGET** and/or any **Generator** and/or any **Supplier**, for the purpose of "mitigating the effects of any civil emergency which may occur" (ie. for the purposes of planning for a civil emergency); a civil emergency is defined in the **Act** as "any natural disaster or other emergency which, in the opinion of the **Secretary of State**, is or may be likely to disrupt electricity supplies". Under the Energy Act 1976, the **Secretary of State** has powers to make orders and give directions controlling the production, supply, acquisition or use of electricity, where an Order in Council under section 3 is in force declaring that there is an actual or imminent emergency affecting electricity supplies. In the event that any such directions are given, or orders made under the **Energy Act 1976**, the provisions of the **Grid Code** will be suspended in so far as they are inconsistent with them.

OC9.1.5 **NGET** shall procure that **Relevant Scottish Transmission Licensees** shall comply with OC9.4 and OC9.5 and any relevant **Local Joint Restoration Plan** or OC9 **De-Synchronised Island Procedure** where and to the extent that such matters apply to them.

OC9.2 OBJECTIVE

The overall objectives of **OC9** are:

OC9.2.1 To achieve, as far as possible, restoration of the **Total System** and associated **Demand** in the shortest possible time, taking into account **Power Station** capabilities, including **Embedded Generating Units, External Interconnections** and the operational constraints of the **Total System**.

OC9.2.2 To achieve the **Re-Synchronisation** of parts of the **Total System** which have become **Out of Synchronism** with each other.

OC9.2.3 To ensure that communication routes and arrangements are available to enable senior management representatives of **NGET** and **Users**, who are authorised to make binding decisions on behalf of **NGET** or the relevant **User**, as the case may be, to communicate with each other in the situation described in OC9.1.3.

OC9.2.4 To describe the role that in respect of **Scottish Transmission Systems, Relevant Scottish Transmission Licensees** may have in the restoration processes as detailed in the relevant **OC9 De-Synchronised Island Procedures** and **Local Joint Restoration Plans**.

OC9.2.5 To identify and address as far as possible the events and processes necessary to enable the restoration of the **Total System**, after a **Total Shutdown** or **Partial Shutdown**. This is likely to require the following key processes to be implemented, typically, but not necessarily, in the order given below:

- (i) Selectively implement **Local Joint Restoration Plans**
- (ii) Expand **Power Islands** to supply **Power Stations**
- (iii) Expand and merge **Power Islands** leading to **Total System** energisation
- (iv) Selectively reconnect **Demand**
- (v) Facilitate and co-ordinate returning the **Total System** back to normal operation
- (vi) Resumption of the **Balancing Mechanism** if suspended in accordance with the provisions of the **BSC**.

OC9.3 SCOPE

OC9.3.1 **OC9** applies to **NGET** and to **Users**, which in **OC9** means:-

- (a) Generators;
- (b) Network Operators; and
- (c) Non-Embedded Customers.

OC9.3.2 The procedure for the establishment of emergency support/contingency planning between **NGET** and **Externally Interconnected System Operators** is set out in the **Interconnection Agreement** with each **Externally Interconnected System Operator**.

OC9.3.3 In respect of **Scottish Transmission Systems**, OC9.4 and OC9.5 also apply to **Relevant Scottish Transmission Licensees**.

OC9.4 BLACK START

Total Shutdown And Partial Shutdown

OC9.4.1 A "**Total Shutdown**" is the situation existing when all generation has ceased and there is no electricity supply from **External Interconnections**. Therefore, the **Total System** has shutdown with the result that it is not possible for the **Total System** to begin to function again without **NGET's** directions relating to a **Black Start**.

OC9.4.2 A "**Partial Shutdown**" is the same as a **Total Shutdown** except that all generation has ceased in a separate part of the **Total System** and there is no

electricity supply from **External Interconnections** or other parts of the **Total System** to that part of the **Total System**. Therefore, that part of the **Total System** is shutdown with the result that it is not possible for that part of the **Total System** to begin to function again without **NGET's** directions relating to a **Black Start**.

OC9.4.3 During a **Total Shutdown** or **Partial Shutdown** and during the subsequent recovery, the **Licence Standards** may not apply and the **Total System** may be operated outside normal voltage and **Frequency** standards.

OC9.4.4 In a **Total Shutdown** and in a **Partial Shutdown** and during the subsequent recovery, it is likely to be necessary for **NGET** to issue **Emergency Instructions** in accordance with BC2.9.

OC9.4.5 Black Start Stations

OC9.4.5.1 Certain **Power Stations** ("**Black Start Stations**") are registered, pursuant to the **Bilateral Agreement** with a **User**, as having an ability for at least one of its **Gensets** to **Start-Up** from **Shutdown** and to energise a part of the **Total System**, or be **Synchronised** to the **System**, upon instruction from **NGET** within two hours, without an external electrical power supply ("**Black Start Capability**").

OC9.4.5.2 For each **Black Start Station**, a **Local Joint Restoration Plan** will be produced jointly by **NGET**, the relevant **Generator** and **Network Operator** in accordance with the provisions of OC9.4.7.12. The **Local Joint Restoration Plan** will detail the agreed method and procedure by which a **Genset** at a **Black Start Station** (possibly with other **Gensets** at that **Black Start Station**) will energise part of the **Total System** and meet complementary local **Demand** so as to form a **Power Island**.

OC9.4.5.3 In respect of **Scottish Transmission Systems**, a **Local Joint Restoration Plan** may cover more than one **Black Start Station** and may be produced with and include obligations on **Relevant Scottish Transmission Licensees**, **Generators** responsible for **Gensets** not at a **Black Start Station** and other **Users**.

OC9.4.6 **Black Start** Situation

In the event of a **Total Shutdown** or **Partial Shutdown**, **NGET** will, as soon as reasonably practical, inform **Users** (or, in the case of a **Partial Shutdown**, **Users** which in **NGET's** opinion need to be informed) and the **BSCCo** that a **Total Shutdown**, or, as the case may be, a **Partial Shutdown**, exists and that **NGET** intends to implement a **Black Start**. **NGET** shall (as soon as is practicable) determine, in its reasonable opinion, the time and date with effect from which the **Total Shutdown** or **Partial Shutdown** commenced and notify **BSCCo** of that time and date.

In the event of a **Total Shutdown** and following such notification, in accordance with the provisions of the **BSC**, the **BSCCo** will determine the **Settlement Period** with effect from which the **Balancing Mechanism** is suspended.

In the event of a **Partial Shutdown** and following such notification, the **Balancing Mechanism** will not be suspended until such time and date that the **Market Suspension Threshold** has been met, or deemed to have been met, in accordance with the provisions of the **BSC**. **NGET** shall carry out the monitoring activities required by paragraph G3.1 of the **BSC**.

Following determination by **NGET** pursuant to its obligations under the **BSC** that the **Market Suspension Threshold** has been met, or deemed to have been met, **NGET** shall (as soon as practicable) inform the **BSCCo** of that time and date at which the **Market Suspension Threshold** was met, or deemed to have been met, and the **BSCCo** will determine the **Settlement Period** in accordance with the provisions of the **BSC** with effect from which the **Balancing Mechanism** will be suspended.

Should **NGET** determine that the **Total System** is capable of returning to normal operation without meeting the **Market Suspension Threshold**, **NGET** will follow the procedure given in OC9.4.7.9.

The **Black Start** will conclude with effect from the time and date determined in accordance with OC9.4.7.10.

In respect of **Scottish Transmission Systems**, in exceptional circumstances, as specified in the **Local Joint Restoration Plan**, **SPT** or **SHETL**, may invoke such **Local Joint Restoration Plan** for its own **Transmission System** and **Scottish Offshore Transmission Systems** connected to it and operate within its provisions.

OC9.4.7 **Black Start**

OC9.4.7.1 The procedure necessary for a recovery from a **Total Shutdown** or **Partial Shutdown** is known as a "**Black Start**". The procedure for a **Partial Shutdown** is the same as that for a **Total Shutdown** except that it applies only to a part of the **Total System**. It should be remembered that a **Partial Shutdown** may affect parts of the **Total System** which are not themselves shutdown.

OC9.4.7.2 The complexities and uncertainties of recovery from a **Total Shutdown** or **Partial Shutdown** require that **OC9** is sufficiently flexible in order to accommodate the full range of **Genset** and **Total System** characteristics and operational possibilities, and this precludes the setting out in the **Grid Code** itself of concise chronological sequences. The overall strategy will, in general, include the overlapping phases of establishment of **Genset(s)** at an isolated **Power Station**, together with complementary local **Demand**, termed "**Power Islands**", step by step integration of these **Power Islands** into larger sub-systems which includes utilising the procedures in OC9.5 (**Re-Synchronisation of De-Synchronised Island**) and eventually re-establishment of the complete **Total System**.

NGET Instructions

OC9.4.7.3 The procedures for a **Black Start** will, therefore, be those specified by **NGET** at the time. These will normally recognise any applicable **Local Joint Restoration Plan**. **Users** shall abide by **NGET's** instructions during a **Black Start** situation, even if these conflict with the general overall strategy outlined in OC9.4.7.2 or any applicable **Local Joint Restoration Plan**. **NGET's** instructions may (although this list should not be regarded as exhaustive) be to a **Black Start Station** relating to the commencement of generation, to a **Network Operator** or **Non-Embedded Customer** relating to the restoration of **Demand**, and to a **Power Station** relating to preparation for commencement of generation when an external power supply is made available to it, and in each case may include the requirement to undertake switching.

In respect of **Scottish Transmission Systems SPT** and **SHETL** will act on **NGET's** behalf in accordance with its duties under the relevant **Local Joint Restoration Plan**. **Scottish Users** shall abide by **SPT's** or **SHETL's** instructions given in accordance with the **Local Joint Restoration Plan** during a **Black Start** situation.

OC9.4.7.4 (a) **Black Start following a Total Shutdown or where the Balancing Mechanism has been suspended following a Partial Shutdown**

During a **Black Start** situation where the **Balancing Mechanism** has been suspended, all instructions to **Power Stations** and to **Network Operators** will be deemed to be **Emergency Instructions** under BC2.9.2.2 (iii). All such **Emergency Instructions** will recognise any differing **Black Start** operational capabilities (however termed) set out in the relevant **Ancillary Services Agreement** in preference to the declared operational capability as registered pursuant to **BC1** (or as amended from time to time in accordance with the **BC**). For the purposes of these instructions the **Black Start** will be an emergency circumstance under BC2.9.

In Scotland, **Gensets** that are not at **Black Start Stations**, but which are part of a **Local Joint Restoration Plan**, may be instructed in accordance with the provisions of that **Local Joint Restoration Plan**.

(b) **Black Start following a Partial Shutdown where the Balancing Mechanism has not been suspended**

During a **Black Start** situation where the **Balancing Mechanism** has not been suspended, instructions in relation to **Black Start Stations** and to **Network Operators** which are part of an invoked **Local Joint Restoration Plan** will (unless **NGET** specifies otherwise) be deemed to be **Emergency Instructions** under BC2.9.2.2 (iv) and will recognise any differing **Black Start** operational capabilities (however termed) set out in the relevant **Ancillary Services Agreement** in preference to the declared operational capability as registered pursuant to **BC1** (or as amended from time to time in accordance with the **BC**). For the purposes of these instructions the **Black Start** will be an emergency circumstance under BC2.9.

During a **Black Start** situation where the **Balancing Mechanism** has not been suspended, **NGET** may issue instructions to **Users** other than **Black Start Stations** and **Network Operators** which are part of an invoked **Local Joint Restoration Plan**. Such instructions would be **Emergency Instructions** pursuant to BC2.9.1.2(e)(i) subject to the requirements of BC2.9.2.2 being met.

In Scotland, **Gensets** that are not at **Black Start Stations**, but which are part of an invoked **Local Joint Restoration Plan**, may be instructed in accordance with the provisions of that **Local Joint Restoration Plan**.

(c) If during the **Demand** restoration process any **Genset** cannot, because of the **Demand** being experienced, keep within its safe operating parameters, the **Generator** shall, unless a **Local Joint Restoration Plan** is in operation, inform **NGET**. **NGET** will, where possible, either instruct **Demand** to be altered or will re-configure the **National Electricity Transmission System** or will instruct a **User** to re-configure its **System** in order to alleviate the problem being experienced by the **Generator**. If a **Local Joint Restoration Plan** is in operation, then the arrangements set out therein shall apply. However, **NGET** accepts that any decision to keep a **Genset** operating, if outside its safe operating parameters, is one for the **Generator** concerned alone and accepts that the **Generator** may change generation on that **Genset** if it believes it is necessary for safety reasons (whether relating to personnel or **Plant** and/or **Apparatus**). If such a change is made without prior notice, then the **Generator** shall inform **NGET** as soon as reasonably practical (unless a **Local Joint Restoration Plan** is in operation in which case the arrangements set out therein shall apply).

Embedded Power Stations

OC9.4.7.5 Without prejudice to the provisions of OC9.4.7.8, **Network Operators** with **Embedded Power Stations** will comply with any directions of **NGET** to restore **Demand** to be met by the **Embedded Power Stations**.

