All Recipients of the Serviced Grid Code

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THE SERVICED GRID CODE - ISSUE 6 REVISION 26

<u>GC0171: "Improving the clarity and transparency of the Compliance Process for Small Generators with a Bilateral Embedded Generator Agreement (BEGA)"</u> has been approved by the Grid Code Review Panel for implementation on 5 September 2024.

The revised version of the Digital Grid Code is available on the <u>National Grid Electricity System Operator</u> website.

### **INCLUSION OF REVISED SECTIONS**

- Glossary and Definitions
- Compliance Processes
- European Compliance Processes

The revisions document provides an overview of the changes made to the Grid Code since the previous issue.

Many thanks,

Code Administrator

National Grid Electricity System Operator

# THE GRID CODE

**ISSUE 6** 

**REVISION 26** 

5 September 2024

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### **GLOSSARY & DEFINITIONS**

(GD)

GD.1 In the Grid Code the following words and expressions shall, unless the subject matter or context otherwise requires or is inconsistent therewith, bear the following meanings:

| Access Group  | A group of Connection Points within which a User declares under the Planning Code  |
|---------------|--|
|               | (a) An interconnection and/or  |
|               | (b) A need to redistribute <b>Demand</b> between those <b>Connection Points</b> either pre-fault or post-fault   |
|               | Where a single <b>Connection Point</b> does not form part of an <b>Access Group</b> in accordance with the above, that single <b>Connection Point</b> shall be considered to be an <b>Access Group</b> in its own right.                                       |
| Access Period | A period of time in respect of which each <b>Transmission Interface Circuit</b> is to be assessed as whether or not it is capable of being maintained as derived in accordance with PC.A.4.1.4. The period shall commence and end on specified calendar weeks. |
| Act           | The Electricity Act 1989 (as amended by the Utilities Act 2000 and the Energy Act 2004).   |

### Active Control Based Droop Power

The Active Control Based Power output supplied by a Grid Forming Plant through controlled means (be it manual or automatic).

For **GBGF-I** this is equivalent to a **Synchronous Generating Unit** with a traditional governor coupled to its prime mover.

Active Control Based Droop Power is used by The Company to control System Frequency changes through the instruction of Primary Response and Secondary Response.

## Active Control Based Power

The **Active Power** output supplied by a **Grid Forming Plant** through controlled means (be it manual or automatic) of the positive phase sequence Root Mean Square **Active Power** produced at fundamental **System Frequency** by the control system of a **Grid Forming Unit**.

For **GBGF-I**, this is equivalent to a **Synchronous Generating Unit** with a traditional governor coupled to its prime mover.

**Active Control Based Power** includes **Active Power** changes that results from a change to the **Grid Forming Plant Owners** available set points that have a 5 Hz limit on the bandwidth of the provided response.

Active Control Based Power also includes Active Power components produced by the normal operation of a **Grid Forming Plant** that comply with the **Engineering Recommendation** P28 limits. These **Active Power** components do not have a 5 Hz limit on the bandwidth of the provided response.

**Active Control Based Power** does not include **Active Power** components proportional to **System Frequency**, slip or deviation that provide damping power to emulate the natural damping function provided by a real **Synchronous Generating Unit**.

| Active Damping Power               | The Active Power naturally injected or absorbed by a Grid Forming Plant to reduce Active Power oscillations in the Total System.  |
|------------------------------------|---|
|                                    | More specifically, <b>Active Damping Power</b> is the damped response of a <b>Grid Forming Plant</b> to an oscillation between the voltage at the <b>Grid Entry Point</b> or <b>User System Entry Point</b> and the voltage of the <b>Internal Voltage Source</b> of the <b>Grid Forming Plant</b> .  |
|                                    | For the avoidance of doubt, <b>Active Damping Power</b> is an inherent capability of a <b>Grid Forming Plant</b> that starts to respond naturally, within less than 5ms to low frequency oscillations in the <b>System Frequency</b> .  |
| Active Energy                      | The electrical energy produced, flowing or supplied by an electric circuit during a time interval, being the integral with respect to time of the instantaneous power, measured in units of watt-hours or standard multiples thereof, ie:   |
|                                    | 1000 Wh = 1 kWh   |
|                                    | 1000 kWh = 1 MWh  |
|                                    | 1000 MWh = 1 GWh  |
|                                    | 1000 GWh = 1 TWh  |
| Active Frequency<br>Response Power | The injection or absorption of <b>Active Power</b> by a <b>Grid Forming Plant</b> to or from the <b>Total System</b> during a deviation of the <b>System Frequency</b> away from the <b>Target Frequency</b> .  |
|                                    | For a <b>GBGF-I</b> this is very similar to <b>Primary Response</b> but with a response time to achieve the declared service capability (which could be the <b>Maximum Capacity</b> or <b>Registered Capacity</b> ) within 1 second.  |
|                                    | For <b>GBGF-I</b> this can rapidly inject or absorb <b>Active Power</b> in addition to the phase-based <b>Active Inertia Power</b> to provide a system with desirable <b>NFP</b> plot characteristics.  |
|                                    | Active Frequency Response Power can be produced by any viable control technology.   |
| Active Inertia Power               | The injection or absorption of <b>Active Power</b> by a <b>Grid Forming Plant</b> to or from the <b>Total System</b> during a <b>System Frequency</b> change.   |
|                                    | The transient injection or absorption of Active Power from a Grid Forming Plant to the Total System as a result of the ROCOF value at the Grid Entry Point or User System Entry Point. This requires a sufficient energy storage capacity of the Grid Forming Plant to meet the Grid Forming Capability requirements specified in ECC.6.3.19. |
|                                    | For the avoidance of doubt, this includes the rotational inertial energy of the complete drive train of a <b>Synchronous Generating Unit</b> .  |
|                                    | Active Inertia Power is an inherent capability of a Grid Forming Plant to respond naturally, within less than 5ms, to changes in the System Frequency.  |
|                                    | For the avoidance of doubt, the <b>Active Inertia Power</b> has a slower frequency response compared with <b>Active Phase Jump Power</b> .  |

| Active Phase Jump Power        | The transient injection or absorption of Active Power from a Grid Forming Plant to the Total System as a result of changes in the phase angle between the Internal Voltage Source of the Grid Forming Plant and the Grid Entry Point or User System Entry Point.  |
|--------------------------------|---|
|                                | In the event of a disturbance or fault on the <b>Total System</b> , a <b>Grid Forming Plant</b> will instantaneously (within 5ms) inject or absorb <b>Active Phase Jump Power</b> to the <b>Total System</b> as a result of the phase angle change.   |
|                                | For <b>GBGF-I</b> as a minimum value this is up to the <b>Phase Jump Angle Limit Power</b> .  |
|                                | Active Phase Jump Power is an inherent capability of a Grid Forming Plant that starts to respond naturally, within less than 5 ms and can have frequency components of over 1000 Hz.  |
| Active Power                   | The product of voltage and the in-phase component of alternating current measured in units of watts and standard multiples thereof, ie:   |
|                                | 1000 Watts = 1 kW   |
|                                | 1000 kW = 1 MW  |
|                                | 1000 MW = 1 GW  |
|                                | 1000 GW = 1 TW  |
| Active ROCOF Response<br>Power | The Active Inertia Power developed from a Grid Forming Plant plus the Active Frequency Response Power that can be supplied by a Grid Forming Plant when subject to a rate of change of the System Frequency.  |
| Additional BM Unit             | Has the meaning as set out in the <b>BSC</b>  |
| Affiliate                      | In relation to any person, any holding company or subsidiary of such person or any subsidiary of a holding company of such person, in each case within the meaning of Section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the <b>Transfer Date</b> , as if such section were in force at such date. |
| AF Rules                       | Has the meaning given to "allocation framework" in section 13(2) of the Energy Act 2013.  |
| Agency                         | As defined in The Company's Transmission Licence.   |
| Aggregator                     | A BM Participant who controls one or more Additional BM Units or Secondary BM Units.  |
| Aggregator Impact Matrix       | Defined for an Additional BM Unit or a Secondary BM Unit. Provides data allowing The Company to model the result of a Bid-Offer Acceptance on each of the Grid Supply Points within the GSP Group over which the Additional BM Unit or Secondary BM Unit is defined.  |
| Alternate Member               | Shall mean an alternate member for the <b>Panel Members</b> elected or appointed in accordance with this GR.7.2(a) or (b).  |
| <del></del>                    | •   |

| Anchor                           | Plant, owned and operated by a Restoration Contractor which can Start-Up from Shutdown and energise a part of the Total System upon instruction from The Company or a Network Operator or a relevant Transmission Licensee within a defined time period, without an external electrical power supply from the Total System.   |
|----------------------------------|---|
| Anchor DC Converter Test         | A test carried out by an <b>Anchor DC Converter Owner</b> on an <b>Anchor DC Converter</b> while the <b>Anchor DC Converter</b> is disconnected from all external electrical power supplies from the <b>Total System</b> .  |
| Anchor Generating Unit<br>Test   | A test carried out on an Anchor Generating Unit or a CCGT unit or a Power Generating Module, as the case may be, at an Anchor Power Station while the Anchor Power Station remains energised from the Total System.   |
| Anchor HVDC System Test          | A test carried out by an <b>Anchor HVDC System Owner</b> while the <b>Anchor HVDC System</b> is disconnected from all external electrical power supplies from the <b>Total System</b> .   |
| Anchor Plant Capability          | The ability of a Restoration Contractor's Plant to Start-Up from Shutdown and to energise and maintain a part of the Total System upon instruction from The Company or Relevant Transmission Licensee (in Scotland) or relevant Network Operator, within a defined time period, without an external electrical power supply from the Total System. In the case of a Local Joint Restoration Plan the defined period of time is within 2 hours of an instruction from The Company or Relevant Transmission Licensee. In the case of a Distribution Restoration Zone Plan, the defined period of time is within 8 hours of an instruction from relevant Network Operator. |
| Anchor Plant Test                | A test conducted on <b>Plant</b> to confirm it is capable of meeting the requirements of an <b>Anchor Restoration Contract</b> .  |
| Anchor Power Station Test        | A test carried out by an <b>Anchor Generator</b> at an <b>Anchor Power Station</b> while that <b>Anchor Power Station</b> is disconnected from all external electrical power supplies from the <b>Total System</b> .  |
| Anchor Restoration<br>Contract   | In the case of a Local Joint Restoration Plan or Offshore Local Joint Restoration Plan, a contract between The Company and an Anchor Restoration Contractor for the provision of an Anchor Plant Capability. In the case of a Distribution Restoration Zone Plan is an agreement between The Company and relevant Network Operator and Anchor Restoration Contractor for the provision of an Anchor Plant Capability.   |
| Anchor Restoration<br>Contractor | A Restoration Contractor with an Anchor Restoration Contract.   |
| Anchor Plant Unit Test           | A test carried out on a <b>Generating Unit</b> or a <b>CCGT Unit</b> or a <b>Power Generating Module</b> , or a <b>HVDC System</b> or a <b>DC Converter</b> as the case may be, at the site of an <b>Anchor Plant</b> while the <b>Anchor Plant</b> is supplied from all external power supplies.   |
| Ancillary Service                | A System Ancillary Service and/or a Commercial Ancillary Service, as the case may be. An Ancillary Service may include one or more Demand Response Services.  |

| Ancillary Services Agreement                                 | An agreement between a <b>User</b> and <b>The Company</b> for the payment by <b>The Company</b> to that <b>User</b> in respect of the provision by such <b>User</b> of <b>Ancillary Services</b> .   |
|--|--|
| Annual Average Cold Spell<br>Conditions or ACS<br>Conditions | A particular combination of weather elements which gives rise to a level of peak <b>Demand</b> within a <b>Financial Year</b> which has a 50% chance of being exceeded as a result of weather variation alone.   |
| Apparatus  | Other than in <b>OC8</b> , means all equipment in which electrical conductors are used, supported or of which they may form a part. It includes <b>Users'</b> equipment which imposes <b>Demand</b> on the <b>System</b> .   |
|  | In OC8, it means High Voltage electrical circuits forming part of a System on which Safety Precautions may be applied to allow work and/or testing to be carried out on a System.  |
| Apparent Power   | The product of voltage and of alternating current measured in units of voltamperes and standard multiples thereof, ie:  1000 VA = 1 kVA  1000 kVA = 1 MVA  |
| Approved Fast Track<br>Proposal                              | Has the meaning given in GR.26.7, provided that no objection is received pursuant to GR.26.12.   |
| Approved Grid Code Self-<br>Governance Proposal              | Has the meaning given in GR.24.10.   |
| Approved Modification  | Has the meaning given in GR.22.7   |
| Authorised Certifier   | An entity that issues <b>Equipment Certificates</b> and <b>Power Generating Module Documents</b> and whose accreditation is given by the United Kingdom Accreditation Service or such other body as may be established from time to time to carry out the function of accreditation.   |
| Authorised Electricity<br>Operator                           | Any person (other than <b>The Company</b> ) who is authorised under the <b>Act</b> to generate, participate in the transmission of, distribute or supply electricity which shall include any <b>Interconnector Owner</b> or <b>Interconnector User</b> .   |
| Authority-Led Modification                                   | A Grid Code Modification Proposal in respect of a Significant Code Review, raised by the Authority pursuant to GR.17   |
| Authority-Led Modification Report                            | Has the meaning given in GR.17.4.  |
| Authority for Access   | An authority which grants the holder the right to unaccompanied access to sites containing exposed <b>HV</b> conductors.   |
| Authority, The   | The <b>Authority</b> established by section 1 (1) of the Utilities Act 2000.   |
| Automatic Voltage<br>Regulator or AVR                        | The continuously acting automatic equipment controlling the terminal voltage of a <b>Synchronous Generating Unit</b> or <b>Synchronous Power Generating Module</b> by comparing the actual terminal voltage with a reference value and controlling by appropriate means the output of an <b>Exciter</b> , depending on the deviations. |

| Auxiliaries                                      | Any item of Plant and/or Apparatus not directly a part of the boiler plant or Power Generating Module or Generating Unit or DC Converter or HVDC Equipment or Power Park Module, but required for the boiler plant's or Power Generating Module's or Generating Unit's or DC Converter's or HVDC Equipment's or Power Park Module's functional operation.   |
|--|---|
| Auxiliary Diesel Engine                          | A diesel engine driving a <b>Power Generating Module</b> or <b>Generating Unit</b> which can supply a <b>Unit Board</b> or <b>Station Board</b> , which can start without an electrical power supply from outside the <b>Power Station</b> within which it is situated.   |
| Auxiliary Energy Supplies                        | An electricity supply (which could be derived from an Auxiliary Diesel Engine or Auxiliary Gas Turbine or other source of energy) that is necessary to power the auxiliary and ancillary equipment on which a Power Generating Module or HVDC System or DC Converter or other item of Plant relies for it to be capable of generating Active or Reactive Power and which is generally supplied via a Unit Board or Station Board, or equivalent. Auxiliary Energy Supplies must be available without an external electrical power supply from the Total System. Auxiliary Energy Supplies do not include the mains-independent light current supplies necessary to operate Critical Tools and Facilities. |
| Auxiliary Gas Turbine                            | A Gas Turbine Unit, which can supply a Unit Board or Station Board, which can start without an electrical power supply from outside the Power Station within which it is situated.  |
| Average Conditions                               | That combination of weather elements within a period of time which is the average of the observed values of those weather elements during equivalent periods over many years (sometimes referred to as normal weather).   |
| Back-Up Protection                               | A <b>Protection</b> system which will operate when a system fault is not cleared by other <b>Protection</b> .   |
| Balancing and Settlement Code or BSC             | The code of that title as from time to time amended.  |
| Balancing Code or BC                             | That portion of the Grid Code which specifies the <b>Balancing Mechanism</b> process.   |
| Balancing Mechanism                              | Has the meaning set out in The Company's Transmission Licence   |
| Balancing Mechanism<br>Reporting Agent or BMRA   | Has the meaning set out in the <b>BSC</b> .   |
| Balancing Mechanism<br>Reporting Service or BMRS | Has the meaning set out in the <b>BSC</b> .   |
| Balancing Principles<br>Statement                | A statement prepared by <b>The Company</b> in accordance with Condition C16 of <b>The Company's Transmission Licence</b> .  |
| Baseline Forecast                                | Has the meaning given to the term 'baseline forecast' in Section G of the <b>BSC</b> .  |
| •  |   |

| a) A communication issued by <b>The Company</b> in accordance with   |
|--|
| BC2.7; or  |
| b) an <b>Emergency Instruction</b> to the extent provided for in BC2.9.2.3.  |
| Has the meaning set out in the <b>BSC</b> .  |
| Has the meaning set out in the CUSC.   |
| Has the meaning set out in the CUSC.   |
| Rated MW), which a Generating Unit or Power Generating Module or Power Park Module or HVDC System or DC Converter Station including Plant and Apparatus owned and operated by a Restoration Contractor) can instantaneously supply without causing it to trip or go outside the Frequency range of 47.5Hz – 52Hz assuming the Plant is initially operating at a nominal System Frequency of 50Hz (or an otherwise agreed Frequency range). |
| A person who is responsible for and controls one or more <b>BM Units</b> or where a <b>Bilateral Agreement</b> specifies that a <b>User</b> is required to be reated as a <b>BM Participant</b> for the purposes of the Grid Code. For the avoidance of doubt, it does not imply that they must be active in the <b>Balancing Mechanism</b> .  |
| Has the meaning set out in the <b>BSC</b> , except that for the purposes of the Grid Code the reference to "Party" in the <b>BSC</b> shall be a reference to <b>Jser</b> .   |
| The collection of parameters associated with each <b>BM Unit</b> , as described n Appendix 1 of <b>BC1</b> .   |
| Determined at Registered Capacity or Maximum Capacity (as applicable), the boiler time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.   |
| Those standards and specifications approved by the British Standards nstitution.   |
| Has the meaning set out in the <b>BSC</b> .  |
| Has meaning set out for "Panel" in the <b>BSC</b> .  |
| Any week day (other than a Saturday) on which banks are open for domestic business in the City of London.  |
| The notification given to <b>Users</b> when a <b>National Electricity</b> Transmission System Warning is cancelled.  |
| The Capacity Market Rules, The Electricity Capacity Regulations 2014 and any other Regulations made under Chapter 3 of Part 2 of the Energy Act 2013 which are in force from time to time.   |
|  |

| Capacity Market Rules              | The rules made under section 34 of the Energy Act 2013 as modified from time to time in accordance with that section and The Electricity Capacity Regulations 2014.  |
|------------------------------------|--|
| Cascade Hydro Scheme               | Two or more hydro-electric <b>Generating Units</b> , owned or controlled by the same <b>Generator</b> , which are located in the same water catchment area and are at different ordnance datums and which depend upon a common source of water for their operation, known as:  |
|                                    | (a) Moriston   |
|                                    | (b) Killin   |
|                                    | I Garry  |
|                                    | (d) Conon  |
|                                    | (e) Clunie   |
|                                    | (f) Beauly   |
|                                    | which will comprise more than one <b>Power Station</b> .   |
| Cascade Hydro Scheme<br>Matrix     | The matrix described in Appendix 1 to BC1 under the heading Cascade Hydro Scheme Matrix.   |
| Category 1 Intertripping<br>Scheme | A System to Generator Operational Intertripping Scheme arising from a Variation to Connection Design following a request from the relevant User which is consistent with the criteria specified in the Security and Quality of Supply Standard.  |
| Category 2 Intertripping           | A System to Generator Operational Intertripping Scheme which is:-  |
| Scheme                             | (i) required to alleviate an overload on a circuit which connects the Group containing the User's Connection Site to the National Electricity Transmission System; and   |
|                                    | (ii) installed in accordance with the requirements of the planning criteria of the <b>Security and Quality of Supply Standard</b> in order that measures can be taken to permit maintenance access for each transmission circuit and for such measures to be economically justified,   |
|                                    | and the operation of which results in a reduction in <b>Active Power</b> on the overloaded circuits which connect the <b>User's Connection Site</b> to the rest of the <b>National Electricity Transmission System</b> which is equal to the reduction in <b>Active Power</b> from the <b>Connection Site</b> (once any system losses or third party system effects are discounted). |
| Category 3 Intertripping<br>Scheme | A System to Generator Operational Intertripping Scheme which, where agreed by The Company and the User, is installed to alleviate an overload on, and as an alternative to, the reinforcement of a third party system, such as the Distribution System of a Public Distribution System Operator.   |
| Category 4 Intertripping<br>Scheme | A System to Generator Operational Intertripping Scheme installed to enable the disconnection of the Connection Site from the National Electricity Transmission System in a controlled and efficient manner in order to facilitate the timely restoration of the National Electricity Transmission System.  |

| Caution Notice                      | A notice conveying a warning against interference.   |
|-------------------------------------|--|
| CENELEC                             | European Committee for Electrotechnical Standardisation.   |
| CENELEC                             | ·  |
| Citizens Advice                     | Means the National Association of Citizens Advice Bureaux.   |
| Citizens Advice Scotland            | Means the Scottish Association of Citizens Advice Bureaux.   |
| CfD Counterparty                    | A person designated as a "CfD counterparty" under section 7(1) of the Energy Act 2013.   |
| CfD Documents                       | The <b>AF Rules</b> , The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014 and The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014 and any other regulations made under Chapter 2 of Part 2 of the Energy Act 2013 which are in force from time to time.  |
| CfD Settlement Services<br>Provider | means any person:  (i) appointed for the time being and from time to time by a CfD Counterparty; or  |
|                                     | (ii) who is designated by virtue of Section C1.2.1B of the Balancing and Settlement Code,  |
|                                     | in either case to carry out any of the CFD settlement activities (or any successor entity performing CFD settlement activities).   |
| CCGT Module Matrix                  | The matrix described in Appendix 1 to BC1 under the heading CCGT Module Matrix.  |
| CCGT Module Planning<br>Matrix      | A matrix in the form set out in Appendix 3 of OC2 showing the combination of <b>CCGT Units</b> within a <b>CCGT Module</b> which would be running in relation to any given MW output.  |
| Closed Distribution System or CDSO  | A distribution system classified as a <b>Closed Distribution System</b> by the <b>Authority</b> which distributes electricity within a geographically confined industrial, commercial or shared services site and does not supply household <b>Customers</b> , without prejudice to incidental use by a small number of households located within the area served by the <b>System</b> and with employment or similar associations with the owner of the <b>System</b> . |
| CM Administrative Parties           | The Secretary of State, the CM Settlement Body, and any CM Settlement Services Provider.   |
| CM Settlement Body                  | the Electricity Settlements Company Ltd or such other person as may from time to time be appointed as Settlement Body under regulation 80 of the Electricity Capacity Regulations 2014.  |
| CM Settlement Services<br>Provider  | any person with whom the <b>CM Settlement Body</b> has entered into a contract to provide services to it in relation to the performance of its functions under the <b>Capacity Market Documents</b> .  |

| Code Administration Code of Practice                   | Means the code of practice approved by the <b>Authority</b> and:   |
|--|--|
|  | (a) developed and maintained by the code administrators in existence from time to time; and  |
|  | (b) amended subject to the <b>Authority's</b> approval from time to time; and  |
|  | (c) re-published from time to time;  |
| Code Administrator                                     | Means The Company carrying out the role of Code Administrator in accordance with the General Conditions.   |
| Combined Cycle Gas<br>Turbine Module or CCGT<br>Module | A collection of <b>Generating Units</b> (registered as a <b>CCGT Module</b> (which could be within a <b>Power Generating Module</b> ) under the <b>PC</b> ) comprising one or more <b>Gas Turbine Units</b> (or other gas based engine units) and one or more <b>Steam Units</b> where, in normal operation, the waste heat from the <b>Gas Turbines</b> is passed to the water/steam system of the associated <b>Steam Unit</b> or <b>Steam Units</b> and where the component units within the <b>CCGT Module</b> are directly connected by steam or hot gas lines which enable those units to contribute to the efficiency of the combined cycle operation of the <b>CCGT Module</b> . |
| Combined Cycle Gas<br>Turbine Unit or CCGT Unit        | A Generating Unit within a CCGT Module.  |
| Commercial Ancillary<br>Services                       | Ancillary Services, other than System Ancillary Services, utilised by The Company in operating the Total System if a User (or other person such as a Demand Response Provider) has agreed to provide them under an Ancillary Services Agreement or under a Bilateral Agreement with payment being dealt with under an Ancillary Services Agreement or in the case of Externally Interconnected System Operators or Interconnector Users, under any other agreement (and in the case of Externally Interconnected System Operators and Interconnector Users includes Ancillary Services equivalent to or similar to System Ancillary Services).   |
| Commercial Boundary                                    | Has the meaning set out in the CUSC  |
| Committed Level  | The expected Active Power output from a BM Unit after accepting a Bid-Offer Acceptance or RR Instruction or a combination of Bid-Offer Acceptances and RR Instructions.  |
| Committed Project<br>Planning Data                     | Data relating to a <b>User Development</b> once the offer for a <b>CUSC Contract</b> is accepted.  |
| Common Collection Busbar                               | A busbar within a <b>Power Park Module</b> to which the higher voltage side of two or more <b>Power Park Unit</b> generator transformers are connected.  |
| Completion Date  | Has the meaning set out in the Bilateral Agreement with each User to that term or in the absence of that term to such other term reflecting the date when a User is expected to connect to or start using the National Electricity Transmission System. In the case of an Embedded Medium Power Station or Embedded DC Converter Station or Embedded HVDC System having a similar meaning in relation to the Network Operator's System as set out in the Embedded Development Agreement.   |

| Complex   | A Connection Site together with the associated Power Station and/or Network Operator substation and/or associated Plant and/or Apparatus, as appropriate.  |
|---|--|
| Compliance Processes or CP                                    | That portion of the Grid Code which is identified as the <b>Compliance Processes</b> .   |
| Compliance Statement  | A statement completed by the relevant <b>User</b> confirming compliance with each of the relevant Grid Code provisions, and the supporting evidence in respect of such compliance, of its:   |
|   | Generating Unit(s); or,  |
|   | Power Generating Modules (including DC Connected Power Park Modules and/or Electricity Storage Modules); or,   |
|   | CCGT Module(s); or,  |
|   | Power Park Module(s); or,  |
|   | DC Converter(s); or  |
|   | HVDC Systems; or   |
|   | Plant and Apparatus at an EU Grid Supply Point owned or operated by a Network Operator; or   |
|   | Network Operator's entire distribution System where such Network Operator's distribution System comprises solely of Plant and Apparatus procured on or after 7 September 2018 and was connected to the National Electricity Transmission System on or after 18 August 2019. In this case, all connections to the National Electricity Transmission System would comprise only of EU Grid Supply Points; or |
|   | Plant and Apparatus at an EU Grid Supply Point owned or operated by a Non-Embedded Customer where such Non-Embedded Customer is defined as an EU Code User;  |
|   | In the form provided by <b>The Company</b> to the relevant <b>User</b> or another format as agreed between the <b>User</b> and <b>The Company</b> .  |
| Configuration 1 AC<br>Connected Offshore Power<br>Park Module | One or more Offshore Power Park Modules that are connected to an AC Offshore Transmission System and that AC Offshore Transmission System is connected to only one Onshore substation and which has one or more Transmission Interface Points.   |
| Configuration 2 AC Connected Offshore Power Park Module       | One or more Offshore Power Park Modules that are connected to a meshed AC Offshore Transmission System and that AC Offshore Transmission System is connected to two or more Onshore substations at its Transmission Interface Points.  |
| Configuration 1 DC<br>Connected Power Park<br>Module          | One or more DC Connected Power Park Modules that are connected to an HVDC System or Transmission DC Converter and that HVDC System or Transmission DC Converter is connected to only one Onshore substation and which has one or more Transmission Interface Points.   |
| Configuration 2 DC<br>Connected Power Park<br>Module          | One or more DC Connected Power Park Modules that are connected to an HVDC System or Transmission DC Converter and that HVDC System or Transmission DC Converter is connected to more than one Onshore substation at its Transmission Interface Points.   |

| Connection Conditions or CC     | That portion of the Grid Code which is identified as the <b>Connection Conditions</b> being applicable to <b>GB Code Users</b> .  |
|---------------------------------|---|
| Connection Entry Capacity       | Has the meaning set out in the CUSC.  |
| Connected Planning Data         | Data which replaces data containing estimated values assumed for planning purposes by validated actual values and updated estimates for the future and by updated forecasts for <b>Forecast Data</b> items such as <b>Demand</b> .  |
| Connection Point                | A Grid Supply Point or Grid Entry Point, as the case may be.  |
| Connection Site                 | A Transmission Site or User Site, as the case may be.   |
| Construction Agreement          | Has the meaning set out in the CUSC   |
| Consumer Representative         | Means the person appointed by the <b>Citizens Advice</b> or the <b>Citizens Advice Scotland</b> (or any successor body) representing all categories of customers, appointed in accordance with GR.4.2(b)  |
| Contingency Reserve             | The margin of generation over forecast <b>Demand</b> which is required in the period from 24 hours ahead down to real time to cover against uncertainties in <b>Large Power Station</b> availability and against both weather forecast and <b>Demand</b> forecast errors.   |
| Control Based Reactive<br>Power | The <b>Reactive Power</b> supplied by a <b>Grid Forming Plant</b> through controlled means based on operator adjustment selectable setpoints (these may be manual or automatic).  |
| Control Calls                   | Telephone calls whose destination and/or origin is a <b>Control Centre</b> or <b>Control Point</b> , either from dedicated control desk telephone systems or dedicated telephone handsets, and which, for the purpose of <b>Control Telephony</b> , have the right to exercise priority over (ie. disconnect) a call of a lower status. |
| Control Centre                  | A location used for the purpose of control and operation of the National Electricity Transmission System or DC Converter Station owner's System or HVDC System Owner's System or a User System other than a Generator's System or an External System.   |
| Control Engineer                | A person nominated by the relevant party for the control of its <b>Plant</b> and <b>Apparatus</b> .   |
| Control Person                  | The term used as an alternative to "Safety Co-ordinator" on the Site Responsibility Schedule only.  |
| Control Phase                   | The <b>Control Phase</b> follows on from the <b>Programming Phase</b> and covers the period down to real time.  |

| Control Point                   | The point from which:-  |
|---------------------------------|---|
|                                 | (a) A <b>Non-Embedded Customer's Plant</b> and <b>Apparatus</b> is controlled; or   |
|                                 | (b) A BM Unit at a Large Power Station or at a Medium Power Station or representing a Cascade Hydro Scheme or with a Demand Capacity with a magnitude of:   |
|                                 | (i) 50MW or more in <b>NGET's Transmission Area</b> ; or  |
|                                 | (ii) 30MW or more in <b>SPT's Transmission Area</b> ; or  |
|                                 | (iii) 10MW or more in SHETL's Transmission Area,  |
|                                 | (iv) 10MW or more which is connected to an <b>Offshore Transmission System</b>  |
|                                 | is physically controlled by a <b>BM Participant</b> ; or  |
|                                 | (c) In the case of any other <b>BM Unit</b> or <b>Generating Unit</b> (which could be part of a <b>Power Generating Module</b> ), data submission is coordinated for a <b>BM Participant</b> and instructions are received from <b>The Company</b> ,  |
|                                 | as the case may be. For a <b>Generator</b> , this will normally be at a <b>Power Station</b> but may be at an alternative location agreed with <b>The Company</b> . In the case of a <b>DC Converter Station</b> or <b>HVDC System</b> , the <b>Control Point</b> will be at a location agreed with <b>The Company</b> . In the case of a <b>BM Unit</b> of an <b>Interconnector User</b> , the <b>Control Point</b> will be the <b>Control Centre</b> of the relevant <b>Externally Interconnected System Operator</b> . |
| Control Telephony               | The principal method by which a User's Responsible Engineer/Operator, the relevant Transmission Licensees' Control Engineers and The Company's Control Engineers speak to one another for the purposes of control of the Total System in both normal and emergency operating conditions.  |
| Core Industry Document          | As defined in the <b>Transmission Licence</b>   |
| Core Industry Document<br>Owner | In relation to a <b>Core Industry Document</b> , the body(ies) or entity(ies) responsible for the management and operation of procedures for making changes to such document  |

#### **Critical Tools and Facilities**

**Apparatus** and tools required in relation to **System Restoration**:

- a) In the case of The Company include, but are not limited to:
  - Tools for operating and monitoring the Transmission System including but not limited to state estimation, the Balancing Mechanism, Load and System Frequency control, alarms, real time system operation and operational security analysis including off line transmission analysis;
  - ii) The ability to control, protect and monitor transmission assets including switchgear, tap changers and other **Transmission System** equipment including where available auxiliary equipment and to ensure the safe operation of **Plant** and **Apparatus** and the safety of personnel;
  - iii) **Control Telephony** systems as provided for in CC.6.5.1 CC.6.5.5 and ECC.6.5.1 ECC.6.5.5;
  - iv) Operational telephony as provided for in STCP 04-5; and
  - v) Tools and communications systems to facilitate cross border operations.
- b) In the case of Generators, HVDC System Owners, DC Converter Station Owners, Defence Service Providers and Restoration Contractors:
  - i) Tools for monitoring relevant **Plant** and **Apparatus**;
  - ii) The ability to control, protect and monitor their **Plant** and **Apparatus** necessary for **System Restoration** including as applicable primary **Plant**, switchgear, tap changers and other auxiliary equipment and to ensure the safe operation of **Plant** and personnel; and
  - iii) **Control Telephony** as provided for in CC.6.5.1 CC.6.5.5 and ECC.6.5.1 ECC.6.5.5.
- c) In the case of BM Participants and Virtual Lead Parties who are not Generators, HVDC System Owners, DC Converter Station owners, Defence Service Providers or Restoration Contractors as provided for in item b) above:
  - i) Tools for monitoring relevant Plant and Apparatus (excluding Plant and Apparatus not owned by the BM Participant or Virtual Lead Party); and
  - ii) **Control Telephony** as provided for in CC.6.5.1 CC.6.5.5 and ECC.6.5.1 ECC.6.5.5
- d) In the case of Network Operators:
  - Control room Apparatus and tools for monitoring their System including but not limited to, alarms, real time system operation and operational security analysis including off line network analysis;
  - ii) The ability to control, protect and monitor those assets necessary for **System Restoration** including switchgear, tap changers and other network equipment including where available auxiliary equipment and to ensure the safe operation of **Plant** and personnel; and
  - iii) **Control Telephony** as provided for in CC.6.5.1 CC.6.5.5 and ECC.6.5.1 ECC.6.5.5.
- e) In the case of Non-Embedded Customers:
  - i) Tools for monitoring their **System** including but not limited to, alarms and real time system operation;

|   | ii) The ability to control, protect and monitor those assets necessary for <b>System Restoration</b> including switchgear, tap changers and other network equipment including where available auxiliary equipment and to ensure the safe operation of <b>Plant</b> and personnel; and                |
|---|--|
|   | iii) Control Telephony as provided for in CC.6.5.1 – CC.6.5.5 and ECC.6.5.1 – ECC.6.5.5.   |
| cusc  | Has the meaning set out in The Company's Transmission Licence  |
| CUSC Contract                                       | One or more of the following agreements as envisaged in Standard Condition C1 of <b>The Company's Transmission Licence</b> :   |
|   | (a) the CUSC Framework Agreement;  |
|   | (b) a Bilateral Agreement;   |
|   | (c) a Construction Agreement   |
|   | or a variation to an existing <b>Bilateral Agreement</b> and/or <b>Construction Agreement</b> ;  |
| CUSC Framework<br>Agreement                         | Has the meaning set out in <b>The Company's Transmission Licence</b> .   |
| CUSC Party  | As defined in the <b>The Company's</b> Transmission Licence and "CUSC Parties" shall be construed accordingly.   |
| Customer  | A person to whom electrical power is provided (whether or not they are the same person as the person who provides the electrical power).   |
| Customer Demand<br>Management                       | Reducing the supply of electricity to a <b>Customer</b> or disconnecting a <b>Customer</b> in a manner agreed for commercial purposes between a <b>Supplier</b> and its <b>Customer</b> .  |
| Customer Demand<br>Management Notification<br>Level | The level above which a <b>Supplier</b> has to notify <b>The Company</b> of its proposed or achieved use of <b>Customer Demand Management</b> which is 12 MW in England and Wales and 5 MW in Scotland.  |
| Customer Generating Plant                           | A <b>Power Station</b> or <b>Generating Unit</b> or <b>Power Generating Module</b> of a <b>Customer</b> to the extent that it operates the same exclusively to supply all or part of its own electricity requirements, and does not export electrical power to any part of the <b>Total System</b> . |
| Damping Factor (ζ)                                  | The ratio of the actual damping to critical damping.   |
|   | For a <b>GBGF-I</b> the open loop phase angle, for an open loop gain of one, is measured from the systems <b>Nichols Chart</b> .   |
|   | This angle is used to define the system's equivalent <b>Damping Factor</b> that is the same as the <b>Damping Factor</b> of a second order system with the same open loop phase angle.   |
|   | Alternatively, the <b>Damping Factor</b> refers to the damping of a specific oscillation mode that is associated with the second order system created by the power to angle transfer function as show in Figure PC.A.5.8.1(a) and PCA.5.8.1(b).  |

| Data Publisher  | The person providing a reporting service, in relation to data which is submitted to the reporting service under OC2.4.2.3 or a <b>Transmission Licensee</b> , in relation to data which the <b>Transmission Licensee</b> is   |
|---|---|
|   | required to publish.  |
| Data Registration Code or DRC                           | That portion of the Grid Code which is identified as the <b>Data Registration Code</b> .  |
| Data Validation,<br>Consistency and Defaulting<br>Rules | The rules relating to validity and consistency of data, and default data to be applied, in relation to data submitted under the <b>Balancing Codes</b> , to be applied by <b>The Company</b> under the <b>Grid Code</b> as set out in the document "Data Validation, Consistency and Defaulting Rules" - Issue 8, dated 25 <sup>th</sup> January 2012. The document is available on the National Grid website or upon request from <b>The Company</b> . |
| DC Connected Power Park<br>Module                       | A Power Park Module that is connected to one or more HVDC Interface Points.   |
| DC Converter  | Any Onshore DC Converter or Offshore DC Converter as applicable to GB Code User's.  |
| DC Converter Station                                    | An installation comprising one or more <b>Onshore DC Converters</b> connecting a direct current interconnector:   |
|   | to the National Electricity Transmission System; or,  |
|   | (if the installation has a rating of 50MW or more) to a <b>User System</b> ,  |
|   | and it shall form part of the <b>External Interconnection</b> to which it relates.  |
| DC Network  | All items of <b>Plant</b> and <b>Apparatus</b> connected together on the direct current side of a <b>DC Converter</b> or <b>HVDC System</b> .   |
| DCUSA   | The Distribution Connection and Use of System Agreement approved by the <b>Authority</b> and required to be maintained in force by each <b>Electricity Distribution Licence</b> holder.   |
| Defence Service Provider                                | A <b>User</b> with a legal or contractual obligation to provide a service contributing to one or several measures of the <b>System Defence Plan</b> or a party with a contract to meet one or more measures of the <b>System Defence Plan</b> .   |
| Defined Active Damping<br>Power                         | The Active Damping Power supplied by a GBGF-I when it is operating at the Grid Oscillation Value defined in Table PC.A.5.8.2  |
| De-Load   | The condition in which a <b>Genset</b> has reduced or is not delivering electrical power to the <b>System</b> to which it is <b>Synchronised</b> .  |
| $\Delta f$  | Deviation from Target Frequency   |
| Demand  | The demand of MW and MVAr of electricity (i.e. both <b>Active</b> and <b>Reactive Power</b> ), unless otherwise stated.   |
| Demand Aggregation                                      | A process where one or more <b>Demand Facilities</b> or <b>Closed Distribution Systems</b> can be controlled by a <b>Demand Response Provider</b> either as a single facility or <b>Closed Distribution System</b> for the purposes of offering one or more <b>Demand Response Services</b> .   |

| Demand Capacity  | Has the meaning as set out in the <b>BSC</b> .   |
|--|--|
| Demand Control   | Any or all of the following methods of achieving a <b>Demand</b> reduction:  |
|  | (a) Customer voltage reduction initiated by Network Operators (other than following an instruction from The Company);  |
|  | (b) Customer Demand reduction by Disconnection initiated by Network Operators (other than following an instruction from The Company);  |
|  | (c) <b>Demand</b> reduction instructed by <b>The Company</b> ;   |
|  | (d) automatic low Frequency Demand Disconnection;  |
|  | (e) emergency manual <b>Demand Disconnection</b> .   |
| Demand Control<br>Notification Level                     | The level above which a <b>Network Operator</b> has to notify <b>The Company</b> of its proposed or achieved use of <b>Demand Control</b> which is 12 MW in England and Wales and 5 MW in Scotland.  |
| Demand Facility  | A facility which consumes electrical energy and is connected at one or more Grid Supply Points to the National Electricity Transmission System or connection points to a Network Operator's System. A Network Operator's System and/or auxiliary supplies of a Power Generating Module do no constitute a Demand Facility.   |
| Demand Facility Owner                                    | A person who owns or operates one or more <b>Demand Units</b> within a   |
|  | Demand Facility. A Demand Facility Owner who owns or operates a  |
|  | Demand Facility which is directed connected to the Transmission  |
| Demand Response Active                                   | System shall be treated as a Non-Embedded Customer.  Demand within a Demand Facility or Closed Distribution System that  |
| Power Control  | is available for modulation by The Company or Network Operator or Relevant Transmission Licensee, which results in an Active Power modification.   |
| Demand Response Provider                                 | A party (other than <b>The Company</b> ) who owns, operates, controls or manages <b>Main Plant and Apparatus</b> (excluding storage equipment) which was first connected to the <b>Total System</b> on or after 18 August 2019 and who had placed <b>Purchase Contracts</b> for its <b>Main Plant and Apparatus</b> on or after 7 September 2018 or is the subject of a <b>Substantial Modification</b> on or after 18 August 2019 and has an agreement with <b>The Company</b> to provide a <b>Demand Response Service</b> (s). The party may be one or more <b>Customers</b> , a <b>Network Operator</b> or <b>Non-Embedded Customer</b> or <b>EU Code User</b> contracting bilaterally with <b>The Company</b> for the provision of services, or may be a third party providing <b>Demand Aggregation</b> from many individual <b>Customers</b> . |
| Demand Response Reactive Power Control                   | A Demand Response Service derived from Reactive Power or Reactive Power compensation devices in a Demand Facility or Closed Distribution System that are available for modulation by The Company or Network Operator or Relevant Transmission Licensee.  |
| Demand Response<br>Transmission Constraint<br>Management | A Demand Response Service derived from Demand within a Demand Facility or Closed Distribution System that is available for modulation by The Company or Network Operator or Relevant Transmission Licensee to manage transmission constraints within the System.   |

| Demand Response Service                           | A <b>Demand Response Service</b> includes one of more of the following services:  |
|---|---|
|   | <ul> <li>(a) Demand Response Active Power Control;</li> <li>(b) Demand Response Reactive Power Control;</li> <li>(c) Demand Response Transmission Constraint Management;</li> <li>(d) Demand Response System Frequency Control;</li> <li>(e) Demand Response Very Fast Active Power Control.</li> <li>The above Demand Response Services are not exclusive and do not preclude Demand Response Providers from negotiating other services for demand response capability with The Company. Where such services are negotiated they would still be treated as a Demand</li> </ul> |
|   | Response Service.   |
| Demand Response<br>Services Code (DRSC)           | That portion of the Grid Code which is identified as the <b>Demand Response Services Code</b> being applicable to <b>Demand Response Providers</b> .  |
| Demand Response System Frequency Control          | A <b>Demand Response Service</b> derived from a <b>Demand</b> within one or more <b>Demand Facilities</b> or <b>Closed Distribution Systems</b> that is available for the reduction or increase in response to <b>Frequency</b> fluctuations, made by an autonomous response from those <b>Demand Facilities</b> or <b>Closed Distribution Systems</b> to diminish these fluctuations.  |
| Demand Response Unit<br>Document (DRUD)           | A document, issued either by the Non-Embedded Customer, Demand Facility Owner or the CDSO to The Company or the Network Operator (as the case may be) for Demand Units with demand response and providing a Demand Response Service which confirms the compliance of the Demand Unit with the technical requirements set out in the Grid Code and provides the necessary data and statements, including a statement of compliance.  |
| Demand Response Very<br>Fast Active Power Control | A <b>Demand Response Service</b> derived from a <b>Demand</b> within a <b>Demand Facility</b> or <b>Closed Distribution System</b> that can be modulated very fast in response to a <b>Frequency</b> deviation, which results in a very fast <b>Active Power</b> modification.  |
| Demand Unit                                       | An indivisible set of installations containing equipment which can be actively controlled at one or more sites by a <b>Demand Response Provider</b> , <b>Demand Facility Owner</b> , <b>CDSO</b> or by a <b>Non Embedded Customer</b> , either individually or commonly as part of <b>Demand Aggregation</b> through a third party who has agreed to provide <b>Demand Response Services</b> .  |
| Designed Minimum<br>Operating Level               | The output (in whole MW) below which a <b>Genset</b> or a <b>DC Converter</b> at a <b>DC Converter Station</b> (in any of its operating configurations) has no <b>High Frequency Response</b> capability.   |
| De-Synchronise                                    | <ul> <li>(a) The act of taking a Power Generating Module (including a DC Connected Power Park Module), Generating Unit, Power Park Module, HVDC System or DC Converter off a System to which it has been Synchronised, by opening any connecting circuit breaker; or</li> <li>(b) The act of ceasing to consume electricity at an importing BM Unit; and the term "De-Synchronising" shall be construed accordingly.</li> </ul>   |
| De-synchronised Island<br>Procedure               | A formal procedure as set out in OC9.5.4 for the purpose of Synchronising Power Islands   |
| Detailed Planning Data                            | Detailed additional data which <b>The Company</b> requires under the <b>PC</b> in support of <b>Standard Planning Data</b> , comprising <b>DPD I</b> and <b>DPD II</b> .  |

| Detailed Planning Data<br>Category I or DPD I              | The <b>Detailed Planning Data</b> categorised as such in the <b>DRC</b> , and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable.  |
|--|---|
| Detailed Planning Data<br>Category II or DPD II            | The <b>Detailed Planning Data</b> categorised as such in the <b>DRC</b> , and submitted in accordance with PC.4.4.2 or PC.4.4.4 as applicable.  |
| Disconnection  | The physical separation of <b>Users</b> (or <b>Customers</b> ) from the <b>National Electricity Transmission System</b> or a <b>User System</b> as the case may be.   |
| Discrimination   | The quality where a relay or protective system is enabled to pick out and cause to be disconnected only the faulty <b>Apparatus</b> .   |
| Disputes Resolution<br>Procedure                           | The procedure described in the <b>CUSC</b> relating to disputes resolution.   |
| Distribution Code  | The distribution code required to be drawn up by each <b>Electricity Distribution Licence</b> holder and approved by the <b>Authority</b> , as from time to time revised with the approval of the <b>Authority</b> .  |
| Distribution Restoration<br>Contract                       | An agreement between an Anchor Plant Owner or Top Up Restoration Contractor and The Company and a Network Operator under which the Anchor Restoration Contractor or Top Up Restoration Contractor, on instruction, provides a service to energise and/or contribute to the establishment of a Distribution Restoration Zone.  |
| Distribution Restoration Zone                              | Part of a Network Operator's System which is capable of being energised by an Anchor Plant following a Total System Shutdown or Partial System Shutdown. The Distribution Restoration Zone shall contain an Anchor Plant and may also include one or more Top Up Restoration Contractor's Plants. The Distribution Restoration Zone primarily comprises part of the Network Operator's System but may include relevant parts of the National Electricity Transmission System in which case Relevant Transmission Licensees would be party to the Distribution Restoration Zone Plan.  |
| Distribution Restoration<br>Zone Control System<br>(DRZCS) | A mains-independent automatic control and supervisory system which assesses the status and operational conditions of part of a Network Operator's System and where relevant, part of the Transmission System for the purposes of operating Restoration Contractor's Plant and Apparatus and/or modulating Restoration Contractors' Demand in addition to operating items of the Network Operator's Plant and Apparatus and relevant Transmission Licensee's Plant and Apparatus for the purposes of establishing and operating a Distribution Restoration Zone.   |
| Distribution Restoration<br>Zone Plan                      | A plan produced and agreed by a <b>Network Operator</b> , <b>The Company</b> , <b>Restoration Contractors</b> and in certain situations a <b>Transmission Licensees</b> under OC9.4.7.7, detailing the agreed method and procedure by which a <b>Network Operator</b> will instruct a <b>Restoration Contractor</b> with an <b>Anchor Plant</b> to energise, part of a <b>Network Operator's System Total System</b> within 8 hours of that instruction, and subsequently meet complementary blocks of local <b>Demand</b> so as to form a <b>Power Island</b> . A <b>Distribution Restoration Zone Plan</b> may require the use of <b>Top Up Restoration Plant</b> . |
|  | A <b>Distribution Restoration Zone Plan</b> is distinct from and falls outside the provisions of a <b>Local Joint Restoration Plan</b> .  |

| Droop                                      | The ratio of the per unit steady state change in speed (or <b>Frequency</b> ), to the per unit steady state change in <b>Active Power</b> output. Whilst not mandatory, it is often common practice to express <b>Droop</b> in percentage terms.   |
|--|--|
| Dynamic Parameters                         | Those parameters listed in Appendix 1 to <b>BC1</b> under the heading <b>BM Unit Data</b> – <b>Dynamic Parameters</b> .  |
| Dynamic Reactive<br>Compensation Equipment | Plant and Apparatus capable of injecting or absorbing Reactive Power in a controlled manner which includes but is not limited to Synchronous Compensators, Static Var Compensators (SVC), or STATCOM devices.  |
| E&W Offshore<br>Transmission System        | An <b>Offshore Transmission System</b> with an <b>Interface Point</b> in England and Wales.  |
| E&W Offshore<br>Transmission Licensee      | A person who owns or operates an <b>E&amp;W Offshore Transmission System</b> pursuant to a <b>Transmission Licence</b> .   |
| E&W Transmission System                    | Collectively NGET's Transmission System and any E&W Offshore Transmission Systems.   |
| E&W User                                   | A User in England and Wales or any Offshore User who owns or operates Plant and/or Apparatus connected (or which will at the OTSUA Transfer Time be connected) to an E&W Offshore Transmission System.   |
| Earth Fault Factor                         | At a selected location of a three-phase <b>System</b> (generally the point of installation of equipment) and for a given <b>System</b> configuration, the ratio of the highest root mean square phase-to-earth power <b>Frequency</b> voltage on a sound phase during a fault to earth (affecting one or more phases at any point) to the root mean square phase-to-earth power <b>Frequency</b> voltage which would be obtained at the selected location without the fault.                                       |
| Earthing                                   | A way of providing a connection between conductors and earth by an <b>Earthing Device</b> which is either:   |
|  | (a) Immobilised and Locked in the earthing position. Where the Earthing Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or |
|  | (b) maintained and/or secured in position by such other method which<br>must be in accordance with the Local Safety Instructions of<br>NGET or the Safety Rules of the Relevant Transmission<br>Licensee or that User, as the case may be.   |
| Earthing Device                            | A means of providing a connection between a conductor and earth being of adequate strength and capability.   |
|  |  |

| Elected Panel Members                                  | Shall mean the following <b>Panel Members</b> elected in accordance with GR4.2(a):   |
|--|--|
|  | (a) the representative of the <b>Suppliers</b> ;   |
|  | (b) the representative of the Onshore Transmission Licensees;  |
|  | (c) the representative of the Offshore Transmission Licensees; and   |
|  | (d) the representatives of the <b>Generators</b>   |
| Electrical Standard                                    | A standard listed in the Annex to the <b>General Conditions</b> .  |
| Electricity Balancing<br>Regulation                    | as defined in the CUSC.  |
| Electricity Council                                    | That body set up under the Electricity Act, 1957.  |
| Electricity Distribution<br>Licence                    | The licence granted pursuant to Section 6(1) (c) of the <b>Act</b> .   |
| Electricity Regulation                                 | As defined in the <b>Transmission Licence</b> .  |
| Electricity Storage                                    | The conversion of electrical energy into a form of energy which can be stored, the storing of that energy, and the subsequent reconversion of that energy back into electrical energy.   |
| Electricity Storage Module                             | Is either one or more Synchronous Electricity Storage Unit(s) or Non-Synchronous Electricity Storage Unit(s) which could also be part of a Power Generating Module. For the avoidance of doubt, Non-Controllable Electricity Storage Equipment would not be considered to be classed as an Electricity Storage Module or as an Electricity Storage Unit. |
| Electricity Storage Unit                               | A Synchronous Electricity Storage Unit or Non-Synchronous Electricity Storage Unit.  |
| Electricity Supply Industry<br>Arbitration Association | The unincorporated members' club of that name formed inter alia to promote the efficient and economic operation of the procedure for the resolution of disputes within the electricity supply industry by means of arbitration or otherwise in accordance with its arbitration rules.  |
| Electricity Supply Licence                             | The licence granted pursuant to Section 6(1) (d) of the <b>Act</b> .   |
| Electricity System<br>Restoration Standard             | As defined in Special Condition 2.2 of <b>The Company's</b> Transmission Licence.  |
| Electromagnetic<br>Compatibility Level                 | Has the meaning set out in <b>Engineering Recommendation</b> G5.   |
| Electronic Power Converter                             | Electrical <b>Plant and Apparatus</b> which uses switched solid state power electronic devices to produce a real voltage waveform, that has a fundamental component with harmonics.  |

|                                       | ·   |
|---------------------------------------|---|
| Embedded                              | Having a direct connection to a <b>User System</b> or the <b>System</b> of any other <b>User</b> to which <b>Customers</b> and/or <b>Power Stations</b> are connected, such connection being either a direct connection or a connection via a busbar of another <b>User</b> or of a <b>Relevant Transmission Licensee</b> (but with no other connection to the <b>National Electricity Transmission System</b> ).   |
| Embedded Development                  | Has the meaning set out in PC.4.4.3(a).   |
| Embedded Development<br>Agreement     | An agreement entered into between a <b>Network Operator</b> and an <b>Embedded Person</b> , identifying the relevant site of connection to the <b>Network Operator's System</b> and setting out other site specific details in relation to that use of the <b>Network Operator's System</b> .   |
| Embedded Generation<br>Control        | Any or all of the following methods by which a <b>Network Operator</b> can achieve a reduction in the <b>Active Power</b> output of <b>Embedded Power Stations</b> to implement an instruction issued by <b>The Company</b> :   |
|                                       | <ul> <li>(a) Embedded Generation De-energisation; or</li> <li>(b) where this is achievable in a suitable timescale to comply with an instruction, arranging to reduce the Active Power output of Embedded Power Stations or Embedded Generator Unit(s) connected to their System.</li> </ul>  |
| Embedded<br>Generation Deenergisation | The de-energisation by <b>Network Operators</b> of one or more <b>Embedded Power Stations</b> or <b>Embedded Generating Units</b> from their <b>System</b> as part of an <b>Embedded Generation Control</b> action.   |
| Embedded Person                       | The party responsible for a Medium Power Station not subject to a Bilateral Agreement or DC Converter Station not subject to a Bilateral Agreement or HVDC System not subject to a Bilateral Agreement connected to or proposed to be connected to a Network Operator's System.   |
| Emergency Deenergisation Instruction  | An Emergency Instruction issued by The Company to De-<br>Synchronise a Power Generating Module (including a DC Connected<br>Power Park Module), Generating Unit, Power Park Module, HVDC<br>System or DC Converter in circumstances specified in the CUSC.  |
| Emergency Instruction                 | An instruction issued by <b>The Company</b> in emergency circumstances, pursuant to BC2.9, to the <b>Control Point</b> of a <b>User</b> . In the case of such instructions applicable to a <b>BM Unit</b> , it may require an action or response which is outside the <b>Dynamic Parameters</b> or <b>Other Relevant Data</b> , and may include an instruction to trip a <b>Genset</b> .  |
| EMR Administrative Parties            | Has the meaning given to "administrative parties" in The Electricity Capacity Regulations 2014 and each CfD Counterparty and CfD Settlement Services Provider.  |
| EMR Documents                         | The Energy Act 2013, The Electricity Capacity Regulations 2014, the <b>Capacity Market Rules</b> , The Contracts for Difference (Allocation) Regulations 2014, The Contracts for Difference (Definition of Eligible Generator) Regulations 2014, The Contracts for Difference (Electricity Supplier Obligations) Regulations 2014, The Electricity Market Reform (General) Regulations 2014, the <b>AF Rules</b> and any other regulations or instruments made under Chapter 2 (contracts for difference), Chapter 3 (capacity market) or Chapter 4 (investment contracts) of Part 2 of the Energy Act 2013 which are in force from time to time. |

| EMR Functions                                   | Has the meaning given to "EMR functions" in Chapter 5 of Part 2 of the Energy Act 2013.   |
|---|---|
| Engineering<br>Recommendations                  | The documents referred to as such and issued by the Energy Networks Association or the former Electricity Council.  |
| Engineering<br>Recommendation G5                | Means Engineering Recommendation G5/5.  |
| Energisation Operational<br>Notification or EON | A notification (in respect of Plant and Apparatus (including OTSUA) which is directly connected to the National Electricity Transmission System) from The Company to a User confirming that the User can in accordance with the Bilateral Agreement and/or Construction Agreement, energise such User's Plant and Apparatus (including OTSUA) specified in such notification.   |
| Equipment Certificate                           | A document issued by an <b>Authorised Certifier</b> for equipment used by a <b>Power Generating Module</b> , <b>Demand Unit</b> , <b>Network Operators System</b> , <b>Non-Embedded Customers System</b> , <b>Demand Facility</b> or <b>HVDC System</b> . The <b>Equipment Certificate</b> defines the scope of its validity at a national level. For the purpose of replacing specific parts of the compliance process, the <b>Equipment Certificate</b> may include models or equivalent information that have been verified against actual test results. |
| Estimated Registered Data                       | Those items of Standard Planning Data and Detailed Planning Data which either upon connection will become Registered Data, or which for the purposes of the Plant and/or Apparatus concerned as at the date of submission are Registered Data, but in each case which for the seven succeeding Financial Years will be an estimate of what is expected.   |

#### **EU Code User**

A User who is any of the following:-

- (a) A Generator in respect of a Power Generating Module (excluding a DC Connected Power Park Module) or OTSDUA (in respect of an AC Offshore Transmission System) whose Main Plant and Apparatus is connected to the System on or after 27 April 2019 and who concluded Purchase Contracts for its Main Plant and Apparatus on or after 17 May 2018
- (b) A Generator in respect of any Type C or Type D Power Generating Module which is the subject of a Substantial Modification which is effective on or after 27 April 2019.
- (c) A Generator in respect of any DC Connected Power Park Module whose Main Plant and Apparatus is connected to the System on or after 8 September 2019 and who had concluded Purchase Contracts for its Main Plant and Apparatus on or after 28 September 2018.
- (d) A **Generator** in respect of any **DC Connected Power Park Module** which is the subject of a **Substantial Modification**which is effective on or after 8 September 2019.
- (e) An HVDC System Owner or OTSDUA (in respect of a DC Offshore Transmission System including a Transmission DC Converter) whose Main Plant and Apparatus is connected to the System on or after 8 September 2019 and who had concluded Purchase Contracts for its Main Plant and Apparatus on or after 28 September 2018.
- (f) An HVDC System Owner or OTSDUA (in respect of a DC Offshore Transmission System including a Transmission DC Converter) whose HVDC System or DC Offshore Transmission System including a Transmission DC Converter) is the subject of a Substantial Modification on or after 8 September 2019.
- (g) A **User** which the **Authority** has determined should be considered as an **EU Code User**.
- (h) A Network Operator whose entire distribution System was first connected to the National Electricity Transmission System on or after 18 August 2019 and who had placed Purchase Contracts for its Main Plant and Apparatus in respect of its entire distribution System on or after 7 September 2018. For the avoidance of doubt, a Network Operator will be an EU Code User if its entire distribution System is connected to the National Electricity Transmission System at EU Grid Supply Points only.
- (i) A Non-Embedded Customer whose Main Plant and Apparatus at each EU Grid Supply Point was first connected to the National Electricity Transmission System on or after 18 August 2019 and who had placed Purchase Contracts for its Main Plant and Apparatus at each EU Grid Supply Point on or after 7 September 2018 or is the subject of a Substantial Modification on or after 18 August 2019.
- (j) A Storage User in respect of an Electricity Storage Module whose Main Plant and Apparatus is connected to the System on or after 20 May 2020 and who concluded Purchase

|   | Contracts for its Main Plant and Apparatus on or after 20 May 2019.  |
|---|--|
| EU Generator  | A Generator or OTSDUA who is also an EU Code User.   |
| EU Grid Supply Point                                  | A Grid Supply Point where either:-   |
|   | (i) (a) the Network Operator or Non-Embedded Customer had placed Purchase Contracts for all of its Plant and Apparatus at that Grid Supply Point on or after 7 September 2018, and   |
|   | (b) All of the Network Operator's or Non-Embedded Customer's Plant and Apparatus at that Grid Supply Point was first connected to the Transmission System on or after 18 August 2019; or   |
|   | (ii) the Network Operator's or Non-Embedded Customer's<br>Plant and Apparatus at a Grid Supply Point is the subject of<br>a Substantial Modification which is effective on or after 18<br>August 2019.   |
| EU Transparency<br>Availability Data                  | Such relevant data as <b>Customers</b> and <b>Generators</b> are required to provide under Articles 7.1(a) and 7.1(b) and Articles 15.1(a), 15.1(b), 15.1(c), 15.1(d) of <b>Retained EU Law</b> (Commission Regulation (EU) 543/2013), and which also forms part of <b>DRC</b> Schedule 6 ( <b>User</b> s' Outage Data). |
| European Compliance<br>Processes or ECP               | That portion of the Grid Code which is identified as the <b>European Compliance Processes</b> .  |
| European Connection<br>Conditions or ECC              | That portion of the Grid Code which is identified as the European Connection Conditions being applicable to EU Code Users.   |
| European Specification                                | A common technical specification, a <b>British Standard</b> implementing a European standard or a European technical approval. The terms "common technical specification", "European standard" and "European technical approval" shall have the meanings respectively ascribed to them in the <b>Regulations</b> .       |
| Event   | An unscheduled or unplanned (although it may be anticipated) occurrence on, or relating to, a <b>System</b> (including <b>Embedded Power Stations</b> ) including, without limiting that general description, faults, incidents and breakdowns and adverse weather conditions being experienced.                         |
| Exciter   | The source of the electrical power providing the field current of a synchronous machine.   |
| Excitation System                                     | The equipment providing the field current of a machine, including all regulating and control elements, as well as field discharge or suppression equipment and protective devices.   |
| Excitation System No-Load<br>Negative Ceiling Voltage | The minimum value of direct voltage that the <b>Excitation System</b> is able to provide from its terminals when it is not loaded, which may be zero or a negative value.  |

| Excitation System Nominal Response                 | Shall have the meaning ascribed to that term in <b>IEC</b> 34-16-1:1991 [equivalent to <b>British Standard BS</b> 4999 Section 116.1: 1992]. The time interval applicable is the first half-second of excitation system voltage response.  |
|--|--|
| Excitation System On-Load Positive Ceiling Voltage | Shall have the meaning ascribed to the term 'Excitation system on load ceiling voltage' in <b>IEC</b> 34-16-1:1991[equivalent to <b>British Standard BS</b> 4999 Section 116.1: 1992].   |
| Excitation System No-Load Positive Ceiling Voltage | Shall have the meaning ascribed to the term 'Excitation system no load ceiling voltage' in <b>IEC</b> 34-16-1:1991[equivalent to <b>British Standard BS</b> 4999 Section 116.1: 1992].   |
| Exemptable   | Has the meaning set out in the CUSC.   |
| Existing AGR Plant                                 | The following nuclear advanced gas cooled reactor plant (which was commissioned and connected to the <b>Total System</b> at the <b>Transfer Date</b> ):-   |
|  | (a) Dungeness B  |
|  | (b) Hinkley Point B  |
|  | (c) Heysham 1  |
|  | (d) Heysham 2  |
|  | (e) Hartlepool   |
|  | (f) Hunterston B   |
|  | (g) Torness  |
| Existing AGR Plant<br>Flexibility Limit            | In respect of each <b>Genset</b> within each <b>Existing AGR Plant</b> which has a safety case enabling it to so operate, 8 (or such lower number which when added to the number of instances of reduction of output as instructed by <b>The Company</b> in relation to operation in <b>Frequency Sensitive Mode</b> totals 8) instances of flexibility in any calendar year (or such lower or greater number as may be agreed by the Nuclear Installations Inspectorate and notified to <b>The Company</b> ) for the purpose of assisting in the period of low <b>System NRAPM</b> and/or low <b>Localised NRAPM</b> provided that in relation to each <b>Generating Unit</b> each change in output shall not be required to be to a level where the output of the reactor is less than 80% of the reactor thermal power limit (as notified to <b>The Company</b> and which corresponds to the limit of reactor thermal power as contained in the "Operating Rules" or "Identified Operating Instructions" forming part of the safety case agreed with the Nuclear Installations Inspectorate). |
| Existing Gas Cooled<br>Reactor Plant               | Both Existing Magnox Reactor Plant and Existing AGR Plant.   |

| Existing Magnox Reactor Plant                     | The following nuclear gas cooled reactor plant (which was commissioned and connected to the <b>Total System</b> at the <b>Transfer Date</b> ):-   |
|---|---|
|   | (a) Calder Hall   |
|   | (b) Chapelcross   |
|   | (c) Dungeness A   |
|   | (d) Hinkley Point A   |
|   | (e) Oldbury-on-Severn   |
|   | (f) Bradwell  |
|   | (g) Sizewell A  |
|   | (h) Wylfa   |
| Export and Import Limits                          | Those parameters listed in Appendix 1 to BC1 under the heading BM Unit Data – Export and Import Limits.   |
| External Interconnection                          | Apparatus for the transmission of electricity to or from the National Electricity Transmission System or a User System into or out of an External System. For the avoidance of doubt, a single External Interconnection may comprise several circuits operating in parallel.  |
| External Interconnection<br>Circuit               | Plant or Apparatus which comprises a circuit and which operates in parallel with another circuit and which forms part of the External Interconnection.  |
| Externally Interconnected System Operator or EISO | A person who operates an External System which is connected to the National Electricity Transmission System or a User System by an External Interconnection.  |
| External System                                   | In relation to an Externally Interconnected System Operator means the transmission or distribution system which it owns or operates which is located outside the National Electricity Transmission System Operator Area any Apparatus or Plant which connects that system to the External Interconnection and which is owned or operated by such Externally Interconnected System Operator.                           |
| Fast Fault Current                                | A current delivered by a <b>Power Park Module</b> or <b>HVDC System</b> during and after a voltage deviation caused by an electrical fault within the <b>System</b> with the aim of identifying a fault by network <b>Protection</b> systems at the initial stage of the fault, supporting <b>System</b> voltage retention at a later stage of the fault and <b>System</b> voltage restoration after fault clearance. |
| Fault Current Interruption Time                   | The time interval from fault inception until the end of the break time of the circuit breaker (as declared by the manufacturers).   |
| Fault Ride Through                                | The capability of <b>Power Generating Modules</b> (including <b>DC Connected Power Park Modules</b> ) and <b>HVDC Systems</b> to be able to remain connected to the <b>System</b> and operate through periods of low voltage at the <b>Grid Entry Point</b> or <b>User System Entry Point</b> caused by secured faults.   |
| Fast Start  | A start by a Genset with a Fast Start Capability.   |
| Fast Start Capability                             | The ability of a <b>Genset</b> to be <b>Synchronised</b> and <b>Loaded</b> up to full <b>Load</b> within 5 minutes.   |
| sue 6 Pevision 26                                 | GD 5 September 2027   |

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|--|--|
| Fast Track Criteria                        | A proposed Grid Code Modification Proposal that, if implemented,   |
|  | (a) would meet the <b>Self-Governance Criteria</b> ; and   |
|  | (b) is properly a housekeeping modification required as a result of some error or factual change, including but not limited to:  |
|  | (i) updating names or addresses listed in the <b>Grid Code</b> ;   |
|  | (ii) correcting any minor typographical errors;  |
|  | (iii) correcting formatting and consistency errors, such as paragraph numbering; or  |
|  | (iv) updating out of date references to other documents or paragraphs  |
| Fault Current Interruption Time            | The time interval from fault inception until the end of the break time of the circuit breaker (as declared by the manufacturers).  |
| Fault Ride Through                         | The capability of Power Generating Modules (including DC Connected Power Park Modules) and HVDC Systems to be able to remain connected to the System and operate through periods of low voltage at the Grid Entry Point or User System Entry Point caused by secured faults.   |
| Final-Balancing<br>Compliance Notification | A notification from <b>The Company</b> to an <b>EU Generator</b> in respect of an <b>Embedded Small Power Station</b> with a <b>Bilateral Embedded Generation Agreement</b> with <b>The Company</b> , with a <b>Completion Date</b> on or after 05-09-2024, confirming that the <b>Generator</b> has demonstrated compliance with:   |
|  | (a) <b>Engineering Recommendation</b> G99 supported by the final operational notification from the relevant <b>Network Operator</b> ,  |
|  | (b) the relevant sections of the Grid Code as applicable, and  |
|  | (c) the Bilateral Embedded Generation Agreement,   |
|  | and that all the items in the schedule of <b>Unresolved Issues</b> have been completed to <b>The Company</b> 's satisfaction.  |
| Final Generation Outage<br>Programme       | An outage programme as agreed by <b>The Company</b> with each <b>Generator</b> and each <b>Interconnector Owner</b> at various stages through the <b>Operational Planning Phase</b> and <b>Programming Phase</b> which does not commit the parties to abide by it, but which at various stages will be used as the basis on which <b>National Electricity Transmission System</b> outages will be planned. |
| Final Operational<br>Notification or FON   | A notification from The Company to a Generator or DC Converter Station owner or HVDC System Owner or Network Operator or Non-Embedded Customer confirming that the User has demonstrated compliance:   |
|  | (a) with the Grid Code, (or where they apply, that relevant derogations have been granted), and  |
|  | (b) where applicable, with Appendices F1 to F5 of the <b>Bilateral Agreement</b> ,   |
|  | in each case in respect of the <b>Plant</b> and <b>Apparatus</b> specified in such notification.   |
|  |  |

| Final Physical Notification Data        | Has the meaning set out in the <b>BSC</b> .   |
|---|---|
| Final Report                            | A report prepared by the <b>Test Proposer</b> at the conclusion of a <b>System Test</b> for submission to <b>The Company</b> (if it did not propose the <b>System Test</b> ) and other members of the <b>Test Panel</b> .   |
| Financial Year                          | Bears the meaning given in Condition A1 (Definitions and Interpretation) of <b>The Company's Transmission Licence</b> .   |
| Fixed Proposed<br>Implementation Date   | The proposed date(s) for the implementation of a Grid Code Modification Proposal or Workgroup Alternative Grid Code Modification such date to be a specific date by reference to an assumed date by which a direction from the Authority approving the Grid Code Modification Proposal or Workgroup Alternative Grid Code Modification is required in order for the Grid Code Modification Proposal or any Workgroup Alternative Grid Code Modification, if it were approved, to be implemented by the proposed date. |
| Flicker Severity<br>(Long Term)         | A value derived from 12 successive measurements of <b>Flicker Severity</b> ( <b>Short Term</b> ) (over a two hour period) and a calculation of the cube root of the mean sum of the cubes of 12 individual measurements, as further set out in <b>Engineering Recommendation</b> P28 as current at the <b>Transfer Date</b> .   |
| Flicker Severity<br>(Short Term)        | A measure of the visual severity of flicker derived from the time series output of a flickermeter over a 10 minute period and as such provides an indication of the risk of <b>Customer</b> complaints.   |
| Forecast Data                           | Those items of <b>Standard Planning Data</b> and <b>Detailed Planning Data</b> which will always be forecast.   |
| Frequency                               | The number of alternating current cycles per second (expressed in Hertz) at which a <b>System</b> is running.   |
| Frequency Containment<br>Reserves (FCR) | means, in the context of <b>Balancing Services</b> , the <b>Active Power</b> reserves available to contain <b>System Frequency</b> after the occurrence of an imbalance.  |
| Frequency Response<br>Deadband          | An interval used intentionally to make the <b>Frequency</b> control unresponsive.  In the case of mechanical governor systems, the <b>Frequency Response</b> Deadband is the same as <b>Frequency Response Insensitivity</b> .  |
| Frequency Response<br>Insensitivity     | The inherent feature of the control system specified as the minimum magnitude of change in the <b>Frequency</b> or input signal that results in a change of output power or output signal.  |
| Frequency Restoration<br>Reserves (FRR) | Means, in the context of <b>Balancing Services</b> , the <b>Active Power</b> reserves available to restore <b>System Frequency</b> to the nominal <b>Frequency</b> .  |

| Frequency Sensitive AGR<br>Unit    | Each Generating Unit in an Existing AGR Plant for which the Generator has notified The Company that it has a safety case agreed with the Nuclear Installations Inspectorate enabling it to operate in Frequency Sensitive Mode, to the extent that such unit is within its Frequency Sensitive AGR Unit Limit. Each such Generating Unit shall be treated as if it were operating in accordance with BC3.5.1 provided that it is complying with its Frequency Sensitive AGR Unit Limit.   |
|------------------------------------|---|
| Frequency Sensitive AGR Unit Limit | In respect of each <b>Frequency Sensitive AGR Unit</b> , 8 (or such lower number which when added to the number of instances of flexibility for the purposes of assisting in a period of low <b>System</b> or <b>Localised NRAPM</b> totals 8) instances of reduction of output in any calendar year as instructed by <b>The Company</b> in relation to operation in <b>Frequency Sensitive Mode</b> (or such greater number as may be agreed between <b>The Company</b> and the <b>Generator</b> ), for the purpose of assisting with <b>Frequency</b> control, provided the level of operation of each <b>Frequency Sensitive AGR Unit</b> in <b>Frequency Sensitive Mode</b> shall not be outside that agreed by the Nuclear Installations Inspectorate in the relevant safety case. |
| Frequency Sensitive Mode           | A Genset, or Type C Power Generating Module or Type D Power Generating Module or DC Connected Power Park Module or HVDC System operating mode which will result in Active Power output changing, in response to a change in System Frequency, in a direction which assists in the recovery to Target Frequency, by operating so as to provide Primary Response and/or Secondary Response and/or High Frequency Response.  |
| Fuel Security Code                 | The document of that title designated as such by the <b>Secretary of State</b> , as from time to time amended.  |
| Gas Turbine Unit                   | A <b>Generating Unit</b> driven by a gas turbine (for instance by an aeroengine).   |
| Gas Zone Diagram                   | A single line diagram showing boundaries of, and interfaces between, gas-insulated HV Apparatus modules which comprise part, or the whole, of a substation at a Connection Site (or in the case of OTSDUW Plant and Apparatus, Transmission Interface Site), together with the associated stop valves and gas monitors required for the safe operation of the National Electricity Transmission System or the User System, as the case may be.  |
| Gate Closure                       | Has the meaning set out in the <b>BSC</b> .   |

### **GB Code User** A User in respect of:-(a) A Generator or OTSDUA whose Main Plant and Apparatus (excluding a DC Connected Power Park Module) is connected to the System before 27 April 2019, or who had concluded Purchase Contracts for its Main Plant and Apparatus before 17 May 2018, or whose Plant and Apparatus is not the subject of a Substantial Modification which is effective on or after 27 April 2019: or (b) A DC Converter Station owner whose Main Plant and Apparatus is connected to the System before 8 September 2019, or who had concluded Purchase Contracts for its Main Plant and Apparatus before 28 September 2018, or whose Plant and Apparatus is not the subject of a Substantial Modification which is effective on or after 8 September 2019; or (c) A Non-Embedded Customer whose Main Plant and Apparatus was connected to the National Electricity Transmission System at a GB Grid Supply Point before 18 August 2019 or who had placed Purchase Contracts for its Main Plant and Apparatus before 7 September 2018 or that Non-Embedded Customer is not the subject of a Substantial Modification which is effective on or after 18 August 2019; or (d) A Network Operator whose entire distribution System was connected to the National Electricity Transmission System at one or more GB Grid Supply Points before 18 August 2019 or who had placed Purchase Contracts for its Main Plant and **Apparatus** in respect of its entire distribution **System** before 7 September 2018 or its entire distribution System is not the subject of a Substantial Modification which is effective on or after 18 August 2019. For the avoidance of doubt, a Network Operator would still be classed as a GB Code User where its entire distribution System was connected to the National Electricity Transmission System at one or more GB Grid Supply Points, even where that entire distribution System may have one or more EU Grid Supply Points but still comprises of **GB Grid Supply Points. GB** Generator A Generator, or OTSDUA, who is also a GB Code User. **GB Generator Final-**A notification from The Company to a GB Generator in respect of an **Balancing Compliance** Embedded Small Power Station with a Bilateral Embedded **Notification** Generation Agreement with The Company, with a Completion Date on or after 05-09-2024, confirming that the GB Generator has demonstrated compliance with: (a) the relevant sections of the Grid Code as applicable, and

completed to The Company's satisfaction.

(b) the Bilateral Embedded Generation Agreement,

and that all the items in the schedule of Unresolved Issues have been

| GB Generator Interim-<br>Balancing Compliance<br>Notification | A notification from The Company to a GB Generator in respect of an Embedded Small Power Station with a Bilateral Embedded Generation Agreement with The Company, with a Completion Date on or after 05-09-2024, acknowledging that the GB Generator has demonstrated compliance, except for the Unresolved Issues, with:  (a) the relevant sections of the Grid Code as applicable, and (b) the Bilateral Embedded Generation Agreement. |
|---|--|
| GBGF Fast Fault Current<br>Injection                          | The ability of a <b>Grid Forming Plant</b> to supply reactive current, that starts to be delivered into the <b>Total System</b> in less than 5ms when the voltage falls below 90% of its nominal value at the <b>Grid Entry Point</b> or <b>User System Entry Point</b> .  |
| GB Grid Forming - Inverter or GBGF-I                          | Is any Power Park Module, HVDC System, DC Converter, OTSDUW Plant and Apparatus, Non-Synchronous Electricity Storage Module, Dynamic Reactive Compensation Equipment or any Plant and Apparatus (including a smart load) which is connected or partly connected to the Total System via an Electronic Power Converter which has a Grid Forming Capability (GBGF-I).  |
| GB Grid Forming –<br>Synchronous or GBGF-S                    | Is a Synchronous Power Generating Module, Synchronous Electricity Storage Module or Synchronous Generating Unit with a Grid Forming Capability.  |
| GB Grid Supply Point  | A Grid Supply Point which is not an EU Grid Supply Point.  |
| GB Synchronous Area   | The AC power System in Great Britain which connects User's, Relevant Transmission Licensee's whose AC Plant and Apparatus is considered to operate in synchronism with each other at each Connection Point or User System Entry Point and at the same System Frequency.  |
| GCDF  | Means the Grid Code Development Forum.   |
| General Conditions or GC                                      | That portion of the Grid Code which is identified as the <b>General Conditions</b> .   |
| Generating Plant Demand<br>Margin                             | The difference between <b>Output Usable</b> and forecast <b>Demand</b> .   |
| Generating Unit   | An <b>Onshore Generating Unit</b> and/or an <b>Offshore Generating Unit</b> which could also be part of a <b>Power Generating Module</b> .   |

| Generating Unit Data              | The Physical Notification, Export and Import Limits and Other Relevant Data only in respect of each Generating Unit (which could be part of a Power Generating Module):  |
|-----------------------------------|--|
|                                   | (a) which forms part of the <b>BM Unit</b> which represents that <b>Cascade Hydro Scheme</b> ;   |
|                                   | (b) at an Embedded Exemptable Large Power Station, where the<br>relevant Bilateral Agreement specifies that compliance with BC1<br>and/or BC2 is required:   |
|                                   | (i) to each <b>Generating Unit</b> , or  |
|                                   | (ii) to each <b>Power Park Module</b> where the <b>Power Station</b> comprises <b>Power Park Modules</b> .   |
| Generation Capacity               | Has the meaning set out in the <b>BSC</b> .  |
| Generation Planning<br>Parameters | Those parameters listed in Appendix 2 of <b>OC2</b> .  |
| Generator                         | A person who generates electricity or undertakes <b>Electricity Storage</b> under licence or exemption under the <b>Act</b> , acting in its capacity as a generator in <b>Great Britain</b> or <b>Offshore</b> . The term <b>Generator</b> includes a <b>EU Generator</b> and a <b>GB Generator</b> .  |
| Generator Performance<br>Chart    | A diagram which shows the MW and MVAr capability limits within which a <b>Generating Unit</b> will be expected to operate under steady state conditions.   |
| Genset                            | A Power Generating Module (including a DC Connected Power Park Module and/or Electricity Storage Module), Generating Unit, Power Park Module or CCGT Module at a Large Power Station or any Power Generating Module (including a DC Connected Power Park Module), Generating Unit, Power Park Module or CCGT Module which is directly connected to the National Electricity Transmission System. |
| Good Industry Practice            | The exercise of that degree of skill, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced operator engaged in the same type of undertaking under the same or similar circumstances.   |
| Governance Rules or GR            | That portion of the <b>Grid Code</b> which is identified as the <b>Governance Rules</b> .  |
| Governor Deadband                 | An interval used intentionally to make the <b>frequency</b> control unresponsive.  |
| Great Britain or GB               | The landmass of England and Wales and Scotland, including internal waters.   |
| Grid Code Fast Track<br>Proposals | A proposal to modify the <b>Grid Code</b> which is raised pursuant to GR.26 and has not yet been approved or rejected by the <b>Grid Code Review Panel</b> .   |
| Grid Code Modification Fast       | A report prepared pursuant to GR.26  |

| Grid Code Modification<br>Register                | Has the meaning given in GR.13.1.   |
|---|---|
| Grid Code Modification<br>Report                  | Has the meaning given in GR.22.1.   |
| Grid Code Modification Procedures                 | The procedures for the modification of the <b>Grid Code</b> (including the implementation of <b>Approved Modifications</b> ) as set out in the <b>Governance Rules</b> .  |
| Grid Code Modification<br>Proposal                | A proposal to modify the <b>Grid Code</b> which is not yet rejected pursuant to GR.15.5 or GR.15.6 and has not yet been implemented.  |
| Grid Code Modification<br>Self- Governance Report | Has the meaning given in GR.24.5  |
| Grid Code Objectives                              | Means the objectives referred to in Paragraph 1b of Standard Condition C14 of <b>The Company's Transmission Licence</b> .   |
| Grid Code Review Panel or Panel                   | The panel with the functions set out in GR.1.2.   |
| Grid Code Review Panel Recommendation Vote        | The vote of <b>Panel Members</b> undertaken by the <b>Panel Chairperson</b> in accordance with Paragraph GR.22.4 as to whether in their view they believe each proposed <b>Grid Code Modification Proposal</b> , or <b>Workgroup Alternative Grid Code Modification</b> would better facilitate achievement of the <b>Grid Code Objective(s)</b> and so should be made.   |
| Grid Code Review Panel<br>Self-Governance Vote    | The vote of <b>Panel Members</b> undertaken by the <b>Panel Chairperson</b> in accordance with GR.24.9 as to whether they believe each proposed Grid Code Modification Proposal, as compared with the then existing provisions of the <b>Grid Code</b> and any <b>Workgroup Alternative Grid Code Modification</b> set out in the <b>Grid Code Modification Self-Governance Report</b> , would better facilitate achievement of the <b>Grid Code Objective(s)</b> . |
| Grid Code Self-Governance<br>Proposals            | Grid Code Modification Proposals which satisfy the Self Governance Criteria.  |
| Grid Entry Point                                  | An Onshore Grid Entry Point or an Offshore Grid Entry Point.  |
| Grid Forming Active Power                         | Grid Forming Active Power is the inherent Active Power produced by Grid Forming Plant that includes Active Inertia Power plus Active Phase Jump Power plus Active Damping Power.  |