Local Joint Restoration Plan operation

OC9.4.7.6

- (a) The following provisions apply in relation to a **Local Joint Restoration Plan**. As set out in OC9.4.7.3, **NGET** may issue instructions which conflict with a **Local Joint Restoration Plan**. In such cases, these instructions will take precedence over the requirements of the **Local Joint Restoration Plan**. When issuing such instructions, **NGET** shall state whether or not it wishes the remainder of the **Local Joint Restoration Plan** to apply. If, notwithstanding that **NGET** has stated that it wishes the remainder of the **Local Joint Restoration Plan** to apply, the **Generator** or the relevant **Network Operator** consider that **NGET's** instructions mean that it is not possible to operate the **Local Joint Restoration Plan** as modified by those instructions, any of them may give notice to **NGET** and the other parties to the **Local Joint Restoration Plan** to this effect and **NGET** shall immediately consult with all parties to the **Local Joint Restoration Plan**. Unless all parties to the **Local Joint Restoration Plan** reach an agreement forthwith as to how the **Local Joint Restoration Plan** shall operate in those circumstances, operation in accordance with the **Local Joint Restoration Plan** will terminate.
- (b) Where **NGET**, as part of a **Black Start**, has given an instruction to a **Black Start Station** to initiate **Start-Up**, the relevant **Genset(s)** at the **Black Start Station** will **Start-Up** in accordance with the **Local Joint Restoration Plan**.
- (c) **NGET** will advise the relevant **Network Operator** of the requirement to switch its **User System** so as to segregate its **Demand** and to carry out such other actions as set out in the **Local Joint Restoration Plan**. The relevant **Network Operator** will then operate in accordance with the provisions of the **Local Joint Restoration Plan**.
- (d) **NGET** will ensure that switching carried out on the **National Electricity Transmission System** and other actions are as set out in the **Local Joint Restoration Plan**.
- (e) Following notification from the **Generator** that the **Black Start Station** is ready to accept load, **NGET** will instruct the **Black Start Station** to energise part of the **Total System**. The **Black Start Station** and the relevant **Network Operator** will then, in accordance with the requirements of the **Local Joint Restoration Plan**, establish communication and agree the output of the relevant **Genset(s)** and the connection of **Demand** so as to establish a **Power Island**. During this period, the **Generator** will be required to regulate the output of the relevant **Genset(s)** at its **Black Start Station** to the **Demand** prevailing in the **Power Island** in which it is situated, on the basis that it will (where practicable) seek to maintain the **Target Frequency**. The **Genset(s)** at the **Black Start Station** will (where practical) also seek to follow the requirements relating to **Reactive Power** (which may include the requirement to maintain a target voltage) set out in the **Local Joint Restoration Plan**.
- (f) Operation in accordance with the **Local Joint Restoration Plan** will be terminated by **NGET** (by notifying the relevant **Users**) prior to connecting the **Power Island** to other **Power Islands** (other than, in Scotland, as allowed for in the **Local Joint Restoration Plan**), or to the **User System** of another **Network Operator**, or to the synchronising of **Gensets** at other **Power Stations** (other than, in Scotland, those forming part of the **Local Joint Restoration Plan**). Operation in accordance with the **Local Joint Restoration Plan** will also terminate in the circumstances provided for in OC9.4.7.6(a) if an agreement is not reached or if **NGET** states that it does not wish the remainder of the **Local Joint Restoration Plan** to apply. **Users** will then comply with the **Bid-Offer Acceptances** or **Emergency Instructions** of **NGET**.
- (g) In Scotland, **Gensets** included in a **Local Joint Restoration Plan**, but not at a **Black Start Station**, will operate in accordance with the requirements of the **Local Joint Restoration Plan**.

Interconnection of Power Islands

OC9.4.7.7 **NGET** will instruct the relevant **Users** so as to interconnect **Power Islands** to achieve larger sub-systems, and subsequently the interconnection of these sub-systems to form an integrated system. This should eventually achieve the re-establishment of the **Total System** or that part of the **Total System** subject to the **Partial Shutdown**, as the case may be. The interconnection of **Power Islands** and sub-systems will utilise the provisions of all or part of OC9.5 (**Re-Synchronisation of De-synchronised Islands**) and in such a situation such provisions will be part of the **Black Start**.

OC9.4.7.8 As part of the **Black Start** strategy each **Network Operator** with either an **Embedded Black Start Station** which has established a **Power Island** within its **User System** or with any **Embedded Power Stations** within its **User System** which have become islanded, may in liaison with **NGET** sustain and expand these islands in accordance with the relevant provisions of OC9.5 which shall apply to this OC9.4 as if set out here. They will inform **NGET** of their actions and will not **Re-Synchronise** to the **National Electricity Transmission System** or any

User's System which is already **Synchronised** to the **National Electricity Transmission System** without **NGET's** agreement.

Return the Total System Back to Normal Operation

OC9.4.7.9 **NGET** shall, as soon as reasonably practical, inform **Users** and the **BSCCo** when the **Total System** could return to normal operation. Any such determination by **NGET** does not mean that the provisions of Section G paragraph 3 (Black Start) of the **BSC** shall cease to apply.

In making the determination that the **Total System** could return to normal operation, **NGET**, would consider, amongst other things, the following areas:

- (a) the extent to which the **National Electricity Transmission System** is contiguous and energised;
- (b) the integrity and stability of the **National Electricity Transmission System** and its ability to operate in accordance with the **Licence Standards**;
- (c) the impact that returning to normal may have on transmission constraints and the corresponding ability to maximise the **Demand** connected; and
- (d) the volume of generation or **Demand** not connected to the **National Electricity Transmission System**; and
- (e) the functionality of normal communication systems (i.e. electronic data communication facilities, **Control Telephony**, etc).

In the event that the **Balancing Mechanism** has been suspended, it will not resume until the start of the **Settlement Period** determined by the **BSC Panel** in accordance with paragraph G3.1.2(d)(i) of the **BSC**.

For the avoidance of doubt, until resumption of the **Balancing Mechanism**, **NGET** is likely to continue to issue **Emergency Instructions** in accordance with BC2.9.

Users shall use reasonable endeavours to submit **Physical notifications** ten hours prior to the start of the **Settlement Period** determined by the **BSC Panel** in accordance with paragraph G3.1.2(d)(i) of the **BSC** and as notified by **NGET** to **Users**, in preparation for a return to normal operations.

In the event that the **Balancing Mechanism** has not been suspended and **NGET** has determined that the **Total System** has returned to normal operation, **NGET** shall inform **Users** and the **BSCCo** as soon as possible of the time and date at which (in **NGET's** determination) the **Total System** returned to normal operation.

Conclusion of Black Start

OC9.4.7.10 The provisions of this **OC9** shall cease to apply with effect from either:

(a) Where the **Balancing Mechanism** was suspended, the start of the **Settlement Period** that the **Balancing Mechanism** resumed normal operation, as determined by the **BSC Panel** and notified by the **BSCCo** in accordance with the provisions of the **BSC**; or

(b) Where the **Balancing Mechanism** was not suspended, the end of the **Settlement Period** determined and notified by the **BSCCo** (in accordance with the provisions of the **BSC**) and corresponding to the time and date that **NGET** determined that the **Total System** had returned to normal operation.

Externally Interconnected System Operators

OC9.4.7.11 During a **Black Start**, **NGET** will, pursuant to the **Interconnection Agreement** with **Externally Interconnected System Operators**, agree with **Externally Interconnected System Operators** when their transmission systems can be **Re-Synchronised** to the **Total System**, if they have become separated.

Local Joint Restoration Plan Establishment

(a) In England and Wales, in relation to each **Black Start Station**, **NGET**, the **Network Operator** and the relevant **Generator** will discuss and agree a **Local Joint Restoration Plan**. Where at the date of the first inclusion of this OC9.4.7.12 into the **Grid Code** a local plan covering the procedures to be covered in a **Local Joint Restoration Plan** is in existence and agreed, **NGET** will discuss this with the **Network Operator** and the relevant **Generator** to agree whether it is consistent with the principles set out in this OC9.4. If it is agreed to be so consistent, then it shall become a **Local Joint Restoration Plan** under this **OC9** and the relevant provisions of OC9.4.7.12(b) shall apply. If it is not agreed to be so consistent, then the provisions of OC9.4.7.12(b) shall apply as if there is no **Local Joint Restoration Plan** in place.

In respect of **Scottish Transmission Systems** where a requirement for a **Local Joint Restoration Plan** is identified, **NGET**, the **Relevant Scottish Transmission Licensee(s)**, the **Network Operator** and **Black Start Station(s)** will discuss and agree a **Local Joint Restoration Plan**. In addition other **Users**, including other **Generators**, may be reasonably required by **NGET** to discuss and agree a **Local Joint Restoration Plan**.

(b) In England and Wales, where the need for a **Local Joint Restoration Plan** arises when there is none in place, the following provisions shall apply:

(i) **NGET**, the **Network Operator** and the relevant **Generator** will discuss and agree the detail of the **Local Joint Restoration Plan** as soon as the requirement for a **Local Joint Restoration Plan** is identified by **NGET**. **NGET** will notify all affected **Users**, and will initiate these discussions.

(ii) Each **Local Joint Restoration Plan** will be in relation to a specific **Black Start Station**.

(iii) The **Local Joint Restoration Plan** will record which **Users** and which **User Sites** are covered by the **Local Joint Restoration Plan** and set out what is required from **NGET** and each **User** should a **Black Start** situation arise.

(iv) Each **Local Joint Restoration Plan** shall be prepared by **NGET** to reflect the above discussions and agreement.

(v) Each page of the **Local Joint Restoration Plan** shall bear a date of issue and the issue number.

- (vi) When a **Local Joint Restoration Plan** has been prepared, it shall be sent by **NGET** to the **Users** involved for confirmation of its accuracy.
- (vii) The **Local Joint Restoration Plan** shall then (if its accuracy has been confirmed) be signed on behalf of **NGET** and on behalf of each relevant **User** by way of written confirmation of its accuracy.
- (viii) Once agreed under this OC9.4.7.12, the procedure will become a **Local Joint Restoration Plan** under the **Grid Code** and (subject to any change pursuant to this OC9) will apply between **NGET** and the relevant **Users** as if it were part of the **Grid Code**.
- (ix) Once signed, a copy of the **Local Joint Restoration Plan** will be distributed by **NGET** to each **User** which is a party to it accompanied by a note indicating the date of implementation.
- (x) **NGET** and **Users** must make the **Local Joint Restoration Plan** readily available to the relevant operational staff.
- (xi) If **NGET**, or any **User** which is a party to a **Local Joint Restoration Plan**, becomes aware that a change is needed to that **Local Joint Restoration Plan**, it shall (in the case of **NGET**) initiate a discussion between **NGET** and the relevant **Users** to seek to agree the relevant change. If a **User** becomes so aware, it shall contact **NGET** who will then initiate such discussions. The principles applying to establishing a new **Local Joint Restoration Plan** under this OC9.4.7.12 shall apply to such discussions and to any consequent changes.
- (xii) **NGET**, the **Network Operator** and the relevant **Generator** will conduct regular joint exercises of the **Local Joint Restoration Plan** to which they are parties. The objectives of such exercises include:
 - To test the effectiveness of the **Local Joint Restoration Plan**;
 - To provide for joint training of the parties in respect of the **Local Joint Restoration Plan**;
 - To maintain the parties' awareness and familiarity of the **Local Joint Restoration Plan**;
 - To promote understanding of each parties' roles under a **Local Joint Restoration Plan**;
 - To identify any improvement areas which should be incorporated in to the **Local Joint Restoration Plan**.
 - The principles applying to the establishment of a new **Local Joint Restoration Plan** under this OC9.4.7.12 shall apply to any changes to the **Local Joint Restoration Plan**.

NGET will propose to the parties of a **Local Joint Restoration Plan** a date for the exercise to take place, to be agreed with the other parties. All the **Local Joint Restoration Plan** parties will jointly share the task of planning, preparing, participating in and facilitating the exercises, which will normally be in desktop format or as otherwise agreed. The precise timing of the exercise for each **Local Joint Restoration Plan** will be agreed by all parties, but will not be less than one every 8 years.

- (c) In respect of **Scottish Transmission Systems**, where the need for a **Local Joint Restoration Plan** arises, the following provisions shall apply:

- (i) **NGET**, the **Relevant Scottish Transmission Licensee(s)**, the **Network Operator** and the relevant **Generator** will discuss and agree the detail of the **Local Joint Restoration Plan** as soon as the requirement for a **Local Joint Restoration Plan** is identified by **NGET**. In addition other **Scottish Users**, including other **Generators**, may be reasonably required by **NGET** to discuss and agree details of the **Local Joint Restoration Plan** as soon as the requirement for a **Local Joint Restoration Plan** is identified by **NGET**. **NGET** will notify the **Relevant Scottish Transmission Licensee(s)** and all affected **Scottish Users**, and will initiate these discussions.
- (ii) Each **Local Joint Restoration Plan** may be in relation to either a specific **Black Start Station** or a number of **Black Start Stations**, and may include **Gensets** at **Power Stations** other than a **Black Start Station**.
- (iii) The **Local Joint Restoration Plan** will record which **Scottish Users** and which **Scottish User Sites** are covered by the **Local Joint Restoration Plan** and set out what is required from **NGET**, the **Relevant Scottish Transmission Licensee(s)** and each **Scottish User** should a **Black Start** situation arise.
- (iv) Each **Local Joint Restoration Plan** shall be prepared by **NGET** to reflect the above discussions and agreement.
- (v) Each page of the **Local Joint Restoration Plan** shall bear a date of issue and the issue number.
- (vi) When a **Local Joint Restoration Plan** has been prepared, it shall be sent by **NGET** to the **Relevant Scottish Transmission Licensee(s)** and **Scottish Users** involved for confirmation of its accuracy.
- (vii) The **Local Joint Restoration Plan** shall then (if its accuracy has been confirmed) be signed on behalf of **NGET** and on behalf of each relevant **Scottish User** and **Relevant Scottish Transmission Licensee(s)** by way of written confirmation of its accuracy.
- (viii) Once agreed under this OC9.4.7.12, the procedure will become a **Local Joint Restoration Plan** under the **Grid Code** and (subject to any change pursuant to this **OC9**) will apply between **NGET**, **Relevant Scottish Transmission Licensee(s)** and the relevant **Scottish Users** as if it were part of the **Grid Code**.
- (ix) Once signed, a copy of the **Local Joint Restoration Plan** will be distributed by **NGET** to the **Relevant Scottish Transmission Licensee(s)** and each **Scottish User** which is a party to it accompanied by a note indicating the date of implementation.
- (x) **NGET**, the **Relevant Scottish Transmission Licensee(s)** and **Scottish Users** must make the **Local Joint Restoration Plan** readily available to the relevant operational staff.
- (xi) If **NGET**, the **Relevant Scottish Transmission Licensee(s)** or any **Scottish User** which is a party to a **Local Joint Restoration Plan**, becomes aware that a change is needed to that **Local Joint Restoration Plan**, it shall (in the case of **NGET**) initiate a discussion between **NGET**, the **Relevant Scottish Transmission Licensee(s)** and the relevant **Scottish Users** to seek to agree the relevant change. If a **Scottish User** or a **Relevant Scottish Transmission Licensee** becomes so aware, it shall contact **NGET** who will then initiate such discussions. The principles applying to establishing a new **Local Joint Restoration Plan** under this OC9.4.7.12 shall apply to such discussions and to any consequent changes.
- (xii) **NGET**, the **Relevant Scottish Transmission Licensee(s)**, the **Network Operator** and the relevant **Generator** will conduct regular joint exercises of the **Local Joint Restoration Plan** to which they are parties. The objectives of such exercises include:
 - To test the effectiveness of the **Local Joint Restoration Plan**;

- To provide for joint training of the parties in respect of the **Local Joint Restoration Plan**;
- To maintain the parties' awareness and familiarity of the **Local Joint Restoration Plan**;
- To promote understanding of each parties' roles under a **Local Joint Restoration Plan**;
- To identify any improvement areas which should be incorporated in to the **Local Joint Restoration Plan**.
- The principles applying to the establishment of a new **Local Joint Restoration Plan** under this OC9.4.7.12 shall apply to any changes to the **Local Joint Restoration Plan**.