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|---|---|
| Grid Forming Capability                 | Is (but not limited to) the capability a Power Generating Module, HVDC Converter (which could form part of an HVDC System), Generating Unit, Power Park Module, DC Converter, OTSDUW Plant and Apparatus, Electricity Storage Module, Dynamic Reactive Compensation Equipment or any Plant and Apparatus (including a smart load) whose supplied Active Power is directly proportional to the difference between the magnitude and phase of its Internal Voltage Source and the magnitude and phase of the voltage at the Grid Entry Point or User System Entry Point and the sine of the Load Angle. As a consequence, Plant and Apparatus which has a Grid Forming Capability has a frequency of rotation of the Internal Voltage Source which is the same as the System Frequency for normal operation, with only the Load Angle defining the relative position between the two. In the case of a GBGF-I, a Grid Forming Unit forming part of a GBGF-I shall be capable of sustaining a voltage at its terminals irrespective of the voltage at the Grid Entry Point or User System Entry Point for normal operating conditions. |
|   | For <b>GBGF-I</b> , the control system, which determines the amplitude and phase of the <b>Internal Voltage Source</b> , shall have a response to the voltage and <b>System Frequency</b> at the <b>Grid Entry Point</b> or <b>User System Entry Point</b> ) with a bandwidth that is less than a defined value as shown by the control system's <b>NFP</b> Plot. Exceptions to this requirement are only allowed during transients caused by <b>System</b> faults, voltage dips/surges and/or step or ramp changes in the phase angle which are large enough to cause damage to the <b>Grid Forming Plant</b> via excessive currents.  |
| Grid Forming Electronic Power Converter | A Grid Forming Plant whose output is derived from an Electronic Power Converter with a GBGF-I capability.   |
| Grid Forming Plant                      | A site which contains <b>Plant and Apparatus</b> which is classified as either a <b>GBGF-S</b> or a <b>GBGF-I</b>   |
| Grid Forming Plant Owner                | The owner or operator of a Grid Forming Plant.  |
| Grid Forming Unit                       | A Power Park Unit or Electricity Storage Unit or a Synchronous Power Generating Unit or individual Load with a Grid Forming Capability.   |
| Grid Oscillation Value                  | An injected test frequency signal applied at nominal <b>System Frequency</b> with a superimposed oscillatory response overlayed onto the nominal <b>System Frequency</b> with an amplitude of 0.05 Hz peak to peak at a frequency of 1 Hz and is used for determining the rating of the <b>Defined Active Damping Power</b> .   |
| Grid Supply Point                       | A point of supply from the National Electricity Transmission System to Network Operators or Non-Embedded Customers which could be a GB Grid Supply Point or an EU Grid Supply Point.  |
| Group                                   | Those National Electricity Transmission System sub-stations bounded solely by the faulted circuit(s) and the overloaded circuit(s) excluding any third party connections between the Group and the rest of the National Electricity Transmission System, the faulted circuit(s) being a Secured Event.  |
| GSP Group                               | Has the meaning as set out in the <b>BSC</b> .  |
| L                                       | <u>I</u>  |

| Headroom                  | The <b>Power Available</b> (in MW) less the actual <b>Active Power</b> exported from the <b>Power Park Module</b> (in MW).  |
|---------------------------|---|
| High Frequency Response   | An automatic reduction in <b>Active Power</b> output in response to an increase in <b>System Frequency</b> above the <b>Target Frequency</b> (or such other level of <b>Frequency</b> as may have been agreed in an <b>Ancillary Services Agreement</b> ). This reduction in <b>Active Power</b> output must be in accordance with the provisions of the relevant <b>Ancillary Services Agreement</b> which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the <b>Frequency</b> increase on the basis set out in the <b>Ancillary Services Agreement</b> and fully achieved within 10 seconds of the time of the start of the <b>Frequency</b> increase and it must be sustained at no lesser reduction thereafter. The interpretation of the <b>High Frequency Response</b> to a + 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.3 and Figure ECC.A.3.3. |
| High Voltage or HV        | For <b>E&amp;W Transmission Systems</b> , a voltage exceeding 650 volts. For <b>Scottish Transmission Systems</b> , a voltage exceeding 1000 volts.   |
| Historic Frequency Data   | <b>System Frequency</b> data at a maximum of one second intervals for the whole month, published by <b>The Company</b> as detailed in OC3.4.4.  |
| Houseload Operation       | Operation which ensures that a <b>Power Station</b> is able to continue to supply its in-house load in the event of <b>System</b> faults resulting in <b>Power-Generating Modules</b> being disconnected from the <b>System</b> and tripped onto their auxiliary supplies   |
| HP Turbine Power Fraction | Ratio of steady state mechanical power delivered by the HP turbine to the total steady state mechanical power delivered by the total steam turbine at <b>Registered Capacity</b> or <b>Maximum Capacity</b> .   |
| HV Connections            | Apparatus connected at the same voltage as that of the National Electricity Transmission System, including Users' circuits, the higher voltage windings of Users' transformers and associated connection Apparatus.   |
| HVDC Converter            | Any <b>EU Code User Apparatus</b> used to convert alternating current electricity to direct current electricity, or vice versa. An <b>HVDC Converter</b> is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, reactors, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, an <b>HVDC Converter</b> represents the bipolar configuration.  |
| HVDC Converter Station    | Part of an HVDC System which consists of one or more HVDC Converters installed in a single location together with buildings, reactors, filters reactive power devices, control, monitoring, protective, measuring and auxiliary equipment.  |
| HVDC Equipment            | Collectively means an HVDC System and a DC Connected Power Park Module and a Remote End HVDC Converter Station.   |
| HVDC Interface Point      | A point at which HVDC Plant and Apparatus is connected to an AC System at which technical specifications affecting the performance of the Plant and Apparatus can be prescribed.  |
| HVDC System               | An electrical power system which transfers energy in the form of high voltage direct current between two or more alternating current (AC) buses and comprises at least two HVDC Converter Stations with DC Transmission lines or cables between the HVDC Converter Stations.  |

| HVDC System Owner                       | A party who owns and is responsible for an HVDC System. For the avoidance of doubt a DC Connected Power Park Module owner would be treated as a Generator.   |
|---|--|
| IEC                                     | International Electrotechnical Commission.   |
| IEC Standard                            | A standard approved by the International Electrotechnical Commission.  |
| Implementation Date                     | Is the date and time for implementation of an <b>Approved Modification</b> as specified in accordance with Paragraph GR.25.3.  |
| Implementing Safety Co-<br>ordinator    | The Safety Co-ordinator implementing Safety Precautions.   |
| Import Usable                           | That portion of <b>Registered Import Capacity</b> which is expected to be available and which is not unavailable due to a <b>Planned Outage</b> .  |
| Incident Centre                         | A centre established by <b>The Company</b> or a <b>User</b> as the focal point in <b>The Company</b> or in that <b>User</b> , as the case may be, for the communication and dissemination of information between the senior management representatives of <b>The Company</b> , or of that <b>User</b> , as the case may be, and the relevant other parties during a <b>Joint System Incident</b> in order to avoid overloading <b>The Company's</b> , or that <b>User's</b> , as the case may be, existing operational/control arrangements. |
| Independent Back-Up<br>Protection       | A Back-Up Protection system which utilises a discrete relay, different current transformers and an alternate operating principle to the Main Protection systems(s) such that it can operate autonomously in the event of a failure of the Main Protection.   |
| Independent Main<br>Protection          | A <b>Main Protection</b> system which utilises a physically discrete relay and different current transformers to any other <b>Main Protection</b> .  |
| Indicated Constraint<br>Boundary Margin | The difference between a constraint boundary transfer limit and the difference between the sum of <b>BM Unit</b> Maximum Export Limits and the forecast of local <b>Demand</b> within the constraint boundary.   |
| Indicated Imbalance                     | The difference between the sum of Physical Notifications for BM Units comprising Generating Units or CCGT Modules or Power Generating Modules and the forecast of Demand for the whole or any part of the System.  |
| Indicated Margin                        | The difference between the sum of <b>BM Unit</b> Maximum Export Limits submitted and the forecast of <b>Demand</b> for the whole or any part of the <b>System</b> .  |
| Inertia Constant H                      | For a <b>GBGF-S</b> the <b>Inertia Constant H</b> is measured in MWsec/MVA.  |
| Inertia Constant He                     | For a <b>GBGF-I Electronic Power Converter</b> the <b>Inertia Constant He</b> , is measured in MWsec/MVA and produced by the <b>Active ROCOF Response Power</b> .  |
| Installation Document                   | A simple structured document containing information about a <b>Type A Power Generating Module</b> or a <b>Demand Unit</b> , with demand response connected below 1000 V, and confirming its compliance with the relevant requirements  |

| Instructor Facilities                 | A device or system which gives certain <b>Transmission Control Centre</b> instructions with an audible or visible alarm, and incorporates the means to return message acknowledgements to the <b>Transmission Control Centre</b> .  |
|---------------------------------------|---|
| Integral Equipment Test or IET        | A test on equipment, associated with <b>Plant</b> and/or <b>Apparatus</b> , which takes place when that <b>Plant</b> and/or <b>Apparatus</b> forms part of a <b>Synchronised System</b> and which, in the reasonable judgement of the person wishing to perform the test, may cause an <b>Operational Effect</b> .  |
| Intellectual Property" or "IPRs       | Patents, trade marks, service marks, rights in designs, trade names, copyrights and topography rights (whether or not any of the same are registered and including applications for registration of any of the same) and rights under licences and consents in relation to any of the same and all rights or forms of protection of a similar nature or having equivalent or similar effect to any of the same which may subsist anywhere in the world. |
| Interconnector                        | as defined in the <b>BSC</b>  |
| Interconnection Agreement             | An agreement made between The Company and an Externally Interconnected System Operator and/or an Interconnector User and/or other relevant persons for the External Interconnection relating to an External Interconnection and/or an agreement under which an Interconnector User can use an External Interconnection.   |
| Interconnector Export<br>Capacity     | In relation to an <b>External Interconnection</b> means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand, of the maximum level at which the <b>External Interconnection</b> can export to the <b>Grid Entry Point</b> .  |
| Interconnector Import<br>Capacity     | In relation to an <b>External Interconnection</b> means the (daily or weekly) forecast value (in MW) at the time of the (daily or weekly) peak demand of the maximum level at which the <b>External Interconnection</b> can import from the <b>Grid Entry Point</b> .   |
| Interconnector Owner                  | Has the meaning given to the term in the Connection and Use of System Code.   |
| Interconnector Reference<br>Programme | Has the meaning given to that term in section BC1.A.3.  |
| Interconnector User                   | Has the meaning set out in the <b>BSC</b> .   |
| Interface Agreement                   | Has the meaning set out in the CUSC.  |
| Interface Point                       | As the context admits or requires either;   |
|                                       | (a) the electrical point of connection between an Offshore Transmission System and an Onshore Transmission System, or   |
|                                       | (b) the electrical point of connection between an Offshore Transmission System and a Network Operator's User System.  |
| Interface Point Capacity              | The maximum amount of <b>Active Power</b> transferable at the <b>Interface Point</b> as declared by a <b>User</b> under the <b>OTSDUW Arrangements</b> expressed in whole MW.   |

| Interface Point Target<br>Voltage/Power factor | The nominal target voltage/power factor at an Interface Point which a Network Operator requires The Company to achieve by operation of the relevant Offshore Transmission System.   |
|--|---|
| Interim-Balancing Compliance Notification      | A notification from The Company to an EU Generator in respect of an Embedded Small Power Station with a Bilateral Embedded Generation Agreement with The Company, with a Completion Date on or after 05-09-2024, acknowledging that the Generator has demonstrated compliance, except for the Unresolved Issues, with:  |
|  | (a) <b>Engineering Recommendation</b> G99 as required by the relevant <b>Network Operator</b> ,   |
|  | (b) The relevant sections of the Grid Code and Bilateral Embedded Generation Agreement.   |
| Interim Operational<br>Notification or ION     | A notification from The Company to a Generator or DC Converter Station owner or HVDC System Owner or Network Operator or Non-Embedded Customer acknowledging that the User has demonstrated compliance, except for the Unresolved Issues;   |
|  | (a) with the Grid Code, and   |
|  | (b) where applicable, with Appendices F1 to F5 of the <b>Bilateral Agreement</b> ,  |
|  | in each case in respect of the Plant and Apparatus (including OTSUA) specified in such notification and provided that in the case of the OTSDUW Arrangements such notification shall be provided to a Generator in two parts dealing with the OTSUA and Generator's Plant and Apparatus (called respectively "Interim Operational Notification Part A" or "ION A" and "Interim Operational Notification Part B" or "ION B") as provided for in the CP or ECP. |
| Intermittent Power Source                      | The primary source of power for a <b>Generating Unit</b> or <b>Power Generating Module</b> that cannot be considered as controllable, e.g. wind, wave or solar. For the avoidance of doubt, the output from an <b>Electricity Storage Module</b> would not be considered to be an <b>Intermittent Power Source</b> .  |
| Internal Voltage Source or IVS                 | For a <b>GBGF-S</b> , a real magnetic field, that rotates synchronously with the <b>System Frequency</b> under normal operating conditions, which as a consequence induces an internal voltage (which is often referred to as the Electro Motive Force (EMF)) in the stationary generator winding that has a real impedance.  |
|  | In a <b>GBGF-I</b> , switched power electronic devices are used to produce a voltage waveform, with harmonics, that has a fundamental rotational component called the <b>Internal Voltage Source (IVS)</b> that rotates synchronously with the <b>System Frequency</b> under normal operating conditions.   |
|  | For a GBGF-I there must be an impedance between the Internal Voltage Source and the Grid Entry Point or User System Entry Point.  |
|  | For the avoidance of doubt, the impedance between the Internal Voltage Source and the Grid Entry Point or User System Entry Point could be virtual, real, or a combination of the two.  |

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| Intertripping             | (a) The tripping of circuit-breaker(s) by commands initiated from <b>Protection</b> at a remote location independent of the state of the local <b>Protection</b> ; or   |
|                           | (b) Operational Intertripping.  |
| Intertrip Apparatus       | Apparatus which performs Intertripping.   |
| IP Completion Day         | 31 December 2020 as defined in Section 39 of the European Union (Withdrawal Agreement) Act 2020.  |
| IP Turbine Power Fraction | Ratio of steady state mechanical power delivered by the IP turbine to the total steady state mechanical power delivered by the total steam turbine at Registered Capacity or Maximum Capacity.  |
| Isolating Device          | A device for achieving <b>Isolation</b> .   |
| Isolation                 | The disconnection of <b>HV Apparatus</b> (as defined in OC8A.1.6.2 and OC8B.1.7.2) from the remainder of the <b>System</b> in which that <b>HV Apparatus</b> is situated by either of the following:  |
|                           | (a) an <b>Isolating Device</b> maintained in an isolating position. The isolating position must either be:  |
|                           | (i) maintained by immobilising and Locking the Isolating Device in the isolating position and affixing a Caution Notice to it. Where the Isolating Device is Locked with a Safety Key, the Safety Key must be secured in a Key Safe and the Key Safe Key must be, where reasonably practicable, given to the authorised site representative of the Requesting Safety Co-Ordinator and is to be retained in safe custody. Where not reasonably practicable the Key Safe Key must be retained by the authorised site representative of the Implementing Safety Co-ordinator in safe custody; or |
|                           | (ii) maintained and/or secured by such other method which must be in accordance with the Local Safety Instructions or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be; or   |
|                           | (b) an adequate physical separation which must be in accordance with and maintained by the method set out in the Local Safety Instructions or the Safety Rules of the Relevant Transmission Licensee or that User, as the case may be.  |
| Joint System Incident     | An Event wherever occurring (other than on an Embedded Medium Power Station or an Embedded Small Power Station) which, in the opinion of The Company or a User, has or may have a serious and/or widespread effect, in the case of an Event on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station), on the National Electricity Transmission System, and in the case of an Event on the National Electricity Transmission System, on a User(s) System(s) (other than on an Embedded Medium Power Station or Embedded Small Power Station).   |
| Key Safe                  | A device for the secure retention of keys.  |

| Key Safe Key  | A key unique at a <b>Location</b> capable of operating a lock, other than a control lock, on a <b>Key Safe</b> .  |
|---|---|
| Large Power Station   | A Power Station which is  |
|   | (a) directly connected to:  |
|   | (i) NGET's Transmission System where such Power Station has a Registered Capacity of 100MW or more; or  |
|   | (ii) SPT's Transmission System where such Power Station has a Registered Capacity of 30MW or more; or   |
|   | (iii) SHETL's Transmission System where such Power Station has a Registered Capacity of 10MW or more; or  |
|   | (iv) an Offshore Transmission System where such Power Station has a Registered Capacity of 10MW or more;  |
|   | or,   |
|   | (b) Embedded within a User System (or part thereof) where such User System (or part thereof) is connected under normal operating conditions to:   |
|   | (i) NGET's Transmission System and such Power Station has a Registered Capacity of 100MW or more; or  |
|   | (ii) SPT's Transmission System and such Power Station has a Registered Capacity of 30MW or more; or   |
|   | (iii) SHETL's Transmission System and such Power Station has a Registered Capacity of 10MW or more;   |
|   | or,   |
|   | (c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in:                                      |
|   | (i) NGET's Transmission Area where such Power Station has a Registered Capacity of 100MW or more; or  |
|   | (ii) SPT's Transmission Area where such Power Station has a Registered Capacity of 30MW or more; or   |
|   | (iii) SHETL's Transmission Area where such Power Station has a Registered Capacity of 10MW or more;   |
|   | For the avoidance of doubt, a Large Power Station could comprise of Type A, Type B, Type C or Type D Power Generating Modules.  |
| Legally Binding Decisions<br>of the European<br>Commission and/or the<br>Agency | Any relevant legally binding decision or decisions of the European Commission and/or the <b>Agency</b> , but a binding decision does not include a decision that is not, or so much of a decision as is not, <b>Retained EU Law</b> . |
| Legal Challenge   | Where permitted by law, a judicial review in respect of the <b>Authority's</b> decision to approve or not to approve a <b>Grid Code Modification Proposal</b> .   |
| Licence   | Any licence granted to <b>The Company</b> or a <b>Relevant Transmission Licensee</b> or a <b>User</b> , under Section 6 of the <b>Act</b> .   |

| Licence Standards   | Those standards set out or referred to in Condition C17 of The Company's Transmission Licence and/or Condition D3 and/or Condition E16 of a Relevant Transmission Licensee's Transmission Licence.   |
|---|--|
| Limited-Balancing<br>Compliance Notification                | A notification from <b>The Company</b> to an <b>EU Generator</b> in respect of an <b>Embedded Small Power Station</b> with a <b>Bilateral Embedded Generation Agreement</b> with <b>The Company</b> , with a <b>Completion Date</b> on or after 05-09-2024, stating that the <b>Generator's Plant</b> and/or <b>Apparatus</b> specified in such notification may be, or is, unable to comply:  |
|   | (a) with the relevant provisions of the Grid Code and the <b>Bilateral Embedded Generation Agreement</b> ; and/or  |
|   | (b) in accordance with ECP.9.1 (ii) of the Grid Code, upon receipt of<br>notification from the Network Operator concerning an EU<br>Generator failing to meet the requirements of Engineering<br>Recommendation G99 or any provisions of the Grid Code, or<br>where applicable Bilateral Agreement.  |
| Limited Frequency<br>Sensitive Mode                         | A mode whereby the operation of the Genset or Power Generating Module (or DC Converter at a DC Converter Station or HVDC Systems exporting Active Power to the Total System) is Frequency insensitive except when the System Frequency exceeds 50.4Hz, from which point Limited High Frequency Response must be provided. For Power Generating Modules (including DC Connected Power Park Modules) and HVDC Systems, operation in Limited Frequency Sensitive Mode would require Limited Frequency Sensitive Mode – Overfrequency (LFSM-O) capability and Limited Frequency Sensitive Mode – Underfrequency (LFSM-U) capability. |
| Limited Frequency Sensitive Mode – Overfrequency or LFSM-O  | A Power Generating Module (including a DC Connected Power Park Module) or HVDC System operating mode which will result in Active Power output reduction in response to a change in System Frequency above a certain value.   |
| Limited Frequency Sensitive Mode – Underfrequency or LFSM-U | A Power Generating Module (including a DC Connected Power Park Module) or HVDC System operating mode which will result in Active Power output increase in response to a change in System Frequency below a certain value.  |
| Limited High Frequency<br>Response                          | A response of a <b>Genset</b> (or <b>DC Converter</b> at a <b>DC Converter Station</b> exporting <b>Active Power</b> to the <b>Total System</b> ) to an increase in <b>System Frequency</b> above 50.4Hz leading to a reduction in <b>Active Power</b> in accordance with the provisions of BC3.7.2.1.   |
| Limited Membership<br>Workgroup                             | A <b>Workgroup</b> having less than five (5) but more than two (2) persons that have nominated themselves for membership in addition to the <b>Code Administrator</b> representative and the chairperson of the <b>Workgroup</b> .   |
|   | Members of a <b>Limited Membership Workgroup</b> where employed by companies that are considered to be an <b>Affiliate</b> of each other will be considered to be a single workgroup member for the purposes of fulfilling this minimum requirement.   |

| Limited Operational<br>Notification or LON                        | A notification from The Company to a Generator or DC Converter Station owner or HVDC System Owner or Network Operator or Non-Embedded Customer stating that the User's Plant and/or Apparatus specified in such notification may be, or is, unable to comply:  (a) with the provisions of the Grid Code specified in the notice, and  (b) where applicable, with Appendices F1 to F5 of the Bilateral Agreement,  and specifying the Unresolved Issues.   |
|---|---|
| Load  | The Active, Reactive or Apparent Power, as the context requires, generated, transmitted or distributed.   |
| Loaded  | Supplying electrical power to the <b>System</b> .   |
| Load Angle  | The angle in radians between the voltage of the Internal Voltage Source and the voltage at the Grid Entry Point or User System Entry Point.   |
| Load Factor   | The ratio of the actual output of a <b>Generating Unit</b> or <b>Power Generating Module</b> to the possible maximum output of that <b>Generating Unit</b> or <b>Power Generating Module</b> .  |
| Load Management Block   | A block of <b>Demand</b> controlled by a <b>Supplier</b> or other party through the means of radio teleswitching or by some other means.  |
| Local Joint Restoration<br>Plan                                   | A plan produced and agreed by The Company, Transmission Licensee, Restoration Contractors and a Network Operator under OC9.4.7.7, detailing the agreed method and procedure by which The Company or Transmission Licensee in Scotland will instruct a Restoration Contractor with an Anchor Plant to energise, part of the Total System within 2 hours of that instruction and subsequently meet complementary blocks of local Demand so as to form a Power Island. A Local Joint Restoration Plan may require the use of Top Up Restoration Plant.   |
|   | A Local Joint Restoration Plan is distinct from and falls outside the provisions of a Distribution Restoration Zone Plan.   |
| Local Safety Instructions   | For safety co-ordination in England and Wales, instructions on each User Site and Transmission Site, approved by NGET's or User's relevant manager, setting down the methods of achieving the objectives of NGET's or the User's Safety Rules, as the case may be, to ensure the safety of personnel carrying out work or testing on Plant and/or Apparatus on which their Safety Rules apply and, in the case of a User, any other document(s) on a User Site which contains rules with regard to maintaining or securing the isolating position of an Isolating Device, or maintaining a physical separation or maintaining or securing the position of an Earthing Device. |
| Local Switching Procedure   | A procedure produced under OC7.6 detailing the agreed arrangements in respect of carrying out of <b>Operational Switching</b> at <b>Connection Sites</b> and parts of the <b>National Electricity Transmission System</b> adjacent to those <b>Connection Sites</b> .   |
| Localised Negative Reserve Active Power Margin or Localised NRAPM | That margin of <b>Active Power</b> sufficient to allow transfers to and from a <b>System Constraint Group</b> (as the case may be) to be contained within such reasonable limit as <b>The Company</b> may determine.  |

| Location  | Any place at which <b>Safety Precautions</b> are to be applied.  |
|---|--|
| Locked  | A condition of <b>HV Apparatus</b> that cannot be altered without the operation of a locking device.   |
| Locking   | The application of a locking device which enables <b>HV Apparatus</b> to be <b>Locked</b> .  |
| Low Frequency Relay                               | Has the same meaning as <b>Under Frequency Relay</b> .   |
| Low Voltage or LV                                 | For <b>E&amp;W Transmission Systems</b> a voltage not exceeding 250 volts. For <b>Scottish Transmission Systems</b> , a voltage exceeding 50 volts but not exceeding 1000 volts.   |
| LV Side of the Offshore<br>Platform               | Unless otherwise specified in the <b>Bilateral Agreement</b> , the busbar on the <b>Offshore Platform</b> (typically 33kV) at which the relevant <b>Offshore Grid Entry Point</b> is located.  |
| Main Plant and Apparatus                          | In respect of a <b>Power Station</b> (including <b>Power Stations</b> comprising of <b>DC Connected Power Park Modules</b> and <b>Electricity Storage Modules</b> ) is one or more of the principal items of <b>Plant</b> or <b>Apparatus</b> required to convert or re-convert the primary source of energy into electricity.                                 |
|   | In respect of HVDC Systems or DC Converters or Transmission DC Converters is one of the principal items of Plant or Apparatus used to convert high voltage direct current to high voltage alternating current or vice versa.   |
|   | In respect of a Network Operator's equipment or a Non-Embedded Customer's equipment, is one of the principal items of Plant or Apparatus required to facilitate the import or export of Active Power or Reactive Power to or from a Network Operator's or Non-Embedded Customer's System.  |
| Main Protection                                   | A <b>Protection</b> system which has priority above other <b>Protection</b> in initiating either a fault clearance or an action to terminate an abnormal condition in a power system.  |
| Manufacturer's Data & Performance Report          | A report submitted by a manufacturer to <b>The Company</b> relating to a specific version of a <b>Power Park Unit</b> demonstrating the performance characteristics of such <b>Power Park Unit</b> in respect of which <b>The Company</b> has evaluated its relevance for the purposes of the <b>Compliance Processes</b> .                                    |
| Manufacturer's Test<br>Certificates               | A certificate prepared by a manufacturer which demonstrates that its <b>Power Generating Module</b> has undergone appropriate tests and conforms to the performance requirements expected by <b>The Company</b> in satisfying its compliance requirements and thereby satisfies the appropriate requirements of the Grid Code and <b>Bilateral Agreement</b> . |
| Market Operation Data<br>Interface System (MODIS) | A computer system operated by <b>The Company</b> and made available for use by <b>Customers</b> connected to or using the <b>National Electricity Transmission System</b> for the purpose of submitting <b>EU Transparency Availability Data</b> to <b>The Company</b> .   |
| Market Suspension<br>Threshold                    | Has the meaning given to the term 'Market Suspension Threshold' in Section G of the <b>BSC</b> .   |

| An effect causing The Company or a Relevant Transmission Licensee to effect any works or to alter the manner of operation of Transmission Plant and/or Transmission Apparatus at the Connection Site (which term shall, in this definition and in the definition of "Modification" only, have the meaning ascribed thereto in the CUSC) or the site of connection or a User to effect any works or to alter the manner of operation of its Plant and/or Apparatus at the Connection Site or the site of connection which in either case involves that party in expenditure of more than £10,000.  Materially Affected Party  Any person or class of persons designated by the Authority as such.  Maximum Export Capability  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can export to the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  The maximum continuous Active Power expressed in MVA and maximum continuous Active Power expressed in MV which can flow from an Offshore Transmission System connected to a Network Operator's User System, to that User System.  Maximum Capacity or P <sub>max</sub> The maximum continuous Active Power which a Power Generating Module can supply to the Total System, less any demand associated when a sociated when the System is the maximum continuous Active Power which an Electricity Storage Module can export to the Total System.  Maximum Generation  A service utilised by The Company in accordance with the CUSC and the Balancing Principles Statement in operating the Total System.  Maximum Generation  A reprice utilised by The Company in accordance with the CUSC and the Balancing Principles Statement in operating the Total System.  Maximum HVDC Active Power which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Connected to a Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as |                                      |   |
|---|--------------------------------------|---|
| Maximum Export Capability  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can export to the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  Maximum Export Capacity  The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow from an Offshore Transmission System connected to a Network Operator's User System, to that User System.  Maximum Capacity or Pmax  The maximum continuous Active Power which a Power Generating Module can supply to the Total System, less any demand associated solely with facilitating the operation of that Power Generating Module and not fed into the System. In the case of an Electricity Storage Module, the Maximum Capacity is the maximum continuous Active Power which an Electricity Storage Module can export to the Total System less any demand associated with facilitating the operation of that Electricity Storage Module when fully charged and operating in a mode analogous to Generation.  Maximum Generation  Maximum Generation  Maximum Generation  Maximum Generation  Maximum HVDC Active Power and The Company for the payment by The Company to that User in respect of the provision by such User of a Maximum Generation Service.  Maximum HVDC Active Power Transmission  Capacity (PHmax)  The maximum continuous Active Power which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.  Maximum Import Capability  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  The maximum continuous Active Power expressed in MVA and maximum continuous Active Power expressed in MVA and maximum continuous Active Power expressed in MVA and not offshore Transmission System connected to a Network Operator          | Material Effect                      | to effect any works or to alter the manner of operation of <b>Transmission Plant</b> and/or <b>Transmission Apparatus</b> at the <b>Connection Site</b> (which term shall, in this definition and in the definition of " <b>Modification</b> " only, have the meaning ascribed thereto in the <b>CUSC</b> ) or the site of connection or a <b>User</b> to effect any works or to alter the manner of operation of its <b>Plant</b> and/or <b>Apparatus</b> at the <b>Connection Site</b> or the site of connection which in either case involves that party in expenditure of more than |
| Non-Embedded Customer can export to the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.    Maximum Export Capacity   The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow from an Offshore Transmission System connected to a Network Operator's User System, to that User System.    Maximum Capacity or Pmax   The maximum continuous Active Power which a Power Generating Module can supply to the Total System, less any demand associated solely with facilitating the operation of that Power Generating Module and not fed into the System. In the case of an Electricity Storage Module, the Maximum Capacity is the maximum continuous Active Power which an Electricity Storage Module can export to the Total System less any demand associated with facilitating the operation of that Electricity Storage Module when fully charged and operating in a mode analogous to Generation.    Maximum Generation   A service utilised by The Company in accordance with the CUSC and the Balancing Principles Statement in operating the Total System.    Maximum Generation   An agreement between a User and The Company for the payment by The Company to that User in respect of the provision by such User of a Maximum HVDC Active Power Transmission    Maximum HVDC Active Power Transmission   The maximum continuous Active Power which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.    Maximum Import Capacity   The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.    Maximum Import Capacity   The maximum continuous Active Power expressed in MVA and maximum continuous Active Power expressed in MVA and maximum continuous Active Power expressed in MVA and maximum continuous A            | Materially Affected Party            | Any person or class of persons designated by the <b>Authority</b> as such.  |
| maximum continuous Active Power expressed in MW which can flow from an Offshore Transmission System connected to a Network Operator's User System, to that User System.  Maximum Capacity or Pmax  The maximum continuous Active Power which a Power Generating Module can supply to the Total System, less any demand associated solely with facilitating the operation of that Power Generating Module and not fed into the System. In the case of an Electricity Storage Module, the Maximum Capacity is the maximum continuous Active Power which an Electricity Storage Module can export to the Total System less any demand associated with facilitating the operation of that Electricity Storage Module when fully charged and operating in a mode analogous to Generation.  Maximum Generation  Service or MGS  An agreement between a User and The Company for the payment by The Company to that User in respect of the provision by such User of a Maximum Generation Service.  Maximum HVDC Active Power which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.  Maximum Import Capability  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  Maximum Import Capacity  The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power which an Electricity Storage Module can import from the Transmission System connected to a Network Operator's User System, from that User System, when fully discharged and  | Maximum Export Capability            | Non-Embedded Customer can export to the Transmission System at  |
| Module can supply to the Total System, less any demand associated solely with facilitating the operation of that Power Generating Module and not fed into the System. In the case of an Electricity Storage Module, the Maximum Capacity is the maximum continuous Active Power which an Electricity Storage Module can export to the Total System less any demand associated with facilitating the operation of that Electricity Storage Module when fully charged and operating in a mode analogous to Generation.  Maximum Generation Service or MGS  A service utilised by The Company in accordance with the CUSC and the Balancing Principles Statement in operating the Total System.  Maximum Generation Service Agreement  An agreement between a User and The Company for the payment by The Company to that User in respect of the provision by such User of a Maximum Generation Service.  Maximum HVDC Active Power Which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.  Maximum Import Capability  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  Maximum Import Capacity  The maximum continuous Active Power expressed in MVA and maximum continuous Active Power which an Electricity Storage Module can import from the Total System, when fully discharged and   | Maximum Export Capacity              | maximum continuous <b>Active Power</b> expressed in MW which can flow from an <b>Offshore Transmission System</b> connected to a <b>Network</b>   |
| the Balancing Principles Statement in operating the Total System.  Maximum Generation Service Agreement  An agreement between a User and The Company for the payment by The Company to that User in respect of the provision by such User of a Maximum Generation Service.  The maximum continuous Active Power which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.  Maximum Import Capability  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  Maximum Import Capacity  The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow to an Offshore Transmission System connected to a Network Operator's User System, from that User System.  Maximum Import Power  The maximum continuous Active Power which an Electricity Storage Module can import from the Total System, when fully discharged and  | Maximum Capacity or P <sub>max</sub> | Module can supply to the Total System, less any demand associated solely with facilitating the operation of that Power Generating Module and not fed into the System. In the case of an Electricity Storage Module, the Maximum Capacity is the maximum continuous Active Power which an Electricity Storage Module can export to the Total System less any demand associated with facilitating the operation of that Electricity Storage Module when fully charged and operating in a mode   |
| The Company to that User in respect of the provision by such User of a Maximum HVDC Active Power Transmission Capacity (PHmax)  The maximum continuous Active Power which an HVDC System can exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  Maximum Import Capacity  The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow to an Offshore Transmission System connected to a Network Operator's User System, from that User System.  Maximum Import Power  The maximum continuous Active Power which an Electricity Storage Module can import from the Total System, when fully discharged and   |                                      |   |
| Power Transmission Capacity (PHmax)  exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed between The Company and the HVDC System Owner.  The maximum continuous Active Power that a Network Operator or Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  Maximum Import Capacity  The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow to an Offshore Transmission System connected to a Network Operator's User System, from that User System.  Maximum Import Power  The maximum continuous Active Power which an Electricity Storage Module can import from the Total System, when fully discharged and   |                                      | The Company to that User in respect of the provision by such User of a  |
| Non-Embedded Customer can import from the Transmission System at the Grid Supply Point, as specified in the Bilateral Agreement.  Maximum Import Capacity  The maximum continuous Apparent Power expressed in MVA and maximum continuous Active Power expressed in MW which can flow to an Offshore Transmission System connected to a Network Operator's User System, from that User System.  Maximum Import Power  The maximum continuous Active Power which an Electricity Storage Module can import from the Total System, when fully discharged and  | Power Transmission                   | exchange with the network at each Grid Entry Point or User System Entry Point as specified in the Bilateral Agreement or as agreed  |
| maximum continuous Active Power expressed in MW which can flow to an Offshore Transmission System connected to a Network Operator's User System, from that User System.  Maximum Import Power  The maximum continuous Active Power which an Electricity Storage Module can import from the Total System, when fully discharged and  | Maximum Import Capability            | Non-Embedded Customer can import from the Transmission System   |
| Module can import from the Total System, when fully discharged and  | Maximum Import Capacity              | maximum continuous <b>Active Power</b> expressed in MW which can flow to an <b>Offshore Transmission System</b> connected to a <b>Network Operator's</b>  |
|   | Maximum Import Power                 | Module can import from the Total System, when fully discharged and  |

| Medium Power Station                                     | A Power Station which is  |
|--|---|
|  | (a) directly connected to NGET's Transmission System where such Power Station has a Registered Capacity of 50MW or more but less than 100MW;  |
|  | or,   |
|  | (b) Embedded within a User System (or part thereof) where such User System (or part thereof) is connected under normal operating conditions to NGET's Transmission System and such Power Station has a Registered Capacity of 50MW or more but less than 100MW;   |
|  | or,   |
|  | (c) Embedded within a User System (or part thereof) where the User System (or part thereof) is not connected to the National Electricity Transmission System, although such Power Station is in NGET's Transmission Area and such Power Station has a Registered Capacity of 50MW or more but less than 100MW.  |
|  | For the avoidance of doubt a <b>Medium Power Station</b> could comprise of <b>Type A</b> , <b>Type B</b> , <b>Type C</b> or <b>Type D Power Generating Modules</b> .  |
| Medium Voltage or MV                                     | For <b>E&amp;W Transmission Systems</b> a voltage exceeding 250 volts but not exceeding 650 volts.  |
| Mills  | Milling plant which supplies pulverised fuel to the boiler of a coal fired <b>Power Station</b> .   |
| Minimum Generation                                       | The minimum output (in whole MW) which a <b>Genset</b> can generate or <b>DC Converter</b> at a <b>DC Converter Station</b> or <b>Electricity Storage Module</b> can import or export to the <b>Total System</b> under stable operating conditions, as registered with <b>The Company</b> under the <b>PC</b> (and amended pursuant to the <b>PC</b> ). For the avoidance of doubt, the output may go below this level as a result of operation in accordance with BC3.7. |
| Minimum Active Power<br>Transmission Capacity<br>(PHmin) | The minimum continuous <b>Active Power</b> which an <b>HVDC System</b> can exchange with the <b>System</b> at each <b>Grid Entry Point</b> or <b>User System Entry Point</b> as specified in the <b>Bilateral Agreement</b> or as agreed between <b>The Company</b> and the <b>HVDC System Owner</b> .  |
| Minimum Import Capacity                                  | The minimum input (in whole MW) into a DC Converter at a DC Converter Station or HVDC System at an HVDC Converter (in any of its operating configurations) at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter or an Embedded HVDC Converter at the User System Entry Point) at which a DC Converter or HVDC Converter can operate in a stable manner, as registered with The Company under the PC (and amended pursuant to the PC).              |
| Minimum Regulating Level                                 | The minimum Active Power, as specified in the Bilateral Agreement or as agreed between The Company and the Generator or HVDC System Owner, down to which the Power Generating Module (including a DC Connected Power Park Module) or HVDC System can control Active Power.  |

| Minimum Stable Operating Level                    | The minimum <b>Active Power</b> , as specified in the <b>Bilateral Agreement</b> or as agreed between <b>The Company</b> and the <b>Generator</b> , at which the <b>Power Generating Module</b> can be operated stably for an unlimited time.   |
|---|---|
| Modification                                      | Any actual or proposed replacement, renovation, modification, alteration or construction by or on behalf of a <b>User</b> or <b>The Company</b> to either that <b>User's Plant</b> or <b>Apparatus</b> or <b>Transmission Plant</b> or <b>Apparatus</b> , as the case may be, or the manner of its operation which has or may have a <b>Material Effect</b> on <b>The Company</b> or a <b>User</b> , as the case may be, at a particular <b>Connection Site</b> . |
| Mothballed DC Connected Power Park Module         | A <b>DC</b> Connected Power Park Module that has previously generated which the Generator plans not to use to generate for the remainder of the current Financial Year but which could be returned to service.  |
| Mothballed DC Converter at a DC Converter Station | A <b>DC</b> Converter at a <b>DC</b> Converter Station that has previously imported or exported power which the <b>DC</b> Converter Station Owner plans not to use to import or export power for the remainder of the current <b>Financial Year</b> but which could be returned to service.   |
| Mothballed HVDC System                            | An <b>HVDC System</b> that has previously imported or exported power which the <b>HVDC System Owner</b> plans not to use to import or export power for the remainder of the current <b>Financial Year</b> but which could be returned to service.   |
| Mothballed HVDC<br>Converter                      | An HVDC Converter which is part of an HVDC System that has previously imported or exported power which the HVDC System Owner plans not to use to import or export power for the remainder of the current Financial Year but which could be returned to service.   |
| Mothballed Generating Unit                        | A Generating Unit that has previously generated which the Generator plans not to use to generate for the remainder of the current Financial Year but which could be returned to service. For the avoidance of doubt a Mothballed Generating Unit could be part of a Power Generating Module.  |
| Mothballed Power<br>Generating Module             | A <b>Power Generating Module</b> that has previously generated which the <b>Generator</b> plans not to use to generate for the remainder of the current <b>Financial Year</b> but which could be returned to service.   |
| Mothballed Power Park<br>Module                   | A <b>Power Park Module</b> that has previously generated which the <b>Generator</b> plans not to use to generate for the remainder of the current <b>Financial Year</b> but which could be returned to service.   |
| Multiple Point of<br>Connection                   | A double (or more) <b>Point of Connection</b> , being two (or more) <b>Points of Connection</b> interconnected to each other through the <b>User's System</b> .   |
| MSID  | Has the meaning a set out in the <b>BSC</b> , covers Metering System Identifier.  |

| National Demand  | The amount of electricity supplied from the <b>Grid Supply Points</b> plus:-   |
|--|--|
|  | that supplied by Embedded Large Power Stations, and  |
|  | National Electricity Transmission System Losses,   |
|  | minus:-  |
|  | the Demand taken by Station Transformers and, Pumped Storage Units' and Electricity Storage Modules'.  |
|  | and, for the purposes of this definition, does not include:-   |
|  | any exports from the National Electricity Transmission System across External Interconnections.  |
| National Electricity<br>Transmission System                            | The Onshore Transmission System and, where owned by Offshore Transmission Licensees, Offshore Transmission Systems.  |
| National Electricity   | The amount of electricity supplied from the Grid Supply Points plus:-  |
| Transmission System Demand   | that supplied by Embedded Large Power Stations, and  |
|  | exports from the National Electricity Transmission System across External Interconnections, and  |
|  | National Electricity Transmission System Losses,   |
|  | and, for the purposes of this definition, includes:-   |
|  | the Demand taken by Station Transformers and, Pumped Storage Units and Electricity Storage Modules'.   |
| National Electricity<br>Transmission System<br>Losses                  | The losses of electricity incurred on the National Electricity Transmission System.  |
| National Electricity<br>Transmission System<br>Operator Area           | Has the meaning set out in Schedule 1 of <b>The Company's Transmission</b> Licence.  |
| National Electricity<br>Transmission System<br>Study Network Data File | A computer file produced by <b>The Company</b> which in <b>The Company</b> 's view provides an appropriate representation of the <b>National Electricity Transmission System</b> for a specific point in time. The computer file will contain information and data on <b>Demand</b> on the <b>National Electricity Transmission System</b> and on <b>Large Power Stations</b> including <b>Genset</b> power output consistent with <b>Output Usable</b> and <b>The Company's</b> view of prevailing system conditions. |
| National Electricity<br>Transmission System<br>Warning                 | A warning issued by <b>The Company</b> to <b>Users</b> (or to certain <b>Users</b> only) in accordance with OC7.4.8.2, which provides information relating to <b>System</b> conditions or <b>Events</b> and is intended to:  |
|  | (a) alert <b>Users</b> to possible or actual <b>Plant</b> shortage, <b>System</b> problems and/or <b>Demand</b> reductions;  |
|  | (b) inform of the applicable period;   |
|  | (c) indicate intended consequences for <b>Users</b> ; and  |
|  | (d) enable specified <b>Users</b> to be in a state of readiness to receive instructions from <b>The Company</b> .  |

| National Electricity<br>Transmission System<br>Warning - Demand Control<br>Imminent                       | A warning issued by <b>The Company</b> , in accordance with OC7.4.8.7, which is intended to provide short term notice, where possible, to those <b>Users</b> who are likely to receive <b>Demand</b> reduction instructions from <b>The Company</b> within 30 minutes.                               |
|---|--|
| National Electricity<br>Transmission System<br>Warning - Electricity Margin<br>Notice                     | A warning issued by <b>The Company</b> , in accordance with OC7.4.8.5, which is intended to invite a response from and to alert recipients to a decreased <b>System Margin</b> .   |
| National Electricity Transmission System Warning – Embedded Generation Control Imminent                   | A warning issued by <b>The Company</b> , in accordance with OC7.4.8.12, which is intended to provide short term notice, where possible, to those <b>Network Operators</b> who are likely to receive <b>Embedded Generation Control</b> instructions from <b>The Company</b> within 30 minutes.       |
| National Electricity<br>Transmission System<br>Warning - High Risk of<br>Demand Reduction                 | A warning issued by <b>The Company</b> , in accordance with OC7.4.8.6, which is intended to alert recipients that there is a high risk of <b>Demand</b> reduction being implemented and which may normally result from an <b>Electricity Margin Notice</b> .   |
| National Electricity<br>Transmission System<br>Warning - High Risk<br>of Embedded Generation<br>Reduction | A warning issued by <b>The Company</b> , in accordance with OC7.4.8.11, which is intended to alert recipients that there is a high risk of <b>Embedded Generation Control</b> being implemented and which may result from a <b>National Electricity Transmission System Warning – System NRAPM</b> . |
| National Electricity<br>Transmission System<br>Warning – Localised<br>NRAPM                               | A warning issued by <b>The Company</b> , in accordance with OC.7.4.8.10, which is intended to invite a response from and to alert recipients to a decreased <b>Localised NRAPM</b> .   |
| National Electricity<br>Transmission System<br>Warning - Risk of System<br>Disturbance                    | A warning issued by <b>The Company</b> , in accordance with OC7.4.8.8, which is intended to alert <b>Users</b> of the risk of widespread and serious <b>System</b> disturbance which may affect <b>Users</b> .   |
| National Electricity<br>Transmission System<br>Warning – System NRAPM                                     | A warning issued by <b>The Company</b> , in accordance with OC.7.4.8.9, which is intended to invite a response from and to alert recipients to a decreased <b>System NRAPM</b> .   |
| Network Data  | The data to be provided by <b>The Company</b> to <b>Users</b> in accordance with the <b>PC</b> , as listed in Part 3 of the Appendix to the <b>PC</b> .  |

| Network Frequency<br>Perturbation Plot        | A form of Bode Plot which plots the amplitude (%) and phase (degrees) of the resulting output oscillation responding to an applied input oscillation across a frequency base. The plot will be used to assess the capability and performance of a <b>Grid Forming Plant</b> and to ensure that it does not pose a risk to other <b>Plant</b> and <b>Apparatus</b> connected to the <b>Total System</b> .   |
|---|--|
|   | For <b>GBGF-I</b> , these are used to provide data to <b>The Company</b> which together with the associated <b>Nichols Chart</b> (or equivalent) defines the effects on a <b>GBGF-I</b> for changes in the frequency of the applied input oscillation.   |
|   | The input is the applied as an input oscillation and the output is the resulting oscillations in the <b>GBGF-I's Active Power</b> .  |
|   | For the avoidance of doubt, <b>Generators</b> in respect of <b>GBGF-S</b> can provide their data using the existing formats and do not need to supply <b>NFP</b> plots.  |
| Network Gas Supply<br>Emergency               | Has the meaning set out in the <b>BSC</b> .  |
| Network Operator                              | A person with a <b>User System</b> directly connected to the <b>National Electricity Transmission System</b> to which <b>Customers</b> and/or <b>Power Stations</b> (not forming part of the <b>User System</b> ) are connected, acting in its capacity as an operator of the <b>User System</b> , but shall not include a person acting in the capacity of an <b>Externally Interconnected System Operator</b> or a <b>Generator</b> in respect of <b>OTSUA</b> . |
| NGET  | National Grid Electricity Transmission plc (NO: 2366977) whose registered office is at 1-3 Strand, London, WC2N 5EH.   |
| Nichols Chart                                 | For a <b>GBGF-I</b> , a chart derived from the open loop Bode Plots that are used to produce an <b>NFP Plot</b> . The <b>Nichols Chart</b> plots open loop gain versus open loop phase angle. This enables the open loop phase for an open loop gain of 1 to be identified for use in defining the <b>GBGF-I</b> 's equivalent <b>Damping Factor</b> .   |
| No-Load Field Voltage                         | Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1 : 1992].   |
| No System Connection                          | As defined in OC8A.1.6.2 and OC8B.1.7.2.   |
| Non-CUSC Party                                | A Party who does not accede to the Connection and Use of System Code (CUSC).   |
| Non-Synchronous<br>Electricity Storage Module | A Power Park Module comprising soley of one or more Non-Synchronous Electricity Storage Units.   |
| Notification of User's Intention to Operate   | A notification from a <b>Network Operator</b> or <b>Non-Embedded Customer</b> to <b>The Company</b> informing <b>The Company</b> of the date upon which any <b>Network Operator's</b> or <b>Non-Embedded Customer's Plant</b> and <b>Apparatus</b> at an <b>EU Grid Supply Point</b> will be ready to be connected to the <b>Transmission System</b> .   |

| A notification from a <b>Generator</b> or <b>DC Converter Station</b> owner or <b>HVDC System Owner</b> to <b>The Company</b> informing <b>The Company</b> of the date upon which any <b>OTSUA</b> , a <b>Generating Unit</b> (s), <b>CCGT Module</b> (s), <b>Power Park Module</b> (s), <b>Power Generating Module</b> (s) (including a <b>DC Connected Power Park Module</b> (s)), <b>HVDC System</b> or <b>DC Converter</b> (s) will be ready to be <b>Synchronised</b> to the <b>Total System</b> . |
|---|
| An item of storage <b>Plant</b> , including but not limited to a <b>Synchronous Flywheel</b> or <b>Synchronous Compensation Equipment</b> or <b>Regenerative Braking</b> whose active output power cannot be independently controlled.  |
| A <b>Demand Response Service</b> in which the <b>Demand</b> is controlled through discrete switching rather than through continuous load changes in response to <b>System Frequency</b> changes.  |
| A Customer in Great Britain, except for a Network Operator acting in its capacity as such, receiving electricity direct from the Onshore Transmission System irrespective of from whom it is supplied.  |
| A <b>Power Park Module</b> comprising solely of one or more <b>Non-Synchronous Electricity Storage Units</b> .  |
| A <b>Power Park Unit</b> which can produce electrical energy by converting or re-converting another source of energy such that the frequency of the generated voltage is not inherently in synchronism with the frequency of the <b>System</b> .  |
| An Onshore Non-Synchronous Generating Unit or Offshore Non-Synchronous Generating Unit which could form part of a Power Generating Module.  |
| A CCGT Module other than a Range CCGT Module.   |
| A tidal, wave, wind, geothermal, or any similar, Generating Unit.   |
| Has the meaning set out in OC9.5.4.   |
| Means wholly or partly in <b>Offshore Waters</b> , and when used in conjunction with another term and not defined means that the associated term is to be read accordingly.   |
| Any <b>User Apparatus</b> located <b>Offshore</b> used to convert alternating current electricity to direct current electricity, or vice versa. An <b>Offshore DC Converter</b> is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion.  |
| Any <b>User Apparatus</b> located <b>Offshore</b> used to convert alternating current electricity to direct current electricity, or vice versa. An <b>Offshore HVDC Converter</b> is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion.  |
|   |

| Offshore Development Information Statement  | A statement prepared by <b>The Company</b> in accordance with Special Condition C4 of <b>The Company's Transmission Licence</b> .  |
|---|--|
| Offshore Generating Unit                    | Unless otherwise provided in the Grid Code, any Apparatus located Offshore which produces electrical energy by converting or re-converting another source of energy, including, an Offshore Synchronous Generating Unit or Offshore Non-Synchronous Generating Unit which could also be part of a Power Generating Module or Electricity Storage Module  |
| Offshore Grid Entry Point                   | In the case of:-   |
|   | (a) an Offshore Generating Unit or an Offshore Synchronous Power Generating Module or an Offshore DC Converter or an Offshore HVDC Converter, as the case may be, which is directly connected to an Offshore Transmission System, the point at which it connects to that Offshore Transmission System, or;   |
|   | (b) an Offshore Power Park Module which is directly connected to an Offshore Transmission System, the point where one Power Park String (registered by itself as a Power Park Module) or the collection of points where a number of Offshore Power Park Strings (registered as a single Power Park Module) connects to that Offshore Transmission System, or;  |
|   | (c) an External Interconnection which is directly connected to an Offshore Transmission System, the point at which it connects to that Offshore Transmission System.   |
| Offshore Local Joint<br>Restoration Plan    | A plan produced and agreed by The Company, Offshore Transmission Licensees, Restoration Contractors, a Network Operator and in some cases an Onshore Transmission Licensee under OC9.4.7.7, detailing the agreed method and procedure by which The Company will instruct a Restoration Contractor with an Anchor Plant located Offshore to energise, part of the Total System (including but not limited to parts of the Offshore Transmission System) within 2 hours of that instruction and subsequently meet complementary blocks of local Demand so as to form a Power Island. An Offshore Local Joint Restoration Plan may require the use of Top Up Restoration Plant. |
|   | An <b>Offshore Local Joint Restoration Plan</b> is distinct from and falls outside the provisions of a <b>Distribution Restoration Zone Plan</b>   |
| Offshore Non-Synchronous<br>Generating Unit | An Offshore Generating Unit that is not an Offshore Synchronous Generating Unit including for the avoidance of doubt a Power Park Unit or Non-Synchronous Electricity Storage Unit located Offshore.   |
| Offshore Platform                           | A single structure comprising of <b>Plant</b> and <b>Apparatus</b> located <b>Offshore</b> which includes one or more <b>Offshore Grid Entry Points</b> .  |

| Offshore Power Park<br>Module                                 | A collection of one or more <b>Offshore Power Park Strings</b> (registered as a <b>Power Park Module</b> under the <b>PC</b> ). There is no limit to the number of <b>Power Park Strings</b> within the <b>Power Park Module</b> , so long as they either:  |
|---|---|
|   | (a) connect to the same busbar which cannot be electrically split; or   |
|   | (b) connect to a collection of directly electrically connected busbars of the same nominal voltage and are configured in accordance with the operating arrangements set out in the relevant <b>Bilateral Agreement</b> .  |
| Offshore Power Park String                                    | A collection of Offshore Generating Units or Power Park Units or Non-Synchronous Electricity Storage Unit that are powered by an Intermittent Power Source, joined together by cables forming part of a User System with a single point of connection to an Offshore Transmission System. The connection to an Offshore Transmission System may include a DC Converter or HVDC Converter.   |
| Offshore Synchronous<br>Generating Unit                       | A Generating Unit or Synchronous Electricity Storage Unit located Offshore which could be part of an Offshore Synchronous Power Generating Module in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the National Electricity Transmission System divided by the number of pole pairs of the Generating Unit.  |
| Offshore Synchronous<br>Power Generating Module               | A Synchronous Power Generating Module or Synchronous Electricity Storage Module located Offshore.   |
| Offshore Tender Process                                       | The process followed by the <b>Authority</b> to make, in prescribed cases, a determination on a competitive basis of the person to whom an offshore transmission licence is to be granted.  |
| Offshore Transmission<br>Distribution Connection<br>Agreement | An agreement entered into by <b>The Company</b> and a <b>Network Operator</b> in respect of the connection to and use of a <b>Network Operator's User System</b> by an <b>Offshore Transmission System</b> .  |
| Offshore Transmission<br>Licensee                             | Such person in relation to whose <b>Transmission Licence</b> the standard conditions in Section E (offshore transmission owner standard conditions) of such <b>Transmission Licence</b> have been given effect, or any person in that prospective role who has acceded to the <b>STC</b> .  |
| Offshore Transmission<br>System                               | A system consisting (wholly or mainly) of high voltage electric lines and used for the transmission of electricity from one <b>Power Station</b> to a substation or to another <b>Power Station</b> or between sub-stations, and includes any <b>Plant</b> and <b>Apparatus</b> (including <b>OTSUA</b> ) and meters in connection with the transmission of electricity but does not include any <b>Remote Transmission Assets</b> . An <b>Offshore Transmission System</b> extends from the <b>Interface Point</b> , or the <b>Offshore Grid Entry Point(s)</b> and may include <b>Plant</b> and <b>Apparatus</b> located <b>Onshore</b> and <b>Offshore</b> and, where the context permits, references to the <b>Offshore Transmission System</b> includes <b>OTSUA</b> . |

| Offshore Transmission<br>System Development User<br>Works or OTSDUW | In relation to a particular <b>User</b> where the <b>OTSDUW Arrangements</b> apply, means those activities and/or works for the design, planning, consenting and/or construction and installation of the <b>Offshore Transmission System</b> to be undertaken by the <b>User</b> as identified in Part 2 of Appendix I of the relevant <b>Construction Agreement</b> .   |
|---|--|
| Offshore Transmission System User Assets or OTSUA                   | OTSDUW Plant and Apparatus constructed and/or installed by a User under the OTSDUW Arrangements which form an Offshore Transmission System that once transferred to a Relevant Transmission Licensee under an Offshore Tender Process will become part of the National Electricity Transmission System.  |
| Offshore Waters   | Has the meaning given to "offshore waters" in Section 90(9) of the Energy Act 2004.  |
| Offshore Works<br>Assumptions                                       | In relation to a particular <b>User</b> , means those assumptions set out in Appendix P of the relevant <b>Construction Agreement</b> as amended from time to time.  |
| Onshore   | Means within <b>Great Britain</b> , and when used in conjunction with another term and not defined means that the associated term is to be read accordingly.   |
| Onshore DC Converter  | Any <b>User Apparatus</b> located <b>Onshore</b> with a <b>Completion Date</b> after 1 <sup>st</sup> April 2005 used to convert alternating current electricity to direct current electricity, or vice versa. An <b>Onshore DC Converter</b> is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, an <b>Onshore DC Converter</b> represents the bipolar configuration. |
| Onshore Generating Unit   | Unless otherwise provided in the Grid Code, any Apparatus located Onshore which produces electrical energy by converting or re-converting another source of energy, including, an Onshore Synchronous Generating Unit or Onshore Non-Synchronous Generating Unit which could also be part of a Power Generating Module or an Electricity Storage Module.   |
| Onshore Grid Entry Point  | A point at which a Onshore Generating Unit or a CCGT Module or a CCGT Unit or an Onshore Power Generating Module or a Onshore DC Converter or an Onshore HVDC Converter or a Onshore Power Park Module or an Onshore Electricity Storage Module or an External Interconnection, as the case may be, which is directly connected to the Onshore Transmission System connects to the Onshore Transmission System.  |
| Onshore HVDC Converter  | Any <b>User Apparatus</b> located <b>Onshore</b> used to convert alternating current electricity to direct current electricity, or vice versa. An <b>Onshore HVDC Converter</b> is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. In a bipolar arrangement, an <b>Onshore HVDC Converter</b> represents the bipolar configuration.  |

|  | <del>-</del>   |
|--|--|
| Onshore Non-Synchronous<br>Generating Unit     | A Generating Unit located Onshore that is not a Synchronous Generating Unit or Synchronous Electricity Storage Unit including for the avoidance of doubt a Power Park Unit or Non-Synchronous Electricity Storage Unit located Onshore.  |
| Onshore Power Park<br>Module                   | A collection of Non-Synchronous Generating Units that are powered by an Intermittent Power Source or connected through power electronic conversion technology or Non-Synchronous Electricity Storage Units, joined together by a System (registered as a Power Park Module under the PC) with a single electrical point of connection directly to the Onshore Transmission System (or User System if Embedded) with no intermediate Offshore Transmission System connections. The connection to the Onshore Transmission System (or User System if Embedded) may include a DC Converter or HVDC Converter.   |
| Onshore Synchronous<br>Generating Unit         | An Onshore Generating Unit or Onshore Synchronous Electricity Storage Unit (which could also be part of an Onshore Power Generating Module) including, for the avoidance of doubt, a CCGT Unit or Synchronous Electricity Storage Unit in which, under all steady state conditions, the rotor rotates at a mechanical speed equal to the electrical frequency of the National Electricity Transmission System divided by the number of pole pairs of the Generating Unit.  |
| Onshore Synchronous<br>Power Generating Module | A Synchronous Power Generating Module or Synchronous Electricity Storage Module located Onshore.   |
| Onshore Transmission Licensee                  | NGET, SPT, or SHETL.   |
| Onshore Transmission<br>System                 | The system consisting (wholly or mainly) of high voltage electric lines owned or operated by <b>Onshore Transmission Licensees</b> or operated by <b>The Company</b> and used for the transmission of electricity from one <b>Power Station</b> to a substation or to another <b>Power Station</b> or between substations or to or from <b>Offshore Transmission Systems</b> or to or from any <b>External Interconnection</b> , and includes any <b>Plant</b> and <b>Apparatus</b> and meters owned or operated by any <b>Onshore Transmission Licensee</b> in connection with the transmission of electricity but does not include any <b>Remote Transmission Assets</b> . |
| On-Site Generator Site                         | A site which is determined by the <b>BSC Panel</b> to be a Trading Unit under the <b>BSC</b> by reason of having fulfilled the Class 1 or Class 2 requirements as such terms are used in the <b>BSC</b> .  |
| Operating Code or OC                           | That portion of the Grid Code which is identified as the <b>Operating Code</b> .   |
| Operating Margin                               | Contingency Reserve plus Operating Reserve.  |
| Operating Reserve                              | The additional output from Large Power Stations or the reduction in Demand, which must be realisable in real-time operation to respond in order to contribute to containing and correcting any System Frequency fall to an acceptable level in the event of a loss of generation or a loss of import from an External Interconnection or mismatch between generation and Demand.   |
| Operation                                      | A scheduled or planned action relating to the operation of a <b>System</b> (including an <b>Embedded Power Station</b> ).  |
|  |  |

| Operational Data               | Data required under the <b>Operating Codes</b> and/or <b>Balancing Codes</b> .   |
|--------------------------------|--|
| Operational Day                | The period from 0500 hours on one day to 0500 on the following day.  |
| Operation Diagrams             | Diagrams which are a schematic representation of the HV Apparatus and the connections to all external circuits at a Connection Site (and in the case of OTSDUW, Transmission Interface Site), incorporating its numbering, nomenclature and labelling.   |
| Operational Effect             | Any effect on the operation of the relevant other <b>System</b> which causes the <b>National Electricity Transmission System</b> or the <b>System</b> of the other <b>User</b> or <b>Users</b> , as the case may be, to operate (or be at a materially increased risk of operating) differently to the way in which they would or may have operated in the absence of that effect.   |
| Operational Intertripping      | The automatic tripping of circuit-breakers to prevent abnormal system conditions occurring, such as over voltage, overload, <b>System</b> instability, etc. after the tripping of other circuit-breakers following power <b>System</b> fault(s) which includes <b>System</b> to <b>Generating Unit</b> , <b>System</b> to <b>CCGT Module</b> , <b>System</b> to <b>Power Park Module</b> , <b>System</b> to <b>Electricity Storage Module</b> , <b>System</b> to <b>DC Converter</b> , <b>System to Power Generating Module</b> , <b>System</b> to <b>HVDC Converter</b> and <b>System</b> to <b>Demand</b> intertripping schemes.   |
| Operational Notifications      | Any Energisation Operational Notification, Interim Operational Notification, Final Operational Notification or Limited Operational Notification issued from The Company to a User.   |
| Operational Planning           | Planning through various timescales the matching of generation output with forecast National Electricity Transmission System Demand together with a reserve of generation to provide a margin, taking into account outages of certain Generating Units or Power Generating Modules, of parts of the National Electricity Transmission System and of parts of User Systems to which Power Stations and/or Customers are connected, carried out to achieve, so far as possible, the standards of security set out in The Company's Transmission Licence, each Relevant Transmission Licensee's Transmission Licence or Electricity Distribution Licence, as the case may be. |
| Operational Planning<br>Margin | An operational planning margin set by <b>The Company</b> .   |
| Operational Planning Phase     | The period from 8 weeks to the end of the 5 <sup>th</sup> year ahead of real time operation.   |
| Operational Procedures         | Management instructions and procedures, both in support of the <b>Safety Rules</b> and for the local and remote operation of <b>Plant</b> and <b>Apparatus</b> , issued in connection with the actual operation of <b>Plant</b> and/or <b>Apparatus</b> at or from a <b>Connection Site</b> .  |
| Operational Switching          | Operation of <b>Plant</b> and/or <b>Apparatus</b> to the instruction of the relevant <b>Control Engineer</b> . For the avoidance of doubt, the operation of  |

| Other Relevant Data                   | The data listed in BC1.4.2(f) under the heading Other Relevant Data.  |
|---------------------------------------|---|
| OTSDUW Arrangements                   | The arrangements whereby certain aspects of the design, consenting, construction, installation and/or commissioning of transmission assets are capable of being undertaken by a <b>User</b> prior to the transfer of those assets to a <b>Relevant Transmission Licensee</b> under an <b>Offshore Tender Process</b> .  |
| OTSDUW Data and Information           | The data and information to be provided by <b>Users</b> undertaking <b>OTSDUW</b> , to <b>The Company</b> in accordance with Appendix F of the <b>Planning Code</b> .   |
| OTSDUW DC Converter                   | A <b>Transmission DC Converter</b> designed and/or constructed and/or installed by a <b>User</b> under the <b>OTSDUW Arrangements</b> and/or operated by the <b>User</b> until the <b>OTSUA Transfer Time</b> .   |
| OTSDUW Development and Data Timetable | The timetable for both the delivery of <b>OTSDUW Data and Information</b> and <b>OTSDUW Network Data and Information</b> as referred to in Appendix F of the <b>Planning Code</b> and the development of the scope of the <b>OTSDUW</b> .   |
| OTSDUW Network Data and Information   | The data and information to be provided by <b>The Company</b> to <b>Users</b> undertaking <b>OTSDUW</b> in accordance with Appendix F of the <b>Planning Code</b> .   |
| OTSDUW Plant and<br>Apparatus         | Plant and Apparatus, including any OTSDUW DC Converter, designed by the User under the OTSDUW Arrangements.   |
| OTSUA Transfer Time                   | The time and date at which the OTSUA are transferred to a Relevant Transmission Licensee.   |
| Out of Synchronism                    | The condition where a <b>System</b> or <b>Generating Unit</b> or <b>Power Generating Module</b> cannot meet the requirements to enable it to be <b>Synchronised</b> .   |
| Output Usable or OU                   | The forecast value (in MW), profiled across the time period affected by the unplanned or planned Event of the level at which the <b>Genset</b> can export to the <b>Grid Entry Point</b> , or in the case of <b>Embedded Power Stations</b> , to the <b>User System Entry Point</b> . In addition, for a <b>Genset</b> powered by an <b>Intermittent Power Source</b> the forecast value is based upon the <b>Intermittent Power Source</b> being at a level which would enable the <b>Genset</b> to generate at <b>Registered Capacity</b> . |
|                                       | For the purpose of OC2 only, the term <b>Output Usable</b> shall include the terms <b>Interconnector Export Capacity</b> and <b>Interconnector Import Capacity</b> where the term <b>Output Usable</b> is being applied to an <b>External Interconnection</b> .   |
| Over-excitation Limiter               | Shall have the meaning ascribed to that term in IEC 34-16-1:1991 [equivalent to British Standard BS4999 Section 116.1: 1992].   |
| Panel Chairperson                     | A person appointed as such in accordance with GR.4.1.   |
| Panel Member                          | Any of the persons identified as such in GR.4.  |
| Panel Members' Recommendation         | The recommendation in accordance with the "Grid Code Review Panel Recommendation Vote".   |

| Panel Secretary                     | A person appointed as such in accordance with GR.3.1.2(d).   |
|-------------------------------------|--|
| Part 1 System Ancillary<br>Services | Ancillary Services which are required for System reasons and which must be provided by Users in accordance with the Connection Conditions or European Connection Conditions. An exhaustive list of Part 1 System Ancillary Services is included in that part of CC.8.1 or ECC.8.1 headed Part 1.   |
| Part 2 System Ancillary<br>Services | Ancillary Services which are required for System reasons and which must be provided by a User if the User has agreed to provide them under a Bilateral Agreement. A non-exhaustive list of Part 2 System Ancillary Services is included in that part of CC.8.1 or ECC.8.1 headed Part 2.   |
| Part Load                           | The condition of a <b>Genset</b> , or <b>Cascade Hydro Scheme</b> which is <b>Loaded</b> but is not running at its Maximum Export Limit.   |
| Peak Current Rating                 | For a GBGF-I this is the larger of either the: -  • The registered maximum steady-state current plus the maximum additional current to supply the Active ROCOF Response Power plus the Defined Active Damping Power; or.   |
|                                     | <ul> <li>The registered maximum steady-state current plus the maximum<br/>additional current to supply the <b>Phase Jump Angle</b> limit power,<br/>or.</li> </ul>   |
|                                     | This is the maximum short term total current as declared by the <b>Grid Forming Plant Owner</b> in accordance with PC.A.5.8.1.   |
| Permit for Work for proximity work  | In respect of <b>E&amp;W Transmission Systems</b> , a document issued by the <b>Relevant E&amp;W Transmission Licensee</b> or an <b>E&amp;W User</b> in accordance with its respective <b>Safety Rules</b> to enable work to be carried out in accordance with OC8A.8 and which provides for <b>Safety Precautions</b> to be applied and maintained. An example format of a <b>Relevant E&amp;W Transmission Licensee</b> 's permit for work is attached as Appendix E to <b>OC8A</b> .  |
|                                     | In respect of Scottish Transmission Systems, a document issued by a Relevant Scottish Transmission Licensee or a Scottish User in accordance with its respective Safety Rules to enable work to be carried out in accordance with OC8B.8 and which provides for Safety Precautions to be applied and maintained. Example formats of Relevant Scottish Transmission Licensees' permits for work are attached as Appendix E to OC8B.   |
| Partial Shutdown                    | The same as a <b>Total Shutdown</b> except that all generation has ceased in a separate part of the <b>Total System</b> and there is no electricity supply from <b>External Interconnections</b> or other parts of the <b>Total System</b> to that part of the <b>Total System</b> and, therefore, that part of the <b>Total System</b> is shutdown, with the result that it is not possible for that part of the <b>Total System</b> to begin to function again without <b>The Company's</b> directions relating to <b>System Restoration</b> . |

| Pending Grid Code<br>Modification Proposal | A Grid Code Modification Proposal in respect of which, at the relevant time, the Authority has not yet made a decision as to whether to direct such Grid Code Modification Proposal to be made pursuant to the Transmission Licence (whether or not a Grid Code Modification Report has been submitted in respect of such Grid Code Modification Proposal) or, in the case of a Grid Code Self Governance Proposals, in respect of which the Grid Code Review Panel has not yet voted whether or not to approve.  |
|--|---|
| Phase Jump Angle                           | The difference in the measured phase angle of the voltage at the <b>Grid Entry Point</b> or <b>User System Entry Point</b> in a given mains half cycle compared with the measured phase angle of the voltage at the <b>Grid Entry Point</b> or <b>User System Entry Point</b> in the previous mains half cycle.   |
| Phase Jump Angle Limit                     | The maximum <b>Phase Jump Angle</b> when applied to a <b>GBGF-I</b> which will result in a linear controlled response without activating current limiting functions. This is specified for a <b>System</b> angle near to zero which will be considered to be the normal operating angle under steady state conditions.  |
| Phase Jump Angle<br>Withstand              | The maximum <b>Phase Jump Angle</b> change when applied to a <b>GBGF-I</b> which will result in the <b>GBGF-I</b> remaining in stable operation with current limiting functions activated. This is specified for a <b>System</b> angle near to zero which will be considered to be the normal operating angle under steady state conditions.  |
| Phase (Voltage) Unbalance                  | The ratio (in percent) between the rms values of the negative sequence component and the positive sequence component of the voltage.  |
| Physical Notification                      | Data that describes the <b>BM Participant</b> 's best estimate of the expected input or output of <b>Active Power</b> of a <b>BM Unit</b> and/or (where relevant) <b>Generating Unit</b> , except in the instance of a Stage 2 or higher <b>Network Gas Supply Emergency</b> , with the accuracy of the <b>Physical Notification</b> being commensurate with <b>Good Industry Practice</b> .  |
| Planning Code or PC                        | That portion of the Grid Code which is identified as the <b>Planning Code</b> .   |
| Planned Maintenance<br>Outage              | An outage of <b>The Company's</b> electronic data communication facilities as provided for in CC.6.5.8 or ECC.6.5.8 and <b>The Company's</b> associated computer facilities of which normally at least 5 days notice is given, but in any event of which at least twelve hours notice has been given by <b>The Company</b> to the <b>User</b> and which is anticipated to last no longer than 2 hours. The length of such an outage may in exceptional circumstances be extended where at least 24 hours notice has been given by <b>The Company</b> to the <b>User</b> . It is anticipated that normally any planned outage would only last around one hour. |
| Planned Outage                             | An outage of a Large Power Station or of part of the National Electricity Transmission System, or of part of a User System, co-ordinated by The Company under OC2.  |
| Plant                                      | Fixed and movable items used in the generation and/or supply and/or transmission of electricity, other than <b>Apparatus</b> .  |
|  |   |

| Point of Common Coupling                  | That point on the <b>National Electricity Transmission System</b> electrically nearest to the <b>User</b> installation at which either <b>Demands</b> or <b>Loads</b> are, or may be, connected.   |
|---|--|
| Point of Connection                       | An electrical point of connection between the National Electricity Transmission System and a User's System.  |
| Point of Isolation                        | The point on <b>Apparatus</b> (as defined in OC8A.1.6.2 and OC8B.1.7.2) at which <b>Isolation</b> is achieved.   |
| Post-Control Phase                        | The period following real time operation.  |
| Power Available                           | A signal prepared in accordance with good industry practice, representing the instantaneous sum of the potential Active Power available from each individual Power Park Unit within the Power Park Module calculated using any applicable combination of electrical or mechanical or meteorological data (including wind speed) measured at each Power Park Unit at a specified time. Power Available shall be a value between OMW and Registered Capacity or Maximum Capacity which is the sum of the potential Active Power available of each Power Park Unit within the Power Park Module. A unit that is not generating or supplying power will be considered as not available. For the avoidance of doubt, the Power Available signal would be the Active Power output that a Power Park Module could reasonably be expected to export at the Grid Entry Point or User System Entry Point taking all the above criteria into account including Power Park Unit constraints such as optimisation modes but would exclude a reduction in the Active Power export of the Power Park Module instructed by The Company (for example) for the purposes selecting a Power Park Module to operate in Frequency Sensitive Mode or when an Emergency Instruction has been issued. |
| Power Factor                              | The ratio of Active Power to Apparent Power.   |
| Power-Generating Module                   | Either a Synchronous Power Generating Module, a Synchronous Electricity Storage Module, a Power Park Module or a Non-Synchronous Electricity Storage Module owned or operated by an EU Generator.  |
| Power-Generating Module Document (PGMD)   | A document provided by the <b>Generator</b> to <b>The Company</b> for a <b>Type B</b> or <b>Type C Power Generating Module</b> which confirms that the <b>Power Generating Module's</b> compliance with the technical criteria set out in the Grid Code has been demonstrated and provides the necessary data and statements, including a statement of compliance.   |
| Power Generating Module Performance Chart | A diagram showing the <b>Active Power</b> (MW) and <b>Reactive Power</b> (MVAr) capability limits within which a <b>Synchronous Power Generating Module</b> or <b>Power Park Module</b> at its <b>Grid Entry Point</b> or <b>User System Entry Point</b> will be expected to operate under steady state conditions.  |
| Power Island                              | Part of the <b>Total System</b> which is disconnected from, and out of <b>Synchronism</b> with, the rest of the <b>Total System</b> containing <b>Generating Unit(s)</b> at one or more <b>Power Stations</b> , and/or <b>HVDC Systems</b> and/or <b>DC Converters</b> , together with complementary local <b>Demand</b> .   |
| Power Park Module                         | Any Onshore Power Park Module or Offshore Power Park Module.   |
| Power Park Module<br>Availability Matrix  | The matrix described in Appendix 1 to BC1 under the heading Power Park Module Availability Matrix.   |
|   |  |

| Power Park Module<br>Planning Matrix | A matrix in the form set out in Appendix 4 of OC2 showing the combination of <b>Power Park Units</b> within a <b>Power Park Module</b> which would be expected to be running under normal conditions.  |
|--------------------------------------|--|
| Power Park Unit                      | A Generating Unit within a Power Park Module.  |
| Power Station                        | An installation comprising one or more <b>Generating Units</b> or <b>Power Park Modules</b> or <b>Power Generating Modules</b> or <b>Electricity Storage Modules</b> (even where sited separately) owned and/or controlled by the same <b>Generator</b> , which may reasonably be considered as being managed as one <b>Power Station</b> .  |
| Power System Stabiliser or PSS       | Equipment controlling the <b>Exciter</b> output via the voltage regulator in such a way that power oscillations of the synchronous machines are dampened. Input variables may be speed, frequency or power (or a combination of these).  |
| Preface                              | The preface to the Grid Code (which does not form part of the Grid Code and therefore is not binding).   |
| Preliminary Notice                   | A notice in writing, sent by <b>The Company</b> both to all <b>Users</b> identified by it under OC12.4.2.1 and to the <b>Test Proposer</b> , notifying them of a proposed <b>System Test</b> .   |
| Preliminary Project<br>Planning Data | Data relating to a proposed <b>User Development</b> at the time the <b>User</b> applies for a <b>CUSC Contract</b> but before an offer is made and accepted.   |
| Primary Response                     | The automatic increase in <b>Active Power</b> output of a <b>Genset</b> or, as the case may be, the decrease in <b>Active Power Demand</b> in response to a <b>System Frequency</b> fall. This increase in <b>Active Power</b> output or, as the case may be, the decrease in <b>Active Power Demand</b> must be in accordance with the provisions of the relevant <b>Ancillary Services Agreement</b> which will provide that it will be released increasingly with time over the period 0 to 10 seconds from the time of the start of the <b>Frequency</b> fall on the basis set out in the <b>Ancillary Services Agreement</b> and fully available by the latter, and sustainable for at least a further 20 seconds. The interpretation of the <b>Primary Response</b> to a – 0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2 and Figure ECC.A.3.2 |
| Private Network                      | A network which connects to a <b>Network Operator's System</b> and that network belongs to a <b>User</b> who is not classified as a <b>Generator</b> , <b>Network Operator</b> or <b>Non-Embedded Customer</b> .   |
| Programming Phase                    | The period between the <b>Operational Planning Phase</b> and the <b>Control Phase</b> . It starts at the 8 weeks ahead stage and finishes at 17:00 on the day ahead of real time.  |
| Proposal Notice                      | A notice submitted to <b>The Company</b> by a <b>User</b> which would like to undertake a <b>System Test</b> .   |

|                                   | 1  |
|-----------------------------------|--|
| Proposal Report                   | A report submitted by the <b>Test Panel</b> which contains:  |
|                                   | (a) proposals for carrying out a <b>System Test</b> (including the manner in which the <b>System Test</b> is to be monitored);   |
|                                   | (b) an allocation of costs (including un-anticipated costs) between the affected parties (the general principle being that the <b>Test Proposer</b> will bear the costs); and  |
|                                   | (c) such other matters as the <b>Test Panel</b> considers appropriate.   |
|                                   | The report may include requirements for indemnities to be given in respect of claims and losses arising from a <b>System Test</b> .  |
| Proposed Implementation Date      | The proposed date(s) for the implementation of a <b>Grid Code Modification Proposal</b> or <b>Workgroup Alternative Grid Code Modification</b> such date(s) to be either (i) described by reference to a specified period after a direction from the Authority approving the <b>Grid Code Modification Proposal</b> or <b>Workgroup Alternative Grid Code Modification</b> or (ii) a <b>Fixed Proposed Implementation Date</b> . |
| Proposer                          | In relation to a particular <b>Grid Code Modification Proposal</b> , the person who makes such <b>Grid Code Modification Proposal</b> .  |
| Protection                        | The provisions for detecting abnormal conditions on a <b>System</b> and initiating fault clearance or actuating signals or indications.  |
| Protection Apparatus              | A group of one or more <b>Protection</b> relays and/or logic elements designated to perform a specified <b>Protection</b> function.  |
| Pumped Storage                    | A hydro unit in which water can be raised by means of pumps and stored to be used for the generation of electrical energy;   |
| Pumped Storage<br>Generating Unit | A Generating Unit at a Pumped Storage Plant  |
| Pumped Storage Generator          | A Generator which owns and/or operates any Pumped Storage Plant.   |
| Pumped Storage Plant              | A Power Station comprising Pumped Storage Generating Units.  |
| Pumped Storage Unit               | A Generating Unit within a Pumped Storage Plant. For the avoidance of doubt, a Pumped Storage Unit is not considered to form part of an Electricity Storage Unit unless specifically declared by the Generator.  |
| Purchase Contracts                | A final and binding contract for the purchase of the Main Plant and Apparatus.   |
| Q/Pmax                            | The ratio of <b>Reactive Power</b> to the <b>Maximum Capacity</b> . The relationship between <b>Power Factor</b> and <b>Q/Pmax</b> is given by the formula:-   |
|                                   | Power Factor = Cos [arctan $\left[\frac{Q}{P_{max}}\right]$ ]  |
|                                   | For example, a <b>Power Park Module</b> with a Q/P value of +0.33 would equate to a <b>Power Factor</b> of Cos(arctan0.33) = 0.95 <b>Power Factor</b> lag.   |

| Quick Resynchronisation<br>Capability | The capability of a <b>Type C</b> or <b>Type D Power Generating Module</b> as defined in ECC.6.3.5.6. For the avoidance of doubt this requirement is only mandatory for <b>EU Code Generators</b> who own or operate a <b>Type C</b> or <b>Type D Power Generating Module</b> but does not preclude owners of other generation electing to provide the capability. |
|---------------------------------------|--|
| Quick Resynchronisation<br>Unit Test  | A test undertaken on <b>Generating Unit</b> forming part of a <b>Type C</b> or <b>Type D Power Generating Module</b> as detailed in OC5.7.2.5 necessary to determine its ability to demonstrate a <b>Quick Resynchronisation Capability</b> .  |
| Range CCGT Module                     | A <b>CCGT Module</b> where there is a physical connection by way of a steam or hot gas main between that <b>CCGT Module</b> and another <b>CCGT Module</b> or other <b>CCGT Modules</b> , which connection contributes (if open) to efficient modular operation, and which physical connection can be varied by the operator.                                      |
| Rated Field Voltage                   | Shall have the meaning ascribed to that term in <b>IEC</b> 34-16-1:1991 [equivalent to <b>British Standard BS</b> 4999 Section 116.1: 1992].   |
| Rated MW                              | The "rating-plate" MW output of a Power Generating Module, Generating Unit, Power Park Module, Electricity Storage Module, HVDC Converter or DC Converter, being:  |
|                                       | <ul> <li>that output up to which the Generating Unit was designed to operate (Calculated as specified in British Standard BS EN 60034 – 1: 1995); or</li> </ul>  |
|                                       | (b) the nominal rating for the MW output of a <b>Power Park Module</b> or <b>Power Generating Module</b> being the maximum continuous electric output power which the <b>Power Park Module</b> or <b>Power Generating Module</b> was designed to achieve under normal operating conditions; or   |
|                                       | (c) the nominal rating for the MW import capacity and export capacity (if at a DC Converter Station or HVDC Converter Station) of a DC Converter or HVDC Converter.  |
|                                       | (d) in an importing mode, is that input up to which an <b>Electricity Storage Module</b> was designed to operate being the maximum continuous electric input which the <b>Electricity Storage Module</b> was designed to achieve under normal operating conditions. In an exporting mode is:-  |
|                                       | (i) that output up to which the <b>Synchronous Electricity Storage Unit</b> was designed to operate (Calculated as specified in <b>British Standard BS</b> EN 60034 – 1: 1995); or   |
|                                       | (ii) the nominal rating for the MW output of a Non-Synchronous Electricity Storage Module being the maximum continuous electric output power which the Non-Synchronous Electricity Storage Module was designed to achieve under normal operating conditions.   |
| Reactive Despatch<br>Instruction      | Has the meaning set out in the CUSC.   |

| Reactive Despatch Network Restriction                 | A restriction placed upon an Embedded Power Generating Module, Embedded Generating Unit, Embedded Power Park Module or DC Converter at an Embedded DC Converter Station or HVDC Converter at an Embedded HVDC Converter Station by the Network Operator that prevents the Generator or DC Converter Station owner or HVDC System Owner in question (as applicable) from complying with any Reactive Despatch Instruction with respect to that Power Generating Module, Generating Unit, Power Park Module or DC Converter at a DC Converter Station or HVDC Converter at a HVDC Converter Station, whether to provide MVArs over the range referred to in CC 6.3.2, ECC.6.3.2 or otherwise. |
|---|---|
| Reactive Despatch to Zero<br>Mvar Network Restriction | A Reactive Despatch Network Restriction which prevents an Embedded Power Generating Module, an Embedded Generating Unit, Embedded Power Park Module, Embedded HVDC System, HVDC Converter at an Embedded HVDC Converter Station or DC Converter at an Embedded DC Converter Station from supplying power at zero MVAr at all Active Power output levels up to and including Rated MW at the Grid Entry Point (or User System Entry Point if Embedded).  |
| Reactive Energy                                       | The integral with respect to time of the Reactive Power.  |
| Reactive Power  | The product of voltage and current and the sine of the phase angle between them measured in units of voltamperes reactive and standard multiples thereof, ie:  1000 VAr = 1 kVAr  1000 kVAr = 1 MVAr  |
| Record of Inter-System Safety Precautions or RISSP    | A written record of inter-system <b>Safety Precautions</b> to be compiled in accordance with the provisions of <b>OC8</b> .   |
| Regenerative Braking                                  | A method of braking in which energy is extracted from the parts braked, which may be returned directly to the <b>System</b> and the purpose of the braking is motion control.   |

## **Registered Capacity**

- (a) In the case of a Generating Unit other than that forming part of a CCGT Module or Power Park Module or Power Generating Module, the normal full load capacity of a Generating Unit as declared by the Generator, less the MW consumed by the Generating Unit through the Generating Unit's Unit Transformer when producing the same (the resultant figure being expressed in whole MW, or in MW to one decimal place).
- (b) In the case of a CCGT Module or Power Park Module owned or operated by a GB Generator, the normal full load capacity of the CCGT Module or Power Park Module (as the case may be) as declared by the GB Generator, being the Active Power declared by the GB Generator as being deliverable by the CCGT Module or Power Park Module at the Grid Entry Point (or in the case of an Embedded CCGT Module or Power Park Module, at the User System Entry Point), expressed in whole MW, or in MW to one decimal place. For the avoidance of doubt Maximum Capacity would apply to Power Generating Modules which form part of a Large, Medium or Small Power Station.
- (c) In the case of a **Power Station**, the maximum amount of **Active Power** deliverable by the **Power Station** at the **Grid Entry Point** (or in the case of an **Embedded Power Station** at the **User System Entry Point**), as declared by the **Generator**, expressed in whole MW, or in MW to one decimal place. The maximum **Active Power** deliverable is the maximum amount deliverable simultaneously by the **Power Generating Modules** and/or **Generating Units** and/or **CCGT Modules** and/or **Power Park Modules** less the MW consumed by the **Power Generating Modules** in producing that **Active Power** and forming part of a **Power Station**.
- (d) In the case of a DC Converter at a DC Converter Station or HVDC Converter at an HVDC Converter Station, the normal full load amount of Active Power transferable from a DC Converter or HVDC Converter at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or an Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner or HVDC System Owner, expressed in whole MW, or in MW to one decimal place.
- (e) In the case of a DC Converter Station or HVDC Converter Station, the maximum amount of Active Power transferable from a DC Converter Station or HVDC Converter Station at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner or HVDC System Owner, expressed in whole MW, or in MW to one decimal place.
- (f) In the case of an Electricity Storage Module, the normal full load amount of Active Power transferable from an Electricity Storage Module at the Grid Entry Point (or in the case of an Embedded Electricity Storage Module at the User System Entry Point), as declared by the Generator, expressed in whole MW, or in MW to one decimal place.