NGET will propose to the parties of a **Local Joint Restoration Plan** a date for the exercise to take place, to be agreed with the other parties. All the **Local Joint Restoration Plan** parties will jointly share the task of planning, preparing, participating in and facilitating the exercises, which will normally be in desktop format or as otherwise agreed. The precise timing of the exercise for each **Local Joint Restoration Plan** will be agreed by all parties, but will not be less than one every 8 years.

OC9.5 RE-SYNCHRONISATION OF DE-SYNCHRONISED ISLANDS

The provisions in this OC9.5 do not apply to the parts of the **Total System** that normally operate **Out of Synchronism** with the rest of the **National Electricity Transmission System**.

Further requirements, including the provision of information, applying to **Re-synchronisation** of **De-synchronised Islands** following any **Total Shutdown** or **Partial Shutdown** are detailed in OC9.5.6.

- OC9.5.1
- (a) Where parts of the **Total System** are **Out of Synchronism** with each other (each such part being termed a "**De-Synchronised Island**"), but there is no **Total Shutdown** or **Partial Shutdown**, **NGET** will instruct **Users** to regulate generation or **Demand**, as the case may be, to enable the **De-Synchronised Islands** to be **Re-Synchronised** and **NGET** will inform those **Users** when **Re-Synchronisation** has taken place.
 - (b) As part of that process, there may be a need to deal specifically with **Embedded** generation in those **De-Synchronised Islands**. This OC9.5 provides for how such **Embedded** generation should be dealt with. In Scotland, this OC9.5 also provides for how **Transmission** connected generation in **De-Synchronised Islands** should be dealt with.
 - (c) In accordance with the provisions of the **BC**, **NGET** may decide that, to enable **Re-Synchronisation**, it will issue **Emergency Instructions** in accordance with BC2.9 and it may be necessary to depart from normal **Balancing Mechanism** operation in accordance with **BC2** in issuing **Bid-Offer Acceptances**.
 - (d) The provisions of this OC9.5 shall also apply during a **Black Start** to the **Re-Synchronising** of parts of the **System** following a **Total** or **Partial Shutdown**, as indicated in OC9.4. In such cases, the provisions of the OC9.5 shall apply following completion and/or termination of the relevant **Local Joint Restoration Plan(s)** process as referred to in OC9.4.7.6(f).

OC9.5.2 Options

Generation in those **De-Synchronised Islands** may be dealt with in three different ways, more than one of which may be utilised in relation to any particular incident:-

OC9.5.2.1 Indirect Data

- (a) **NGET**, each **Generator** with **Synchronised** (or connected and available to generate although not **Synchronised**) **Genset(s)** in the **De-Synchronised Island** and the **Network Operator** whose **User System** forms all or part of the **De-Synchronised Island** shall exchange information as set out in this OC9.5.2.1 to enable **NGET** to issue a **Bid-Offer Acceptance** or an **Emergency Instruction** to that **Generator** in relation to its **Genset(s)** in the **De-Synchronised Island** until **Re-Synchronisation** takes place, on the basis that it will (where practicable) seek to maintain the **Target Frequency**.
- (b) The information to **NGET** from the **Generator** will cover its relevant operational parameters as outlined in the **BC** and from **NGET** to the **Generator** will cover data on **Demand** and changes in **Demand** in the **De-Synchronised Island**.
- (c) The information from the **Network Operator** to **NGET** will comprise data on **Demand** in the **De-Synchronised Island**, including data on any constraints within the **De-Synchronised Island**.
- (d) **NGET** will keep the **Network Operator** informed of the **Bid-Offer Acceptances** or **Emergency Instructions** it is issuing to **Embedded Genset(s)** within the **Network Operator's User System** forming part of the **De-Synchronised Island**.

OC9.5.2.2 Direct Data

- (a) **NGET** will issue an **Emergency Instruction** and/or a **Bid-Offer Acceptance**, to the **Generator** to "float" local **Demand** and maintain **Frequency** at **Target Frequency**. Under this the **Generator** will be required to regulate the output of its **Genset(s)** at the **Power Station** in question to the **Demand** prevailing in the **De-Synchronised Island** in which it is situated, until **Re-Synchronisation** takes place, on the basis that it will (where practicable) seek to maintain the **Target Frequency**.
- (b) The **Network Operator** is required to be in contact with the **Generator** at the **Power Station** to supply data on **Demand** changes within the **De-Synchronised Island**.
- (c) If more than one **Genset** is **Synchronised** on the **De-Synchronised Island**, or is connected to the **De-Synchronised Island** and available to generate although not **Synchronised**, the **Network Operator** will need to liaise with **NGET** to agree which **Genset(s)** will be utilised to accommodate changes in **Demand** in the **De-Synchronised Island**. The **Network Operator** will then maintain contact with the relevant **Generator** (or **Generators**) in relation to that **Genset(s)**.
- (d) The **Generator** at the **Power Station** must contact the **Network Operator** if the level of **Demand** which it has been asked to meet as a result of the **Emergency Instruction** and/or **Bid-Offer Acceptance** to "float" and the detail on **Demand** passed on by the **Network Operator**, is likely to cause problems for safety reasons (whether relating to personnel or **Plant** and/or **Apparatus**) in the operation of its **Genset(s)**, in order that the **Network Operator** can alter the level of **Demand** which that **Generator** needs to meet. Any decision to operate outside any relevant parameters is one entirely for the **Generator**.

OC9.5.2.3 Control Features

- (a) A system may be established in relation to a part of the **National Electricity Transmission System** and a **Network Operator's User System**, if agreed between **NGET** and the **Network Operator** and any relevant **Generator(s)**, whereby upon a defined fault(s) occurring, manual or automatic control features will operate to protect the **National Electricity Transmission System** and relevant **Network Operator's User System** and **Genset(s)** and simplify the restoration of **Demand** in the **De-Synchronised Island**.
- (b) In agreeing the establishment of such a system of control features **NGET** will need to consider its impact on the operation of the **National Electricity Transmission System**.

OC9.5.2.4 Absence of Control Features System

If a system of control features under OC9.5.2.3 has not been agreed as part of an **OC9 De-Synchronised Island Procedure** under OC9.5.4 below, **NGET** may choose to utilise the procedures set out in OC9.5.2.1 or OC9.5.2.2, or may instruct the **Genset(s)** (or some of them) in the **De-Synchronised Island** to **De-Synchronise**.

OC9.5.3 Choice Of Option

In relation to each of the methods set out in OC9.5.2, where a **De-Synchronised Island** has come into existence and where an **OC9 De-Synchronised Island Procedure** under OC9.5.4 has been agreed, **NGET**, the **Network Operator** and relevant **Generator(s)** will operate in accordance with that **OC9 De-Synchronised Islands Procedure** unless **NGET** considers that the nature of the **De-Synchronised Island** situation is such that either:-

- (i) the **OC9 De-Synchronised Island Procedure** does not cover the situation; or
- (ii) the provisions of the **OC9 De-Synchronised Island Procedure** are not appropriate,

in which case **NGET** will instruct the relevant **Users** and the **Users** will comply with **NGET's** instructions (which in the case of **Generators** will relate to generation and in the case of **Network Operators** will relate to **Demand**).

OC9.5.4 Agreeing Procedures

In relation to each relevant part of the **Total System**, **NGET**, the **Network Operator** and the relevant **Generator** will discuss and may agree a local procedure (an "**OC9 De-Synchronised Island Procedure**").

OC9.5.4.1 Where there is no relevant local procedure in place at 12th May 1997, or in the case where the need for an **OC9 De-Synchronised Island Procedure** arises for the first time, the following provisions shall apply:

- (a) **NGET**, the **Network Operator(s)** and the relevant **Generator(s)** will discuss the need for, and the detail of, the **OC9 De-Synchronised Island Procedure**. As soon as the need for an **OC9 De-Synchronised Island Procedure** is identified by **NGET** or a **User**, and the party which identifies such a need will notify all affected **Users** (and **NGET**, if that party is a **User**), and **NGET** will initiate these discussions.
- (b) Each **OC9 De-Synchronised Island Procedure** will be in relation to a specific **Grid Supply Point**, but if there is more than one **Grid Supply Point** between **NGET** and the **Network Operator** then the **OC9 De-Synchronised Island Procedure** may cover all relevant **Grid Supply Points**. In Scotland, the **OC9 De-Synchronised Island Procedure** may also cover parts of the **National Electricity Transmission System** connected to the **User's System(s)** and **Power Stations** directly connected to the **National Electricity Transmission System** which are also likely to form part of the **Power Island**.
- (c) The **OC9 De-Synchronised Island Procedure** will:
 - (i) record which **Users** and which **User Sites** are covered by the **OC9 De-Synchronised Island Procedure**;
 - (ii) record which of the three methods set out in OC9.5 (or combination of the three) shall apply, with any conditions as to applicability being set out as well;
 - (iii) set out what is required from **NGET** and each **User** should a **De-Synchronised Island** arise;
 - (iv) set out what action should be taken if the **OC9 De-Synchronised Island Procedure** does not cover a particular set of circumstances and will reflect that in the absence of any specified action, the provisions of OC9.5.3 will apply;
 - (v) in respect of **Scottish Transmission Systems**, the **OC9 De-Synchronised Island Procedure** may be produced with and include obligations on the **Relevant Scottish Transmission Licensee(s)** ; and
 - (vi) in respect of **Scottish Transmission Systems**, where the **OC9 De-Synchronised Island Procedure** includes the establishment of a **De-synchronised Island**, describe the route for establishment of the **De-Synchronised Island**.
- (d) Each **OC9 De-Synchronised Island Procedure** shall be prepared by **NGET** to reflect the above discussions.
- (e) Each page of the **OC9 De-Synchronised Island Procedure** shall bear a date of issue and the issue number.
- (f) When an **OC9 De-Synchronised Island Procedure** is prepared, it shall be sent by **NGET** to the **Users** involved for confirmation of its accuracy.
- (g) The **OC9 De-Synchronised Island Procedure** shall then be signed on behalf of **NGET** and on behalf of each relevant **User** by way of written confirmation of its accuracy.
- (h) Once agreed under this OC9.5.4.1, the procedure will become an **OC9 De-Synchronised Island Procedure** under the **Grid Code** and (subject to any change pursuant to this OC9) will apply between **NGET**, **Relevant Transmission Licensee** and the relevant **Users** as if it were part of the **Grid Code**.
- (i) Once signed, a copy will be distributed by **NGET** to each **User** which is a party accompanied by a note indicating the issue number and the date of implementation.

- (j) **NGET** and **Users** must make the **OC9 De-Synchronised Island Procedure** readily available to the relevant operational staff.
- (k) If a new **User** connects to the **Total System** and needs to be included with an existing **OC9 De-Synchronised Island Procedure**, **NGET** will initiate a discussion with that **User** and the **Users** which are parties to the relevant **OC9 De-Synchronised Island Procedure**. The principles applying to a new **OC9 De-Synchronised Island Procedure** under this OC9.5.4.1 shall apply to such discussions and to any consequent changes.
- (l) If **NGET**, or any **User** which is a party to an **OC9 De-Synchronised Island Procedure**, becomes aware that a change is needed to that **OC9 De-Synchronised Island Procedure**, it shall (in the case of **NGET**) initiate a discussion between **NGET** and the relevant **Users** to seek to agree the relevant change. The principles applying to establishing a new **OC9 De-Synchronised Island Procedure** under this OC9.5.4.1 shall apply to such discussions and to any consequent changes. If a **User** becomes so aware, it shall contact **NGET** who will then initiate such discussions.
- (m) If in relation to any discussions, agreement cannot be reached between **NGET** and the relevant **Users**, **NGET** will operate the **System** on the basis that it will discuss which of the three methods set out in OC9.5.2.1 to OC9.5.2.3 would be most appropriate at the time, if practicable. The complexities and uncertainties of recovery from a **De-Synchronised Island** means that **NGET** will decide, having discussed the situation with the relevant **Users** and taking into account the fact that the three methods may not cover the situation or be appropriate, the approach which is to be followed. **NGET** will instruct the relevant **Users** and the **Users** will comply with **NGET's** instructions as provided in OC9.5.3.