| Registered Data                             | Those items of <b>Standard Planning Data</b> and <b>Detailed Planning Data</b> which upon connection become fixed (subject to any subsequent changes).  |
|---|---|
| Registered Import<br>Capability             | In the case of a DC Converter Station or HVDC Converter Station containing DC Converters or HVDC Converters connected to an External System, the maximum amount of Active Power transferable into a DC Converter Station or HVDC Converter Station at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter Station owner or HVDC System Owner, expressed in whole MW. |
|   | In the case of a DC Converter or HVDC Converter connected to an External System and in a DC Converter Station or HVDC Converter Station, the normal full load amount of Active Power transferable into a DC Converter or HVDC Converter at the Onshore Grid Entry Point (or in the case of an Embedded DC Converter Station or Embedded HVDC Converter Station at the User System Entry Point), as declared by the DC Converter owner or HVDC System Owner, expressed in whole MW.                    |
|   | In the case of an Electricity Storage Module, the maximum amount of Active Power transferable into an Electricity Storage Module at the Grid Entry Point (or in the case of an Embedded Electricity Storage Module at the User System Entry Point), as declared by the Generator, expressed in whole MW.  |
| Regulations                                 | The Utilities Contracts Regulations 1996, as amended from time to time.   |
| Regulated Sections                          | Parts of the Grid Code that are referenced in <b>Governance Rules</b> Annex GR.B as amended from time to time with the approval of the <b>Authority</b> .   |
| Reheater Time Constant                      | Determined at <b>Registered Capacity</b> , the reheater time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.  |
| Rejected Grid Code<br>Modification Proposal | A Grid Code Modification Proposal in respect of which the Authority has decided not to direct The Company to modify the Grid Code pursuant to The Company's Transmission Licence in the manner set out herein or, in the case of a Grid Code Self Governance Proposals, in respect of which the Grid Code Review Panel has voted not to approve.  |
| Related Person                              | Means, in relation to an individual, any member of their immediate family, their employer (and any former employer of theirs within the previous 12 months), any partner with whom they are in partnership, and any company or <b>Affiliate</b> of a company in which they or any member of their immediate family controls more than 20% of the voting rights in respect of the shares of the company;   |
| Relevant E&W<br>Transmission Licensee       | As the context requires <b>NGET</b> and/or an <b>E&amp;W Offshore Transmission Licensee</b> .   |
| Relevant Party                              | Has the meaning given in GR15.10(a).  |

| Relevant Scottish<br>Transmission Licensee | As the context requires SPT and/or SHETL and/or a Scottish Offshore Transmission Licensee.  |
|--|---|
| Relevant Transmission<br>Licensee          | Means National Grid Electricity Transmission plc (NGET) in its Transmission Area or SP Transmission plc (SPT) in its Transmission Area or Scottish Hydro-Electric Transmission Ltd (SHETL) in its Transmission Area or any Offshore Transmission Licensee in its Transmission Area. |
| Relevant Unit                              | As defined in the <b>STC</b> , Schedule 3.  |
| Remote End HVDC<br>Converter Station       | An HVDC Converter Station which forms part of an HVDC System and is not directly connected to the AC part of the GB Synchronous Area.   |
| Remote Transmission                        | Any Plant and Apparatus or meters owned by NGET which:  |
| Assets                                     | (a) are <b>Embedded</b> in a <b>User System</b> and which are not directly connected by <b>Plant</b> and/or <b>Apparatus</b> owned by <b>NGET</b> to a substation owned by <b>NGET</b> ; and  |
|  | (b) are by agreement between <b>NGET</b> and such <b>User</b> operated under the direction and control of such <b>User</b> .  |
| Replacement Reserves (RR)                  | Means, in the context of <b>Balancing Services</b> , the <b>Active Power</b> reserves available to restore or support the required level of FRR to be prepared for additional system imbalances, including generation reserves;   |
| Requesting Safety Co-<br>ordinator         | The Safety Co-ordinator requesting Safety Precautions.  |
| Responsible Engineer/<br>Operator          | A person nominated by a <b>User</b> to be responsible for <b>System</b> control.  |
| Responsible Manager                        | A manager who has been duly authorised by a <b>User</b> or a <b>Relevant Transmission Licensee</b> to sign <b>Site Responsibility Schedules</b> on behalf of that <b>User</b> or <b>Relevant Transmission Licensee</b> as the case may be.  |
| Restoration Contractor                     | An Anchor Restoration Contractor or a Top Up Restoration Contractor.  |
| Restoration Plan                           | Either a Local Joint Restoration Plan, a Distribution Restoration Zone Plan or an Offshore Local Joint Restoration Plan as the context requires.  |
| Restoration Service<br>Provider            | A <b>User</b> or a party with a legal or contractual obligation to provide a service contributing to one or several measures of the <b>System Restoration Plan</b> .  |
| Restoration Service Test                   | A test carried out on a <b>Plant</b> to confirm it has an <b>Anchor Plant Capablity</b> or <b>Top Up Restoration Capability</b> .   |
| Re-synchronisation                         | The bringing of parts of the <b>System</b> which have become <b>Out of Synchronism</b> with any other <b>System</b> back into <b>Synchronism</b> , and like terms shall be construed accordingly.   |
| Retained EU Law                            | 31 December 2020 as defined in European Union (Withdrawal) Act 2018 as amended by the European Union (Withdrawal Agreement) Act 2020.   |
| <u> </u>                                   |   |

| RR Acceptance                              | The results of the TERRE auction for each BM Participant.  |
|--|--|
| Restricted                                 | Applies to a <b>TERRE Bid</b> which has been marked so that it will be passed to the <b>TERRE Central Platform</b> but will not be used in the auction.  |
| ROCOF                                      | Rate of Change of Frequency  |
| RR Instruction                             | Replacement Reserve Instruction – used for instructing BM Participants after the results of the TERRE auction. An RR Instruction has the same format as a Bid-Offer Acceptance but has type field indicating it is for TERRE.  |
| Safety Co-ordinator                        | A person or persons nominated by a Relevant E&W Transmission Licensee and each E&W User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) on an E&W Transmission System and/or by the Relevant Scottish Transmission Licensee and each Scottish User in relation to Connection Points (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) on a Scottish Transmission System to be responsible for the co-ordination of Safety Precautions at each Connection Point (or in the case of OTSUA operational prior to the OTSUA Transfer Time, Transmission Interface Points) when work (which includes testing) is to be carried out on a System which necessitates the provision of Safety Precautions on HV Apparatus (as defined in OC8A.1.6.2 and OC8B.1.7.2), pursuant to OC8. |
| Safety From The System                     | That condition which safeguards persons when work is to be carried out on or near a <b>System</b> from the dangers which are inherent in the <b>System</b> .   |
| Safety Key                                 | A key unique at the <b>Location</b> capable of operating a lock which will cause an <b>Isolating Device</b> and/or <b>Earthing Device</b> to be <b>Locked</b> .  |
| Safety Log                                 | A chronological record of messages relating to safety co-ordination sent and received by each <b>Safety Co-ordinator</b> under <b>OC8</b> .  |
| Safety Precautions                         | Isolation and/or Earthing.   |
| Safety Rules                               | The rules of the <b>Relevant Transmission Licensee</b> or a <b>User</b> that seek to ensure that persons working on <b>Plant</b> and/or <b>Apparatus</b> to which the rules apply are safeguarded from hazards arising from the <b>System</b> .  |
| Scottish Offshore<br>Transmission System   | An Offshore Transmission System with an Interface Point in Scotland.   |
| Scottish Offshore<br>Transmission Licensee | A person who owns or operates a <b>Scottish Offshore Transmission System</b> pursuant to a <b>Transmission Licence</b> .   |
| Scottish Transmission<br>System            | Collectively SPT's Transmission System and SHETL's Transmission System and any Scottish Offshore Transmission Systems.   |
| Scottish User                              | A <b>User</b> in Scotland or any <b>Offshore User</b> who owns or operates <b>Plant</b> and/or <b>Apparatus</b> connected (or which will at the <b>OTSUA Transfer Time</b> be connected) to a <b>Scottish Offshore Transmission System</b> .   |
| Secondary BM Unit                          | Has the same meaning set out in the BSC.   |

| Secondary Response                             | The automatic increase in <b>Active Power</b> output of a <b>Genset</b> or, as the case may be, the decrease in <b>Active Power Demand</b> in response to a <b>System Frequency</b> fall. This increase in <b>Active Power</b> output or, as the case may be, the decrease in <b>Active Power Demand</b> must be in accordance with the provisions of the relevant <b>Ancillary Services Agreement</b> which will provide that it will be fully available by 30 seconds from the time of the start of the <b>Frequency</b> fall and be sustainable for at least a further 30 minutes. The interpretation of the <b>Secondary Response</b> to a -0.5 Hz frequency change is shown diagrammatically in Figure CC.A.3.2 or Figure ECC.A.3.2. |
|--|---|
| Secretary of State                             | Has the same meaning as in the <b>Act</b> .   |
| Secured Event                                  | Has the meaning set out in the Security and Quality of Supply Standard.   |
| Security and Quality of Supply Standard (SQSS) | The version of the document entitled 'Security and Quality of Supply Standard' established pursuant to the <b>Transmission Licence</b> in force at the time of entering into the relevant <b>Bilateral Agreement</b> .  |
| Self-Governance Criteria                       | A proposed <b>Modification</b> that, if implemented,  |
|  | (a) is unlikely to have a material effect on:   |
|  | (i) existing or future electricity consumers; and   |
|  | (ii) competition in the generation, storage, distribution, or supply of electricity or any commercial activities connected with the generation, storage, distribution or supply of electricity; and   |
|  | (iii) the operation of the <b>National Electricity Transmission System</b> ; and  |
|  | (iv) matters relating to sustainable development, safety or security of supply, or the management of market or network emergencies; and   |
|  | (v) the <b>Grid Code</b> 's governance procedures or the <b>Grid Code</b> 's modification procedures, and   |
|  | (b) is unlikely to discriminate between different classes of Users.   |
|  | (c) other than where the modification meets the <b>Fast Track Criteria</b> , will not constitute an amendment to the <b>Regulated Sections</b> of the Grid Code.  |
| Self-Governance<br>Modifications               | A Grid Code Modification Proposal that does not fall within the scope of a Significant Code Review and that meets the Self-Governance Criteria or which the Authority directs is to be treated as such any direction under GR.24.4.   |
| Self-Governance Statement                      | The statement made by the <b>Grid Code Review Panel</b> and submitted to the <b>Authority</b> :   |
|  | (a) confirming that, in its opinion, the <b>Self-Governance Criteria</b> are met and the proposed <b>Grid Code Modification Proposal</b> is suitable for the Self-Governance route; and   |
|  | (b) providing a detailed explanation of the <b>Grid Code Review Panel</b> 's reasons for that opinion.  |

| The value of voltage at the Grid Entry Point, or User System Entry Point if Embedded, on the automatic control system steady state operating characteristic, as a percentage of the nominal voltage, at which the transfer of Reactive Power between a Power Park Module, DC Converter, HVDC Converter or Non-Synchronous Generating Unit and the Transmission System, or Network Operator's system if Embedded, is zero.   |  |
|---|--|
| A period of 30 minutes ending on the hour and half-hour in each hour during a day.  |  |
| A statement, prepared by <b>The Company</b> in accordance with the terms of <b>The Company's Transmission Licence</b> , showing for each of the seven succeeding <b>Financial Years</b> , the opportunities available for connecting to and using the <b>National Electricity Transmission System</b> and indicating those parts of the <b>National Electricity Transmission System</b> most suited to new connections and transport of further quantities of electricity.  |  |
| A segregated zone surrounding electrical conductors within a casing containing $SF_6$ gas.  |  |
| Scottish Hydro-Electric Transmission Limited.   |  |
| In the case of a <b>Generating Unit</b> is the condition of a <b>Generating Unit</b> where the generator rotor is at rest or on barring or equivalent.  In the case of an <b>HVDC System</b> or <b>DC Converter Station</b> , is the condition of an <b>HVDC System</b> or <b>DC Converter Station</b> where the <b>HVDC System</b> or <b>DC Converter Station</b> is de-energised and therefore not importing or exporting <b>Apparent Power</b> to or from the <b>Total System</b> .  In the case of <b>Auxiliaries</b> , the state where they are de-energised and not capable of fulfilling their function until restarted or resupplied.             |  |
| Means the period commencing on the start date of a <b>Significant Code Review</b> as stated in the notice issued by the <b>Authority</b> , and ending in the circumstances described in GR.16.6 or GR.16.7, as appropriate.   |  |
| Means the period commencing on the start date of a <b>Significant Code Review</b> as stated in the notice issued by the <b>Authority</b> , and ending in the circumstances described in GR.16.6 or GR.16.7, as appropriate.   |  |
| An <b>Event</b> , as defined in OC3.4.1.  |  |
| An <b>Event</b> which either:   |  |
| <ul> <li>(a) was notified by a User to The Company under OC7, and which The Company considers has had or may have had a significant effect on the National Electricity Transmission System, and The Company requires the User to report that Event in writing in accordance with OC10 and notifies the User accordingly; or</li> <li>(b) was notified by The Company to a User under OC7, and which that User considers has had or may have had a significant effect on that User's System, and that User requires The Company to report that Event in writing in accordance with the provisions of OC10 and notifies The Company accordingly.</li> </ul> |  |
|   |  |

| Simultaneous Tap Change         | A tap change implemented on the generator step-up transformers of <b>Synchronised Gensets</b> , effected by <b>Generators</b> in response to an instruction from <b>The Company</b> issued simultaneously to the relevant <b>Power Stations</b> . The instruction, preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from <b>The Company</b> of the instruction. |
|---------------------------------|--|
| Single Intraday Coupling        | The continuous process where collected orders are matched and cross-<br>zonal capacity is allocated simultaneously for different bidding zones in<br>the intraday market.  |
| Single Line Diagram             | A schematic representation of a three-phase network in which the three phases are represented by single lines. The diagram shall include (but not necessarily be limited to) busbars, overhead lines, underground cables, power transformers and reactive compensation equipment. It shall also show where <b>Large Power Stations</b> are connected, and the points at which <b>Demand</b> is supplied.                       |
| Single Point of Connection      | A single <b>Point of Connection</b> , with no interconnection through the <b>User's System</b> to another <b>Point of Connection</b> .   |
| Site Common Drawings            | Drawings prepared for each Connection Site (and in the case of OTSDUW, Transmission Interface Site) which incorporate Connection Site (and in the case of OTSDUW, Transmission Interface Site) layout drawings, electrical layout drawings, common protection/ control drawings and common services drawings.  |
| Site Responsibility<br>Schedule | A schedule containing the information and prepared on the basis of the provisions set out in Appendix 1 of the <b>CC</b> and Appendix E1 of the <b>ECC</b> .   |
| Slope                           | The ratio of the steady state change in voltage, as a percentage of the nominal voltage, to the steady state change in <b>Reactive Power</b> output, in per unit of <b>Reactive Power</b> capability. For the avoidance of doubt, the value indicates the percentage voltage reduction that will result in a 1 per unit increase in <b>Reactive Power</b> generation.  |
| Small Participant               | Has the meaning given in the CUSC.   |

| Small Power Station            | A Power Station which is |   |
|--------------------------------|--------------------------|---|
|                                | (a) di                   | rectly connected to:  |
|                                | (i)                      | NGET's Transmission System where such Power Station has a Registered Capacity of less than 50MW; or   |
|                                | (ii)                     | SPT's Transmission System where such Power Station has a Registered Capacity of less than 30MW; or  |
|                                | (iii                     | SHETL's Transmission System where such a Power Station has a Registered Capacity of less than 10 MW; or   |
|                                | (iv                      | an Offshore Transmission System where such Power Station has a Registered Capacity of less than 10MW;   |
|                                | or,                      |   |
|                                | Us                       | <b>mbedded</b> within a <b>User System</b> (or part thereof) where such ser <b>System</b> (or part thereof) is connected under normal operating onditions to:   |
|                                | (i)                      | NGET's Transmission System and such Power Station has a Registered Capacity of less than 50MW; or   |
|                                | (ii)                     | SPT's Transmission System and such Power Station has a Registered Capacity of less than 30MW; or  |
|                                | (iii                     | SHETL's Transmission System and such Power Station has a Registered Capacity of less than 10MW;   |
|                                | or,                      |   |
|                                | S <sub>y</sub><br>El     | mbedded within a User System (or part thereof) where the User ystem (or part thereof) is not connected to the National ectricity Transmission System, although such Power Station in:                     |
|                                | (i)                      | NGET's Transmission Area and such Power Station has a Registered Capacity of less than 50MW; or   |
|                                | (ii)                     | SPT's Transmission Area and such Power Station has a Registered Capacity of less than 30MW; or  |
|                                | (iii                     | SHETL's Transmission Area and such Power Station has a Registered Capacity of less than 10MW;   |
|                                |                          | avoidance of doubt, a <b>Small Power Station</b> could comprise of <b>Type B</b> , <b>Type C</b> or <b>Type D Power Generating Modules</b> .  |
| Speeder Motor Setting<br>Range | of rated                 | imum and maximum no-load speeds (expressed as a percentage speed) to which the turbine is capable of being controlled, by the motor or equivalent, when the <b>Generating Unit</b> terminals are on cuit. |
| SPT                            | SP Trans                 | smission Limited plc  |
| Standard Contract Terms        | provided                 | ndard terms and conditions applicable to <b>Ancillary Services</b> I by <b>Demand Response Providers</b> and published on the from time to time.  |

| Standard Modifications | A Grid Code Modification Proposal that does not fall within the scope of a Significant Code Review subject to any direction by the Authority pursuant to GR.16.3 and GR.16.4, nor meets the Self-Governance Criteria subject to any direction by the Authority pursuant to GR.24.4 and in accordance with any direction under GR.24.2. A Grid Code Modification Proposal that constitutes an amendment to the Regulated Sections of the Grid Code shall be a Standard Modification except where it is an Urgent Modification or where it meets the Fast Track Criteria. |
|------------------------|---|
| Standard Planning Data | The general data required by <b>The Company</b> under the <b>PC</b> . It is generally also the data which <b>The Company</b> requires from a <b>User</b> in an application for a <b>CUSC Contract</b> , as reflected in the <b>PC</b> .   |
| Standard Product       | Means a harmonised balancing product defined by all EU TSOs for the exchange of balance services.   |
| Specific Product       | Means in the context of Balancing Services a product that is not a standard product.  |
| Start Time             | The time named as such in an instruction issued by <b>The Company</b> pursuant to the <b>BC</b> .   |
| Start-Up               | In the case of a <b>Generating Unit</b> is the action of bringing a <b>Generating Unit</b> from <b>Shutdown</b> to <b>Synchronous Speed</b> .   |
|                        | In the case of an HVDC System or DC Converter Station, is the action of bringing the HVDC System or DC Converter Station from Shutdown to a state where it is energised.  |
| Statement of Readiness | Has the meaning set out in the <b>Bilateral Agreement</b> and/or <b>Construction Agreement</b> .  |
| Station Board          | A switchboard through which electrical power is supplied to the <b>Auxiliaries</b> of a <b>Power Station</b> , and which is supplied by a <b>Station Transformer</b> . It may be interconnected with a <b>Unit Board</b> .  |
| Station Transformer    | A transformer supplying electrical power to the <b>Auxiliaries</b> of   |
|                        | (a) a <b>Power Station</b> , which is not directly connected to the <b>Generating Unit</b> terminals (typical voltage ratios being 132/11kV or 275/11kV), or  |
|                        | (b) a DC Converter Station or HVDC Converter Station.   |
| STC Committee          | The committee established under the STC.  |
| Steam Unit             | A <b>Generating Unit</b> whose prime mover converts the heat-energy in steam to mechanical energy.  |
| Storage User           | A Generator who owns or operates one or more Electricity Storage Modules. For the avoidance of doubt:   |
|                        | (a) Retained EU Law (Commission Regulation (EU) 2016/631, Commission Regulation (EU) 2016/1388 and Commission Regulation (EU) 2016/1485) shall not apply to Storage Users; and  |
|                        | (b) the European Connection Conditions (ECC's) shall apply<br>to Storage Users on the basis set out in Paragraph<br>ECC1.1(d).  |
|                        | •   |

| Subtransmission System                    | The part of a <b>User's System</b> which operates at a single transformation below the voltage of the relevant <b>Transmission System</b> .   |  |
|---|---|--|
| Substantial Modification                  | A Modification in relation to modernisation or replacement of the User's Main Plant and Apparatus which impacts its technical capabilities, which, following notification by the relevant User to The Company, results in substantial amendment to the Bilateral Agreement.   |  |
| Supergrid Voltage                         | Any voltage greater than 200kV.   |  |
| Supplier                                  | (a) A person supplying electricity under an <b>Electricity Supply Licence</b> ; or  |  |
|   | (b) A person supplying electricity under exemption under the <b>Act</b> ;   |  |
|   | in each case acting in its capacity as a supplier of electricity to <b>Customers</b> in <b>Great Britain</b> .  |  |
| Surplus                                   | A MW figure equal to the total <b>Output Usable:</b>  |  |
|   | (a) minus the forecast of <b>Active Power Demand</b> , and  |  |
|   | (b) minus the <b>Operational Planning Margin</b> .  |  |
| Synchronised                              | (a) The condition where an incoming Power Generating Module, Generating Unit or Power Park Module or DC Converter or HVDC Converter or System is connected to the busbars of another System so that the Frequencies and phase relationships of that Power Generating Module, Generating Unit, Power Park Module, DC Converter, HVDC Converter or System, as the case may be, and the System to which it is connected are identical, like terms shall be construed accordingly e.g. "Synchronism". |  |
|   | (b) The condition where an importing <b>BM Unit</b> is consuming electricity.   |  |
| Synchronous Electricity<br>Storage Module | A <b>Synchronous Power Generating Module</b> which can convert or reconvert electrical energy from another source of energy such that the frequency of the generated voltage, the rotor speed and the frequency of network voltage are in a constant ratio and thus in synchronism. For the avoidance of doubt a <b>Synchronous Electricity Storage Module</b> could comprise of one or more <b>Synchronous Electricity Storage Units</b> .   |  |
| Synchronous Electricity<br>Storage Unit   | A <b>Synchronous Generating Unit</b> which can supply or absorb electrical energy such that the frequency of the generated voltage, the rotor speed and the frequency of the equipment are in constant ratio and thus in synchronism with the network.  |  |
| Synchronising Generation                  | The amount of MW (in whole MW) produced at the moment of synchronising.   |  |
| Synchronising Group                       | A group of two or more <b>Gensets</b> ) which require a minimum time interval between their <b>Synchronising</b> or <b>De-Synchronising</b> times.  |  |
| Synchronous Area                          | An area covered by synchronously interconnected <b>Transmission Licensees</b> , such as the <b>Synchronous Areas</b> of Continental Europe, Great Britain, Ireland-Northern Ireland and Nordic and the power systems of Lithuania, Latvia and Estonia, together referred to as 'Baltic' which are part of a wider <b>Synchronous Area</b> ;   |  |
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| Synchronous<br>Compensation                               | The operation of rotating synchronous <b>Apparatus</b> for the specific purpose of either the generation or absorption of <b>Reactive Power</b> .   |
|---|---|
| Synchronous<br>Compensation Equipment                     | Apparatus which has the function of providing Synchronous Compensation. For the avoidance of doubt, one or more Synchronous Compensation units would not constitute an Electricity Storage Module unless it could be operated in a controllable manner.   |
| Synchronous Electricity<br>Storage Module                 | A Synchronous Power Generating Module which can convert and reconvert electrical energy from another source of energy such that the frequency of the generated voltage, the rotor speed and the frequency of network voltage are in a constant ratio and thus in synchronism. For the avoidance of doubt a Synchronous Electricity Storage Module could comprise of one or more Synchronous Electricity Storage Units.  |
| Synchronous Electricity<br>Storage Unit                   | A <b>Synchronous Generating Unit</b> which can supply and absorb electrical energy such that the frequency of the generated voltage, the rotor speed and the frequency of the equipment are in constant ratio and thus in synchronism with the network.   |
| Synchronous Flywheel                                      | An item of synchronously rotating <b>Plant</b> for the specific purpose of contributing inertia to the <b>System</b> . One or more <b>Synchronous Flywheels</b> would not be considered to form an <b>Electricity Storage Module</b> unless it could be operated in a controllable manner for its AC input and output power.  |
| Synchronous Generating<br>Unit                            | Any Onshore Synchronous Generating Unit or Offshore Synchronous Generating Unit.  |
| Synchronous Generating<br>Unit Performance Chart          | A diagram showing the <b>Active Power</b> (MW) and <b>Reactive Power</b> (MVAr) capability limits within which a <b>Synchronous Generating Unit</b> at its stator terminals (which is part of a <b>Synchronous Power Generating Module</b> ) will be expected to operate under steady state conditions.   |
| Synchronous Power-<br>Generating Module                   | An indivisible set of installations which can convert or re-convert electrical energy from another source of energy such that the frequency of the supplied voltage, the rotor speed and the frequency of network voltage are in a constant ratio and thus in synchronism. For the avoidance of doubt, a Synchronous Power Generating Module could comprise of one or more Synchronous Generating Units or one or more Synchronous Electricity Storage Units. |
| Synchronous Power<br>Generating Module Matrix             | The matrix described in Appendix 1 to BC1 under the heading Synchronous Power Generating Module Matrix.   |
| Synchronous Power<br>Generating Module<br>Planning Matrix | A matrix in the form set out in Appendix 5 of OC2 showing the combination of <b>Synchronous Generating Units</b> within a <b>Synchronous Power Generating Module</b> which would be running in relation to any given MW output.   |
| Synchronous Power<br>Generating Unit                      | Has the same meaning as a <b>Synchronous Generating Unit</b> and would be considered to be part of a <b>Power Generating Module</b> .   |
| Synchronous Speed   | That speed required by a <b>Generating Unit</b> to enable it to be <b>Synchronised</b> to a <b>System</b> .   |

| System  | Any User System and/or the National Electricity Transmission System, as the case may be.  |
|---|---|
| System Ancillary Services   | Collectively Part 1 System Ancillary Services and Part 2 System Ancillary Services.   |
| System Constraint   | A limitation on the use of a <b>System</b> due to lack of transmission capacity or other <b>System</b> conditions.  |
| System Constrained Capacity                                       | That portion of <b>Registered Capacity</b> or Regis <b>tered Import Capacity</b> not available due to a <b>System Constraint</b> .  |
| System Constraint Group   | A part of the <b>National Electricity Transmission System</b> which, because of <b>System Constraints</b> , is subject to limits of <b>Active Power</b> which can flow into or out of (as the case may be) that part.   |
| System Defence Plan   | A document prepared by <b>The Company</b> , as published on its <b>Website</b> , outlining how the requirements of the "defence plan", as provided for by <b>Retained EU Law</b> (Commission Regulation (EU) 2017/2196), has been implemented within the <b>GB Synchronous Area</b> . |
| System Fault Dependability Index or Dp                            | A measure of the ability of <b>Protection</b> to initiate successful tripping of circuit-breakers which are associated with a faulty item of <b>Apparatus</b> . It is calculated using the formula:   |
|   | $Dp = 1 - F_1/A$  |
|   | Where:  |
|   | A = Total number of <b>System</b> faults  |
|   | F <sub>1</sub> = Number of <b>System</b> faults where there was a failure to trip a circuit-breaker.  |
| System Incidents Report   | A report submitted to the GCRP on a monthly basis, containing, but not limited to, a list of <b>Significant Events</b> , as detailed in OC3.4.1.  |
| System Margin   | The margin in any period between  |
|   | (a) the sum of Maximum Export Limits and  |
|   | (b) forecast <b>Demand</b> and the <b>Operating Margin</b> ,  |
|   | for that period.  |
| System Negative Reserve<br>Active Power Margin or<br>System NRAPM | That margin of <b>Active Power</b> sufficient to allow the largest loss of <b>Load</b> at any time.   |
| System Operator -<br>Transmission Owner Code<br>or STC            | Has the meaning set out in The Company's Transmission Licence   |
| System Restoration  | The procedure necessary for a recovery from a <b>Total Shutdown</b> or <b>Partial Shutdown</b> .  |
| System Restoration Region   | Those regions of the <b>Total System</b> as defined in Appendix 1 of OC9.   |

| System Restoration Plan                                    | A document prepared by <b>The Company</b> , as published on its <b>Website</b> , outlining how the requirements of the "restoration plan", as defined in <b>Retained EU Law</b> (Commission Regulation (EU) 2017/2196), has been implemented within the <b>GB Synchronous Area</b> .  |
|--|---|
| System Telephony   | An alternative method by which a <b>User's Responsible Engineer/Operator</b> , the relevant <b>Transmission Licensees' Control Engeineers</b> and <b>The Company's Control Engineer(s)</b> speak to one and another for the purposes of control of the <b>Total System</b> in both normal operating conditions and where practicable, emergency operating conditions.   |
| System Tests   | Tests which involve simulating conditions, or the controlled application of irregular, unusual or extreme conditions, on the <b>Total System</b> , or any part of the <b>Total System</b> , but which do not include commissioning or recommissioning tests or any other tests of a minor nature.   |
| System to Demand Intertrip Scheme                          | An intertrip scheme which disconnects <b>Demand</b> when a <b>System</b> fault has arisen to prevent abnormal conditions occurring on the <b>System</b> .   |
| System to Generator<br>Operational Intertripping           | A Balancing Service involving the initiation by a System to Generator Operational Intertripping Scheme of automatic tripping of the User's circuit breaker(s), or Relevant Transmission Licensee's circuit breaker(s) where agreed by The Company, the User and the Relevant Transmission Licensee, resulting in the tripping of BM Unit(s) or (where relevant) Generating Unit(s) comprised in a BM Unit to prevent abnormal system conditions occurring, such as over voltage, overload, System instability, etc, after the tripping of other circuit-breakers following power System fault(s). |
| System to Generator<br>Operational Intertripping<br>Scheme | A System to Generating Unit or System to CCGT Module or System to Power Park Module or System to Power Generating Module or System to Electricity Storage Module Intertripping Scheme forming a condition of connection and specified in Appendix F3 of the relevant Bilateral Agreement, being either a Category 1 Intertripping Scheme, Category 2 Intertripping Scheme, Category 3 Intertripping Scheme or Category 4 Intertripping Scheme.  |
| Target Frequency   | That Frequency determined by The Company, in its reasonable opinion, as the desired operating Frequency of the Total System or of a relevant Power Island. This will normally be 50.00Hz plus or minus 0.05Hz, except in exceptional circumstances as determined by The Company for example which may be operating the System during disputes affecting fuel supplies or following a Total Shutdown or Partial Shutdown where Power Islands are established, and each Power Island has its own unique Frequency.  |
| Technical Specification                                    | In relation to <b>Plant</b> and/or <b>Apparatus</b> ,   |
|  | (a) the relevant European Specification; or   |
|  | (b) if there is no relevant <b>European Specification</b> , other relevant standards which are in common use in the European Community.   |
| TERRE  | Trans European Replacement Reserves Exchange – a market covering the procurement of replacement reserves across Europe.   |
|  | <u> </u>  |

| TERRE Activation Period                     | A period of time lasting 15 minutes and starting at either 0, 15, 30 or 45 minutes past the hour (e.g. 10:00 to 10:15). There are 4 <b>TERRE</b>   |
|---|--|
|   | Activation Periods in one TERRE Auction Period.  |
| TERRE Auction Period                        | A period of time lasting one hour and starting and ending on the hour (e.g. from 10:00 to 11:00). Hence there are 24 <b>TERRE Auction Periods</b> in a day.  |
| TERRE Bid                                   | A submission by a <b>BM Participant</b> covering the price and MW deviation offered into the <b>TERRE</b> auction (please note – in the <b>Balancing Mechanism</b> the term bid has a different meaning – in this case a bid can be an upward or downward MW change).  |
| TERRE Central Platform                      | An IT system which implements the <b>TERRE</b> auction.  |
| TERRE Data Validation and Consistency Rules | A document produced by the central <b>TERRE</b> project detailing the correct format of submissions for <b>TERRE</b> .   |
| TERRE Gate Closure                          | 60 minutes before the start of the <b>TERRE Auction Period</b> (note still ongoing discussions if this may become 55 minutes).   |
| TERRE Instruction Guide                     | Details specific rules for creating an RR Instruction from an RR Acceptance.   |
| Test Co-ordinator                           | A person who co-ordinates System Tests.  |
| Test Panel                                  | A panel, whose composition is detailed in <b>OC12</b> , which is responsible, inter alia, for considering a proposed <b>System Test</b> , and submitting a <b>Proposal Report</b> and a <b>Test Programme</b> .  |
| Test Plan                                   | A document prepared by <b>The Company</b> , as published on its <b>Website</b> , outlining how the requirements of the " <b>Test Plan</b> ", as provided for by <b>Retained EU Law</b> (Commission Regulation (EU) 2017/2196), has been implemented within the <b>GB Synchronous Area</b> .  |
| Test Programme                              | A programme submitted by the <b>Test Panel</b> to <b>The Company</b> , the <b>Test Proposer</b> , and each <b>User</b> identified by <b>The Company</b> under OC12.4.2.1, which states the switching sequence and proposed timings of the switching sequence, a list of those staff involved in carrying out the <b>System Test</b> (including those responsible for the site safety) and such other matters as the <b>Test Panel</b> deems appropriate. |
| Test Proposer                               | The person who submits a <b>Proposal Notice</b> .  |
| Test Signal                                 | A signal in the form of a sine wave, applied to a <b>GBGF-I</b> to demonstrate its ability to contribute to <b>Active Damping Power</b> .  |
| The Company                                 | National Grid Electricity System Operator Limited (NO: 11014226) whose registered office is at 1-3 Strand, London, WC2N 5EH as the person whose <b>Transmission Licence</b> Section C of such <b>Transmission Licence</b> has been given effect.   |
| The Company Control<br>Engineer             | The nominated person employed by <b>The Company</b> to direct the operation of the <b>National Electricity Transmission System</b> or such person as nominated by <b>The Company</b> .   |

| The Company Operational Strategy | The Company's operational procedures which form the guidelines for operation of the National Electricity Transmission System.  |
|----------------------------------|--|
| Top Up Restoration Capability    | The ability of a Restoration Contractor's Plant to Start-Up from Shutdown and to be Synchronised and remain Synchronised to a part of the Total System upon instruction from The Company or Relevant Transmission Licensee (in Scotland) or relevant Network Operator, within a defined time period, pursuant to the terms of the Top Up Restoration Contract, once external electrical power supplies are restored to that Restoration Contractor's site. In the case of a Local Joint Restoration Plan, an instruction from The Company or Transmission Licensee in Scotland to a Restoration Contractor in respect of their Top Up Restoration Plant would generally be issued immediately after an instruction to an Anchor Restoration Contractor with the Top Up Capability expected to be delivered consecutively after external power supplies had been restored to the Top Up Restoration Contractor's site. In the case of a Distribution Restoration Zone Plan, an instruction from a Network Operator to a Restoration Contractor in respect of their Top Up Restoration Plant would generally be issued immediately after an instruction to an Anchor Restoration Contractor with the Top Up Capability expected to be delivered consecutively after external power supplies had been restored to the Top Up Restoration Contractor with a Top Up Restoration Capability shall have sufficient Auxiliary Energy Supplies to be capable of delivering the service they have agreed to provide as soon as their Connection Point or User System Entry Point is energised. |
| Top Up Restoration<br>Contract   | In the case of a Local Joint Restoration Plan or Offshore Local Joint Restoration Plan is a contract between The Company and Top Up Restoration Contractor for the provision of a Top Up Restoration Capability. In the case of a Distribution Restoration Zone Plan, an agreement between The Company and relevant Network Operator and Top Up Restoration Contractor for the provision of Top Up Restoration Capability.   |
| Top Up Restoration<br>Contractor | A Restoration Contractor with a Top Up Restoration Contract.   |
| Top Up Restoration Plant         | Plant owned and operated by a Top Up Restoration Contractor.   |
| Top Up Restoration Plant<br>Test | A test conducted on a <b>Top Up Restoration Plant</b> to confirm it is capable of meeting the requirements of a <b>Top Up Restoration Contract</b> .   |
| Total Shutdown                   | The situation existing when all generation has ceased and there is no electricity supply from External Interconnections and, therefore, the Total System has shutdown with the result that it is not possible for the Total System to begin to function again without The Company's directions relating to System Restoration.   |
| Total System                     | The National Electricity Transmission System and all User Systems in the National Electricity Transmission System Operator Area.   |
| Trading Point                    | A commercial and, where so specified in the Grid Code, an operational interface between a <b>User</b> and <b>The Company</b> , which a <b>User</b> has notified to <b>The Company</b> .  |
| Transfer Date                    | Such date as may be appointed by the <b>Secretary of State</b> by order under section 65 of the <b>Act</b> .   |

| Transmission                             | Means, when used in conjunction with another term relating to equipment or a site, whether defined or not, that the associated term is to be read as being part of or directly associated with the <b>National Electricity Transmission System</b> , and not of or with the <b>User System</b> .   |
|--|--|
| Transmission Area                        | Has the meaning set out in the <b>Transmission Licence</b> of a <b>Transmission Licensee</b> .   |
| Transmission Connected Demand Facilities | A Demand Facility which has a Grid Supply Point to the National Electricity Transmission System.   |
| Transmission DC Converter                | Any Transmission Licensee Apparatus (or OTSUA that will become Transmission Licensee Apparatus at the OTSUA Transfer Time) used to convert alternating current electricity to direct current electricity, or vice versa. A Transmission Network DC Converter (which could include an HVDC System owned by an Offshore Transmission Licensee or Generator in respect of OTSUA) is a standalone operative configuration at a single site comprising one or more converter bridges, together with one or more converter transformers, converter control equipment, essential protective and switching devices and auxiliaries, if any, used for conversion. |
| Transmission Entry<br>Capacity           | Has the meaning set out in the CUSC.   |
| Transmission Interface<br>Circuit        | In NGET's Transmission Area, a Transmission circuit which connects a System operating at a voltage above 132kV to a System operating at a voltage of 132kV or below  In SHETL's Transmission Area and SPT's Transmission Area, a Transmission circuit which connects a System operating at a voltage of  |
| Transmission Interface Point             | 132kV or above to a <b>System</b> operating at a voltage below 132kV.  Means the electrical point of connection between the <b>Offshore Transmission System</b> and an <b>Onshore Transmission System</b> .  |
| Transmission Interface Site              | The site at which the <b>Transmission Interface Point</b> is located.  |
| Transmission Licence                     | A licence granted under Section 6(1)(b) of the <b>Act</b> .  |
| Transmission Licensee                    | The Company and any Onshore Transmission Licensee or Offshore Transmission Licensee.   |
| Transmission Site                        | Means a site owned (or occupied pursuant to a lease, licence or other agreement) by a <b>Relevant Transmission Licensee</b> in which there is a <b>Connection Point</b> . For the avoidance of doubt, a site owned by a <b>User</b> but occupied by the <b>Relevant Transmission Licensee</b> as aforesaid, is a <b>Transmission Site</b> .  |
| Transmission System                      | Has the same meaning as the term "licensee's transmission system" in the <b>Transmission Licence</b> of a <b>Transmission Licensee</b> .   |
| Turbine Time Constant                    | Determined at <b>Registered Capacity</b> , the turbine time constant will be construed in accordance with the principles of the IEEE Committee Report "Dynamic Models for Steam and Hydro Turbines in Power System Studies" published in 1973 which apply to such phrase.  |

| Type A Power Generating Module                 | A Power-Generating Module (including an Electricity Storage Module) with a Grid Entry Point or User System Entry Point below 110 kV and a Maximum Capacity of 0.8 kW or greater but less than 1MW;  |
|--|---|
| Type B Power Generating Module                 | A Power-Generating Module (including an Electricity Storage Module) with a Grid Entry Point or User System Entry Point below 110 kV and a Maximum Capacity of 1MW or greater but less than 10MW;  |
| Type C Power Generating Module                 | A Power-Generating Module (including an Electricity Storage Module) with a Grid Entry Point or User System Entry Point below 110 kV and a Maximum Capacity of 10MW or greater but less than 50MW;   |
| Type D Power Generating Module                 | A Power-generating Module: (including an Electricity Storage Module): with a Grid Entry Point or User System Entry Point at, or greater than, 110 kV; or  |
|  | with a <b>Grid Entry Point</b> or <b>User System Entry Point</b> below 110 kV and   |
|  | with Maximum Capacity of 50MW or greater  |
| Unbalanced Load                                | The situation where the <b>Load</b> on each phase is not equal.   |
| Under-excitation Limiter                       | Shall have the meaning ascribed to that term in <b>IEC</b> 34-16-1:1991 [equivalent to <b>British Standard BS</b> 4999 Section 116.1: 1992].  |
| Under Frequency Relay                          | An electrical measuring relay intended to operate when its characteristic quantity ( <b>Frequency</b> ) reaches the relay settings by a decrease in <b>Frequency</b> .  |
| Unit Board                                     | A switchboard through which electrical power is supplied to the <b>Auxiliaries</b> of a <b>Generating Unit</b> and which is supplied by a <b>Unit Transformer</b> . It may be interconnected with a <b>Station Board</b> .  |
| Unit Transformer                               | A transformer directly connected to a <b>Generating Unit's</b> terminals, and which supplies power to the <b>Auxiliaries</b> of a <b>Generating Unit</b> . Typical voltage ratios are 23/11kV and 15/6.6kV.   |
| Unit Load Controller<br>Response Time Constant | The time constant, expressed in units of seconds, of the power output increase which occurs in the <b>Secondary Response</b> timescale in response to a step change in <b>System Frequency</b> .  |
| Unresolved Issues                              | Any relevant Grid Code provisions or Bilateral Agreement requirements identified by The Company with which the relevant User has not demonstrated compliance to The Company's reasonable satisfaction at the date of issue of the Preliminary Operational Notification and/or Interim Operational Notification and/or Limited Operational Notification and which are detailed in such Preliminary Operational Notification and/or Interim Operational Notification and/or Limited Operational Notification. |
| Urgent Modification                            | A Grid Code Modification Proposal treated or to be treated as an Urgent Modification in accordance with GR.23.  |
| User   | A term utilised in various sections of the Grid Code to refer to the persons using the National Electricity Transmission System, as more particularly identified in each section of the Grid Code concerned. In the Preface and the General Conditions the term means any person to whom the Grid Code applies. The term User includes an EU Code User and a GB Code User.  |

| User Data File Structure              | The file structure given at DRC 18 which will be specified by The Company which a Generator or DC Converter Station owner or HVDC System Owner must use for the purposes of the CP or the ECP to submit DRC data Schedules and information demonstrating compliance with the Grid Code and, where applicable, with the CUSC Contract(s), unless otherwise agreed by The Company.  |  |  |
|---------------------------------------|---|--|--|
| User Development                      | In the PC means either User's Plant and/or Apparatus to be connected to the National Electricity Transmission System, or a Modification relating to a User's Plant and/or Apparatus already connected to the National Electricity Transmission System, or a proposed new connection or Modification to the connection within the User System.   |  |  |
| User Self Certification of Compliance | A certificate, in the form attached at CP.A.2.(1) or ECP.A.2.(1) completed by a <b>Generator</b> or <b>DC Converter Station</b> owner or <b>HVDC System Owner</b> to which the <b>Compliance Statement</b> is attached which confirms that such <b>Plant</b> and <b>Apparatus</b> complies with the relevant Grid Code provisions and where appropriate, with the <b>CUSC Contract</b> (s), as identified in the <b>Compliance Statement</b> and, if appropriate, identifies any <b>Unresolved Issues</b> and/or any exceptions to such compliance and details the derogation(s) granted in respect of such exceptions. |  |  |
| User Site                             | A site owned (or occupied pursuant to a lease, licence or other agreement) by a <b>User</b> in which there is a <b>Connection Point</b> . For the avoidance of doubt, a site owned by a <b>Relevant Transmission Licensee</b> but occupied by a <b>User</b> as aforesaid, is a <b>User Site</b> .   |  |  |
| User System                           | Any system owned or operated by a <b>User</b> comprising:-  |  |  |
|                                       | (a) Power Generating Modules or Generating Units; and/or  |  |  |
|                                       | (b) Systems consisting (wholly or mainly) of electric lines used for the<br>distribution of electricity from Grid Supply Points or Generating<br>Units or Power Generating Modules or other entry points to the<br>point of delivery to Customers, or other Users;  |  |  |
|                                       | and Plant and/or Apparatus (including prior to the OTSUA Transfer Time, any OTSUA) connecting:-   |  |  |
|                                       | (c) The system as described above; or   |  |  |
|                                       | (d) Non-Embedded Customers equipment;   |  |  |
|                                       | to the <b>National Electricity Transmission System</b> or to the relevant other <b>User System</b> , as the case may be.  |  |  |
|                                       | The <b>User System</b> includes any <b>Remote Transmission Assets</b> operated by such <b>User</b> or other person and any <b>Plant</b> and/or <b>Apparatus</b> and meters owned or operated by the <b>User</b> or other person in connection with the distribution of electricity but does not include any part of the <b>National Electricity Transmission System</b> .   |  |  |

|  | A   |  |
|--|---|--|
| User System Entry Point                | A point at which;   |  |
|  | a Power Generating Module,; or  |  |
|  | a Generating Unit, ; or,  |  |
|  | a CCGT Module;or  |  |
|  | a CCGT Unit; or   |  |
|  | a Power Park Module; or   |  |
|  | an Electricity Storage Module; or   |  |
|  | a DC Converter; or  |  |
|  | an HVDC Converter,  |  |
|  | and which is <b>Embedded</b> connects to the <b>User System</b> .   |  |
| Virtual Lead Party                     | As defined in the <b>BSC</b> .  |  |
| Voltage Jump Reactive<br>Power         | The transient Reactive Power injected or absorbed from a Grid Forming Plant to the Total System as a result of either a step or ramp change in the difference between the voltage magnitude and/or phase of the voltage of the Internal Voltage Source of the Grid Forming Plant and Grid Entry Point or User System Entry Point.   |  |
|  | In the event of a voltage magnitude and phase change at the <b>Grid Entry Point</b> or <b>User System Entry Point</b> , a <b>Grid Forming Plant</b> will instantaneously (within 5ms) supply <b>Voltage Jump Reactive Power</b> to the <b>Total System</b> as a result of the voltage magnitude change.   |  |
| Water Time Constant                    | Bears the meaning ascribed to the term "Water inertia time" in IEC308.  |  |
| Website                                | The site established by <b>The Company</b> on the World-Wide Web for the exchange of information among <b>Users</b> and other interested persons in accordance with such restrictions on access as may be determined from time to time by <b>The Company</b> .  |  |
| Weekly ACS Conditions                  | Means that particular combination of weather elements that gives rise to a level of peak <b>Demand</b> within a week, taken to commence on a Monday and end on a Sunday, which has a particular chance of being exceeded as a result of weather variation alone. This particular chance is determined such that the combined probabilities of <b>Demand</b> in all weeks of the year exceeding the annual peak <b>Demand</b> under <b>Annual ACS Conditions</b> is 50%, and in the week of maximum risk the weekly peak <b>Demand</b> under <b>Weekly ACS Conditions</b> is equal to the annual peak <b>Demand</b> under <b>Annual ACS Conditions</b> . |  |
| WG Consultation<br>Alternative Request | Any request from an Authorised Electricity Operator; the Citizens Advice or the Citizens Advice Scotland, The Company or a Materially Affected Party for a Workgroup Alternative Grid Code Modification to be developed by the Workgroup expressed as such and which contains the information referred to at GR.20.16. For the avoidance of doubt, any WG Consultation Alternative Request does not constitute either a Grid Code Modification Proposal or a Workgroup Alternative Grid Code Modification.  |  |
| Workgroup                              | A <b>Workgroup</b> established by the <b>Grid Code Review Panel</b> pursuant to GR.20.1;  |  |

| Workgroup Consultation                          | As defined in GR.20.13, and any further consultation which may be directed by the <b>Grid Code Review Panel</b> pursuant to GR.20.20;   |  |  |
|---|---|--|--|
| Workgroup Alternative Grid<br>Code Modification | An alternative modification to the <b>Grid Code Modification Proposal</b> developed by the <b>Workgroup</b> under the <b>Workgroup</b> terms of reference (either as a result of a <b>Workgroup Consultation</b> or otherwise) and which is believed by a majority of the members of the <b>Workgroup</b> or by the chairperson of the <b>Workgroup</b> to better facilitate the <b>Grid Code Objectives</b> than the <b>Grid Code Modification Proposal</b> or the current version of the <b>Grid Code</b> ; |  |  |
| Zonal System Security<br>Requirements           | That generation required, within the boundary circuits defining the <b>System Zone</b> , which when added to the secured transfer capability of the boundary circuits exactly matches the <b>Demand</b> within the <b>System Zone</b> .   |  |  |

A number of the terms listed above are defined in other documents, such as the **Balancing and Settlement Code** and the **Transmission Licence**. Appendix 1 sets out the current definitions from the other documents of those terms so used in the Grid Code and defined in other documents for ease of reference, but does not form part of the Grid Code.

#### GD.2 Construction of References

#### GD.2.1 In the Grid Code:

- a table of contents, a Preface, a Revision section, headings, and the Appendix to this Glossary and Definitions are inserted for convenience only and shall be ignored in construing the Grid Code;
- (ii) unless the context otherwise requires, all references to a particular paragraph, subparagraph, Appendix or Schedule shall be a reference to that paragraph, sub-paragraph Appendix or Schedule in or to that part of the Grid Code in which the reference is made;
- (iii) unless the context otherwise requires, the singular shall include the plural and vice versa, references to any gender shall include all other genders and references to persons shall include any individual, body corporate, corporation, joint venture, trust, unincorporated association, organisation, firm or partnership and any other entity, in each case whether or not having a separate legal personality;
- (iv) references to the words "include" or "including" are to be construed without limitation to the generality of the preceding words;
- (v) unless there is something in the subject matter or the context which is inconsistent therewith, any reference to an Act of Parliament or any Section of or Schedule to, or other provision of an Act of Parliament shall be construed at the particular time, as including a reference to any modification, extension or re-enactment thereof then in force and to all instruments, orders and regulations then in force and made under or deriving validity from the relevant Act of Parliament;
- (vi) where the Glossary and Definitions refers to any word or term which is more particularly defined in a part of the Grid Code, the definition in that part of the Grid Code will prevail (unless otherwise stated) over the definition in the Glossary & Definitions in the event of any inconsistency;
- (vii) a cross-reference to another document or part of the Grid Code shall not of itself impose any additional or further or co-existent obligation or confer any additional or further or coexistent right in the part of the text where such cross-reference is contained;
- (viii) nothing in the Grid Code is intended to or shall derogate from **The Company's** statutory or licence obligations;
- (ix) a "holding company" means, in relation to any person, a holding company of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;

- (x) a "subsidiary" means, in relation to any person, a subsidiary of such person within the meaning of section 736, 736A and 736B of the Companies Act 1985 as substituted by section 144 of the Companies Act 1989 and, if that latter section is not in force at the **Transfer Date**, as if such latter section were in force at such date;
- (xi) references to time are to London time; and
- (xii) (a) Save where (b) below applies, where there is a reference to an item of data being expressed in a whole number of MW, fractions of a MW below 0.5 shall be rounded down to the nearest whole MW and fractions of a MW of 0.5 and above shall be rounded up to the nearest whole MW;
  - (b) In the case of the definition of **Registered Capacity** or **Maximum Capacity**, fractions of a MW below 0.05 shall be rounded down to one decimal place and fractions of a MW of 0.05 and above shall be rounded up to one decimal place.
- (xiii) For the purposes of the Grid Code, physical quantities such as current or voltage are not defined terms as their meaning will vary depending upon the context of the obligation. For example, voltage could mean positive phase sequence root mean square voltage, instantaneous voltage, phase to phase voltage, phase to earth voltage. The same issue equally applies to current, and therefore the terms current and voltage should remain undefined with the meaning depending upon the context of the application. Retained EU Law (Commission Regulation (EU) 2016/631) defines requirements of current and voltage but they have not been adopted as part of EU implementation for the reasons outlined above.
- (xiv) Except where expressly stated to the contrary, reference to Commission Regulations means the Commission Regulation (EU) as it forms part of **Retained EU Law**, as such regulation may be amended.

< END OF GLOSSARY & DEFINITIONS>

# **COMPLIANCE PROCESSES**

(CP)

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# CP.1 INTRODUCTION

# CP.1.1 The **Compliance Processes** ("**CP**") specifies:

the process (leading to an **Energisation Operational Notification**) which must be followed by **The Company** and any **GB Code User** to demonstrate its compliance with the Grid Code in relation to its **Plant** and **Apparatus** (including **OTSUA**) prior to the relevant **Plant** and **Apparatus** (including any **OTSUA**) being energised.

the process (leading to an Interim Operational Notification and Final Operational Notification) which must be followed by The Company and any Generator or DC Converter Station owner to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus (including any dynamically controlled OTSUA). This process shall be followed prior to and during the course of the relevant Plant and Apparatus (including OTSUA) being energised and Synchronised.

the process (leading to a Limited Operational Notification) which must be followed by The Company and each Generator and DC Converter Station owner where any of its Plant and/or Apparatus (including any OTSUA) becomes unable to comply with relevant provisions of the Grid Code, and where applicable with Appendices F1 to F5 (and in the case of OTSUA, Appendices OF1 to OF5 of the Bilateral Agreement). This process also includes when changes or Modifications are made to Plant and/or Apparatus (including OTSUA). This process applies to such Plant and/or Apparatus after the Plant and/or Apparatus has become Operational and until Disconnected from the Total System, (or until, in the case of OTSUA, the OTSUA Transfer Time), when changes or Modifications are made.

- CP.1.2 As used in this **CP**, references to **OTSUA** means **OTSUA** to be connected or connected to the **National Electricity Transmission System** prior to the **OTSUA Transfer Time**.
- CP1.3 Where the **Generator** or **DC Convertor Station Owner** and/or **The Company** are required to apply for a derogation from the **Authority**, this is not in respect of the **OTSUA**.

#### CP.2 OBJECTIVE

- CP.2.1 The objective of the **CP** is to ensure that there is a clear and consistent process for demonstration of compliance by **GB Code Users** with the **Connection Conditions** and **Bilateral Agreement** which are similar for all **GB Code Users** of an equivalent category and will enable **The Company** to comply with its statutory and **Transmission Licence** obligations.
- CP.2.2 Provisions of the **CP** which apply in relation to **OTSDUW** and **OTSUA** shall (in any particular case) apply up to the **OTSUA Transfer Time**, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply.
- CP.2.3 In relation to OTSDUW, provisions otherwise to be contained in a **Bilateral Agreement** may be contained in the **Construction Agreement**, and accordingly a reference in the **CP** to a relevant **Bilateral Agreement** includes the relevant **Construction Agreement**.

### CP.3 SCOPE

- CP.3.1 The CP applies to The Company and to GB Code Users, which in the CP means:
  - (a) GB Generators (other than in relation to Embedded Small Power Stations or Embedded Medium Power Stations not subject to a Bilateral Agreement) including those undertaking OTSDUW.
  - (b) Network Operators;
  - (c) Non-Embedded Customers;
  - (d) **DC Converter Station** owners (other than those which only have **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**).

- CP.3.2 The above categories of **GB Code User** will become bound by the **CP** prior to them generating, distributing, supplying or consuming, or in the case of **OTSUA**, transmitting, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role as well as to **Users** actually connected.
- CP3.3 This **CP** does not apply to **EU Code Users** for whom the requirements of the **ECP** applies.
- CP.3.4 This CP does not apply to GB Generators in respect of Embedded Small Power Stations which have a Bilateral Embedded Generation Agreement with The Company. The Company will however need to ensure that GB Generators in respect of Embedded Small Power Stations which have a Bilateral Embedded Generation Agreement and a Completion Date on or after 05-09-2024, are capable of meeting the requirements of CC.6.5, CC.7.9, CC.7.10 and CC.7.11 of the Grid Code and the requirements of the Bilateral Embedded Generation Agreement. The Company shall notify such GB Generators of their compliance with these requirements through the issue of a GB Code User Interim-Balancing Compliance Notification with full compliance being confirmed by The Company through the issue of a GB Code User Final-Balancing Compliance Notification.

# CP.4 CONNECTION PROCESS

- The CUSC Contract(s) contain certain provisions relating to the procedure for connection to the National Electricity Transmission System or, in the case of Embedded Power Stations or Embedded DC Converter Stations, becoming operational and include provisions to be complied with by GB Code Users prior to and during the course of The Company notifying the User that it has the right to become operational. In addition to such provisions, this CP sets out in further detail the processes to be followed to demonstrate compliance. Whilst this CP does not expressly address the processes to be followed in the case of OTSUA connecting to a Network Operator's User System prior to the OTSUA Transfer Time, the processes to be followed by The Company and the Generator in respect of OTSUA in such circumstances shall be consistent with those set out below by reference OTSUA directly connected to the National Electricity Transmission System.
- CP.4.2 The provisions contained in CP.5 to CP.7 detail the process to be followed in order for the **GB Code User's Plant** and **Apparatus** (including **OTSUA**) to become operational. This process includes **EON** (energisation) **ION** (interim synchronising) and **FON** (final).
- CP.4.2.1 The provisions contained in CP.5 relate to the connection and energisation of **User's Plant** and **Apparatus** (including **OTSUA**) to the **National Electricity Transmission System** or where **Embedded**, to a **User's System** and is shown diagrammatically at CP.A.1.1.
- CP.4.2.2 The provisions contained in CP.6 and CP.7 provide the process for **Generators** and **DC**Converter Station owners to demonstrate compliance with the Grid Code and with, where applicable, the CUSC Contract(s) prior to and during the course of such **Generator's** or **DC**Converter Station owner's Plant and Apparatus (including OTSUA up to the OTSUA Transfer Time) becoming operational and is shown diagrammatically at CP.A.1.2 and CP.A.1.3.
- CP.4.2.3 The provisions in CP.8 detail the process to be followed to confirm continued compliance (the Compliance Repeat Plan).
- CP.4.2.4 The provisions contained in CP.9 detail the process to be followed when:
  - (a) a Generator or DC Converter Station owner's Plant and/or Apparatus (including the OTSUA) is unable to comply with any provisions of the Grid Code and Bilateral Agreement; or,
  - (b) following any notification by a **Generator** or a **DC Converter Station** owner under the **PC** of any change to its **Plant** and **Apparatus** (including any **OTSUA**); or,
  - (c) a **Modification** to a **Generator** or a **DC Converter Station** owner's **Plant** and/or **Apparatus**.

The process is shown diagrammatically at Appendix CP.A.1.4 for condition (a) and Appendix CP.A.1.5 for conditions (b) and (c)

- CP.4.3 <u>Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC</u>

  <u>Converter Stations not subject to a Bilateral Agreement</u>
- CP.4.3.1 For the avoidance of doubt, the process in this **CP** does not apply to **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**.

### CP.5 ENERGISATION OPERATIONAL NOTIFICATION

- CP.5.1 The following provisions apply in relation to the issue of an **Energisation Operational Notification**.
- CP.5.1.1 Certain provisions relating to the connection and energisation of the GB Code User's Plant and Apparatus at the Connection Site and OTSUA at the Transmission Interface Point and in certain cases of Embedded Plant and Apparatus are specified in the CUSC and/or CUSC Contract(s). For other Embedded Plant and Apparatus, the Distribution Code, the DCUSA and the Embedded Development Agreement for the connection specify equivalent provisions. Further detail on this is set out in CP.5 below.
- CP.5.2 The items for submission prior to the issue of an **Energisation Operational Notification** are set out in CC.5.2
- CP.5.3 In the case of a **Generator** or **DC Converter Station** owner, the items referred to in CC.5.2 shall be submitted using the **User Data File Structure**.
- CP.5.4 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **GB Code User** wishing to energise its **Plant** and **Apparatus** (including passive **OTSUA**) for the first time, the **GB Code User** will submit to **The Company**, a Certificate of Readiness to Energise **High Voltage** Equipment which specifies the items of **Plant** and **Apparatus** (including **OTSUA**) ready to be energised in a form acceptable to **The Company**.
- CP.5.5 If the relevant obligations under the provisions of the CUSC and/or CUSC Contract(s) and the conditions of CP.5 have been completed to The Company's reasonable satisfaction, then The Company shall issue an Energisation Operational Notification. Any dynamically controlled reactive compensation OTSUA (including Statcoms or Static Var Compensators) shall not be Energised until the appropriate Interim Operational Notification has been issued in accordance with CP.6.

# CP.6 INTERIM OPERATIONAL NOTIFICATION

- CP.6.1 The following provisions apply in relation to the issue of an **Interim Operational Notification**.
- CP.6.2 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** or **DC Converter Station** owner wishing to **Synchronise** its **Plant** and **Apparatus** or dynamically controlled **OTSUA** for the first time, the **Generator** or **DC Converter Station** owner will:
  - (i) submit to The Company, a Notification of User's Intention to Synchronise; and
  - (il) submit to **The Company** the items referred to at CP.6.3.
- CP.6.3 Items for submission prior to issue of the Interim Operational Notification.
- CP.6.3.1 Prior to the issue of an **Interim Operational Notification** in respect of the **GB Code User's Plant** and **Apparatus** or dynamically controlled **OTSUA**.

the **Generator** or **DC Converter Station** owner must submit to **The Company** to **The Company's** satisfaction:

(a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**;

- (b) details of any special Power Station, Generating Unit(s), Power Park Module(s) or DC Converter Station(s) protection as applicable. This may include Pole Slipping protection and islanding protection schemes;
- (c) any items required by CP.5.2, updated by the **GB Code User** as necessary;
- (d) simulation study provisions of Appendix CP.A.3 and the results demonstrating compliance with Grid Code requirements of:

PC.A.5.4.2

PC.A.5.4.3.2,

CC.6.3.4,

CC.6.3.7(c)(i),

CC.6.3.15,

CC.A.6.2.5.6,

CC.A.7.2.3.1,

as applicable to the **Power Station**, **Generating Unit(s)**, **Power Park Module(s)** or **DC Converter(s)** or dynamically controlled **OTSUA** unless agreed otherwise by **The Company**;

- (e) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator or DC Converter Station owner under CP.7.2 to demonstrate compliance with relevant Grid Code requirements. Such schedule to be consistent with Appendix OC5.A.2 (in the case of Generating Units other than Power Park Modules) or Appendix OC5.A.3 (in the case of Generating Units comprising Power Park Modules) and OTSUA as applicable); and
- (f) an interim Compliance Statement and a User Self Certification of Compliance completed by the GB Code User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator or DC Converter Station owner has identified that will not or may not be met or demonstrated.
- CP.6.3.2 The items referred to in CP.6.3 shall be submitted by the **Generator** or **DC Converter Station** owner using the **User Data File Structure**.
- CP.6.4 No Generating Unit, CCGT Module, Power Park Module or DC Converter or dynamically controlled OTSUA shall be Synchronised to the Total System (and for the avoidance of doubt, dynamically controlled OTSUA will not be able to transmit), until the later of:
  - the date specified by The Company in the Interim Operational Notification issued in respect of the Generating Unit(s), CCGT Module(s), Power Park Module(s) or DC Converter(s) or dynamically controlled OTSUA; and,
  - (b) if Embedded, the date of receipt of a confirmation from the Network Operator in whose System the Plant and Apparatus is connected that it is acceptable to the Network Operator that the Plant and Apparatus be connected and Synchronised; and,
  - (c) in the case of Synchronous Generating Unit(s) only after the date of receipt by a Generator of written confirmation from The Company that the Generating Unit or CCGT Module as applicable, has completed the following tests to demonstrate compliance with the relevant provisions of the Connection Conditions to The Company's satisfaction:
    - (i) those tests required to establish the open and short circuit saturation characteristics of the **Generating Unit** (as detailed in Appendix OC5.A.2.3) to enable assessment of the short circuit ratio in accordance with CC.6.3.2. Such tests may be carried out at a location other than the **Power Station** site; and
    - (ii) open circuit step response tests (as detailed in Appendix OC5.A.2.2) to demonstrate compliance with CC.A.6.2.4.1.

- CP.6.5 The Company shall assess the schedule of tests submitted by the Generator or DC Converter Station owner with the Notification of User's Intention to Synchronise under CP.6.1 and shall determine whether such schedule has been completed to The Company's satisfaction.
- CP.6.6 When the requirements of CP.6.2 to CP.6.5 have been met, **The Company** will notify the **Generator** or **DC Converter Station** owner that the:

Generating Unit,

**CCGT Module**,

Power Park Module,

Dynamically controlled OTSUA or

DC Converter,

as applicable may (subject to the **Generator** or **DC Converter Station** owner having fulfilled the requirements of CP.6.3 where that applies) be **Synchronised** to the **Total System** through the issue of an **Interim Operational Notification**. Where the **Generator** is undertaking **OTSDUW**, then the **Interim Operational Notification** will be in two parts, with the "**Interim Operational Notification Part A**" applicable to the **OTSUA** and the "**Interim Operational Notification Part B**" applicable to the **GB Code Users Plant and Apparatus**. For the avoidance of doubt, the **Interim Operational Notification Part B** can be issued together or at different times. In respect of an **Embedded Power Station** or **Embedded DC Converter Station** (other than **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not **Interim Operational Notification** has been issued.

- CP.6.6.1 The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by The Company.
- CP.6.6.2 The Generator or DC Converter Station owner must operate the Generating Unit, CCGT Module, Power Park Module, OTSUA or DC Converter in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, The Company will discuss such terms with the Generator or DC Converter Station owner prior to including them in the Interim Operational Notification.
- CP.6.6.3 The **Interim Operational Notification** will include the following limitations:
  - (a) In the case of OTSUA, the Interim Operational Notification Part A permits Synchronisation of the dynamically controlled OTSUA to the Total System only for the purposes of active control of voltage and Reactive Power and not for the purpose of exporting Active Power.
  - (b) In the case of a Power Park Module, the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System such that neither of the following figures are exceeded:
    - 20% of the Registered Capacity of the Power Park Module (or the output of a single Power Park Unit, where this exceeds 20% of the Power Station's Registered Capacity); nor
    - (ii) 50MW

until the **Generator** has completed the voltage control tests (detailed in OC5.A.3.2) (including in respect of any dynamically controlled **OTSUA**) to **The Company's** reasonable satisfaction. Following successful completion of this test, each additional **Power Park Unit** should be included in the voltage control scheme as soon as is technically possible (unless **The Company** agrees otherwise).

- (b) In the case of a Power Park Module with a Registered Capacity greater or equal to 100MW, the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System to 70% of Registered Capacity until the Generator has completed the Limited Frequency Sensitive Mode control tests with at least 50% of the Registered Capacity of the Power Park Module in service (detailed in OC5.A.3.3) to The Company's reasonable satisfaction.
- (c) In the case of a Synchronous Generating Unit, employing a static Excitation System the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) may if applicable limit the maximum Active Power output and reactive power output of the Synchronous Generating Unit or CCGT module prior to the successful commissioning of the Power System Stabiliser to The Company's satisfaction.
- CP.6.6.4 When a **GB Code User** and **The Company** are acting/operating in accordance with the provisions of an **Interim Operational Notification**, whilst it is in force, the relevant provisions of the Grid Code to which that **Interim Operational Notification** relates will not apply to the **GB Code User** or **The Company** to the extent and for the period set out in the **Interim Operational Notification**.
- CP.6.7 Other than **Unresolved Issues** that are subject to tests required under CP.7.2 to be witnessed by **The Company**, the **Generator** or **DC Converter Station** owner must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** or **DC Converter Station** owner must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in CP.7.2.
- CP.6.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** or **DC Converter Station** owner wishing to commence tests required under CP.7 to be witnessed by **The Company**, the **Generator** or **DC Converter Station** owner will notify **The Company** that the **Generating Unit(s)**, **CCGT Module(s)**, **Power Park Module(s)** or **DC Converter(s)** as applicable, is ready to commence such tests.
- CP.6.9 The items referred to at CP.7.3 shall be submitted by the **Generator** or the **DC Converter Station** owner after successful completion of the tests required under CP.7.2.
- CP.7. FINAL OPERATIONAL NOTIFICATION
- CP.7.1 The following provisions apply in relation to the issue of a **Final Operational Notification**.
- CP.7.2 Tests to be carried out prior to issue of the **Final Operational Notification**
- CP.7.2.1 Prior to the issue of a **Final Operational Notification**, the **Generator** or **DC Converter Station** owner must have completed the tests specified in this CP.7.2.2 to **The Company's**satisfaction to demonstrate compliance with the relevant Grid Code provisions.
- CP.7.2.2 In the case of any **Generating Unit**, **CCGT Module**, **Power Park Module**, **OTSUA** (if applicable) and **DC Converter** these tests will comprise one or more of the following:
  - (a) reactive capability tests to demonstrate that the Generating Unit, CCGT Module, Power Park Module, OTSUA (if applicable) and DC Converter can meet the requirements of CC.6.3.2. These may be witnessed by The Company on site if there is no metering to The Company Control Centre.

- (b) voltage control system tests to demonstrate that the Generating Unit, CCGT Module, Power Park Module, OTSUA (if applicable) and DC Converter can meet the requirements of CC.6.3.6, CC.6.3.8 and, in the case of a Power Park Module, OTSUA (if applicable) and DC Converter, the requirements of CC.A.7 and, in the case of a Generating Unit and/or CCGT Module, the requirements of CC.A.6, and any terms specified in the Bilateral Agreement as applicable. These tests may also be used to validate the Excitation System model (PC.A.5.3) or voltage control system model (PC.A.5.4) as applicable. These tests may be witnessed by The Company.
- (c) governor or frequency control system tests to demonstrate that the Generating Unit, CCGT Module, OTSUA (if applicable) and Power Park Module can meet the requirements of CC.6.3.6, CC.6.3.7, where applicable CC.A.3, and BC.3.7. The results will also validate the Mandatory Service Agreement required by CC.8.1. These tests may also be used to validate the Governor model (PC.A.5.3) or frequency control system model (PC.A.5.4) as applicable. These tests may be witnessed by The Company.
- (d) fault ride through tests in respect of a Power Station with a Registered Capacity of 100MW or greater, comprised of one or more Power Park Modules, to demonstrate compliance with CC.6.3.15 (a), (b) and (c), CC.A.4.1, CC.A.4.2 and CC.A.4.3. Where test results from a Manufacturers Data & Performance Report as defined in CP.11 have been accepted this test will not be required.
- (e) any further tests reasonably required by The Company, and agreed with the GB Code User to demonstrate any aspects of compliance with the Grid Code and the CUSC Contract.
- The Company's preferred range of tests to demonstrate compliance with the CC are specified in Appendix OC5.A.2 (in the case of Generating Units other than Power Park Modules) or Appendix OC5.A.3 (in the case of Generating Units comprising Power Park Modules or OTSUA if applicable) or Appendix OC5.A.4 (in the case of DC Converters) and are to be carried out by the GB Code User with the results of each test provided to The Company. The GB Code User may carry out an alternative range of tests if this is agreed with The Company. The Company may agree a reduced set of tests where there is a relevant Manufacturers Data & Performance Report as detailed in CP.11.
- CP.7.2.4 In the case of **Offshore Power Park Modules** which do not contribute to **Offshore Transmission Licensee Reactive Power** capability as described in CC.6.3.2(e)(i) or CC.6.3.2(e)(ii) or Voltage Control as described in CC.6.3.8(b)(i), the tests outlined in CP.7.2.2 (a) and CP.7.2.2 (b) are not required. However, the offshore **Reactive Power** transfer tests outlined in OC5.A.2.8 shall be completed in their place.
- CP.7.2.5 Following completion of each of the tests specified in this CP.7.2, **The Company** will notify the **Generator** or **DC Converter Station** owner whether, in the opinion of **The Company**, the results demonstrate compliance with the relevant Grid Code conditions.
- CP.7.2.6 The **Generator** or **DC Converter Station** owner is responsible for carrying out the tests and retains the responsibility for safety and personnel during the test.
- CP.7.3 Items for submission prior to issue of the **Final Operational Notification**
- CP.7.3.1 Prior to the issue of a **Final Operational Notification**, the **Generator** or **DC Converter Station** owner must submit to **The Company** to **The Company's** satisfaction:
  - (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with validated actual values and updated estimates for the future including **Forecast Data** items such as **Demand**;
  - (b) any items required by CP.5.2 and CP.6.3, updated by the **GB Code User** as necessary;
  - (c) evidence to The Company's satisfaction that demonstrates that the controller models and/or parameters (as required under PC.A.5.3.2(c) option 2, PC.A.5.3.2(d) option 2, PC.A.5.4.2, and/or PC.A.5.4.3.2) supplied to The Company provide a reasonable representation of the behaviour of the GB Code User's Plant and Apparatus and OTSUA if applicable;

- (d) results from the tests required in accordance with CP.7.2 carried out by the Generator to demonstrate compliance with relevant Grid Code requirements including the tests witnessed by The Company; and
- (e) the final Compliance Statement and a User Self Certification of Compliance signed by the GB Code User and a statement of any requirements that the Generator or DC Converter Station owner has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.
- CP.7.3.2 The items in CP.7.3 should be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **DC Converter Station** owner using the **User Data File Structure**.
- CP.7.4 If the requirements of CP.7.2 and CP.7.3 have been successfully met, The Company will notify the Generator or DC Converter Station owner that compliance with the relevant Grid Code provisions has been demonstrated for the Generating Unit(s), CCGT Module(s), Power Park Module(s), OTSUA, if applicable or DC Converter(s) as applicable through the issue of a Final Operational Notification. In respect of an Embedded Power Station or Embedded DC Converter Station other than Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement, The Company will notify the Network Operator that a Final Operational Notification has been issued, subject to the requirement to confirm continued compliance as per CP.8.2 as part of the Compliance Repeat Plan.
- CP.7.5 If a **Final Operational Notification** cannot be issued because the requirements of CP.7.2 and CP.7.3 have not been successfully met prior to the expiry of an **Interim Operational Notification**, then the **Generator** or **DC Converter Station** owner (where licensed in respect of its activities) and/or **The Company**, shall apply to the **Authority** for a derogation. The provisions of CP.10 shall then apply.

# CP.8 COMPLIANCE REPEAT PLAN

- CP.8.1 No later than 4 calendar years and 6 months after the issue of a **Final Operational Notification**, **The Company** will notify the **Generator** or **DC Converter Station** owner that confirmation of continued compliance with the requirements of the Grid Code and/or the **Bilateral Agreement** is required.
- CP.8.2 No later than 5 calendar years after the issue of a **Final Operational Notification** the **Generator** or **DC Converter Station** owner shall confirm that the **Plant** and/or **Apparatus** (including **OTSUA** if applicable) is fully compliant with the requirements of the Grid Code and/or the **Bilateral Agreement**. The confirmation of compliance will include:
  - (a) a Compliance Statement and a User Self Certification of Compliance signed by the GB Code User and a statement of any requirements that the Generator or DC Converter Station owner has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.
  - (b) complete set of relevant Planning Code data (both Standard Planning Data and Detailed Planning Data), with validated actual values and updated estimates for the future including Forecast Data items such as Demand. Simulation studies and results from tests detailed in Appendix CP.A.3 and OC5 are not required as part of the Compliance Repeat Plan.

For the avoidance of doubt the **Generator** or **DC Converter Station** owner is responsible for ensuring that **Plant** and/or **Apparatus** (including **OTSUA** if applicable) remains compliant with the relevant clauses of the Grid Code and/or the **Bilateral Agreement** and/or changes to connection site conditions notified by **The Company**.

- CP.8.3 If the requirements of CP.8.2 have been completed to **The Company's** satisfaction, **The Company** will notify the **Generator** or **DC Converter Station** owner that compliance with the relevant Grid Code provisions has been demonstrated for the **Generating Unit(s)**, **CCGT Module(s)**, **Power Park Module(s)**, **OTSUA**, if applicable or **DC Converter(s)** as applicable through the issue of a **Final Operational Notification** subject to Compliance Repeat Plan (CP.8) no later than 5 years from the date of issue. In respect of an **Embedded Power Station** or **Embedded DC Converter Station** other than **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**, **The Company** will notify the **Network Operator** that a **Final Operational Notification** has been issued.
- CP.8.4 If a **Final Operational Notification** cannot be issued because the requirements of CP.8.2 have not been successfully met prior to the date 5 years from the date of issue of the **Final Operational Notification**, then **The Company** will issue the **Generator** or **DC Converter Station** owner (where licensed in respect of its activities) a **Limited Operational Notification** with respect to the **Unresolved Issues**. The provisions of CP.9 shall then apply.

# CP.9 LIMITED OPERATIONAL NOTIFICATION

CP.9.1 Following the issue of a **Final Operational Notification** if:

- (i) the Generator or DC Converter Station owner becomes aware, that the capability of its Plant and/or Apparatus' (including OTSUA if applicable) to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available, then the Generator or DC Converter Station owner shall follow the process in CP.9.2 to CP.9.11; or,
- (ii) a Network Operator becomes aware, that the capability of Plant and/or Apparatus' belonging to an Embedded Power Station or Embedded DC Converter Station (other than Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded DC Converter Stations not subject to a Bilateral Agreement) is failing to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement, then the Network Operator shall inform The Company and The Company shall inform the Generator or DC Converter Station owner to then follow the process in CP.9.2 to CP.9.11; or,
- (iii) The Company becomes aware through monitoring as described in OC5.4, that a Generator or DC Converter Station owner Plant and/or Apparatus' (including OTSUA if applicable) capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available, then The Company shall inform the other party. Where The Company and the Generator or DC Converter Station owner cannot agree from the monitoring as described in OC5.4 whether the Plant and/or Apparatus (including OTSUA if applicable) is fully available and/or is compliant with the requirements of the Grid Code and where applicable the Bilateral Agreement, the parties shall first apply the process in OC5.5.1, before applying the process defined in CP.9 (LON) if applicable. Where the testing instructed in accordance with OC.5.5.1 indicates that the Plant and/or Apparatus (including OTSUA if applicable) is not fully available and/or is not compliant with the requirements of the Grid Code and/or the Bilateral Agreement, or if the parties so agree, the process in CP.9.2 to CP.9.11 shall be followed.
- CP.9.2 Immediately upon a **Generator** or **DC Converter Station** owner becoming aware that its **Generating Unit**, **CCGT Module**, **Power Park Module**, **OTSUA** (if applicable) or **DC Converter Station** as applicable may be unable to comply with certain provisions of the Grid Code or (where applicable) the **Bilateral Agreement**, the **Generator** or **DC Converter Station** owner shall notify **The Company** in writing. Additional details of any operating restrictions or changes in applicable data arising from the potential non-compliance and an indication of the date from when the restrictions will be removed and full compliance demonstrated shall be provided as soon as reasonably practical.

- CP.9.3 If the nature of any unavailability and/or potential non-compliance described in CP.9.1 causes or can reasonably be expected to cause a material adverse effect on the business or condition of **The Company** or other **Users** or the **National Electricity Transmission System** or any **User Systems**, then **The Company** may, notwithstanding the provisions of this CP.9, follow the provisions of Paragraph 5.4 of the **CUSC**.
- CP.9.4 Except where the provisions of CP.9.3 apply, where the restriction notified in CP.9.2 is not resolved in 28 days, then the **Generator** or **DC Converter Station** owner with input from and discussion of conclusions with **The Company**, and the **Network Operator** where the **Generating Unit**, **CCGT Module**, **Power Park Module** or **Power Station** as applicable is **Embedded**, shall undertake an investigation to attempt to determine the causes of and solution to the non-compliance. Such investigation shall continue for no longer than 56 days. During such investigation, the **Generator** or **DC Converter Station** owner shall provide to **The Company**, the relevant data which has changed due to the restriction in respect of CP.7.3.1 as notified to the **Generator** or **DC Converter Station** owner by **The Company** as being required to be provided.

# CP.9.5 <u>Issue and Effect of LON</u>

- CP.9.5.1 Following the issue of a **Final Operational Notification**, **The Company** will issue to the **Generator** or **DC Converter Station** owner, a **Limited Operational Notification** if:
  - (a) by the end of the 56 day period referred to at CP.9.4, the investigation has not resolved the non-compliance to **The Company's** satisfaction; or
  - (b) The Company is notified by a Generator or DC Converter Station owner of a Modification to its Plant and Apparatus (including OTSUA if applicable); or
  - (c) The Company receives a submission of data, or a statement from a Generator or DC Converter Station owner indicating a change in Plant or Apparatus\_(including OTSUA if applicable) or settings (including but not limited to governor and excitation control systems) that may in The Company's reasonable opinion, acting in accordance with Good Industry Practice be expected to result in a material change of performance.

In the case of an Embedded Generator or Embedded DC Converter Station owner, The Company will issue a copy of the Limited Operational Notification to the Network Operator.

- CP.9.5.2 The **Limited Operational Notification** will be time limited to expire no later than 12 months from the start of the non-compliance or restriction or from reconnection following a change. **The Company** may agree a longer duration in the case of a **Limited Operational Notification** following a **Modification** or whilst the **Authority** is considering the application for a derogation in accordance with CP.10.1.
- CP.9.5.3 The Limited Operational Notification will notify the Generator or DC Converter Station owner of any restrictions on the operation of the Generating Unit(s), CCGT Module(s), Power Park Module(s), OTSUA (if applicable) or DC Converter(s) and will specify the Unresolved Issues. The Generator or DC Converter Station owner must operate in accordance with any notified restrictions and must resolve the Unresolved Issues.
- CP.9.5.4 When a **GB Code User** and **The Company** are acting/operating in accordance with the provisions of a **Limited Operational Notification**, whilst it is in force, the relevant provisions of the Grid Code to which that **Limited Operational Notification** relates will not apply to the **GB Code User** or **The Company** to the extent and for the period set out in the **Limited Operational Notification**.
- CP.9.5.5 The Unresolved Issues included in a Limited Operational Notification will show the extent that the provisions of CP.7.2 (testing) and CP.7.3 (final data submission) shall apply. In respect of selecting the extent of any tests which may in **The Company's** view reasonably be needed to demonstrate the restored capability and in agreeing the time period in which the tests will be scheduled, **The Company** shall, where reasonably practicable, take account of the **Generator** or **DC Converter Station** owner's input to contain its costs associated with the testing.

- CP.9.5.6 In the case of a change or **Modification** the **Limited Operational Notification** may specify that the affected **Plant** and/or **Apparatus** (including **OTSUA** if applicable) or associated **Generating Unit(s)** or **Power Park Unit(s)** must not be **Synchronised** until all of the following items, that in **The Company's** reasonable opinion are relevant, have been submitted to **The Company** to **The Company's** satisfaction:
  - (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**);
  - (b) details of any relevant special **Power Station**, **Generating Unit(s)**, **Power Park Module(s)**, **OTSUA** (if applicable) or **DC Converter Station(s)** protection as applicable. This may include **Pole Slipping** protection and islanding protection schemes; and
  - (c) simulation study provisions of Appendix CP.A.3 and the results demonstrating compliance with Grid Code requirements relevant to the change or **Modification** as agreed by **The Company**; and
  - (d) a detailed schedule of the tests and the procedures for the tests required to be carried out by the **Generator** or **DC Converter Station** to demonstrate compliance with relevant Grid Code requirements as agreed by **The Company**. The schedule of tests shall be consistent with Appendix OC5.A.2 or Appendix OC5.A.3 as appropriate; and
  - (e) an interim Compliance Statement and a User Self Certification of Compliance completed by the GB Code User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator or DC Converter Station owner has identified that will not or may not be met or demonstrated; and
  - (f) any other items specified in the LON.
- CP.9.5.7 The items referred to in CP.9.5.6 shall be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **DC Converter Station** owner using the **User Data File Structure**.
- CP.9.5.8 In the case of **Synchronous Generating Unit(s)** only, the **Unresolved Issues** of the **LON** may require that the **Generator** must complete the following tests to **The Company's** satisfaction to demonstrate compliance with the relevant provisions of the **CC**s prior to the **Generating Unit** being **Synchronised** to the **Total System**:
  - (a) those tests required to establish the open and short circuit saturation characteristics of the **Generating Unit** (as detailed in Appendix OC5.A.2.3) to enable assessment of the short circuit ratio in accordance with CC.6.3.2. Such tests may be carried out at a location other than the **Power Station** site; and
  - (b) open circuit step response tests (as detailed in Appendix OC5.A.2.2) to demonstrate compliance with CC.A.6.2.4.1.
- CP.9.6 In the case of a change or **Modification**, not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** or **DC Converter Station** owner wishing to **Synchronise** its **Plant** and **Apparatus** (including **OTSUA** if applicable) for the first time following the change or **Modification**, the **Generator** or **DC Converter Station** owner will:
  - (i) submit a Notification of User's Intention to Synchronise; and
  - (ii) submit to **The Company** the items referred to at CP.9.5.6.
- CP.9.7 Other than **Unresolved Issues** that are subject to tests to be witnessed by **The Company**, the **Generator** or **DC Converter Station** owner must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** or **DC Converter Station** owner must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in CP.7.2.2.

- CP.9.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** or **DC Converter Station** owner wishing to commence tests listed as **Unresolved Issues** to be witnessed by **The Company**, the **Generator** or **DC Converter Station** owner will notify **The Company** that the **Generating Unit(s)**, **CCGT Module(s)**, **Power Park Module(s)**, **OTSUA** (if applicable) or **DC Converter(s)** as applicable is ready to commence such tests.
- CP.9.9 The items referred to at CP.7.3 and listed as **Unresolved Issues** shall be submitted by the **Generator** or the **DC Converter Station** owner after successful completion of the tests.
- CP.9.10 Where the **Unresolved Issues** have been resolved, a **Final Operational Notification** will be issued to the **GB Code User**.
- CP.9.11 If a **Final Operational Notification** has not been issued by **The Company** within the 12 month period referred to at CP.9.5.2 (or where agreed following a **Modification** by the expiry time of the **LON**) then the **Generator** or **DC Converter Station** owner (where licensed in respect of its activities) and **The Company** shall apply to the **Authority** for a derogation.

### CP.10 PROCESSES RELATING TO DEROGATIONS

CP.10.1 Whilst the **Authority** is considering the application for a derogation, the **Interim Operational Notification** or **Limited Operational Notification** will be extended to remain in force until the **Authority** has notified **The Company** and the **Generator** or **DC Converter Station** owner of

its decision. Where the **Generator** or **DC Converter Station** owner is not licensed, **The Company** may propose any necessary changes to the **Bilateral Agreement** with such

unlicensed **Generator** or **DC Converter Station** owner.