OC9.5.4.2 Where there is a relevant local procedure in place at 12th May 1997, the following provisions shall apply:

- (a) **NGET** and the **Network Operator** and the relevant **Generator(s)** will discuss the existing procedure to see whether it is consistent with the principles set out in this OC9.5.
- (b) If it is, then it shall become an **OC9 De-Synchronised Island Procedure** under this **OC9**, and the relevant provisions of OC9.5.4.1 shall apply.
- (c) If it is not, then the parties will discuss what changes are needed to ensure that it is consistent, and once agreed the procedure will become an **OC9 De-Synchronised Island Procedure** under this **OC9**, and the relevant provisions of OC9.5.4.1 shall apply.
- (d) If agreement cannot be reached between **NGET** and the relevant **Users** after a reasonable period of time, the existing procedure will cease to apply and **NGET** will operate the **System** on the basis that it will discuss which of the three methods set out in OC9.5.2.1 to OC9.5.2.3 would be most appropriate at the time, if practicable. The complexities and uncertainties of recovery from a **De-Synchronised Island** means that **NGET** will decide, having discussed the situation with the relevant **Users** and taking into account the fact that the three methods may not cover the situation or be appropriate, the approach which is to be followed. **NGET** will instruct the relevant **Users** and the **Users** will comply with **NGET's** instructions as provided in OC9.5.3.

OC9.5.5 Where the **National Electricity Transmission System** is **Out of Synchronism** with the **Transmission System** of an **Externally Interconnected System Operator**, **NGET** will, pursuant to the **Interconnection Agreement** with that **Externally Interconnected System Operator**, agree with that **Externally Interconnected System Operator** when its **Transmission System** can be **Re-Synchronised** to the **National Electricity Transmission System**.

OC9.5.6 Further requirements regarding **Re-synchronisation** of **De-synchronised Islands** following any **Total Shutdown** or **Partial Shutdown**

Following any **Total Shutdown** or **Partial Shutdown** **NGET** expects that it will be necessary to interconnect **Power Islands** utilising the provisions of OC9.5. The complexities and uncertainties of recovery from a **Total Shutdown** or **Partial Shutdown** requires the provisions of OC9.5 to be flexible, however, the strategies which **NGET** will, where practicable, be seeking to follow when **Re-synchronising De-synchronised Islands** following any **Total Shutdown** or **Partial Shutdown**, include the following:

- (a) the provision of supplies to appropriate **Power Stations** to facilitate their synchronisation as soon as practicable;
- (b) energisation of a skeletal **National Electricity Transmission System**;
- (c) the strategic restoration of **Demand** in co-ordination with relevant **Network Operators**.

As highlighted in OC9.4.3, during a **Total Shutdown** or **Partial Shutdown** and during the subsequent recovery, which includes any period during which the procedures in this OC9.5 apply, the **Licence Standards** may not apply and the **Total System** may be operated outside normal voltage and **Frequency** standards.

OC9.5.7 To manage effectively and co-ordination the restoration strategies of the **Total System** (any **Re-Synchronisation** of **De-Synchronised Islands**) following any **Total Shutdown** or **Partial Shutdown**, requires **NGET** and relevant **Users** to undertake certain planning activities as set out below:

- (a) **NGET** and **Network Operators** shall review on a regular basis the processes by which each **Power Island** will be interconnected. This is likely to cover an exchange of information regarding the typical size, location and timing requirements for **Demand** to be reconnected and also include details (ability to change/disable) of the low frequency trip relay settings of the **Demand** identified.
- (b) Each **Generator** shall provide to **NGET** information to assist **NGET** in the formulation of the restoration strategies of **Power Island** expansion. This information shall be provided in accordance with PC.A.5.7.

OC9.6 JOINT SYSTEM INCIDENT PROCEDURE

OC9.6.1 A "**Joint System Incident**" is

- (a) an **Event**, wherever occurring (other than on an **Embedded Small Power Station** or **Embedded Medium Power Station**), which, in the opinion of **NGET** or a **User**, has or may have a serious and/or widespread effect.
- (b) In the case of an **Event** on a **User(s) System(s)** (other than on an **Embedded Small Power Station** or **Embedded Medium Power Station**), the effect must be on the **National Electricity Transmission System**, and in the case of an **Event** on the **National Electricity Transmission System**, the effect must be on a **User(s) System(s)** (other than on an **Embedded Small Power Station** or **Embedded Medium Power Station**).

Where an **Event** on a **User(s) System(s)** has or may have no effect on the **National Electricity Transmission System**, then such an **Event** does not fall within **OC9** and accordingly **OC9** shall not apply to it.

- OC9.6.2
- (a) (i) Each **User** (other than **Generators** which only have **Embedded Small Power Stations** and/or **Embedded Medium Power Stations**) will provide in writing to **NGET**, and
 - (ii) **NGET** will provide in writing to each **User** (other than **Generators** which only have **Embedded Small Power Stations** and/or **Embedded Medium Power Stations**), a telephone number or numbers at which, or through which, senior management representatives nominated for this purpose and who are fully authorised to make binding decisions on behalf of **NGET** or the relevant **User**, as the case may be, can be contacted day or night when there is a **Joint System Incident**.

- (b) The lists of telephone numbers will be provided in accordance with the timing requirements of the **Bilateral Agreement** and/or **Construction Agreement** with that **User**, prior to the time that a **User** connects to the **National Electricity Transmission System** and must be up-dated (in writing) as often as the information contained in them changes.
- OC9.6.3 Following notification of an **Event** under **OC7**, **NGET** or a **User**, as the case may be, will, if it considers necessary, telephone the **User** or **NGET**, as the case may be, on the telephone number referred to in OC9.6.2, to obtain such additional information as it requires.
- OC9.6.4 Following notification of an **Event** under **OC7**, and/or the receipt of any additional information requested pursuant to OC9.6.3, **NGET** or a **User**, as the case may be, will determine whether or not the **Event** is a **Joint System Incident**, and, if so, **NGET** and/or the **User** may set up an **Incident Centre** in order to avoid overloading the existing **NGET** or that **User's**, as the case may be, operational/control arrangements.
- OC9.6.5 Where **NGET** has determined that an **Event** is a **Joint System Incident**, **NGET** shall, as soon as possible, notify all relevant **Users** that a **Joint System Incident** has occurred and, if appropriate, that it has established an **Incident Centre** and the telephone number(s) of its **Incident Centre** if different from those already supplied pursuant to OC9.6.2.
- OC9.6.6 If a **User** establishes an **Incident Centre** it shall, as soon as possible, notify **NGET** that it has been established and the telephone number(s) of the **Incident Centre** if different from those already supplied pursuant to OC9.6.2.
- OC9.6.7 **NGET's Incident Centre** and/or the **User's Incident Centre** will not assume any responsibility for the operation of the **National Electricity Transmission System** or **User's System**, as the case may be, but will be the focal point in **NGET** or the **User**, as the case may be, for:
- (a) the communication and dissemination of information between **NGET** and the senior management representatives of **User(s)**; or
 - (b) between the **User** and the senior management representatives of **NGET**, as the case may be,
- relating to the **Joint System Incident**. The term "**Incident Centre**" does not imply a specially built centre for dealing with **Joint System Incidents**, but is a communications focal point. During a **Joint System Incident**, the normal communication channels, for operational/control communication between **NGET** and **Users** will continue to be used.
- OC9.6.8 All communications between the senior management representatives of the relevant parties with regard to **NGET's** role in the **Joint System Incident** shall be made via **NGET's Incident Centre** if it has been established.
- OC9.6.9 All communications between the senior management representatives of **NGET** and a **User** with regard to that **User's** role in the **Joint System Incident** shall be made via that **User's Incident Centre** if it has been established.
- OC9.6.10 **NGET** will decide when conditions no longer justify the need to use its **Incident Centre** and will inform all relevant **Users** of this decision.
- OC9.6.11 Each **User** which has established an **Incident Centre** will decide when conditions no longer justify the need to use that **Incident Centre** and will inform **NGET** of this decision.

< END OF OPERATING CODE NO. 9 >

GENERAL CONDITIONS (GC)

CONTENTS

(This contents page does not form part of the Grid Code)

| <u>Paragraph No/Title</u> | <u>Page Number</u> |
|--|--------------------|
| GC.1 INTRODUCTION..... | 2 |
| GC.2 SCOPE..... | 2 |
| GC.3 UNFORESEEN CIRCUMSTANCES..... | 2 |
| GC.4 GRID CODE REVIEW PANEL..... | 2 |
| GC.5 COMMUNICATION BETWEEN NGET AND USERS..... | 4 |
| GC.6 MISCELLANEOUS..... | 4 |
| GC.7 OWNERSHIP OF PLANT AND/OR APPARATUS | 5 |
| GC.8 SYSTEM CONTROL..... | 5 |
| GC.9 EMERGENCY SITUATIONS | 5 |
| GC.10 MATTERS TO BE AGREED | 5 |
| GC.11 GOVERNANCE OF ELECTRICAL STANDARDS | 5 |
| GC.12 CONFIDENTIALITY | 8 |
| GC.13 RELEVANT TRANSMISSION LICENSEES..... | 8 |
| GC.14 BETTA TRANSITION ISSUES..... | 8 |
| GC.15 EMBEDDED EXEMPTABLE LARGE AND MEDIUM POWER STATIONS..... | 8 |
| GC.16 SIGNIFICANT CODE REVIEW..... | 9 |
| ANNEX TO THE GENERAL CONDITIONS | 10 |
| APPENDIX TO THE GENERAL CONDITIONS | 13 |

GC.1 INTRODUCTION

GC.1.1 The **General Conditions** contain provisions which are of general application to all provisions of the **Grid Code**. Their objective is to ensure, to the extent possible, that the various sections of the **Grid Code** work together and work in practice for the benefit of all **Users**.

GC.2 SCOPE

GC.2.1 The **General Conditions** apply to all **Users** (including, for the avoidance of doubt, **NGET**).

GC.3 UNFORESEEN CIRCUMSTANCES

GC.3.1 If circumstances arise which the provisions of the **Grid Code** have not foreseen, **NGET** shall, to the extent reasonably practicable in the circumstances, consult promptly and in good faith all affected **Users** in an effort to reach agreement as to what should be done. If agreement between **NGET** and those **Users** as to what should be done cannot be reached in the time available, **NGET** shall determine what is to be done. Wherever **NGET** makes a determination, it shall do so having regard, wherever possible, to the views expressed by **Users** and, in any event, to what is reasonable in all the circumstances. Each **User** shall comply with all instructions given to it by **NGET** following such a determination provided that the instructions are consistent with the then current technical parameters of the particular **User's System** registered under the **Grid Code**. **NGET** shall promptly refer all such unforeseen circumstances and any such determination to the Panel for consideration in accordance with GC.4.2(e).

GC.4 THE GRID CODE REVIEW PANEL

GC.4.1 **NGET** shall establish and maintain the **Panel**, which shall be a standing body to carry out the functions referred to in paragraph GC.4.2.

GC.4.2 The **Panel** shall:

- (a) keep the **Grid Code** and its working under review;
- (b) review all suggestions for **GC Modification Proposals** which the **Authority** or any **User** or any **Relevant Transmission Licensee** (in respect of PC.3.4, PC.3.5, PC.6.2, PC Appendix A and C, CC.6.1, CC.6.2, CC.6.3, OC2.3.2, OC8 and GC.11, OC7.6, OC9.4 and OC9.5) may wish to submit to **NGET** for consideration by the **Panel** from time to time;
- (c) publish recommendations as to **GC Modification Proposals** that **NGET** or the **Panel** feels are necessary or desirable and the reasons for the recommendations;
- (d) issue guidance in relation to the **Grid Code** and its implementation, performance and interpretation when asked to do so by any **User**; consider what changes are necessary to the **Grid Code** arising out of any unforeseen circumstances referred to it by **NGET** under GC.3;
- (e) consider and identify changes to the **Grid Code** to remove any unnecessary differences in the treatment of issues in Scotland from their treatment in England and Wales; and
- (f) consider any changes to the **Code Administration Code of Practice** that the **Code Administrator** considers appropriate to raise.

GC.4.3 The **Panel** shall consist of:

- (a) a Chairman and up to 4 members appointed by **NGET**;
- (b) a person appointed by the **Authority**; and
- (c) the following members:
 - (i) 3 persons representing those **Generators** each having **Large Power Stations** with a total **Registered Capacity** in excess of 3 GW;
 - (ii) a person representing those **Generators** each having **Large Power Stations** with

- a total **Registered Capacity** of 3 GW or less;
- (iii) 2 persons representing the **Network Operators** in England and Wales;
- (iv) a person representing the **Network Operators** in Scotland;
- (v) a person representing the **Suppliers**;
- (vi) a person representing the **Non Embedded Customers**;
- (vii) a person representing the **Generators with Small Power Stations** and/or **Medium Power Stations** (other than **Generators** who also have **Large Power Stations**);
- (viii) a person representing the **BSC Panel**;
- (ix) a person representing the **Externally Interconnected System Operators**;
- (x) a person representing **Generators with Novel Units**; and
- (xi) 2 persons representing **Relevant Transmission Licensees** (in respect of PC.6.2, PC.6.3, PC Appendix A C and E, CC.6.1, CC.6.2, CC.6.3, OC8 and GC.11, OC7.6, OC9.4 and OC9.5).

each of whom shall be appointed pursuant to the rules issued pursuant to GC.4.4.

- GC.4.4 The **Panel** shall establish and comply at all times with its own rules and procedures relating to the conduct of its business, which shall be approved by the **Authority**.
- GC.4.5 **NGET** shall consult in writing all **Authorised Electricity Operators** which are liable to be materially affected in relation to all **GC Modification Proposals** and shall submit all **GC Modification Proposals** to the **Panel** for discussion prior to such consultation. Each **GC Modification Proposals** shall include an evaluation of whether the amendment would better facilitate the achievement of the **Grid Code** objectives, as provided in **NGET's Transmission Licence**. Where the impact on greenhouse gasses arising from any **GC Modification Proposal** is likely to be material, this evaluation shall include an assessment of the quantifiable impact of any **GC Modification Proposal** on greenhouse gas emissions, such assessment to be conducted in accordance with any guidance (on the treatment of carbon costs and evaluation of greenhouse gas emissions) as may be issued by the **Authority** from time to time.
- GC.4.6 **NGET** shall establish (and, where appropriate, revise from time to time) joint working arrangements with the **STC Committee**, the **CM Administrative Parties** and the **Secretary of State** to facilitate the identification, co-ordination, making and implementation of change to the **STC**, and the identification of potential inconsistencies between **GC Modification Proposals** and **Capacity Market Documents** or the **CfD Documents** (as applicable) consequent on a **GC Modification Proposals** in a full and timely manner. These working arrangements shall be such as enable the consideration development and evaluation of **GC Modification Proposals** to proceed in a full and timely manner and enable changes to the **STC**, and enable the identification of inconsistencies between **GC Modification Proposals** and the **Capacity Market Documents** and/or **CfD Documents** to be raised with the **CM Administrative Parties** and the **Secretary of State**, consequent on a **GC Modification Proposals** to be made and given effect wherever possible (subject to any necessary consent of the **Authority**) at the same time as such approved amendment is made and given effect.
- GC.4.7 **NGET** shall ensure that the **Code Administrator** undertakes its functions consistently with the relevant provisions of the **Code Administration Code of Practice**.
- GC.4.8 The procedures set out in the **General Conditions**, to the extent that they are dealt with in the **Code Administration Code of Practice**, are consistent with the principles contained in the **Code Administration Code of Practice**. Where inconsistencies or conflicts exist between the **Grid Code** and the **Code Administration Code of Practice**, the **Grid Code** shall take precedence.
- GC.4.9 **NGET** is required by its **Licence** to provide a report to the **Authority** where **NGET** determines that **GC Modification Proposals** are required. Following provision of such report to the **Authority**, if the **Authority** determines that it is such that the **Authority** cannot properly form an opinion on the **GC Modification Proposal**, it may issue a direction to the **NGET**:

- (a) specifying the additional steps (including drafting or amending existing drafting associated with the amendment), revisions (including revision to the timetable), analysis or information that it requires in order to form such an opinion; and
- (b) requiring the report to be revised and to be re-submitted.

GC.4.10 If a report is to be revised and re-submitted in accordance with a direction issued pursuant to GC.4.9, it shall be re-submitted as soon after the **Authority's** direction as is appropriate, taking into account the complexity, importance and urgency of the **GC Modification Proposal**. **NGET** shall decide on the level of analysis and consultation required in order to comply with the **Authority's** direction and shall agree an appropriate timetable for meeting its obligations.

GC.5 COMMUNICATION BETWEEN NGET AND USERS

GC.5.1 Unless otherwise specified in the **Grid Code**, all instructions given by **NGET** and communications (other than relating to the submission of data and notices) between **NGET** and **Users** (other than **Generators**, **DC Converter Station** owners or **Suppliers**) shall take place between the **NGET Control Engineer** based at the **Transmission Control Centre** notified by **NGET** to each **User** prior to connection, and the relevant **User Responsible Engineer/Operator**, who, in the case of a **Network Operator**, will be based at the **Control Centre** notified by the **Network Operator** to **NGET** prior to connection.

GC.5.2 Unless otherwise specified in the **Grid Code** all instructions given by **NGET** and communications (other than relating to the submission of data and notices) between **NGET** and **Generators** and/or **DC Converter Station** owners and/or **Suppliers** shall take place between the **NGET Control Engineer** based at the **Transmission Control Centre** notified by **NGET** to each **Generator** or **DC Converter Station** owner prior to connection, or to each **Supplier** prior to submission of **BM Unit Data**, and either the relevant **Generator's** or **DC Converter Station** owner's or **Supplier's Trading Point** (if it has established one) notified to **NGET** or the **Control Point** of the **Supplier** or the **Generator's Power Station** or **DC Converter Station**, as specified in each relevant section of the **Grid Code**. In the absence of notification to the contrary, the **Control Point** of a **Generator's Power Station** will be deemed to be the **Power Station** at which the **Generating Units** or **Power Park Modules** are situated.

GC.5.3 Unless otherwise specified in the **Grid Code**, all instructions given by **NGET** and communications (other than relating to the submission of data and notices) between **NGET** and **Users** will be given by means of the **Control Telephony** referred to in CC.6.5.2.

GC.5.4 If the **Transmission Control Centre** notified by **NGET** to each **User** prior to connection, or the **User Control Centre**, notified in the case of a **Network Operator** to **NGET** prior to connection, is moved to another location, whether due to an emergency or for any other reason, **NGET** shall notify the relevant **User** or the **User** shall notify **NGET**, as the case may be, of the new location and any changes to the **Control Telephony** or **System Telephony** necessitated by such move, as soon as practicable following the move.

GC.5.5 If any **Trading Point** notified to **NGET** by a **Generator** or **DC Converter Station** owner prior to connection, or by a **Supplier** prior to submission of **BM Unit Data**, is moved to another location or is shut down, the **Generator**, **DC Converter Station** owner or **Supplier** shall immediately notify **NGET**.

GC.5.6 The recording (by whatever means) of instructions or communications given by means of **Control Telephony** or **System Telephony** will be accepted by **NGET** and **Users** as evidence of those instructions or communications.

GC.6 MISCELLANEOUS

GC.6.1 Data and Notices

- GC.6.1.1 Data and notices to be submitted either to **NGET** or to **Users** under the **Grid Code** (other than data which is the subject of a specific requirement of the **Grid Code** as to the manner of its delivery) shall be delivered in writing either by hand or sent by first-class pre-paid post, or by facsimile transfer or by electronic mail to a specified address or addresses previously supplied by **NGET** or the **User** (as the case may be) for the purposes of submitting that data or those notices.
- GC.6.1.2 References in the **Grid Code** to “in writing” or “written” include typewriting, printing, lithography, and other modes of reproducing words in a legible and non-transitory form and in relation to submission of data and notices includes electronic communications.
- GC.6.1.3 Data delivered pursuant to paragraph GC.6.1.1, in the case of data being submitted to **NGET**, shall be addressed to the **Transmission Control Centre** at the address notified by **NGET** to each **User** prior to connection, or to such other Department within **NGET** or address, as **NGET** may notify each **User** from time to time, and in the case of notices to be submitted to **Users**, shall be addressed to the chief executive of the addressee (or such other person as may be notified by the **User** in writing to **NGET** from time to time) at its address(es) notified by each **User** to **NGET** in writing from time to time for the submission of data and service of notices under the **Grid Code** (or failing which to the registered or principal office of the addressee).
- GC.6.1.4 All data items, where applicable, will be referenced to nominal voltage and **Frequency** unless otherwise stated.

GC.7 OWNERSHIP OF PLANT AND/OR APPARATUS

References in the **Grid Code** to **Plant** and/or **Apparatus** of a **User** include **Plant** and/or **Apparatus** used by a **User** under any agreement with a third party.

GC.8 SYSTEM CONTROL

Where a **User's System** (or part thereof) is, by agreement, under the control of **NGET**, then for the purposes of communication and co-ordination in operational timescales **NGET** can (for those purposes only) treat that **User's System** (or part thereof) as part of the **National Electricity Transmission System**, but, as between **NGET** and **Users**, it shall remain to be treated as the **User's System** (or part thereof).

GC.9 EMERGENCY SITUATIONS

Users should note that the provisions of the **Grid Code** may be suspended, in whole or in part, during a Security Period, as more particularly provided in the **Fuel Security Code**, or pursuant to any directions given and/or orders made by the **Secretary of State** under section 96 of the **Act** or under the Energy Act 1976.

GC.10 MATTERS TO BE AGREED

Save where expressly stated in the **Grid Code** to the contrary where any matter is left to **NGET** and **Users** to agree and there is a failure so to agree the matter shall not without the consent of both **NGET** and **Users** be referred to arbitration pursuant to the rules of the **Electricity Supply Industry Arbitration Association**.

GC.11 GOVERNANCE OF ELECTRICAL STANDARDS

GC.11.1 In relation to the **Electrical Standards** the following provisions shall apply.

- GC.11.2 (a) If a **User**, or in respect of (a) or (b) to the annex, **NGET**, or in respect of (c) or (d) to the annex, the **Relevant Transmission Licensee**, wishes to:-
- (i) raise a change to an **Electrical Standard**;

(ii) add a new standard to the list of **Electrical Standards**;

(iii) delete a standard from being an **Electrical Standard**,

it shall activate the **Electrical Standards** procedure.

- (b) The **Electrical Standards** procedure is the notification to the secretary to the **Panel** of the wish to so change, add or delete an **Electrical Standard**. That notification must contain details of the proposal, including an explanation of why the proposal is being made.

GC.11.3

Ordinary Electrical Standards Procedure

- (a) Unless it is identified as an urgent **Electrical Standards** proposal (in which case GC.11.4 applies) or unless the notifier requests that it be tabled at the next **Panel** meeting, as soon as reasonably practicable following receipt of the notification, the **Panel** secretary shall forward the proposal, with a covering paper, to **Panel** members.
- (b) If no objections are raised within 20 Business Days of the date of the proposal, then it shall be deemed approved pursuant to the **Electrical Standards** procedure, and **NGET** shall make the change to the relevant **Electrical Standard** or the list of **Electrical Standards** contained in the Annex to this GC.11.
- (c) If there is an objection (or if the notifier had requested that it be tabled at the next **Panel** meeting rather than being dealt with in writing), then the proposal will be included in the agenda for the next following **Panel** meeting.
- (d) If there is broad consensus at the **Panel** meeting in favour of the proposal, **NGET** will make the change to the **Electrical Standard** or the list of **Electrical Standards** contained in the Annex to this GC.11.
- (e) If there is no such broad consensus, including where the Panel believes that further consultation is needed, **NGET** will establish a **Panel** working group if this was thought appropriate and in any event **NGET** shall undertake a consultation of **Authorised Electricity Operators** liable to be materially affected by the proposal.
- (f) Following such consultation, **NGET** will report back to **Panel** members, either in writing or at a **Panel** meeting. If there was broad consensus in the consultation, then **NGET** will make the change to the **Electrical Standard** or the list of **Electrical Standards** contained in the Annex to this GC.11.
- (g) Where following such consultation there is no broad consensus, the matter will be referred to the **Authority** who will decide whether the proposal should be implemented and will notify **NGET** of its decision. If the decision is to so implement the change, **NGET** will make the change to the **Electrical Standard** or the list of **Electrical Standards** contained in the Annex to this GC.11.
- (h) In all cases where a change is made to the list of **Electrical Standards**, **NGET** will publish and circulate a replacement page for the Annex to this GC covering that list and reflecting the change.

GC.11.4

Urgent Electrical Standards Procedure

- (a) If the notification is marked as an urgent **Electrical Standards** proposal, the **Panel** secretary will contact **Panel** members in writing to see whether a majority who are contactable agree that it is urgent and in that notification the secretary shall propose a timetable and procedure which shall be followed.
- (b) If such members do so agree, then the secretary will initiate the procedure accordingly, having first obtained the approval of the **Authority**.
- (c) If such members do not so agree, or if the **Authority** declines to approve the proposal being treated as an urgent one, the proposal will follow the ordinary **Electrical Standards** procedure as set out in GC.11.3 above.

(d) If a proposal is implemented using the urgent **Electrical Standards** procedure, **NET** will contact all **Panel** members after it is so implemented to check whether they wish to discuss further the implemented proposal to see whether an additional proposal should be considered to alter the implementation, such proposal following the ordinary **Electrical Standards** procedure.

GC.12 CONFIDENTIALITY

GC.12.1 **Users** should note that although the **Grid Code** contains in certain sections specific provisions which relate to confidentiality, the confidentiality provisions set out in the **CUSC** apply generally to information and other data supplied as a requirement of or otherwise under the **Grid Code**. To the extent required to facilitate the requirements of the **EMR Documents**, **Users** that are party to the **Grid Code** but are not party to the **CUSC Framework Agreement** agree that the confidentiality provisions of the **CUSC** are deemed to be imported into the **Grid Code**.

GC.12.2 **NGET** has obligations under the **STC** to inform **Relevant Transmission Licensees** of certain data. **NGET** may pass on **User** data to a **Relevant Transmission Licensee** where:

- (a) **NGET** is required to do so under a provision of Schedule 3 of the **STC**; and/or
- (b) permitted in accordance with PC.3.4, PC.3.5 and OC2.3.2.

GC.12.3 **NGET** has obligations under the **EMR Documents** to inform **EMR Administrative Parties** of certain data. **NGET** may pass on **User** data to an **EMR Administrative Party** where **NGET** is required to do so under an **EMR Document**.

GC.12.4 **NGET** may use **User** data for the purpose of carrying out its **EMR Functions**.