## CP.10.2 If the **Authority**:

- grants a derogation in respect of the Plant and/or Apparatus, then The Company shall issue a Final Operational Notification once all other Unresolved Issues are resolved; or
- (b) decides a derogation is not required in respect of the Plant and/or Apparatus, then The Company will reconsider the relevant Unresolved Issues and may issue a Final Operational Notification once all other Unresolved Issues are resolved; or
- (c) decides not to grant any derogation in respect of the **Plant** and/or **Apparatus**, then there will be no **Operational Notification** in place and **The Company** and the **GB Code User** shall consider its rights pursuant to the **CUSC**.
- CP.10.3 Where an Interim Operational Notification or Limited Operational Notification is so conditional upon a derogation and such derogation includes any conditions (including any time limit to such derogation), the Generator or DC Converter Station owner will progress the resolution of any Unresolved Issues and / or progress and / or comply with any conditions upon such derogation and the provisions of CP.6.9 to CP.7.4 shall apply and shall be followed.

# CP.11 MANUFACTURER'S DATA & PERFORMANCE REPORT

CP.11.1.1 Data and performance characteristics in respect of certain Grid Code requirements may be registered with **The Company** by **Power Park Unit** manufacturers in respect of specific models of **Power Park Units** by submitting information in the form of a **Manufacturer's Data** and **Performance Report** to **The Company**.

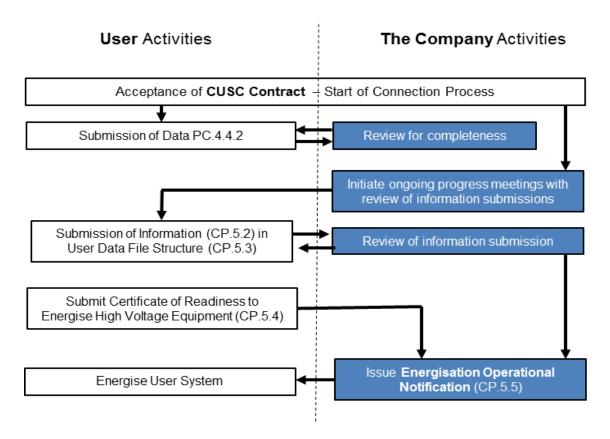
- CP.11.1.2 A GB Generator planning to construct a Power Station containing the appropriate version of Power Park Units in respect of which a Manufacturer's Data & Performance Report has been submitted to The Company may reference the Manufacturer's Data & Performance Report in its submissions to The Company. Any Generator considering referring to a Manufacturer's Data & Performance Report for any aspect of its Plant and Apparatus may contact The Company to discuss the suitability of the relevant Manufacturer's Data & Performance Report to its project to determine if, and to what extent, the data included in the Manufacturer's Data & Performance Report contributes towards demonstrating compliance with those aspects of the Grid Code applicable to the Generator. The Company will inform the Generator if the reference to the Manufacturer's Data & Performance Report is not appropriate or not sufficient for its project.
- CP.11.1.3 The process to be followed by **Power Park Unit** manufacturers submitting a **Manufacturer's Data & Performance Report** is agreed by **The Company**. CP.11.2 indicates the specific Grid

  Code requirement areas in respect of which a **Manufacturer's Data & Performance Report**may be submitted.
- CP.11.1.4 The Company will maintain and publish a register of those Manufacturer's Data & Performance Reports which The Company has received and accepted as being an accurate representation of the performance of the relevant Plant and / or Apparatus. Such register will identify the manufacturer, the model(s) of Power Park Unit(s) to which the report applies and the provisions of the Grid Code in respect of which the report contributes towards the demonstration of compliance. The inclusion of any report in the register does not in any way confirm that any Power Park Modules which utilise any Power Park Unit(s) covered by a report is or will be compliant with the Grid Code.
- CP.11.2 A **Manufacturer's Data & Performance Report** in respect of **Power Park Units** may cover one (or part of one) or more of the following provisions of the Grid Code:
  - (a) Fault Ride Through capability CC.6.3.15
  - (b) Power Park Module mathematical model PC.A.5.4.2
- CP.11.3 Reference to a **Manufacturer's Data & Performance Report** in a **GB Code User's** submissions does not by itself constitute compliance with the Grid Code.
- CP.11.4 A Generator referencing a Manufacturer's Data & Performance Report should insert the relevant Manufacturer's Data & Performance Report reference in the appropriate place in the DRC data submission and / or in the User Data File Structure. The Company will consider the suitability of a Manufacturer's Data & Performance Report:
  - (a) in place of DRC data submissions, a mathematical model suitable for representation of the entire Power Park Module as per CP.A.3.4.4. For the avoidance of doubt only the relevant sections as specified in PC.A.2.5.5.7 apply. Site specific parameters will still need to be submitted by the Generator.
  - (b) in place of fault simulation studies as follows;
    - **The Company** will not require Fault Ride Through simulation studies to be conducted as per CP.A.3.5.1 and qualified in CP.A.3.5.2 provided that;
    - (i) Adequate and relevant Power Park Unit data is included in respect of Fault Ride Through testing covered in CP.A.14.7.1 in the relevant Manufacturer's Data & Performance Report, and
    - (ii) For each type and duration of fault as detailed in CP.A.3.5.1, the expected minimum retained voltage is greater than the corresponding minimum voltage achieved and successfully ridden through in the fault ride through tests covered by the **Manufacturer's Data & Performance Report**.
  - (c) to reduce the scope of compliance site tests as follows;
    - (i) Where there is a Manufacturer's Data & Performance Report in respect of a Power Park Unit which covers Fault Ride Through, The Company may agree that no Fault Ride Through testing is required.

- CP.11.5 It is the responsibility of the GB Code User to ensure that the correct reference for the Manufacturer's Data & Performance Report is used and the GB Code User by using that reference accepts responsibility for the accuracy of the information. The GB Code User shall ensure that the manufacturer has kept The Company informed of any relevant variations in plant specification since the submission of the relevant Manufacturer's Data & Performance Report which could impact on the validity of the information.
- CP.11.6 The Company may contact the Power Park Unit manufacturer directly to verify the relevance of the use of such Manufacturer's Data & Performance Report. If The Company believe the use some or all of such Manufacturer's Data & Performance Report information is incorrect or the referenced data is inappropriate then the reference to the Manufacturer's Data & Performance Report may be declared invalid by The Company. Where, and to the extent possible, the data included in the Manufacturer's Data & Performance Report is appropriate, the compliance assessment process will be continued using the data included in the Manufacturer's Data & Performance Report.

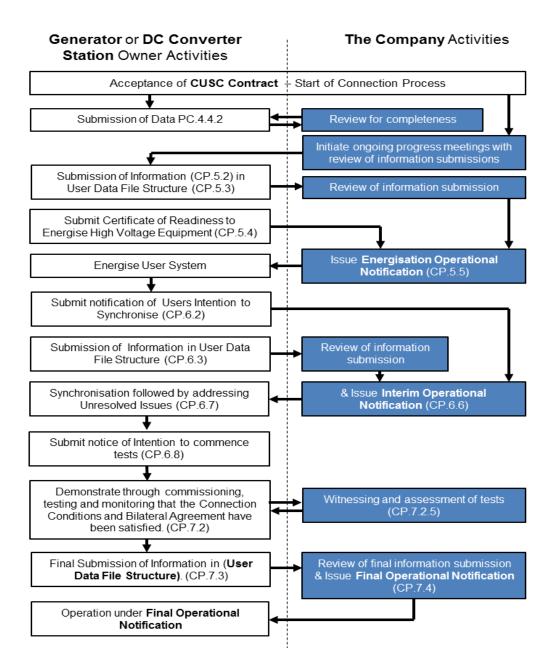
# **APPENDIX 1 - ILLUSTRATIVE PROCESS DIAGRAMS**

CP.A.1.1 Illustrative Compliance Process for Energisation of a User



The process illustrated in CP.A.1.1 applies to all **GB Code Users** energising passive network **Plant** and **Apparatus** including **Distribution Network Operators**, **Non-Embedded Customers**, **Generators** and **DC Converter Station** owners. This process is a subset of the full process for **Generators** and **DC Converter Station** owners shown in CP.A.1.2. This diagram illustrates the process in the **CP** and includes references in brackets to specific Grid Code clauses.

CP.A.1.2 Illustrative Compliance Process for Power Stations/DC Converter Stations



This diagram illustrates the process in the **CP** and includes references in brackets to specific Grid Code clauses. For the avoidance of doubt this process does not apply to **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**.

#### Generator or OTSUA Owner Activities The Company Activities OTSUA **User Plant & Apparatus** Acceptance of CUSC Contract - Start of Connection Process Submission of Data PC.4.4.2 Submission of Data PC.4.4.2 Review for completeness (DRC Schedules 1,2,3, 4, 5) (DRC Schedule 18,19) Initiate ongoing progress meetings with review of information submissions Submission of Information Submission of Information (CP.5.2) in User Data File (CP.5.2) in User Data File Structure (CP.5.3) Structure (CP.5.3) Submit Certificate of Submit Certificate of Readiness to Energise High Readiness to Energise High Voltage Equipment (CP.5.4) Voltage Equipment (CP.5.4) Issue Energisation Operational Notification (CP.5.5) Energise User OTSDUW Energise User System Submit notification of User Submit notification of User Intention to Synchronise Intention to Synchronise (CP.6.2) (CP.6.2) Review of information Submission of Information in Submission of Information in User Data File Structure User Data File Structure submission (CP.6.3) (CP.6.3) & Issue Interim Operational Notification Part A & Part B (CP.6.6) Synchronisation followed by Synchronisation followed by addressing Unresolved addressing Unresolved Issues for ION pt A (CP.6.7) Issues for ION pt B (CP.6.7) Submit notice of intention to Submit notice of intention to commence tests (CP.6.8) commence tests (CP.6.8) Demonstrate through Demonstrate through Witnessing and assessment of tests commissioning, testing and commissioning, testing and monitoring that the monitoring that the Connection Conditions and Connection Conditions and Bilateral Agreement have Bilateral Agreement have been satisfied (CP.7.2) been satisfied (CP.7.2) Review of final information submission & Final Submission of Final Submission of Information in User Data File Information in User Data File Issue Final Operational Notification Structure. (CP.7.3) Structure. (CP.7.3) (CP.7.4)

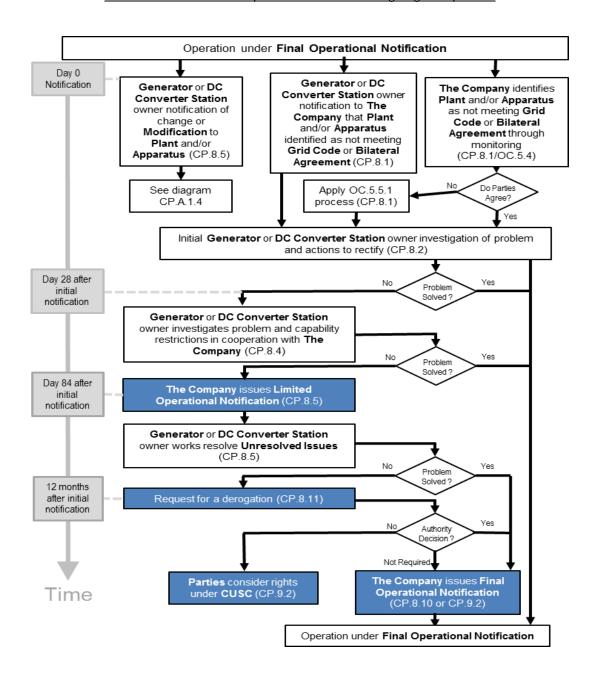
This diagram illustrates the process in the **CP** and includes references in brackets to specific Grid Code clauses.

Operation under Final Operational Notification

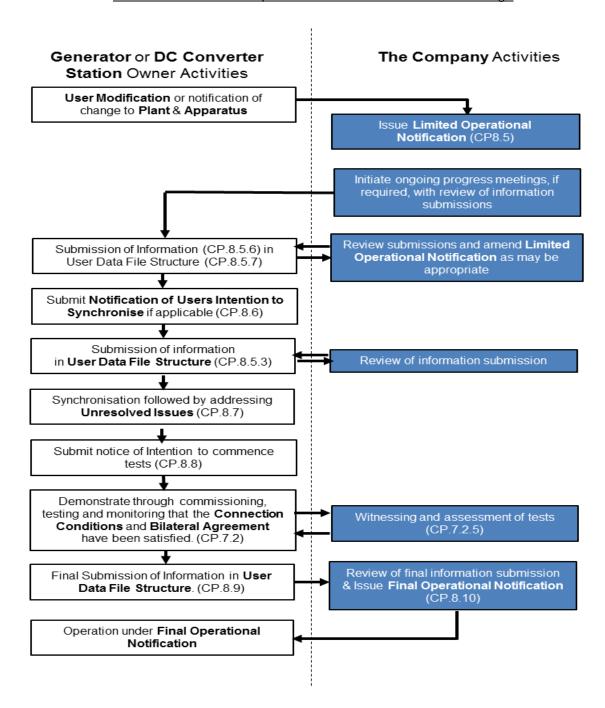
Operation under Final

Operational Notification

CP.A.1.4 Illustrative Compliance Process for Ongoing Compliance



This diagram illustrates the process in the **CP** and includes references in brackets to specific Grid Code clauses. For the avoidance of doubt this process does not apply to **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**.



This diagram illustrates the process in the **CP** and includes references in brackets to specific Grid Code clauses. For the avoidance of doubt this process does not apply to **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations** not subject to a **Bilateral Agreement**.

# **APPENDIX 2 - USER SELF CERTIFICATION OF COMPLIANCE**

#### **USER SELF CERTIFICATION OF COMPLIANCE (Interim/Final)**

| Power Station/ DC Converter Station: | [Name of Connection Site/site of connection] |  |
|--------------------------------------|--|--|
| OTSUA                                | [Name of Interface Site]                     |  |
| GB Code User:                        | [Full User name]                             |  |
| Registered Capacity (MW) of Plant:   |  |  |

This User Self Certification of Compliance records the compliance by the GB Code User in respect of [NAME] Power Station/DC Converter Station [and, in the case of OTSDUW Arrangements, OTSUA] with the Grid Code and the requirements of the Bilateral Agreement and Construction Agreement dated [ ] with reference number [ ]. It is completed by the Power Station/DC Converter Station owner in the case of Plant and/or Apparatus (including OTSUA) connected to the National Electricity Transmission System and for Embedded Plant.

We have recorded our compliance against each requirement of the Grid Code which applies to the **Power Station/DC Converter Station/OTSUA**, together with references to supporting evidence and a commentary where this is appropriate, and have provided this to **The Company**. A copy of the **Compliance Statement** is attached.

Supporting evidence, in the form of simulation results, test results, manufacturer's data and other documentation, is attached in the **User Data File Structure**.

The GB Code User hereby certifies that, to the best of its knowledge and acting in accordance with Good Industry Practice, [the Power Station is compliant with the Grid Code and the Bilateral Agreement] [the OTSUA is compliant with the Grid Code and the Construction Agreement] in all aspects [with the following Unresolved Issues\*] [with the following derogation(s)\*\*]:

| Connection Condition | Requirement | Ref: | Issue |
|----------------------|-------------|------|-------|
|                      |             |      |       |
|                      |             |      |       |
|                      |             |      |       |
|                      |             |      |       |
|                      |             |      |       |

| Compliance    | Name:      | Title:                 |
|---------------|------------|------------------------|
| certified by: | [PERSON]   | [PERSON DESIGNATION]   |
|               | Signature: | Of                     |
|               | [PERSON]   | [GB CODE USER DETAILS] |
|               | Date:      | ,                      |
|               |            |                        |

<sup>\*</sup> Include for Interim User Self Certification of Compliance ahead of Interim Operational Notification.

<sup>\*\*</sup> Include for final User Self Certification of Compliance ahead of Final Operational Notification where derogation(s) have been granted. If no derogation(s) required delete wording and Table.

# **APPENDIX 3 - SIMULATION STUDIES**

- CP.A.3.1.1 This Appendix sets out the simulation studies required to be submitted to **The Company** to demonstrate compliance with the **Connection Conditions** unless otherwise agreed with **The Company**. This Appendix should be read in conjunction with CP.6 with regard to the submission of the reports to **The Company**. Where there is any inconsistency in the technical requirements in respect of which compliance is being demonstrated by simulation in this Appendix and CC.6.3 and the **Bilateral Agreement**, the provisions of the **Bilateral Agreement** and CC.6.3 prevail. The studies specified in this Appendix will normally be sufficient to demonstrate compliance. However **The Company** may agree an alternative set of studies proposed by the **Generator** or **DC Converter Station** owner provided **The Company** deem the alternative set of studies sufficient to demonstrate compliance with the Grid Code and the **Bilateral Agreement**.
- CP.A.3.1.2 The **Generator** or **DC Converter Station** owner shall submit simulation studies in the form of a report to demonstrate compliance. In all cases, the simulation studies must utilise models applicable to the **Generating Unit**, **DC Converter** or **Power Park Module** with proposed or actual parameter settings. Reports should be submitted in English with all diagrams and graphs plotted clearly with legible axes and scaling provided to ensure any variations in plotted values is clear.
- CP.A.3.1.3 In the case of an **Offshore Power Station** where **OTSDUW Arrangements** apply, simulation studies by the **Generator** should include the action of any relevant **OTSUA** where applicable to demonstrate compliance with the Grid Code and the **Bilateral Agreement** at the **Interface Point**.
- CP.A.3.2 <u>Power System Stabiliser Tuning</u>
- CP.A.3.2.1 In the case of a **Synchronous Generating Unit**, the **Power System Stabiliser** tuning simulation study report required by CC.A.6.2.5.6 or required by the **Bilateral Agreement** shall contain:
  - (i) the **Excitation System** model including the **Power System Stabiliser** with settings as required under the **Planning Code** (PC.A.5.3.2(c)).
  - (ii) open circuit time series simulation study of the response of the **Excitation System** to a +10% step change from 90% to 100% terminal voltage.
  - (iii) on load time series dynamic simulation studies of the response of the Excitation System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the higher voltage side of the Generating Unit transformer for 100ms. The simulation studies should be carried out with the Generating Unit operating at full Active Power and maximum leading Reactive Power import with the fault level at the Supergrid HV Connection Point at minimum or as otherwise agreed with The Company. The results should show Generating Unit field voltage, Generating Unit terminal voltage, Power System Stabiliser output, Generating Unit Active Power and Generating Unit Reactive Power output.
  - (iv) gain and phase Bode diagrams for the open loop frequency domain response of the Generating Unit Excitation System with and without the Power System Stabiliser. These should be in a suitable format to allow assessment of the phase contribution of the Power System Stabiliser and the gain and phase margin of the Excitation System with and without the Power System Stabiliser in service.
  - (v) an eigenvalue plot to demonstrate that all modes remain stable when the **Power System Stabiliser** gain is increased by at least a factor of 3 from the designed operating value.
    - (vi) gain Bode diagram for the closed loop on load frequency domain response of the Generating Unit Excitation System with and without the Power System Stabiliser with the Generating Unit operating at full load and at unity Power Factor. These diagrams should be in a suitable format to allow comparison of the Active Power damping across the frequency range specified in CC.A.6.2.6.3 with and without the Power System Stabiliser in service

In the case of a Synchronous Generating Unit that may operate as demand (eg. Pump Storage) the on load simulations (ii) to (vi) should also be carried out in both modes of operation.

- CP.A.3.2.2 In the case of Onshore Non-Synchronous Generating Units, Onshore DC Converters and Onshore Power Park Modules and OTSDUW Plant and Apparatus at the Interface Point the Power System Stabiliser tuning simulation study report required by CC.A.7.2.4.1 or required by the Bilateral Agreement shall contain:
  - (i) the **Voltage Control System** model including the **Power System Stabiliser** with settings as required under the **Planning Code** (PC.A.5.4) and **Bilateral Agreement**.
  - (ii) on load time series dynamic simulation studies of the response of the Voltage Control System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the Grid Entry Point or the Interface Point in the case of OTSDUW Plant and Apparatus for 100ms. The simulation studies should be carried out operating at full Active Power and maximum leading Reactive Power (import condition) with the fault level at the Supergrid HV Connection Point at minimum or as otherwise agreed with The Company. The results should show appropriate signals to demonstrate the expected damping performance of the Power System Stabiliser.
  - (iii) any other simulation as specified in the **Bilateral Agreement** or agreed between the **Generator** or **DC Converter Owner** or **Offshore Transmission Licensee** and **The Company**.
- CP.A.3.3 Reactive Capability across the Voltage Range
- CP.A.3.3.1 The **Generator** or **DC Converter station** owner shall supply simulation studies to demonstrate the capability to meet CC.6.3.4 by submission of a report containing:
  - (i) a load flow simulation study result to demonstrate the maximum lagging Reactive Power capability of the Synchronous Generating Unit, DC Converter, OTSUA or Power Park Module at Rated MW when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in case of OTSUA) voltage is at 105% of nominal.
  - (ii) a load flow simulation study result to demonstrate the maximum leading Reactive Power capability of the Synchronous Generating Unit, DC Converter, OTSUA or Power Park Module at Rated MW when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in case of OTSUA) voltage is at 95% of nominal.
- CP.A.3.3.2 In the case of a **Synchronous Generating Unit** the terminal voltage in the simulation should be the nominal voltage for the machine. Where necessary to demonstrate compliance with CC.6.3.4 and subject to compliance with CC.6.3.8 (a) (v), the **Generator** shall repeat the two simulation studies with the terminal voltage being greater than the nominal voltage and less than or equal to the maximum terminal voltage. The two additional simulations do not need to have the same terminal voltage.
- CP.A.3.3.3 In the case of a **Synchronous Generating Unit**, the **Generator** shall supply two sets of simulation studies to demonstrate the capability to meet the operational requirements of BC2.A.2.6 and CC.6.1.7 at the minimum and maximum short circuit levels when changing tap position. Each set of simulation studies shall be at the same **System** conditions. None of the simulation studies shall include the **Synchronous Generating Unit** operating at the limits of its **Reactive Power** output.

The simulation results shall include the **Reactive Power** output of the **Synchronous Generating Unit** and the voltage at the **Grid Entry Point** or, if **Embedded**, the **User System Entry Point** with the **Generating Unit** transformer at two adjacent tap positions with the greatest interval between them and the terminal voltage of the **Synchronous Generating Unit** equal to

- its nominal value: and
- subject to compliance with CC.6.3.8 (a) (v), its maximum value.

- CP.A.3.3.4 In the case of a **Power Park Module** where the load flow simulation studies show that the individual **Power Park Units** deviate from nominal voltage to meet the **Reactive Power** requirements, then evidence must be provided from factory (e.g. in a **Manufacturer's Data & Performance Report**) or site testing that the **Power Park Unit** is capable of operating continuously at the operating points determined in the load flow simulation studies.
- CP.A.3.4 Voltage Control and Reactive Power Stability
- CP.A.3.4.1 In the case of a **Power Station** containing **Power Park Modules** and/or **OTSUA** the **Generator** shall provide a report to demonstrate the dynamic capability and control stability of the **Power Park Module**. The report shall contain:
  - a dynamic time series simulation study result of a sufficiently large negative step in System voltage to cause a change in Reactive Power from zero to the maximum lagging value at Rated MW.
  - (ii) a dynamic time series simulation study result of a sufficiently large positive step in **System** voltage to cause a change in **Reactive Power** from zero to the maximum leading value at **Rated MW**.
  - (iii) a dynamic time series simulation study result to demonstrate control stability at the lagging **Reactive Power** limit by application of a -2% voltage step while operating within 5% of the lagging **Reactive Power** limit.
  - (iv) a dynamic time series simulation study result to demonstrate control stability at the leading **Reactive Power** limit by application of a +2% voltage step while operating within 5% of the leading **Reactive Power** limit.
  - (v) a dynamic time series simulation study result of a sufficiently negative step in System voltage to cause a change in Reactive Power from the maximum leading value to the maximum lagging value at Rated MW.

The **Generator** should also provide the voltage control study specified in CP.A.3.7.4.

- CP.A.3.4.2 All the above studies should be completed with a nominal network voltage for zero **Reactive Power** transfer at the **Grid Entry Point** or **User System Entry Point** if **Embedded** or, in the case of **OTSUA**, **Interface Point** unless stated otherwise and the fault level at the **HV** connection point at minimum as agreed with **The Company**.
- CP.A.3.4.3 The Company may permit relaxation from the requirements of CP.A.3.4.1(i) and (ii) for voltage control if the Power Park Modules are comprised of Power Park Units in respect of which the GB Code User has in its submissions to The Company, referenced an appropriate Manufacturer's Data & Performance Report which is acceptable to The Company for voltage control.
- CP.A.3.4.4 In addition, **The Company** may permit a further relaxation from the requirements of CP.A.3.4.1(iii) and (iv) if the **GB Code User** has in its submissions to **The Company** referenced an appropriate **Manufacturer's Data & Performance Report** for a **Power Park Module** mathematical model for voltage control acceptable to **The Company**.
- CP.A.3.5 Fault Ride Through
- CP.A.3.5.1 The **Generator**, (including where undertaking **OTSDUW**) or **DC Converter Station** owner shall supply time series simulation study results to demonstrate the capability of **Non-Synchronous Generating Units**, **DC Converters**, **Power Park Modules** and **OTSUA** to meet CC.6.3.15 by submission of a report containing:
  - a time series simulation study of a 140ms solid three phase short circuit fault applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the Non-Synchronous Generating Unit, DC Converter, Power Park Module or OTSUA.
  - (ii) time series simulation study of 140ms unbalanced short circuit faults applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the Non-Synchronous Generating Unit, DC Converter, Power Park Module or OTSUA. The unbalanced faults to be simulated are:

- 1. a phase to phase fault
- 2. a two phase to earth fault
- 3. a single phase to earth fault.

For a Non-Synchronous Generating Unit, DC Converter, Power Park Module or OTSUA, the simulation study should be completed with the Non-Synchronous Generating Unit, DC Converter, Power Park Module or OTSUA operating at full Active Power and maximum leading Reactive Power import and the fault level at the Supergrid HV Connection Point at minimum or as otherwise agreed with The Company.

- (iii) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the Non-Synchronous Generating Unit, DC Converter, Power Park Module or OTSUA. The simulation studies should include:
  - 1. 30% retained voltage lasting 0.384 seconds
  - 2. 50% retained voltage lasting 0.71 seconds
  - 3. 80% retained voltage lasting 2.5 seconds
  - 4. 85% retained voltage lasting 180 seconds.

For a Non-Synchronous Generating Unit, DC Converter, Power Park Module or OTSUA, the simulation study should be completed with the Non-Synchronous Generating Unit, DC Converter, Power Park Module or OTSUA operating at full Active Power and zero Reactive Power output and the fault level at the Supergrid HV Connection Point at minimum or as otherwise agreed with The Company. Where the Non-Synchronous Generating Unit, DC Converter or Power Park Module is Embedded the minimum Network Operator's System impedance to the Supergrid HV Connection Point shall be used which may be calculated from the maximum fault level at the User System Entry Point.

For **DC Converters** the simulations should include the duration of each voltage dip 1 to 4 above for which the **DC Converter** will remain connected.

- CP.A.3.5.2 In the case of **Power Park Modules** comprised of **Power Park Units** in respect of which the **GB Code User's** reference to a **Manufacturer's Data & Performance Report** has been accepted by **The Company** for Fault Ride Through, CP.A.3.5.1 will not apply provided:
  - (i) the Generator or DC Converter Station owner demonstrates by load flow simulation study result that the faults and voltage dips at either side of the Power Park Unit transformer corresponding to the required faults and voltage dips in CP.A.3.5.1 applied at the nearest point of the National Electricity Transmission System operating at Supergrid voltage are less than those included in the Manufacturer's Data & Performance Report,

or;

- (ii) the same or greater percentage faults and voltage dips in CP.A.3.5.1 have been applied at either side of the Power Park Unit transformer in the Manufacturer's Data & Performance Report.
- CP.A.3.5.3 In the case of an Offshore Power Park Module or Offshore DC Converter, the studies may instead be completed at the LV Side of the Offshore Platform. For fault simulation studies described in CCA.8.5.1(i) and CCA.8.5.1(ii) a retained voltage of 15% or lower may be applied at the LV Side of the Offshore Platform on the faulted phases. For voltage dip simulation studies described in CP.A.3.5.1(iii) the same voltage levels and durations as normally applied at the National Electricity Transmission System operating at Supergrid Voltage will be applied at the LV Side of the Offshore Platform.
- CP.A.3.5.4 In the case of a **Power Park Module**, the studies detailed in CP.A.3.5.1 should be repeated to demonstrate compliance during foreseeable running arrangements resulting from outages of major **Plant** and **Apparatus** (for example outage of the main export cable in the case of **OTSDUW** or module step up transformer where alternative export connections are possible). For these conditions, the **Power Park Module Active Power** output may be reduced to

levels appropriate to the planned operating regime proposed by the **Generator**. The **Generator** shall consult **The Company** on alternative running arrangements and agree with **The Company** the running arrangements that will be studied prior to the **Generator** undertaking the studies. For the avoidance of doubt, compliance of a **Power Park Module** with **Fault Ride Through** requirements remains the responsibility of the **Generator** under all operating conditions.

- CP.A.3.5.5 In the case of a **Power Park Module** with a **Registered Capacity** greater or equal to 100MW, the studies detailed in CP.A.3.5.1 should be repeated with 50% of the **Power Park Units Synchronised** to the **Total System**. In the case of a **Power Station** containing multiple **Power Park Modules** or multiple **Offshore Power Park Modules** connected to an **Offshore Transmission System** or **OTSDUW** the study should include all **Power Park Modules** with 50% of the **Power Park Units Synchronised** to the **Total System**.
- CP.A.3.5.6 In the case of **DC Networks** the studies detailed in CP.A.3.5.1 should be repeated to demonstrate compliance during foreseeable running arrangements resulting from outages of major **Plant** and **Apparatus** (for example outage of an HVDC cable or converter). For these conditions, the **DC Converter Active Power** transfer may be reduced to levels appropriate to the planned operating regime. The **Generator** or **DC Converter Station** Owner shall consult **The Company** on alternative running arrangements and agree with **The Company** the running arrangements that will be studied prior to the **DC Converter Station** Owner undertaking the studies. For the avoidance of doubt, compliance of **DC Converter Station** with **Fault Ride Through** requirements remains the responsibility of the **DC Converter Station** Owner under all operating conditions.

# CP.A.3.6 <u>Load Rejection</u>

- CP.A.3.6.1 In respect of Generating Units or DC Converters or Power Park Modules with a Completion Date on or after 1 January 2012, the Generator or DC Converter Station owner shall demonstrate the speed control performance of the plant under a part load rejection condition as required by CC.6.3.7(c)(i), through simulation study. In respect of Generating Units or DC Converters or Power Park Modules, including those with a Completion Date before 1 January 2013, the load rejection capability while still supplying load must be stated in accordance with PC.A.5.3.2(f).
- CP.A.3.6.2 For **Power Park Modules** comprised of **Power Park Units** having a corresponding generically verified and validated model included in the **Manufacturer's Data & Performance Report**, this study may not be required by The Company if the correct **Manufacturer's Data & Performance Report** reference has been submitted in the appropriate location in the **Data Registration Code**.
- CP.A.3.6.3 The simulation study should comprise of a **Generating Unit**, **DC Converter** or **Power Park Module** connected to the total **System** with a local load shown as "X" in figure CP.A.3.6.1. The load "X" is in addition to any auxiliary load of the **Power Station** connected directly to the **Generating Unit**, **DC Converter** or **Power Park Module** and represents a small portion of the **System** to which the **Generating Unit**, **DC Converter** or **Power Park Module** is attached. The value of "X" should be the minimum for which the **Generating Unit**, **DC Converter** or **Power Park Module** can control the power island **Frequency** to less than 52Hz. Where transient excursions above 52Hz occur the **Generator** or **DC Converter Owner** should ensure that the duration above 52Hz is less than any high frequency protection system applied to the **Generating Unit**, **DC Converter** or **Power Park Module**.
- At the start of the simulation study the **Generating Unit**, **DC Converter** or **Power Park Module** will be operating maximum **Active Power** output. The **Generating Unit**, **DC Converter** or **Power Park Module** will then be islanded from the **Total System** but still supplying load "X" by the opening of a breaker, which is not the **Generating Unit**, **DC Converter** or **Power Park Module** connection circuit breaker (the governor should therefore, not receive any signals that the breaker has opened other than the reduction in load and subsequent increase in speed). A schematic arrangement of the simulation study is illustrated by Figure CP.A.3.6.1.

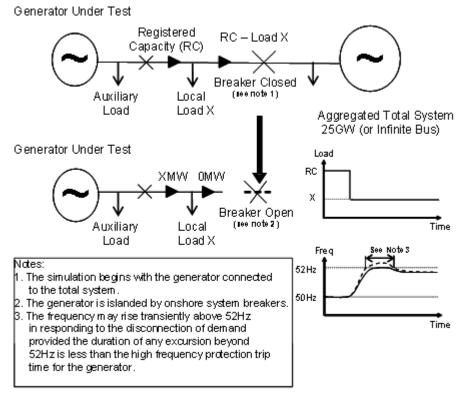


Figure CP.A.3.6.1 - Diagram of Load Rejection Study

- CP.A.3.6.5 The simulation study shall be performed for both control modes, **Frequency Sensitive Mode** (FSM) and **Limited Frequency Sensitive Mode** (LFSM). The simulation study results should indicate **Active Power** and **Frequency** in the island system that includes the **Generating Unit**, **DC Converter** or **Power Park Module**.
- CP.A.3.6.6 To allow validation of the model used to simulate load rejection in accordance with CC.6.3.7(c)(i) as described, a further simulation study is required to represent the largest positive **Frequency** injection step or fast ramp (BC1 and BC3 of Figure 2) that will be applied as a test as described in OC5.A.2.8 and OC5.A.3.6.
- CP.A.3.7 <u>Voltage and Frequency Controller Model Verification and Validation</u>
- CP.A.3.7.1 For Generating Units, DC Converters or Power Park Modules with a Completion Date after 1 January 2012 or subject to a Modification to a Excitation System, voltage control system, governor control system or Frequency control system after 1 January 2012 the Generator or DC Converter Station owner shall provide simulation studies to verify that the proposed controller models supplied to The Company under the Planning Code are fit for purpose. These simulation study results shall be provided in the timescales stated in the Planning Code. For Power Park Modules comprised of Power Park Units having a corresponding generically verified and validated model in a Manufacturer's Data & Performance Report, The Company may permit the simulation studies detailed in CP.A.3.7.2, CP.A.3.7.4 and CP.A.3.7.5 to be replaced by submission of the correct Manufacturer's Data & Performance Report reference in the appropriate location in the Data Registration Code.
- CP.A.3.7.2 To demonstrate the **Frequency** control or governor/load controller/plant model, the **Generator** or **DC Converter Station** owner shall submit a simulation study representing the response of the **Synchronous Generating Unit**, **DC Converter** or **Power Park Module** operating at 80% of **Registered Capacity**. The simulation study event shall be equivalent to:
  - (i) a ramped reduction in the measured **System Frequency** of 0.5Hz in 10 seconds followed by
  - (ii) 20 seconds of steady state with the measured **System Frequency** depressed by 0.5Hz followed by

- (iii) a ramped increase in measured **System Frequency** of 0.3Hz over 30 seconds followed by
- (iv) 60 seconds of steady state with the measured **System Frequency** depressed by 0.2Hz as illustrated in Figure CP.A.3.7.2 below.

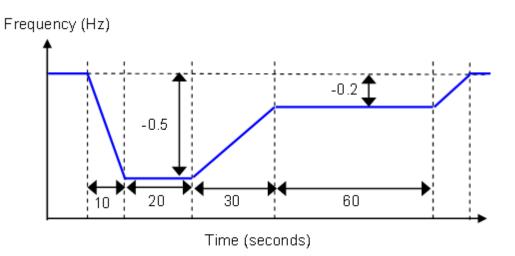


Figure CP.A.3.7.2

The simulation study shall show **Active Power** output (MW) and the equivalent of **Frequency** injected.

- CP.A.3.7.3 To demonstrate the **Excitation System** model the **Generator** shall submit simulation studies representing the response of the **Synchronous Generating Unit** as follows:
  - (i) operating open circuit at rated terminal voltage and subjected to a 2% step increase in terminal voltage reference.
  - (ii) operating at **Rated MW**, nominal terminal voltage and unity **Power Factor** subjected to a 2% step increase in the voltage reference. Where a **Power System Stabiliser** is included within the **Excitation System** this shall be in service.

The simulation study shall show the terminal voltage, field voltage of the **Generating Unit**, **Active Power**, **Reactive Power** and **Power System Stabiliser** output signal as appropriate.

- CP.A.3.7.4 To demonstrate the Voltage Controller model, the **Generator** or **DC Converter Station** owner shall submit a simulation study representing the response of the **Non-Synchronous Generating Unit**, **DC Converter** or **Power Park Module** operating at **Rated MW** and unity **Power Factor** at the connection point to a 2% step increase in the voltage reference. The simulation study shall show the terminal voltage, **Active Power**, **Reactive Power** and **Power System Stabiliser** output signal as appropriate.
- CP.A.3.7.5 To validate that the excitation and voltage control models submitted under the **Planning Code** are a reasonable representation of the dynamic behaviour of the **Synchronous Generating Unit**, **DC Converter Station** or **Power Park Module** as built, the **Generator** or **DC Converter Station** owner shall repeat the simulation studies outlined above but using the operating conditions of the equivalent tests. The simulation study results shall be displayed overlaid on the actual test results.
- CP.A.3.7.6 For Generating Units or DC Converters with a Completion Date after 1 January 2012 or subject to a Modification to the governor system or Frequency control system after 1 January 2013 to validate that the governor/load controller/plant or Frequency control models submitted under the Planning Code is a reasonable representation of the dynamic behaviour of the Synchronous Generating Unit or DC Converter Station as built, the Generator or DC Converter Station owner shall repeat the simulation studies outlined above but using the operating conditions of the equivalent tests. The simulation study results shall be displayed overlaid on the actual test results.

- CP.A.3.8 <u>Sub-synchronous Resonance Control and Power Oscillation Damping Control for DC Converters</u>
- CP.A.3.8.1 To demonstrate the compliance of the sub-synchronous control function with CC.6.3.16(a) and the terms of the **Bilateral Agreement**, the **DC Converter Station** owner or **Generator** undertaking **OTSDUW** shall submit a simulation study report.
- CP.A.3.8.2 Where power oscillation damping control function is specified on a **DC Converter** the **DC Converter Station** owner or **Generator** undertaking **OTSDUW** shall submit a simulation study report to demonstrate the compliance with CC.6.3.16(b) and the terms of the **Bilateral Agreement**.
- CP.A.3.8.3 The simulation studies should utilise the **DC Converter** control system models including the settings as required under the **Planning Code** (PC.A.5.3.2). The network conditions for the above simulation studies should be discussed with **The Company** prior to commencing any simulation studies.

< END OF COMPLIANCE PROCESSES >

# **EUROPEAN COMPLIANCE PROCESSES**

(ECP)

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ECP

#### **EUROPEAN COMPLIANCE PROCESSES**

#### ECP.1 INTRODUCTION

The European Compliance Processes ("ECP") specifies the compliance process in relation to directly connected and Embedded Power Stations (subject to a Bilateral Agreement), HVDC Systems, Grid Forming Plant and Network Operator's or Non-Embedded Customer's Plant and Apparatus. For the avoidance of doubt, the requirements of the European Compliance Processes do not apply to Demand Response Providers unless they are also an EU Code User and have entered into a CUSC Contract with The Company. Generators in respect of Electricity Storage Modules are required to meet the requirements of this ECC but are not required to satisfy the requirements of Retained EU Law (Commission Regulation (EU) 2016/631, Commission Regulation (EU) 2016/1388 or Commission Regulation (EU) 2016/1485). Any derogation in respect of Electricity Storage Modules would therefore be against the GB Grid Code as the requirements applicable to Electricity Storage Modules are not enforceable by EU Law:

#### (i) Type A Power Generating Modules:

the process for issuing and receiving an **Installation Document** which must be followed by **The Company** and any **User** with a **Type A Power Generating Module** to demonstrate its compliance with the **Grid Code** in relation to its **Plant** and **Apparatus** prior to the relevant **Plant** and **Apparatus** being energised.

(ii) Type B, Type C or Type D Power Generating Modules and HVDC Systems:

the process (leading to an Energisation Operational Notification) which must be followed by The Company and any User with a Type B, Type C or Type D Power Generating Module or HVDC System to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus (including OTSUA) prior to the relevant Plant and Apparatus (including any OTSUA) being energised.

the process (leading to an Interim Operational Notification and Final Operational Notification) which must be followed by The Company and any User with a Type B, Type C or Type D Power Generating Module or HVDC System or HVDC System Owner to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus (including and dynamically controlled OTSUA). This process shall be followed prior to and during the course of the relevant Plant and Apparatus (including OTSUA) being energised and Synchronised.

the process (leading to a Limited Operational Notification) which must be followed by The Company and each User with a Type B, Type C or Type D Power Generating Module or HVDC System where any of its Plant and/or Apparatus (including any OTSUA) becomes unable to comply with relevant provisions of the Grid Code, and where applicable with Appendices F1 to F5 of the Bilateral Agreement (and in the case of OTSUA Appendices OF1 to OF5 of the Bilateral Agreement). This process also includes when changes or Modifications are made to Plant and/or Apparatus (including OTSUA). This process applies to such Plant and/or Apparatus after the Plant and/or Apparatus has become Operational and until Disconnected from the Total System, (or until, in the case of

OTSUA, the OTSUA Transfer Time) when changes or **Modifications** are made.

(iii) Network Operator's or Non-Embedded Customer's Plant and Apparatus:

the process (leading to an Energisation Operational Notification) which must be followed by The Company and any Network Operator or Non-Embedded Customer to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus prior to the relevant Plant and Apparatus being energised.

the process (leading to an Interim Operational Notification and Final Operational Notification) which must be followed by The Company and any Network Operator or Non-Embedded Customer to demonstrate its compliance with the Grid Code in relation to its Plant and Apparatus. This process shall be followed prior to and during the course of the relevant Plant and Apparatus being energised and operated by using the grid connection.

the process (leading to a Limited Operational Notification) which must be followed by The Company and each Network Operator or Non-Embedded Customer where any of its Plant and/or Apparatus becomes unable to comply with relevant provisions of the Grid Code, and where applicable with Appendices F1 to F5 of the Bilateral Agreement. This process also includes changes or Modifications made to the Plant and/or Apparatus. This process applies to such Plant and/or Apparatus after the Plant and/or Apparatus has become operational and until Disconnected from the Transmission System.

- As used in the **ECP**, references to **OTSUA** means **OTSUA** to be connected or connected to the **National Electricity Transmission System** prior to the **OTSUA Transfer Time**.
- Where a **Generator** or **HVDC System Owner** and/or **The Company** are required to apply for a derogation to the **Authority**, this is not in respect of **OTSUA**.
- In the case of **an Electricity Storage Plant** comprising of separate generating units and demand taking plant (eg a pump) then compliance would be assessed individually on the generating units and the demand taking elements.

#### ECP.2 OBJECTIVE

- The objective of the ECP is to ensure that there is a clear and consistent process for demonstration of compliance by Users with the European Connection Conditions and Bilateral Agreement and will enable The Company to comply with its statutory and Transmission Licence obligations. For the avoidance of doubt, the requirements of the European Compliance Processes do not apply to Demand Response Providers unless they are also an EU Code User and have entered into a CUSC Contract with The Company.
- Provisions of the **ECP** which apply in relation to **OTSDUW** and **OTSUA** shall (in any particular case) apply up to the **OTSUA Transfer Time**, whereupon such provisions shall (without prejudice to any prior non-compliance) cease to apply.