GC.13 RELEVANT TRANSMISSION LICENSEES

GC.13.1 It is recognised that the **Relevant Transmission Licensees** are not parties to the **Grid Code**. Accordingly, notwithstanding that Operating Code No. 8 Appendix 1 ("OC8A") and Appendix 2 ("OC8B"), OC7.6, OC9.4 and OC9.5 refer to obligations which will in practice be performed by the **Relevant Transmission Licensees** in accordance with relevant obligations under the **STC**, for the avoidance of doubt all contractual rights and obligations arising under OC8A, OC8B, OC7.6, OC9.4 and OC9.5 shall exist between **NGET** and the relevant **User** and in relation to any enforcement of those rights and obligations OC8A, OC8B, OC7.6, OC9.4 and OC9.5 shall be so read and construed. The **Relevant Transmission Licensees** shall enjoy no enforceable rights under OC8A, OC8B, OC7.6, OC9.4 and OC9.5 nor shall they be liable (other than pursuant to the **STC**) for failing to discharge any obligations under OC8A, OC8B, OC7.6, OC9.4 and OC9.5.

GC.13.2 For the avoidance of doubt nothing in this **Grid Code** confers on any **Relevant Transmission Licensee** any rights, powers or benefits for the purpose of the Contracts (Rights of Third Parties) Act 1999.

GC.14 BETTA TRANSITION ISSUES

GC.14.1 The provisions of the Appendix to the **General Conditions** apply in relation to issues arising out of the transition associated with the designation of **GC Modification Proposals** by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **NGET's Transmission Licence**.

GC.15 EMBEDDED EXEMPTABLE LARGE AND MEDIUM POWER STATIONS

GC.15.1 This GC.15.1 shall have an effect until and including 31st March 2007.

- (i) CC.6.3.2, CC.6.3.7, CC.8.1 and BC3.5.1; and
- (ii) Planning Code obligations and other Connection Conditions; shall apply to a **User** who owns or operates an **Embedded Exemptable Large Power Station**, or a **Network Operator** in respect of an **Embedded Exemptable Medium Power Station**, except where and to the extent that, in respect of that **Embedded Exemptable Large Power Station** or **Embedded Exemptable Medium Power Station**, **NGET** agrees or where the relevant **User** and **NGET** fail to agree, where and to the extent that the **Authority** consents.

GC.16 SIGNIFICANT CODE REVIEW

GC.16.1 A **Significant Code Review** is a code review process initiated and led by the **Authority**, on one of a number of potential triggers. The **Authority** will launch a **Significant Code Review** on publication of a notice setting out matters such as the scope of the review, reasons for it and announcing the start date.

GC.16.2 A **Significant Code Review Phase** begins on the start date set out in the **Authority's** notice, during which time any **GC Modification Proposals** that relate to the subject matter of the review are restricted, to ensure the process is as efficient as possible. Once the **Authority** has published its **Significant Code Review** conclusions, the **Authority** may direct **NGET** to raise a **GC Modification Proposal** to put into effect the results of the **Significant Code Review**.

GC.16.3 Where a **GC Modification Proposal** is raised during a **Significant Code Review Phase** unless exempted by the **Authority NGET** in consultation with the **Panel** shall assess whether the **GC Modification Proposal** falls within the scope of a **Significant Code Review** and shall notify the **Authority** of its assessment, its reasons for that assessment and any representations received in relation to it as soon as practicable.

GC.16.4 The **GC Modification Proposal** shall proceed during a **Significant Code Review Phase** unless directed otherwise by the **Authority** in accordance with the paragraphs below.

GC.16.5 The **Authority** may at any time direct that a **GC Modification Proposal** made during a **Significant Code Review Phase** falls within the scope of a **Significant Code Review** and must not be made during the **Significant Code Review Phase**. If so directed the **GC Modification Proposal** will not proceed and it shall be withdrawn or suspended until the end of the **Significant Code Review Phase**.

GC.16.6 A **GC Modification Proposal** that falls within the scope of a **Significant Code Review** may be made where:

- (i) the **Authority** so determines, having taken into account (among other things) the urgency of the subject matter of the **GC Modification Proposal**; or
- (ii) **NGET** makes the **GC Modification Proposal** pursuant to a direction from the **Authority** in accordance with the provisions below.

GC.16.7 Where a direction in accordance with GC.16.5 has not been issued, **NGET**, in consultation with the **Panel** may proceed with the **GC Modification Proposal**.

GC.16.8 Within twenty-eight (28) days after the **Authority** has published its **Significant Code Review** conclusions, the **Authority** may issue to **NGET** directions, including directions to **NGET** to make **GC Modification Proposal(s)**. **NGET** shall comply with those directions. Where **NGET** makes a **GC Modification Proposal** in accordance with the **Authority's** directions, it shall proceed as a normal **GC Modification Proposal**. Such **Authority** conclusions and directions shall not fetter the voting rights of the **Panel** or any recommendation **NGET** makes in relation to any **GC Modification Proposal**.

GC.16.9 **NGET** may not, without the prior consent of the **Authority**, withdraw a **GC Modification Proposal** made pursuant to a direction issued by the **Authority** pursuant to GC.16.8.

ANNEX TO THE GENERAL CONDITIONS

The **Electrical Standards** are as follows:

(a) **Electrical Standards** applicable in England and Wales

| The Relevant Electrical Standards Document (RES) | | Reference | Issue | Date |
|--|--|------------------|--------------|---------------------------|
| Parts 1 to 3 | | | 2.0 | 22 nd Jan 2015 |
| Part 4 – Specific Requirements | | | | |
| 1 | Back-Up Protection Grading across NGET's and other Network Operator Interfaces | PS(T)044(RES) | 2.0 | 22 nd Jan 2015 |
| 2 | Ratings and General Requirements for Plant, Equipment, Apparatus and Services for the National Grid System and Connections Points to it. | TS 1 (RES) | 1.0 Draft | 9 th Jan 2006 |
| 3 | Substations | TS 2.01 (RES) | 1.0 Draft | 9 th Jan 2006 |
| 4 | Switchgear | TS 2.02 (RES) | 2.0 | 22 nd Jan 2015 |
| 5 | Substation Auxiliary Supplies | TS 2.12 (RES) | 2.0 | 22 nd Jan 2015 |
| 6 | Ancillary Light Current Equipment | TS 2.19 (RES) | 2.0 | 22 nd Jan 2015 |
| 7 | Substation Interlocking Schemes | TS 3.01.01 (RES) | 1.0 Draft | 9 th Jan 2006 |
| 8 | Earthing Requirements | TS 3.01.02 (RES) | 2.0 | 22 nd Jan 2015 |
| 9 | Circuit Breakers | TS 3.02.01 (RES) | 2.0 | 22 nd Jan 2015 |
| 10 | Disconnectors and Earthing Switches | TS 3.02.02 (RES) | 2.0 | 22 nd Jan 2015 |
| 11 | Current Transformers for Protection and General Use on the 132kV, 275kV and 400kV Systems | TS 3.02.04 (RES) | 2.0 | 22 nd Jan 2015 |
| 12 | Voltage Transformers | TS 3.02.05 (RES) | 1.0 Draft | 9 th Jan 2006 |
| 13 | Bushings | TS 3.02.07 (RES) | 2.0 | 22 nd Jan 2015 |
| 14 | Solid Core Post Insulators for Substations | TS 3.02.09 (RES) | 2.0 | 22 nd Jan 2015 |
| 15 | Voltage Dividers | TS 3.02.12 (RES) | 1.0 Draft | 9 th Jan 2006 |
| 16 | Gas Insulated Switchgear | TS 3.02.14 (RES) | 2.0 | 22 nd Jan 2015 |
| 17 | Environmental and Test Requirements for Electronic Equipment | TS 3.24.15 (RES) | 2.0 | 22 nd Jan 2015 |
| 18 | Busbar Protection | TS 3.24.34 (RES) | 2.0 | 22 nd Jan 2015 |
| 19 | Circuit Breaker Fail Protection | TS 3.24.39 (RES) | 2.0 | 22 nd Jan 2015 |
| 20 | System Monitor – Dynamic System Monitoring (DSM) | TS 3.24.70 (RES) | 2.0 | 22 nd Jan 2015 |
| 21 | Protection & Control for HVDC Systems | TS 3.24.90 (RES) | 2.0 | 22 nd Jan 2015 |
| 22 | Ancillary Services Business Monitoring | TS 3.24.95 (RES) | 2.0 | 22 nd Jan 2015 |
| 23 | Guidance for Conductor Jointing | TGN(E)187 (RES) | 2.0 | 22 nd Jan 2015 |

| | | | | |
|---------------------------------------|----------------|--|-----|----------------------------|
| | in Substations | | | |
| Additional Requirements | | | | |
| Control Telephony Electrical Standard | | | 1.0 | 17 th Sept 2007 |

(b) Electronic data communications facilities.

| | | |
|---|-----------|---------------------------|
| Communications Standards for Electronic Data Communication Facilities and Automatic Logging Devices | Issue 4 | 26 th Aug 2015 |
| EDT Interface Specification | Issue 4 | 18 th Dec 2000 |
| EDT Submitter Guidance Note | Issue 1 | 21 st Dec 2001 |
| EDL Message Interface Specification | Issue 4 | 20 th Jun 2000 |
| EDL Instruction Interface Valid Reason Codes | Issue 2 | 23 rd Jul 2001 |
| MODIS Interface Specification | Version 4 | 26 th May 2015 |

(c) Scottish **Electrical Standards** for **SPT's Transmission System**.

| | | |
|------------|--|---------|
| SPTTS 1 | Requirements for the SP Transmission System and Connection Points to it. | Issue 1 |
| SPTTS 2.1 | Substations | Issue 1 |
| SPTTS 2.2 | Switchgear | Issue 1 |
| SPTTS 2.3 | Transformers and Reactors | Issue 1 |
| SPTTS 2.5 | Cables | Issue 1 |
| SPTTS 2.6 | Protection | Issue 1 |
| SPTTS 2.7 | Substation Control Systems | Issue 1 |
| SPTTS 2.12 | Substation Auxiliary Supplies | Issue 1 |

(d) Scottish **Electrical Standards** for **SHETL's Transmission System**.

1. NGTS 1: Rating and General Requirements for Plant, Equipment, Apparatus and Services for the National Grid System and Direct Connection to it. Issue 3 March 1999.
2. NGTS 2.1: Substations
Issue 2 May 1995
3. NGTS 3.1.1: Substation Interlocking Schemes.
Issue 1 October 1993.
4. NGTS 3.2.1: Circuit Breakers and Switches.
Issue 1 September 1992.
5. NGTS 3.2.2: Disconnectors and Earthing Switches.
Issue 1 March 1994.
6. NGTS 3.2.3: Metal-Oxide surge arresters for use on 132, 275 and 400kV systems.
Issue 2 May 1994.
7. NGTS 3.2.4: Current Transformers for protection and General use on the 132, 275 and 400kV systems.
Issue 1 September 1992.
8. NGTS 3.2.5: Voltage Transformers for use on the 132, 275 and 400 kV systems.
Issue 2 March 1994.
9. NGTS 3.2.6: Current and Voltage Measurement Transformers for Settlement Metering of 33, 66, 132, 275 and 400kV systems.
Issue 1 September 1992.
10. NGTS 3.2.7: Bushings for the Grid Systems.
Issue 1 September 1992.
11. NGTS 3.2.9: Post Insulators for Substations.
Issue 1 May 1996.
12. NGTS 2.6: Protection
Issue 2 June 1994.
13. NGTS 3.11.1: Capacitors and Capacitor Banks.
Issued 1 March 1993.

APPENDIX TO THE GENERAL CONDITIONS

- GC.A.1 Introduction
- GC.A.1.1 This Appendix to the **General Conditions** deals with issues arising out of the transition associated with the designation of amendments to the **Grid Code** by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **NGET's Transmission Licence**. For the purposes of this Appendix to the **General Conditions**, the version of the **Grid Code** as amended by the changes designated by the **Secretary of State** and as further amended from time to time shall be referred to as the "**GB Grid Code**".
- GC.A.1.2 The provisions of this Appendix to the **General Conditions** shall only apply to **Users** (as defined in GC.A.1.4) and **NGET** after **Go-Live** for so long as is necessary for the transition requirements referred to in GC.A.1.1 and cut-over requirements (as further detailed in GC.A.3.1) to be undertaken.
- GC.A.1.3 In this Appendix to the **General Conditions**:
- (a) **Existing E&W Users** and **E&W Applicants** are referred to as "**E&W Users**";
 - (b) **Users** who as at 1 January 2005 have entered into an agreement or have accepted an offer for connection to and/or use of the **Transmission System** of **NGET** are referred to as "**Existing E&W Users**";
 - (c) **Users** (or prospective **Users**) other than **Existing E&W Users** who apply during the **Transition Period** for connection to and/or use of the **Transmission System** of **NGET** are referred to as "**E&W Applicants**";
 - (d) Existing **Scottish Users** and **Scottish Applicants** are referred to as "**Scottish Users**";
 - (e) **Users** who as at 1 January 2005 have entered into an agreement or have accepted an offer for connection to and/or use of the **Transmission System** of either **Relevant Transmission Licensee** are referred to as "**Existing Scottish Users**";
 - (f) **Users** (or prospective **Users**) other than **Existing Scottish Users** who apply during the **Transition Period** for connection to and/or use of the **Transmission System** of either **Relevant Transmission Licensee** are referred to as "**Scottish Applicants**";
 - (g) the term "**Transition Period**" means the period from **Go-Active** to **Go-Live** (unless it is provided to be different in relation to a particular provision), and is the period with which this Appendix to the **General Conditions** deals;
 - (h) the term "**Interim GB SYS**" means the document of that name referred to in Condition C11 of **NGET's Transmission Licence**;
 - (i) the term "**Go-Active**" means the date on which the amendments designated by the **Secretary of State** to the **Grid Code** in accordance with the Energy Act 2004 come into effect; and
 - (j) the term "**Go-Live**" means the date which the **Secretary of State** indicates in a direction shall be the BETTA go-live date.
- GC.A.1.4 The provisions of GC.2.1 shall not apply in respect of this Appendix to the **General Conditions**, and in this Appendix to the **General Conditions** the term "**Users**" means:
- (a) **Generators**;
 - (b) **Network Operators**;
 - (c) **Non-Embedded Customers**;
 - (d) **Suppliers**;
 - (e) **BM Participants**; and
 - (f) **Externally Interconnected System Operators**,
 - (g) **DC Converter Station** owners

to the extent that the provisions of this Appendix to the **General Conditions** affect the rights and obligations of such **Users** under the other provisions of the **GB Grid Code**.

- GC.A.1.5 The **GB Grid Code** has been introduced with effect from **Go-Active** pursuant to the relevant licence changes introduced into **NGET's Transmission Licence**. **NGET** is required to implement and comply, and **Users** to comply, with the **GB Grid Code** subject as provided in this Appendix to the **General Conditions**, which provides for the extent to which the **GB Grid Code** is to apply to **NGET** and **Users** during the **Transition Period**.
- GC.A.1.6 This Appendix to the **General Conditions** comprises:
- (a) this Introduction;
 - (b) **GB Grid Code** transition issues; and
 - (c) Cut-over issues.
- GC.A.1.7 Without prejudice to GC.A.1.8, the failure of any **User** or **NGET** to comply with this Appendix to the **General Conditions** shall not invalidate or render ineffective any part of this Appendix to the **General Conditions** or actions undertaken pursuant to this Appendix to the **General Conditions**.
- GC.A.1.8 A **User** or **NGET** shall not be in breach of any part of this Appendix to the **General Conditions** to the extent that compliance with that part is beyond its power by reason of the fact that any other **User** or **NGET** is in default of its obligations under this Appendix to the **General Conditions**.
- GC.A.1.9 Without prejudice to any specific provision under this Appendix to the **General Conditions** as to the time within which or the manner in which a **User** or **NGET** should perform its obligations under this Appendix to the **General Conditions**, where a **User** or **NGET** is required to take any step or measure under this Appendix to the **General Conditions**, such requirement shall be construed as including any obligation to:
- (a) take such step or measure as quickly as reasonably practicable; and
 - (b) do such associated or ancillary things as may be necessary to complete such step or measure as quickly as reasonably practicable.
- GC.A.1.10 **NGET** shall use reasonable endeavours to identify any amendments it believes are needed to the **GB Grid Code** in respect of the matters referred to for the purposes of Condition C14 of **NGET's Transmission Licence** and in respect of the matters identified in GC.A.1.11, and, having notified the **Authority** of its consultation plans in relation to such amendments, **NGET** shall consult in accordance with the instructions of the **Authority** concerning such proposed amendments.
- GC.A.1.11 The following matters potentially require amendments to the **GB Grid Code**:
- (a) The specific detail of the obligations needed to manage implementation in the period up to and following (for a temporary period) **Go-Live** to achieve the change to operation under the **GB Grid Code** (to be included in GC.A.3).
 - (b) Information (including data) and other requirements under the **GB Grid Code** applicable to **Scottish Users** during the **Transition Period** (to be included in GC.A.2).
 - (c) The conclusions of Ofgem/DTI in relation to small and/or embedded generator issues under BETTA and allocation of access rights on a GB basis.
 - (d) Any arrangements required to make provision for operational liaison, including **Black Start** and islanding arrangements in Scotland.
 - (e) Any arrangements required to make provision for cascade hydro **BM Units**.
 - (f) Any consequential changes to the safety co-ordination arrangements resulting from **STC** and **STC** procedure development.
 - (g) Any arrangements required to reflect the **Electrical Standards** for the **Transmission Systems** of **SPT** and **SHETL**.

(h) The conclusions of Ofgem/DTI in relation to planning and operating standards.

GC.A.1.12 **NGET** shall notify the **Authority** of any amendments that **NGET** identifies as needed pursuant to GC.A.1.10 and shall make such amendments as the **Authority** approves.

GC.A.2 GB Grid Code Transition

General Provisions

GC.A.2.1 The provisions of the **GB Grid Code** shall be varied or suspended (and the requirements of the **GB Grid Code** shall be deemed to be satisfied) by or in accordance with, and for the period and to the extent set out in this GC.A.2, and in accordance with the other applicable provisions in this Appendix to the **General Conditions**.

GC.A.2.2 E&W Users:

In furtherance of the licence provisions referred to in GC.A.1.5, E&W Users shall comply with the GB Grid Code during the Transition Period, but shall comply with and be subject to it subject to this Appendix to the General Conditions, including on the basis that:

- (a) during the **Transition Period** the **Scottish Users** are only complying with the **GB Grid Code** in accordance with this Appendix to the **General Conditions**; and
- (b) during the **Transition Period** the **National Electricity Transmission System** shall be limited to the **Transmission System** of **NGET**, and all rights and obligations of **E&W Users** in respect of the **National Electricity Transmission System** under the **GB Grid Code** shall only apply in respect of the **Transmission System** of **NGET**, and all the provisions of the **GB Grid Code** shall be construed accordingly.

GC.A.2.3 Scottish Users:

In furtherance of the licence provisions referred to in GC.A.1.5, Scottish Users shall comply with the GB Grid Code and the GB Grid Code shall apply to or in relation to them during the Transition Period only as provided in this Appendix to the General Conditions.

GC.A.2.4 NGET:

In furtherance of the licence provisions referred to in GC.A.1.5, **NGET** shall implement and comply with the **GB Grid Code** during the **Transition Period**, but shall implement and comply with and be subject to it subject to, and taking into account, all the provisions of this Appendix to the **General Conditions**, including on the basis that:

- (a) during the **Transition Period** **NGET's** rights and obligations in relation to **E&W Users** in respect of the **National Electricity Transmission System** under the **GB Grid Code** shall only apply in respect of the **Transmission System** of **NGET**, and all the provisions of the **GB Grid Code** shall be construed accordingly; and
- (b) during the **Transition Period** **NGET's** rights and obligations in relation to **Scottish Users** in respect of the **National Electricity Transmission System** under the **GB Grid Code** shall only be as provided in this Appendix to the **General Conditions**.

Specific Provisions

GC.A.2.5 Definitions:

The provisions of the **GB Grid Code Glossary and Definitions** shall apply to and for the purposes of this Appendix to the **General Conditions** except where provided to the contrary in this Appendix to the **General Conditions**.

GC.A.2.6 Identification of Documents:

In the period beginning at **Go-Active**, **Scottish Users** will work with **NGET** to identify and agree with **NGET** any documents needed to be in place in accordance with the **GB Grid Code**, to apply from **Go-Live** or as earlier provided for under this Appendix to the **General Conditions**, including (without limitation) **Site Responsibility Schedules**, **Gas Zone Diagrams** and **OC9 Desynchronised Island Procedures**.

GC.A.2.7 Data:

Each **Scottish User** must provide, or enable a **Relevant Transmission Licensee** to provide, **NGET**, as soon as reasonably practicable upon request, with all data which **NGET** needs in order to implement, with effect from **Go-Live**, the **GB Grid Code** in relation to Scotland. This data will include, without limitation, the data that a new **User** is required to submit to **NGET** under CC.5.2. **NGET** is also entitled to receive data on **Scottish Users** over the **Relevant Transmission Licensees'** SCADA links to the extent that **NGET** needs it for use in testing and in order to implement, with effect from **Go-Live**, the **GB Grid Code** in relation to Scotland. After **Go-Live** such data shall, notwithstanding GC.A.1.2, be treated as though it had been provided to **NGET** under the enduring provisions of the **GB Grid Code**.

GC.A.2.8 Verification of Data etc:

NGET shall be entitled to request from a **Scottish User** (which shall comply as soon as reasonably practicable with such a request) confirmation and verification of any information (including data) that has been received by a **Relevant Transmission Licensee** under an existing grid code and passed on to **NGET** in respect of that **Scottish User**. After **Go-Live** such information (including data) shall, notwithstanding GC.A.1.2, be treated as though provided to **NGET** under the enduring provisions of the **GB Grid Code**.

GC.A.2.9 Grid Code Review Panel:

- (a) The individuals whose names are notified to **NGET** by the **Authority** prior to **Go-Active** as **Panel** members (and alternate members, if applicable) are agreed by **Users** (including **Scottish Users**) and **NGET** to constitute the **Panel** members and alternate members of the **Grid Code Review Panel** as at the first meeting of the **Grid Code Review Panel** after **Go-Active** as if they had been appointed as **Panel** members (and alternate members) pursuant to the relevant provisions of the Constitution and Rules of the **Grid Code Review Panel** incorporating amendments equivalent to the amendments to GC.4.2 and GC.4.3 designated by the **Secretary of State** in accordance with the provisions of the Energy Act 2004 for the purposes of Condition C14 of **NGET's Transmission Licence**.
- (b) The provisions of GC.4 of the **GB Grid Code** shall apply to, and in respect of, **Scottish Users** from **Go-Active**.

GC.A.2.10 Interim GB SYS:

Where requirements are stated in, or in relation to, the **GB Grid Code** with reference to the **Seven Year Statement**, they shall be read and construed as necessary as being with reference to the **Interim GB SYS**.

GC.A.2.11 General Conditions:

The provisions of GC.4, GC.12 and GC.13.2 of the **GB Grid Code** shall apply to and be complied with by **Scottish Users** in respect of this Appendix to the **General Conditions**.

GC.A.2.12 OC2 Data

- (a) The following provisions of the **GB Grid Code** shall apply to and be complied with by **Scottish Users** with effect from the relevant date indicated below:
- (i) OC2.4.1.2.3 (a) from 19 January 2005 in respect of 2 to 52 week submissions,
 - (ii) OC2.4.1.2.4 (c) from 25 February 2005 in respect of 2 to 49 day submissions,
 - (iii) OC2.4.1.2.4 (b) from 22 March 2005 in respect of 2 to 14 day submissions,

The data to be submitted in respect of OC2.4.1.2.3 (a) and OC2.4.1.2.4 (b) and (c) need only be in respect of dates on or after 1 April 2005.

GC.A.3 Cut-over

GC.A.3.1 It is anticipated that it will be appropriate for arrangements to be put in place for final transition to BETTA in the period up to and following (for a temporary period) **Go-Live**, for the purposes of:

- (a) managing the transition from operations under the **Grid Code** as in force immediately prior to **Go-Active** to operations under the **GB Grid Code** and the **BSC** as in force on and after **Go-Active**;
- (b) managing the transition from operations under the existing grid code applicable to **Scottish Users** as in force immediately prior to **Go-Active** to operations under the **GB Grid Code** as in force on and after **Go-Active**;
- (c) managing the transition of certain data from operations under the existing grid code applicable to **Scottish Users** before and after **Go-Active**; and
- (d) managing **GB Grid Code** systems, processes and procedures so that they operate effectively at and from **Go-Live**.

GC.A.3.2 (a) The provisions of **BC1** (excluding BC1.5.1, BC1.5.2 and BC1.5.3) shall apply to and be complied with by **Scottish Users** and by **NGET** in respect of such **Scottish Users** with effect from 11:00 hours on the day prior to **Go-Live**

(b) Notwithstanding (a) above, **Scottish Users** may submit data for **Go-Live** 3 days in advance of **Go-Live** on the basis set out in the **Data Validation, Consistency and Defaulting Rules** which shall apply to **Scottish Users** and **NGET** in respect of such **Scottish Users** on that basis and for such purpose.

(c) The **Operational Day** for the purposes of any submissions by **Scottish Users** prior to **Go-Live** under a) and b) above for the day of **Go-Live** shall be 00:00 hours on **Go Live** to 05:00 hours on the following day.

(d) The provisions of **BC2** shall apply to and be complied with by **Scottish Users** and by **NGET** in respect of such **Scottish Users** with effect from 23:00 hours on the day prior to **Go-Live**.

(e) The provisions of **OC7.4.8** shall apply to and be complied with by **Scottish Users** and by **NGET** in respect of such **Scottish Users** with effect from 11:00 hours on the day prior to **Go-Live**.

(f) In order to facilitate cut-over, **Scottish Users** acknowledge and agree that **NGET** will exchange data submitted by such **Scottish Users** under **BC1** prior to **Go-Live** with the Scottish system operators to the extent necessary to enable the cut-over.

(g) Except in the case of **Reactive Power**, **Scottish Users** should only provide **Ancillary Services** from **Go-Live** where they have been instructed to do so by **NGET**. In the case of **Reactive Power**, at **Go-Live** a **Scottish Users** MVAR output will be deemed to be the level instructed by **NGET** under **BC2**, following this **Scottish Users** should operate in accordance with **BC2.A.2.6** on the basis that MVAR output will be allowed to vary with system conditions.

< END OF GENERAL CONDITIONS >

REVISIONS

(R)

(This section does not form part of the Grid Code)

- R.1 **NGET's Transmission Licence** sets out the way in which changes to the Grid Code are to be made and reference is also made to **NGET's** obligations under the General Conditions.
- R.2 All pages re-issued have the revision number on the lower left hand corner of the page and date of the revision on the lower right hand corner of the page.
- R.3 The Grid Code was introduced in March 1990 and the first issue was revised 31 times. In March 2001 the New Electricity Trading Arrangements were introduced and Issue 2 of the Grid Code was introduced which was revised 16 times. At British Electricity Trading and Transmission Arrangements (BETTA) Go-Active Issue 3 of the Grid Code was introduced and subsequently revised 35 times. At Offshore Go-active Issue 4 of the Grid Code was introduced and has been revised 13 times since its original publication. Issue 5 of the Grid Code was published to accommodate the changes made by Grid Code Modification A/10 which has incorporated the **Generator** compliance process into the Grid Code.
- R.4 This Revisions section provides a summary of the sections of the Grid Code changed by each revision to Issue 5.
- R.5 All enquiries in relation to revisions to the Grid Code, including revisions to Issues 1, 2, 3, 4 and 5 should be addressed to the Grid Code development team at the following email address:
Grid.Code@nationalgrid.com

| Revision | Section | Related Modification | Effective Date |
|-----------------|--------------------------------|-----------------------------|-----------------------|
| 0 | Glossary and Definitions | A/10 and G/11 | 17 August 2012 |
| 0 | Planning Code – PC.2.1 | G/11 | 17 August 2012 |
| 0 | Planning Code – PC.5.4 | G/11 | 17 August 2012 |
| 0 | Planning Code – PC.8 | G/11 | 17 August 2012 |
| 0 | Planning Code – PC.8.2 | G/11 | 17 August 2012 |
| 0 | Planning Code – PC.A.1 | G/11 | 17 August 2012 |
| 0 | Planning Code – PC.A.2 | A/10 and G/11 | 17 August 2012 |
| 0 | Planning Code – PC.A.3 | G/11 | 17 August 2012 |
| 0 | Planning Code – PC.A.5 | A/10 and G/11 | 17 August 2012 |
| 0 | Compliance Processes | A/10 | 17 August 2012 |
| 0 | Connection Conditions – CC.1.1 | A/10 | 17 August 2012 |
| 0 | Connection Conditions – CC.2.2 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.3.3 | A/10 | 17 August 2012 |
| 0 | Connection Conditions – CC.4.1 | A/10 | 17 August 2012 |
| 0 | Connection Conditions – CC.5.2 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.6.1 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.6.3 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.6.6 | A/10 | 17 August 2012 |
| 0 | Connection Conditions – CC.7.2 | G/11 | 17 August 2012 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|---|-----------------------------|-----------------------|
| 0 | Connection Conditions – CC.7.4 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.A.1 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.A.2 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.A.3 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.A.4 | G/11 | 17 August 2012 |
| 0 | Connection Conditions – CC.A.6 | A/10 | 17 August 2012 |
| 0 | Connection Conditions – CC.A.7 | A/10 and G/11 | 17 August 2012 |
| 0 | Connection Conditions – Figure CC.A.3.1 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 2 – OC2.4 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 2 – OC2.A.1 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.3 | A/10 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.5 | A/10 and G/11 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.7 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.8 | A/10 and G/11 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.A.1 | A/10 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.A.2 | A/10 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.A.3 | A/10 | 17 August 2012 |
| 0 | Operating Code No. 5 – OC5.A.4 | A/10 | 17 August 2012 |
| 0 | Operating Code No. 7 – OC7.4 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 8 – OC8.2 | G/11 | 17 August 2012 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|--|-----------------------------|-----------------------|
| 0 | Operating Code No. 8 – OC8A.1 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 8 – OC8A.5 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 8 – OC8B.1 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 8 – OC8B.4 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 8 – OC8B.5 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 8 – OC8B Appendix E | G/11 | 17 August 2012 |
| 0 | Operating Code No. 9 – OC9.2 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 9 – OC9.4 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 9 – OC9.5 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 12 – OC12.3 | G/11 | 17 August 2012 |
| 0 | Operating Code No. 12 – OC12.4 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 1 – BC1.5 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 1 – BC1.8 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 1 – BC1.A.1 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 2 – BC2.5 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 2 – BC2.8 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 2 – BC2.A.2 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 2 – BC2.A.3 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 2 – BC2.A.4 | G/11 | 17 August 2012 |
| 0 | Balancing Code No. 3 – BC3.5 | G/11 | 17 August 2012 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|---------------------------------------|-----------------------------|-----------------------|
| 0 | Balancing Code No. 3 – BC3.7 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – DRC.1.5 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – DRC.4.2 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – DRC.4.4 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – DRC.5.2 | A/10 and G/11 | 17 August 2012 |
| 0 | Data Registration Code – DRC.5.5 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – DRC.6.1 | A/10 and G/11 | 17 August 2012 |
| 0 | Data Registration Code – DRC.6.2 | A/10 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 1 | A/10 and G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 2 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 3 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 4 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 5 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 10 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 12A | G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 14 | A/10 and G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 15 | G/11 | 17 August 2012 |
| 0 | Data Registration Code – Schedule 19 | A/10 | 17 August 2012 |
| 0 | General Conditions – GC.4 | G/11 | 17 August 2012 |
| 0 | General Conditions – GC.12 | G/11 | 17 August 2012 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|-------------------------------------|-----------------------------|-----------------------|
| 0 | General Conditions – GC.15 | G/11 | 17 August 2012 |
| 0 | General Conditions – GC.A1 | G/11 | 17 August 2012 |
| 0 | General Conditions – GC.A2 | G/11 | 17 August 2012 |
| 0 | General Conditions – GC.A3 | G/11 | 17 August 2012 |
| 1 | Operating Code No. 8 – OC8A.5.3.4 | C/12 | 6 November 2012 |
| 1 | Operating Code No. 8 – OC8B.5.3.4 | C/12 | 6 November 2012 |
| 2 | Balancing Code No. 1 – BC1.2.1 | B/12 | 31 January 2013 |
| 2 | Balancing Code No. 1 – BC1.4.2 | B/12 | 31 January 2013 |
| 2 | Balancing Code No. 1 – BC1.A.1.5 | B/12 | 31 January 2013 |
| 2 | Connection Conditions – CC.7.7 | D/12 | 31 January 2013 |
| 3 | Glossary and Definitions | C/11 | 2 April 2013 |
| 3 | Operating Code No. 8 – OC8A.4.3.5 | B/10 | 2 April 2013 |
| 3 | Operating Code No. 8 – OC8B.4.3.5 | B/10 | 2 April 2013 |
| 3 | Balancing Code No. 2 – BC2.5 | C/11 | 2 April 2013 |
| 4 | Glossary and Definitions | GC0060 (F/12) | 19 August 2013 |
| 4 | Planning Code – PC.A.5 | GC0040 (A/12) | 19 August 2013 |
| 4 | Operating Code No. 2 – OC2.A.10 | GC0060 (F/12) | 19 August 2013 |
| 4 | Data Registration Code – Schedule 1 | GC0040 (A/12) | 19 August 2013 |
| 4 | Data Registration Code – Schedule 2 | GC0060 (F/12) | 19 August 2013 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|-----------------------------------|-----------------------------|-----------------------|
| 5 | Glossary and Definitions | GC0033, 71, 72 and 73 | 05 November 2013 |
| 5 | General Conditions – GC.4 | GC0071, 72 and 73 | 05 November 2013 |
| 5 | General Conditions – GC.14 | GC0071, 72 and 73 | 05 November 2013 |
| 5 | General Conditions – GC.16 | GC0071, 72 and 73 | 05 November 2013 |
| 6 | Connection Conditions – CC.A.7 | GC0065 | 13 December 2013 |
| 6 | Planning Code – PC.A.3 | GC0037 | 13 December 2013 |
| 6 | Operating Code No. 2 – OC2.4.2 | GC0037 | 13 December 2013 |
| 6 | Operating Code No. 2 – Appendix 4 | GC0037 | 13 December 2013 |
| 6 | Balancing Code No. 1 – BC1.4.2 | GC0037 | 13 December 2013 |
| 6 | Balancing Code No. 1 – BC1.A.1.8 | GC0037 | 13 December 2013 |
| 7 | Glossary and Definitions | GC0044 | 31 March 2014 |
| 7 | Operating Code No. 9 – OC9.2.5 | GC0044 | 31 March 2014 |
| 7 | Operating Code No. 9 – OC9.4.6 | GC0044 | 31 March 2014 |
| 7 | Operating Code No. 9 – OC9.4.7.4 | GC0044 | 31 March 2014 |
| 7 | Operating Code No. 9 – OC9.4.7.9 | GC0044 | 31 March 2014 |
| 7 | Operating Code No. 9 – OC9.4.7.10 | GC0044 | 31 March 2014 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|----------------------------------|---|-----------------------|
| 7 | Balancing Code No. 2 – BC2.9.2.2 | GC0044 | 31 March 2014 |
| 8 | Glossary and Definitions | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Planning Code | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Connection Conditions | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Compliance Processes | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Operating Code No. 5 | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Operating Code No. 7 | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Operating Code No. 8 | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Operating Code No. 8A | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 8 | Operating Code No. 8B | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|---|---|-----------------------|
| 8 | Balancing Code No. 2 | Secretary of State direction – Generator Commissioning Clause | 10 June 2014 |
| 9 | Operating Code No. 6 – OC6.5 | GC0050 | 01 July 2014 |
| 9 | Operating Code No. 6 – OC6.7 | GC0050 | 01 July 2014 |
| 9 | Balancing Code No. 2 – Appendix 3 Annexures | GC0068 | 01 July 2014 |
| 9 | Balancing Code No. 2 – Appendix 4 Annexure | GC0068 | 01 July 2014 |
| 10 | Glossary and Definitions | Secretary of State direction – EMR | 01 August 2014 |
| 10 | Planning Code – PC.5.4 | Secretary of State direction – EMR | 01 August 2014 |
| 10 | Planning Code – PC.5.6 | Secretary of State direction – EMR | 01 August 2014 |
| 10 | General Conditions – GC.4.6 | Secretary of State direction – EMR | 01 August 2014 |
| 10 | General Conditions – GC.12 | Secretary of State direction – EMR | 01 August 2014 |
| 11 | Planning Code – PC.A.3.1.4 | GC0042 | 21 August 2014 |
| 11 | Planning Code – PC.A.5 | GC0042 | 21 August 2014 |
| 11 | Data Registration Code – DRC6.1.11 | GC0042 | 21 August 2014 |
| 11 | Data Registration Code – Schedule 11 | GC0042 | 21 August 2014 |
| 12 | Glossary and Definitions | GC0083 | 01 November 2014 |
| 12 | Planning Code – PC.A.3.4.3 | GC0083 | 01 November 2014 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|--|-----------------------------|-----------------------|
| 12 | Planning Code – PC.D.1 | GC0052 | 01 November 2014 |
| 12 | Operating Code No. 2 – OC2.4.2.3 | GC0083 | 01 November 2014 |
| 12 | Operating Code No. 2 – OC2.4.7 | GC0083 | 01 November 2014 |
| 12 | Operating Code No. 6 – OC6.1.5 | GC0061 | 01 November 2014 |
| 12 | Data Registration Code – Schedule 1 | GC0052 | 01 November 2014 |
| 12 | Data Registration Code – Schedule 2 | GC0052 | 01 November 2014 |
| 12 | Data Registration Code – Schedule 6 | GC0083 | 01 November 2014 |
| 13 | Glossary and Definitions | GC0063 | 22 January 2015 |
| 13 | Connection Conditions – CC.6.5.6 | GC0063 | 22 January 2015 |
| 13 | Balancing Code No. 1 – BC1.A.1.3.1 | GC0063 | 22 January 2015 |
| 13 | General Conditions – Annex to General Conditions | GC0080 | 22 January 2015 |
| 14 | Connection Conditions - CC6.1.7 | GC0076 | 26 August 2015 |
| 15 | Glossary and Definitions | GC0023 | 03 February 2016 |
| 15 | Connection Conditions - CC6.2.2 | GC0023 | 03 February 2016 |
| 15 | Connection Conditions - CC6.2.3 | GC0023 | 03 February 2016 |
| 15 | Planning Code - PC.A.5.3.2 | GC0028 | 03 February 2016 |
| 15 | Connection Conditions - CC 6.3.2 | GC0028 | 03 February 2016 |
| 15 | Connection Conditions - CC 6.3.8 | GC0028 | 03 February 2016 |
| 15 | Compliance Processes – CP.A.3.3.2 | GC0028 | 03 February 2016 |

| Revision | Section | Related Modification | Effective Date |
|-----------------|---|--|-----------------------|
| 15 | Compliance Processes – CP.A.3.3.3 & 4 | GC0028 | 03 February 2016 |
| 15 | Operating Code No. 2 – OC2.4.2.1 | GC0028 | 03 February 2016 |
| 15 | Operating Code No. 5 - OC5.A.2.7.5 | GC0028 | 03 February 2016 |
| 15 | Balancing Code No. 2 – BC2.A.2.6 | GC0028 | 03 February 2016 |
| 15 | Data Registration Code – Schedule 1 | GC0028 | 03 February 2016 |
| 15 | Connection Conditions - CC.6.1.5 | GC0088 | 03 February 2016 |
| 15 | Connection Conditions - CC.6.1.6 | GC0088 | 03 February 2016 |
| 16 | Connections Conditions - CC.6.3.15.1 | GC0075 | 24 May 2016 |
| 16 | Connections Conditions - CC.6.3.15.2 | GC0075 | 24 May 2016 |
| 16 | Connections Conditions - CC.A.7.2.3.1 | GC0075 | 24 May 2016 |
| 16 | Connections Conditions - CC.A.7.2.3.2 | GC0075 | 24 May 2016 |
| 16 | Operating Code No. 9 – OC9.4.7.9 | Communications/ Interface Standards | 24 May 2016 |
| 16 | General Condition - Annex to General Conditions | Communications/ Interface Standards | 24 May 2016 |
| 16 | Glossary and Definitions – ‘Cluster’ removed | Housekeeping change - error resulting from Issue 3 Revision 10 | 24 May 2016 |
| 16 | Glossary and Definitions – ‘Maximum Import Capacity’ amended | Housekeeping change – duplicate definition | 24 May 2016 |

< END OF REVISIONS >