In relation to OTSDUW, provisions otherwise to be contained in a Bilateral Agreement may be contained in the Construction Agreement, and accordingly a reference in the ECP to a relevant Bilateral Agreement includes the relevant Construction Agreement.

# ECP.3 <u>SCOPE</u>

- ECP.3.1 The **ECP** applies to **The Company** and to **Users**, which in the **ECP** means:
  - (a) **EU Generators** (other than in relation to **Embedded Power Stations** not subject to a **Bilateral Agreement**) including those undertaking **OTSDUW**.
  - (b) **Network Operators** who are either;
    - (i) **EU Code Users** in respect of their entire distribution **System**; or
    - (ii) **GB Code Users** in respect of their **EU Grid Supply Points** only
  - (c) Non-Embedded Customers who are EU Code Users;
  - (d) HVDC System Owners (other than those which only have Embedded HVDC Systems not subject to a Bilateral Agreement).
  - (e) **Grid Forming Plant Owners** who own and operate a **Grid Forming Plant** and intend to satisfy the requirements of ECC.6.3.19
  - The above categories of **User** will become bound by the **ECP** prior to them generating, distributing, supplying or consuming, or in the case of **OTSUA**, transmitting, as the case may be, and references to the various categories should, therefore, be taken as referring to them in that prospective role.
- For the avoidance of doubt, **Demand Response Providers** do not need to satisfy the requirements of this **ECP** unless they are also defined as an **EU**Code User and have a CUSC Contract with The Company. Where a Demand Response Provider is not an **EU** Code User and does not have a CUSC Contract with The Company, the requirements of the Demand Response Services Code shall only apply.
- For the avoidance of doubt, this **ECP** does not apply to **GB Code Users** other than in respect of **Network Operator's EU Grid Supply Points**.

#### ECP.4 CONNECTION PROCESS

The CUSC Contract(s) contain certain provisions relating to the procedure for connection to the National Electricity Transmission System or, in the case of Embedded Power Stations or Embedded HVDC Systems, becoming operational and include provisions to be complied with by Users prior to and during the course of The Company notifying the User that it has the right to become operational. In addition to such provisions, this ECP sets out in further detail the processes to be followed to demonstrate compliance. While this ECP does not expressly address the processes to be followed in the case of OTSUA connecting to a Network Operator's User System prior to OTSUA Transfer Time, the processes to be followed by The Company and the Generator in respect of the OTSUA in such circumstances shall be

consistent with those set out below by reference to **OTSUA** directly connected to the **National Electricity Transmission System**.

- ECP.4.2 The provisions contained in ECP.5 to ECP.7 detail the process to be followed in order for the **User's Plant** and **Apparatus** (including **OTSUA**) to become operational. This process includes
  - (i) the acceptance of an **Installation Document** for a **Type A Power Generating Module**;
  - (ii) for energisation an EON for Type B, Type C or Type D Power Generating Modules, or HVDC Equipment, Grid Forming Plant or Network Operator's or Non-Embedded Customer's Plant and Apparatus;
  - (iii) for synchronising an ION for Type B, Type C or Type D Power Generating Modules or HVDC Equipment;
  - (iv) for operating by using the **Grid Supply Point** an **ION** for;
    - a. Network Operators who are EU Code Users in respect of their entire distribution System;
    - b. **Network Operators** who are **GB Code Users** in respect of their **EU Grid Supply Points** only; or
    - c. Non-Embedded Customers who are EU Code Users;
  - (v) for final certification a **FON**.
- The provisions contained in ECP.5 relate to the connection and energisation of User's Plant and Apparatus (including OTSUA) to the National Electricity Transmission System or where Embedded, to a User's System.
- The provisions contained in ECP.6 and ECP.7 provide the process for Generators, HVDC System Owners, Grid Forming Plant Owners, Network Operators and Non-Embedded Customers to demonstrate compliance with the Grid Code and with, where applicable, the CUSC Contract(s) prior to and during the course of such Generator's, HVDC System Owner's (including OTSUA up to the OTSUA Transfer Time), Network Operator's and Non-Embedded Customer's Plant and Apparatus) becoming operational.
- ECP.4.2.3 The provisions contained in ECP.8 detail the process to be followed to confirm continued compliance (the "Compliance Repeat Plan").
- ECP.4.2.4 The provisions contained in ECP.9 detail the process to be followed when:
  - (a) a Generator's or HVDC System Owner's, or Grid Forming Plant Owner's, or Network Operator's or Non-Embedded Customer's Plant and/or Apparatus (including the OTSUA) is unable to comply with any provisions of the Grid Code and Bilateral Agreement; or,
  - (b) following any notification by a **Generator** or a **HVDC System Owner** or a **Grid Forming Plant Owner**\_or a **Network Operator** or a **Non-Embedded Customer** under the **PC** of any change to its **Plant** and **Apparatus** (including any **OTSUA**); or,
  - (c) a Modification to a Generator's or a HVDC System Owner's or a Grid Forming Plant Owner's or a Network Operator's or a Non-Embedded Customer's Plant and/or Apparatus.

- For **Grid Forming Plant Owners**, the **Operational Notification Process** of this **ECP** shall apply in relation to the type of **Plant** to which the **Grid Forming Capability** is provided (be it a **GBGF-S** or **GBGF-I**),
- ECP.4.3 <u>Embedded Medium Power Stations not subject to a Bilateral Agreement</u> and Embedded HVDC Equipment not subject to a Bilateral Agreement
- In the case of Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement, ensuring the obligations of the ECC and Appendix E of the relevant Bilateral Agreement between The Company and the host Network Operator are performed and discharged by the relevant party. For the avoidance of doubt the process in this ECP does not apply to Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment not subject to a Bilateral Agreement.

#### ECP.5 ENERGISATION OPERATIONAL NOTIFICATION

- The following provisions apply in relation to the issue of an Energisation Operational Notification in respect of a Power Station consisting of Type B, Type C or Type D Power Generating Modules or an HVDC System or a Network Operator's or a Non-Embedded Customer's Plant and Apparatus.
- ECP.5.1.1 Certain provisions relating to the connection and energisation of the User's Plant and Apparatus at the Connection Site and OTSUA at the Transmission Interface Point and in certain cases of Embedded Plant and Apparatus are specified in the CUSC and/or CUSC Contract(s). For other Embedded Plant and Apparatus, the Distribution Code, the DCUSA and the Embedded Development Agreement for the connection specify equivalent provisions. Further detail on this is set out in ECP.5 below.
- ECP.5.2 The items for submission prior to the issue of an **Energisation Operational Notification** are set out in ECC.5.2.
- In the case of a **Generator** or **HVDC System Owner** the items referred to in ECC.5.2 shall be submitted using the **Power Generating Module Document** or **User Data File Structure** as applicable.
- Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **User** wishing to energise its **Plant** and **Apparatus** (including passive **OTSUA**) for the first time, the **User** will submit to **The Company** a Certificate of Readiness to Energise **High Voltage** Equipment which specifies the items of **Plant** and **Apparatus** (including **OTSUA**) ready to be energised in a form acceptable to **The Company**.
- If the relevant obligations under the provisions of the CUSC and/or CUSC Contract(s) and the conditions of ECP.5 have been completed to The Company's reasonable satisfaction then The Company shall issue an Energisation Operational Notification. Any dynamically controlled reactive compensation OTSUA (including Statcoms or Static Var Compensators) shall not be Energised until the appropriate Interim Operational Notification has been issued in accordance with ECP.6.
- ECP.6 OPERATIONAL NOTIFICATION PROCESSES
- ECP.6.1 OPERATIONAL NOTIFICATION PROCESS (Type A)

- ECP.6.1.1 The following provisions apply in relation to the notification process in in respect of a **Power Station** consisting of **Type A Power Generating Modules**.
- Company's reasonable opinion, prior to the Generator wishing to Synchronise its Plant and Apparatus for the first time, the Generator will:

submit to The Company, a Notification of the User's Intention to Connect; and

submit to **The Company** an **Installation Document** containing at least but not limited to the items referred to at ECP.6.1.3.

- ECP.6.1.3 Items for submission prior to connection.
- Prior to the issue of an acknowledgment to connect, the **Generator** must submit to **The Company**, to **The Company's** satisfaction, an **Installation Document** containing at least but not limited to:
  - (i) The location at which the connection is made;
  - (ii) The date of the connection;
  - (iii) The **Maximum Capacity** of the installation in kW;
  - (iv) The type of primary energy source;
  - (v) The classification of the **Power Generating Module** as an emerging technology;
  - (vi) A list of references to Equipment Certificates issued by an authorised certifier or otherwise agreed with The Company used for equipment that is installed at the site or copies of the relevant Equipment Certificates issued by an Authorised Certifier or otherwise where these are relied upon as part of the evidence of compliance;
  - (vii) As regards equipment used, for which an **Equipment Certificate** has not been received, information shall be provided as directed by **The Company** or the **Relevant Network Operator**; and
  - (viii) The contact details of the **Generator** and the installer and their signatures.
- The items referred to in ECP.6.1.3 shall be submitted by the **Generator** in the form of an **Installation Document** for each applicable **Power Generating Module**.
- ECP.6.1.4 No **Power Generating Module** shall be **Synchronised** to the **Total System** until the later of:
  - the date specified by the Generator in the Installation Document issued in respect of each applicable Power Generating Module(s); and,
  - (b) acknowledgement is received from **The Company** confirming receipt of the **Installation Document**.

- When the requirements of ECP.6.1.2 to ECP.6.1.4 have been met, **The**Company will notify the **Generator** that the **Power Generating Module** may (subject to the **Generator** having fulfilled the requirements of ECP.6.1.3 where that applies) be **Synchronised** to the **Total System**.
- ECP.6.1.6 Not less than 7 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to decommission its **Plant** and **Apparatus**, the **Generator** will submit to **The Company a Notification of User's Intention to Disconnect**.
- ECP.6.2 <u>INTERIM OPERATIONAL NOTIFICATION (Type B and Type C)</u>
- The following provisions apply in relation to the issue of an Interim Operational Notification in respect of a Power Station consisting of Type B and(or) Type C Power Generating Modules. In the case of Generators in respect of Embedded Small Power Stations with a Bilateral Embedded Generation Agreement, and a Completion Date on or after 05-09-2024, only the requirements of ECP.6.2.10 shall apply.
- Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to **Synchronise** its **Plant** and **Apparatus** or dynamically controlled **OTSUA** for the first time the **Generator or HVDC Equipment** owner will:
  - (i) submit to The Company a Notification of User's Intention to Synchronise; and
  - submit to **The Company** an initial **Power Generating Module Document** containing at least but not limited to the items referred to at ECP.6.2.3.
- ECP.6.2.3 Items for submission prior to issue of the **Interim Operational Notification**.
- Prior to the issue of an Interim Operational Notification in respect of the EU Code User's Plant and Apparatus or dynamically controlled OTSUA, the Generator must submit to The Company to The Company's satisfaction an Interim Power Generating Module Document containing at least but not limited to:
  - (i) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**;
  - (ii) for **Type C Power Generating Modules** the simulation models;
  - (iii) details of any special **Power Generating Module(s)** protection as required by ECC.6.2.2.3. This may include Pole Slipping protection and islanding protection schemes as applicable;
  - (iv) simulation study provisions of Appendix ECP.A.3 and the results demonstrating compliance with **Grid Code** requirements of:

PC.A.5.4.2 PC.A.5.4.3.2, ECC.6.3.4, ECC.6.3.7.3.1 to ECC.6.3.7.3.6, ECC.6.3.15, ECC.6.3.16 ECC.A.6.2.5.6 ECC.A.7.2.3.1

as applicable to the **Power Generating Module(s)** or dynamically controlled **OTSUA** unless agreed otherwise by **The Company**;

- (v) a detailed schedule of the tests and the procedures for the tests required to be carried out by the **Generator** under ECP.7.2 to demonstrate compliance with relevant **Grid Code** requirements. Such schedule to be consistent with Appendix ECP.A.5 (in the case of a **Synchronous Power Generating Module**) or Appendix ECP.A.6 (in the case of a **Power Park Modules**) and **OTSUA** as applicable);
- (vi) copies of Manufacturer's Test Certificates or Equipment Certificates issued by an Authorised Certifier or equivalent as agreed with The Company where these are relied upon as part of the evidence of compliance; and
- (vii) a Compliance Statement and a User Self Certification of Compliance completed by the EU Code User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator has identified that will not or may not be met or demonstrated.
- The items referred to in ECP.6.2.3 shall be submitted by the **Generator** in the form of a **Power Generating Module Document (PGMD)** for each applicable **Power Generating Module**.
- ECP.6.2.4 No **Generating Unit** or dynamically controlled **OTSUA** shall be **Synchronised** to the **Total System** (and for the avoidance of doubt, dynamically controlled **OTSUA** will not be able to transmit) until the later of:
  - (a) the date specified by **The Company** in the **Interim Operational Notification** issued in respect of each applicable **Power Generating Module(s)** or dynamically controlled **OTSUA**; and,
  - (b) in the case of Synchronous Power Generating Module(s) only after the date of receipt by the Generator of written confirmation from The Company that the Synchronous Power Generating Module or CCGT Module as applicable has completed the following tests to demonstrate compliance with the relevant provisions of the Connection Conditions to The Company's satisfaction:
    - (i) those tests required to establish the open and short circuit saturation characteristics of the **Synchronous Power Generating Module** (as detailed in Appendix ECP.A.4.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2. Such tests may be carried out at a location other than the **Power Station** site and supplied in the form of an **Equipment Certificate** or as otherwise agreed by **The Company**; and
    - (ii) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- ECP.6.2.5 **The Company** shall assess the schedule of tests submitted by the **Generator** with the **Notification of User's Intention to Synchronise** under ECP.6.2.3

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and shall determine whether such schedule has been completed to **The Company's** satisfaction.

ECP.6.2.6 When the requirements of ECP.6.2.2 to ECP.6.2.5 have been met, **The Company** will notify the **Generator** that the:

Synchronous Power Generating Module, CCGT Module, Power Park Module or Dynamically controlled OTSUA

as applicable may (subject to the **Generator** having fulfilled the requirements of ECP.6.2.3 where that applies) be **Synchronised** to the **Total System** through the issue of an **Interim Operational Notification**. Where the **Generator** is undertaking **OTSDUW** then the **Interim Operational Notification** Will be in two parts, with the "Interim Operational Notification Part A" applicable to **OTSUA** and the **Interim Operational Notification Part** B" applicable to the **EU Code Users Plant** and **Apparatus**. For the avoidance of doubt, the "Interim Operational Notification Part B" can be issued together or at different times. In respect of an **Embedded Power Station** or **Embedded HVDC Equipment Station** (other than an **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded HVDC Equipment Stations** not subject to a **Bilateral Agreement**), **The Company** will notify the **Network Operator** that an **Interim Operational Notification** has been issued.

- The **Interim Operational Notification** will be time limited, the expiration date being specified at the time of issue. The **Interim Operational Notification** may be renewed by **The Company**.
- The Generator must operate the Power Generating Module or OTSUA in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, The Company will discuss such terms with the Generator prior to including them in the Interim Operational Notification.
- ECP.6.2.6.3 The **Interim Operational Notification** will include the following limitations:
  - (a) In the case of OTSUA, the Interim Operational Notification Part A permits Synchronisation of the dynamically controlled OTSUA to the Total System only for the purposes of active control of voltage and reactive power and not for the purpose of exporting Active Power.
  - (b) In the case of a Power Park Module the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System such that neither of the following figures is exceeded:
    - 20% of the Maximum Capacity of the Power Park Module (or the output of a single Power Park Unit where this exceeds 20% of the Power Station's Maximum Capacity)

until the **Generator** has completed the voltage control tests (detailed in ECP.A.6.2) (including in respect of any dynamically controlled **OTSUA**) to **The Company's** reasonable satisfaction. Following successful completion of this test each additional **Power Park Unit** should be included in the voltage control scheme as soon as is technically possible (unless **The Company** agrees otherwise).

- (c) In the case of a Synchronous Power Generating Module employing a static Excitation System the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) may, if applicable, limit the maximum Active Power output and Reactive Power output of the Synchronous Power Generating Module or CCGT module prior to the successful commissioning of the Power System Stabiliser to The Company's satisfaction, if applicable.
- ECP.6.2.6.4 Operation in accordance with the **Interim Operational Notification** whilst it is in force will meet the requirements for compliance by the **Generator** and **The Company** of all the relevant provisions of the **European Connection Conditions**.
- Other than **Unresolved Issues** that are subject to tests required under ECP.7.2 to be witnessed by **The Company**, the **Generator** must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in ECP.7.2.
- Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Generator** wishing to commence tests required under ECP.7 to be witnessed by **The Company**, the **Generator** will notify **The Company** that the **Power Generating Module(s)** as applicable is ready to commence such tests.
- ECP.6.2.9 The items referred to at ECP.7.3 shall be submitted by the **Generator** after successful completion of the tests required under ECP.7.2.
- In relation to a **Generator** in respect of an **Embedded Small Power Station** with a **Bilateral Embedded Generation Agreement**, and a **Completion Date** on or after 05-09-2024, prior to **The Company** issuing an **Interim-Balancing Compliance Notification**, the **Generator** shall submit to **The Company** the following documents:
  - (i) A final operational notification from the relevant **Network Operator** (as applicable),
  - (ii) A copy of the **Power-Generating Module Document** along with the confirmation from the relevant **Network Operator** on the compliance status of the **Generator's Power-Generating Module Document** in accordance with **Engineering Recommendation** G99, and
  - (iii) Document(s) demonstrating compliance with ECC.6.5, ECC.7.9, ECC.7.10 and ECC.7.11 of the Grid Code and **Bilateral Embedded Generation Agreement**,

which shall be to **The Company**'s satisfaction.

- ECP.6.3 INTERIM OPERATIONAL NOTIFICATION (Type D and HVDC Equipment)
- ECP.6.3.1 The following provisions apply in relation to the issue of an Interim

  Operational Notification in respect of a Power Station consisting of Type

  D Power Generating Modules or an HVDC System. In the case of

**Generators** in respect of **Embedded Small Power Stations** with a **Bilateral Embedded Generation Agreement**, and a **Completion Date** on or after 05-09-2024, only the requirements of ECP.6.3.10 shall apply.

- Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Generator or HVDC System Owner wishing to Synchronise its Plant and Apparatus or dynamically controlled OTSUA for the first time the Generator or HVDC System Owner will:
  - i. submit to The Company a Notification of User's Intention to Synchronise; and
  - ii. submit to **The Company** the items referred to at ECP.6.3.3.
- ECP.6.3.3 Items for submission prior to issue of the Interim Operational Notification.
- Prior to the issue of an Interim Operational Notification in respect of the EU Code User's Plant and Apparatus or dynamically controlled OTSUA the Generator or HVDC System Owner must submit to The Company to The Company's satisfaction:
  - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for Forecast Data items such as Demand;
  - (b) details of any special **Power Generating Module(s)** or **HVDC Equipment** protection as applicable. This may include Pole Slipping protection and islanding protection schemes;
  - (c) any items required by ECP.5.2, updated by the **EU Code User** as necessary:
  - (d) simulation study provisions of Appendix ECP.A.3 and the results demonstrating compliance with **Grid Code** requirements of:

PC.A.5.4.2 PC.A.5.4.3.2, ECC.6.3.4, ECC.6.3.7.3.1 to ECC.6.3.7.3.6, ECC.6.3.15, ECC.6.3.16 ECC.A.6.2.5.6 ECC.A.7.2.3.1

as applicable to the **Power Station**, **Synchronous Power Generating Module(s)**, **Power Park Module(s)**, **HVDC Equipment** or dynamically controlled **OTSUA** unless agreed otherwise by **The Company**;

(e) a detailed schedule of the tests and the procedures for the tests required to be carried out by the **Generator** or **HVDC System Owner** under ECP.7.2 to demonstrate compliance with relevant **Grid Code** requirements. Such schedule to be consistent with Appendix ECP.A.5 (in the case of **Synchronous Power Generating Modules**) or Appendix ECP.A.6 (in the case of **Power Park** Modules and **OTSUA** as applicable) or Appendix ECP.A.7 (in the case of **HVDC Equipment**; and

- (f) an interim Compliance Statement and a User Self Certification of Compliance completed by the EU Code User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator or HVDC System Owner has identified that will not or may not be met or demonstrated.
- The items referred to in ECP.6.3.3 shall be submitted by the **Generator** or **HVDC System Owner** using the **User Data File Structure**.
- ECP.6.3.4 No **Power Generating Module** or **HVDC Equipment** shall be **Synchronised** to the **Total System** (and for the avoidance of doubt, dynamically controlled **OTSUA** will not be able to transmit) until the later of:
  - (a) the date specified by The Company in the Interim Operational Notification issued in respect of the Power Generating Module(s) or HVDC Equipment or dynamically controlled OTSUA; and,
  - (b) if Embedded, the date of receipt of a confirmation from the Network Operator in whose System the Plant and Apparatus is connected that it is acceptable to the Network Operator that the Plant and Apparatus be connected and Synchronised; and,
  - (c) in the case of Synchronous Power Generating Module(s) only after the date of receipt by Generator of written confirmation from The Company that the Synchronous Power Generating Module has completed the following tests to demonstrate compliance with the relevant provisions of the Connection Conditions to The Company's satisfaction:
    - (i) those tests required to establish the open and short circuit saturation characteristics of the **Synchronous Power Generating Module** (as detailed in Appendix ECP.A.5.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2. Such tests may be carried out at a location other than the **Power Station** site; and
    - (ii) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- The Company shall assess the schedule of tests submitted by the Generator or HVDC System Owner with the Notification of User's Intention to Synchronise under ECP.6.3.1 and shall determine whether such schedule has been completed to The Company's satisfaction.
- ECP.6.3.6 When the requirements of ECP.6.3.2 to ECP.6.3.5 have been met, **The Company** will notify the **Generator** or **HVDC System Owner** that the:

Synchronous Power Generating Module, CCGT Module, Power Park Module

Dynamically controlled **OTSUA** or

**HVDC** Equipment,

as applicable may (subject to the **Generator** or **HVDC System Owner** having fulfilled the requirements of ECP.6.3.3 where that applies) be **Synchronised** to the **Total System** through the issue of an **Interim Operational Notification**. Where the **Generator** is undertaking **OTSDUW** then the **Interim Operational Notification** will be in two parts, with the "**Interim Operational Notification Part A**" applicable to OTSUA and the "**Interim Operational Notification Part B**" applicable to the **EU Code Users Plant** 

and Apparatus. For the avoidance of doubt, the "Interim Operational Notification Part A" and the "Interim Operational Notification Part B" can be issued together or at different times. In respect of an Embedded Power Station or Embedded HVDC Equipment Station (other than Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment not subject to a Bilateral Agreement), The Company will notify the Network Operator that an Interim Operational Notification has been issued.

- The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by The Company for up to a maximum of 24 months from the date of the first issue of the Interim Operational Notification. The Company may only issue an extension to an Interim Operational Notification beyond 24 months provided the Generator or HVDC System Owner has applied for a derogation for any remaining Unresolved Issues to the Authority as detailed in ECP.10.
- The Generator or HVDC System Owner must operate the Power Generating Module or HVDC Equipment in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, The Company will discuss such terms with the Generator or HVDC System Owner prior to including them in the Interim Operational Notification.
- ECP.6.3.6.3 The **Interim Operational Notification** will include the following limitations:
  - (a) In the case of OTSUA, the Interim Operational Notification Part A permits Synchronisation of the dynamically controlled OTSUA to the Total System only for the purposes of active control of voltage and Reactive Power and not for the purpose of exporting Active Power.
  - (b) In the case of a Power Park Module the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System such that neither of the following figures is exceeded:
    - (i) 20% of the Maximum Capacity of the Power Park Module (or the output of a single Power Park Unit where this exceeds 20% of the Power Station's Maximum Capacity); nor
    - (ii) 50MW

until the **Generator** has completed the voltage control tests (detailed in ECP.A.6.3.2) to **The Company's** reasonable satisfaction. Following successful completion of this test, each additional **Power Park Unit** should be included in the voltage control scheme as soon as is technically possible (unless **The Company** agrees otherwise).

(c) In the case of a Power Park Module with a Maximum Capacity greater or equal to 100MW, the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) will limit the proportion of the Power Park Module which can be simultaneously Synchronised to the Total System to 70% of Maximum Capacity until the Generator has completed the Limited Frequency Sensitive Mode (LFSM-O) control tests with at least 50% of the Maximum Capacity of the Power

**Park Module** in service (detailed in ECP.A.6.3.1) to **The Company's** reasonable satisfaction.

- (d) In the case of a Synchronous Power Generating Module employing a static Excitation System or a Power Park Module employing a Power System Stabiliser, the Interim Operational Notification (and where OTSDUW Arrangements apply, this reference will be to the Interim Operational Notification Part B) may if applicable limit the maximum Active Power output and Reactive Power output of the Synchronous Power Generating Module or CCGT module prior to the successful commissioning of the Power System Stabiliser to The Company's satisfaction.
- CP.6.3.6.4 Operation in accordance with the **Interim Operational Notification** whilst it is in force will meet the requirements for compliance by the **Generator** or **HVDC System Owner** and **The Company** of all the relevant provisions of the **European Connection Conditions**.
- Other than **Unresolved Issues** that are subject to tests required under ECP.7.2 to be witnessed by **The Company**, the **Generator** or **HVDC System Owner** must resolve any **Unresolved Issues** prior to the commencement of the tests, unless **The Company** agrees to a later resolution. The **Generator** or **HVDC System Owner** must liaise with **The Company** in respect of such resolution. The tests that may be witnessed by **The Company** are specified in ECP.7.2.
- Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Generator or HVDC System Owner wishing to commence tests required under ECP.7 to be witnessed by The Company, the Generator or HVDC System Owner will notify The Company that the Power Generating Module(s) or HVDC Equipment(s) as applicable is ready to commence such tests.
- ECP.6.3.9 The items referred to at ECP.7.3 shall be submitted by the **Generator** or the **HVDC System Owner** after successful completion of the tests required under ECP.7.2.
- In relation to a **Generator** in respect of an **Embedded Small Power Station** with a **Bilateral Embedded Generation Agreement** and a **Completion Date** on or after 05-09-2024, prior to **The Company** issuing an **Interim-Balancing Compliance Notification**, the **Generator** shall submit to **The Company** the following documents:
  - (i) A final operational notification or an interim operational notification from the relevant **Network Operator** (as applicable).
  - (ii) A copy of **Power-Generating Module Document** along with confirmation from the relevant **Network Operator** on the compliance status of the **Generator's Power-Generating Module Document** in accordance with **Engineering Recommendation** G99.
  - (iii) Document(s) demonstrating compliance with ECC.6.5, ECC.7.9, ECC.7.10 and ECC.7.11 of the Grid Code and **Bilateral Embedded Generation Agreement**.

which shall be to The Company's satisfaction.

- ECP.6.4 <u>INTERIM OPERATIONAL NOTIFICATION</u> (Network Operator's or Non-Embedded Customer's Plant and Apparatus)
- ECP.6.4.1 The following provisions apply in relation to the issue of an Interim Operational Notification in respect of Network Operator's or Non-Embedded Customer's Plant and Apparatus.
- Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Network Operator or Non-Embedded Customer wishing to operate its Plant and Apparatus by using the EU Grid Supply Point for the first time, the Network Operator or Non-Embedded Customer will:
  - i. submit to The Company a Notification of User's Intention to Operate; and
  - ii. submit to **The Company** the items referred to at ECP.6.4.3.
- ECP.6.4.3 Items for submission prior to issue of the **Interim Operational Notification**.
- Prior to the issue of an Interim Operational Notification in respect of the User's Plant and Apparatus at an EU Grid Supply Point, the Network Operator or Non-Embedded Customer must submit to The Company to The Company's satisfaction:
  - (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with any estimated values assumed for planning purposes confirmed or, where practical, replaced by validated actual values and by updated estimates for the future and by updated forecasts for **Forecast Data** items such as **Demand**;
  - (b) details of any special protection as applicable;
  - (c) any items required by ECP.5.2, updated as necessary;
  - (d) data submission and results required by Appendix ECP.A.8 demonstrating compliance with **Grid Code** requirements of:

PC.A.2.2

PC.A.2.3

PC.A.2.4

PC.A.2.5.2

PC.A.2.5.3

PC.A.2.5.4

PC.A.2.5.6

PC.A.4

PC.A.6.1.3

PC.A.6.3

PC.A.6.7.1

as applicable to the **Network Operator's** or **Non-Embedded Customer's Plant** and **Apparatus** unless agreed otherwise by **The Company**;

(e) a detailed schedule of the tests and the procedures for the tests required to be carried out by the **Network Operator** or **Non-Embedded Customer** under ECP.7.8 (or **Equipment Certificates** as relevant) to demonstrate compliance with relevant **Grid Code** requirements. Such schedule is to be consistent with Appendix ECP.A.8.

- (f) an interim Compliance Statement and a User Self Certification of Compliance completed by the User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Network Operator or Non-Embedded Customer has identified that will not or may not be met or demonstrated.
- No Network Operator's or Non-Embedded Customer's Plant and Apparatus shall be operated by using the EU Grid Supply Point until the date specified by The Company in the Interim Operational Notification.
- The Company shall assess the schedule of tests submitted by the Network Operator or Non-Embedded Customer with the Notification of User's Intention to Operate under ECP.6.4.1 and shall determine whether such schedule has been completed to The Company's satisfaction.
- When the requirements of ECP.6.4.2 to ECP.6.4.5 have been met, The Company will notify the Network Operator or Non-Embedded Customer that the Plant and Apparatus may (subject to the Network Operator or Non-Embedded Customer having fulfilled the requirements of ECP.6.4.3 where that applies) be operated by using the EU Grid Supply Point through the issue of an Interim Operational Notification.
- The Interim Operational Notification will be time limited, the expiration date being specified at the time of issue. The Interim Operational Notification may be renewed by The Company for up to a maximum of 24 months from the date of the first issue of the Interim Operational Notification. The Company may only issue an extension to an Interim Operational Notification beyond 24 months provided the Network Operator or Non-Embedded Customer has applied for a derogation for any remaining Unresolved Issues to the Authority as detailed in ECP.10.
- The Network Operator or Non-Embedded Customer must operate the Plant and Apparatus in accordance with the terms, arising from the Unresolved Issues, of the Interim Operational Notification. Where practicable, The Company will discuss such terms with the Network Operator or Non-Embedded Customer prior to including them in the Interim Operational Notification.
- The Network Operator or Non-Embedded Customer must resolve any Unresolved Issues prior to the commencement of the tests, unless The Company agrees to a later resolution. The Network Operator or Non-Embedded Customer must liaise with The Company in respect of such resolution.
- ECP.6.4.8 Not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion, prior to the **Network Operator** or **Non-Embedded Customer** wishing to commence tests required under ECP.7.8(e) and ECP.A.8 to be witnessed by **The Company** the **Network Operator** or **Non-Embedded Customer** will notify **The Company** that the **Network Operator** or **Non-Embedded Customer** as applicable is ready to commence such tests.

#### ECP.7 FINAL OPERATIONAL NOTIFICATION

<u>Final Operational Notification in respect of Generators and HVDC System Owners</u>

- The following provisions apply in relation to the issue of a **Final Operational**Notification in respect of a **Power Station** consisting of **Type B**, **Type C** and **Type D Power Generating Modules** or an **HVDC System**.
- ECP.7.2 Tests to be carried out prior to issue of the **Final Operational Notification**.
- Prior to the issue of a **Final Operational Notification** the **Generator** or **HVDC System Owner** must have completed the tests specified in this ECP.7.2.2 to **The Company's** satisfaction to demonstrate compliance with the relevant **Grid Code** provisions.
- In the case of any **Power Generating Module**, **OTSUA** (if applicable) or **HVDC Equipment** these tests will reflect the relevant technical requirements and will comprise one or more of the following:
  - (a) Reactive capability tests to demonstrate that the **Power Generating Module**, **OTSUA** (if applicable) or **HVDC Equipment** can meet the requirements of ECC.6.3.2. These may be witnessed by **The Company** on site if there is no metering to **The Company** Control Centre.
  - (b) voltage control system tests to demonstrate that the **Power Generating Module, OTSUA** (if applicable) or **HVDC Equipment** can meet the requirements of ECC.6.3.6.3, ECC.6.3.8 and, in the case of a **Power Park Module, OTSUA** (if applicable) and **HVDC Equipment**, the requirements of ECC.A.7 or ECC.A.8 and, in the case of **Synchronous Power Generating Module** and **CCGT Module**, the requirements of ECC.A.6, and any terms specified in the **Bilateral Agreement** as applicable. These tests may also be used to validate the **Excitation System** model (PC.A.5.3) or voltage control system model (PC.A.5.4) as applicable. These tests may be witnessed by **The Company**.
  - (c) governor or frequency control system tests to demonstrate that the Power Generating Module, OTSUA (if applicable) or HVDC Equipment can meet the requirements of ECC.6.3.6.2, ECC.6.3.7, where applicable ECC.A.3, and BC.3.7. In the case of a Type B Power Generating Module only tests BC3 and BC4 in ECP.A.5.8 Figure 2 or ECP.A.6.6 Figure 2 must be completed. The results will also validate the Mandatory Service Agreement required by ECC.8.1. These tests may also be used to validate the governor model (PC.A.5.3) or frequency control system model (PC.A.5.4) as applicable. These tests may be witnessed by The Company.
  - (d) fault ride through tests in respect of a **Power Station** with a **Maximum Capacity** of 100MW or greater, comprised of one or more **Power Park Modules**, to demonstrate compliance with ECC.6.3.15, ECC.6.3.16 and ECC.A.4. Where test results from a **Manufacturers Data & Performance Report** as defined in ECP.11 have been accepted this test will not be required.
  - (e) any further tests reasonably required by **The Company** and agreed with the **EU Code User** to demonstrate any aspects of compliance with the **Grid Code** and the **CUSC Contracts**.
- The Company's preferred range of tests to demonstrate compliance with the ECCs are specified in Appendix ECP.A.5 (in the case of Synchronous Power Generating Modules) or Appendix ECP.A.6 (in the case of a Power Park Modules or OTSUA (if applicable)) or Appendix ECP.A.7 (in the case of HVDC Equipment and are to be carried out by the EU Code User with the

results of each test provided to **The Company**. The **EU Code User** may carry out an alternative range of tests if this is agreed with **The Company**. **The Company** may agree a reduced set of tests where there is a relevant **Manufacturers Data & Performance Report** as detailed in ECP.10 or an applicable **Equipment Certificate** has been accepted.

- In the case of **Offshore Power Park Modules** which do not contribute to **Offshore Transmission Licensee Reactive Power** capability as described in ECC.6.3.2.5 or ECC.6.3.2.6 or Voltage Control as described in ECC.6.3.8.5 the tests outlined in ECP.7.2.2 (a) and ECP.7.2.2 (b) are not required. However, the offshore **Reactive Power** transfer tests outlined in ECP.A.5.8 shall be completed in their place.
- Following completion of each of the tests specified in this ECP.7.2, **The Company** will notify the **Generator** or **HVDC System Owner** whether, in the opinion of **The Company**, the results demonstrate compliance with the relevant **Grid Code** conditions. When the **Generator** or **HVDC System Owner** submits test results to **The Company**, the **Generator** or **HVDC System Owner** may request **The Company** to advise when the notification is expected to be provided. **The Company** should not unduly delay the notification.
- ECP.7.2.6 The **Generator** or **HVDC System Owner** is responsible for carrying out the tests and retains the responsibility for safety and personnel during the test.
- ECP.7.3 Items for submission prior to issue of the **Final Operational Notification**
- Prior to the issue of a **Final Operational Notification** the **Generator** or **HVDC System Owner** must submit to **The Company** to **The Company's** satisfaction:
  - (a) updated Planning Code data (both Standard Planning Data and Detailed Planning Data), with validated actual values and updated estimates for the future including Forecast Data items such as Demand:
  - (b) any items required by ECP.5.2 and ECP.6.2.3 or ECP.6.3.3 as applicable, updated by the **EU Code User** as necessary;
  - (c) evidence to **The Company's** satisfaction that demonstrates that the controller models and/or parameters (as required under PC.A.5.3.2(c) option 2, PC.A.5.3.2(d) option 2, PC.A.5.4.2, and/or PC.A.5.4.3.2) supplied to **The Company** provide a reasonable representation of the behaviour of the **EU Code User's Plant** and **Apparatus** and **OTSUA** if applicable;
  - (d) copies of Manufacturer's Test Certificates or Equipment Certificates issued by an Authorised Certifier or equivalent where these are relied upon as part of the evidence of compliance;
  - results from the tests required in accordance with ECP.7.2 carried out by the **Generator** to demonstrate compliance with relevant **Grid Code** requirements including the tests witnessed by **The Company**; and
  - (f) the final Compliance Statement and a User Self Certification of Compliance signed by the EU Code User and a statement of any requirements that the Generator or HVDC System Owner has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.

- The items in ECP.7.3 should be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **HVDC System Owner** using the **User Data File Structure**.
- If the requirements of ECP.7.2 and ECP.7.3 have been successfully met, The Company will notify the Generator or HVDC System Owner that compliance with the relevant Grid Code provisions has been demonstrated for the Power Generating Module(s), OTSUA if applicable or HVDC Equipment as applicable through the issue of a Final Operational Notification. In respect of an Embedded Power Station or Embedded HVDC Equipment other than an Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment not subject to a Bilateral Agreement, The Company will notify the Network Operator that a Final Operational Notification has been issued, subject to the requirement to confirm continued compliance as per CP.8.2 as part of the Compliance Repeat Plan.

In relation to a **Generator** in respect of an **Embedded Small Power Station** with a **Bilateral Embedded Generation Agreement** and a **Completion Date** on or after 05-09-2024, **The Company** shall issue a **Final-Balancing Compliance Notification** provided the following requirements are fulfilled:

- (i) The relevant **Network Operator** has issued a final operational notification; and
- (ii) All the unresolved items (if any) on the Interim-Balancing Compliance Notification are fulfilled to The Company's satisfaction.
- If a **Final Operational Notification** cannot be issued because the requirements of ECP.7.2 and ECP.7.3 have not been successfully met prior to the expiry of an **Interim Operational Notification** then the **Generator** or **HVDC System Owner** (where licensed in respect of its activities) and/or **The Company** shall apply to the **Authority** for a derogation. The provisions of ECP.10 shall then apply.

<u>Final Operational Notification in respect of Network Operator's and Non-</u> Embedded Customer's Plant and Apparatus

- The following provisions apply in relation to the issue of a **Final Operational**Notification in respect of Network Operators and Non-Embedded
  Customers Plant and Apparatus.
- Prior to the issue of a **Final Operational Notification** the **Network Operator** and **Non-Embedded Customer** must have addressed the **Unresolved Issues** to **The Company's** satisfaction to demonstrate compliance with the relevant **Grid Code** provisions.
- Prior to the issue of a **Final Operational Notification** the **Network Operator** and **Non-Embedded Customer** must submit to **The Company** to **The Company's** satisfaction:
  - (a) updated **Planning Code** data (both **Standard Planning Data** and **Detailed Planning Data**), with validated actual values and updated estimates for the future including **Forecast Data** items such as **Demand**:

- (b) any items required by ECP.5.2 and ECP.6.4 updated by the **User** as necessary;
- (c) evidence to **The Company's** reasonable satisfaction that demonstrates that the models and/or parameters as required under PC.A.2.2, PC.A.2.3, PC.A.2.4, PC.A.2.5, PC.A.4 and PC.A.6 (as applicable), supplied to **The Company** provide a reasonable representation of the behaviour of the **User's Plant** and **Apparatus**;
- (d) copies of Manufacturer's Test Certificates or Equipment Certificates issued by an Authorised Certifier or equivalent where these are relied upon as part of the evidence of compliance;
- (e) results from the tests and simulations required in accordance with ECP.A.8 carried out by the Network Operator or Non-Embedded Customer to demonstrate compliance with relevant Grid Code requirements including any tests witnessed by The Company; and
- (f) the final Compliance Statement and a User Self Certification of Compliance signed by the User and a statement of any requirements that the Network Operator or Non-Embedded Customer has identified that have not been met together with a copy of the derogation in respect of the same from the Authority.
- The items referred to at ECP.7.8 shall be submitted by the **Network Operator** or **Non-Embedded Customer** after successful completion of the tests required under ECP.7.8.
- If the requirements of ECP.7.8 have been successfully met, **The Company** will notify the **Network Operator** or **Non-Embedded Customer** that compliance with the relevant **Grid Code** provisions has been demonstrated for **Network Operators** or **Non-Embedded Customers Plant** and **Apparatus** as applicable through the issue of a **Final Operational Notification**.
- ECP.7.11 If a **Final Operational Notification** cannot be issued because the requirements of ECP.7.8 have not been successfully met prior to the expiry of an **Interim Operational Notification**, then the **Network Operator** or **Non-Embedded Customer** and/or **The Company** shall apply to the **Authority** for a derogation. The provisions of ECP.10 shall then apply.

### ECP.8 COMPLIANCE REPEAT PLAN

- Position No later than 4 calendar years and 6 months after the issue of a **Final Operational Notification**, **The Company** will notify the **Generator** or **HVDC System Owner** that confirmation of continued compliance with the requirements of the Grid Code and/or the **Bilateral Agreement**.
- No later than 5 calendar years after the issue of a **Final Operational Notification**, the **Generator** or **HVDC System Owner** shall confirm that the **Plant** and/or **Apparatus** (including **OTSUA** if applicable) is fully compliant with the requirements of the Grid Code and/or the **Bilateral Agreement**. The confirmation of compliance will include:
  - (a) a Compliance Statement and a User Self Certification of Compliance signed by the EU Code User and a statement of any requirements that the Generator or HVDC System Owner has identified that have not been met together with a copy of the derogation in respect of the same from The Authority.

(b) complete set of relevant Planning Code data (both Standard Planning Data and Detailed Planning Data), with validated actual values and updated estimates for the future including Forecast Data items such as Demand. Simulation Studies and results from tests detailed in Appendix ECP.A.3 – ECP.A.8 inclusive are not required as part of the Compliance Repeat Plan.

For the avoidance of doubt the **Generator** or **HVDC System Owner**\_is responsible for ensuring that **Plant** and/or **Apparatus** (including **OTSUA** if applicable) remains compliant with the relevant clauses of the Grid Code and/or the **Bilateral Agreement** and/or connection site conditions notified by **The Company**.

- If the requirements of ECP.8.2 have been completed to The Company's satisfaction, The Company will notify the Generator or HVDC System Owner that compliance with the relevant Grid Code provisions has been demonstrated for the Power Generating Module(s), including DC Connected Power Park Module(s) and OTSUA, if applicable or HVDC Equipment as applicable through the issue of a Final Operational Notification subject to Compliance Repeat Plan (ECP.8) no later than 5 years from the date of issue. In respect of an Embedded Power Station or Embedded DC Converter Station other than Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Systems not subject to a Bilateral Agreement, The Company will notify the Network Operator that a Final Operational Notification has been issued.
  - If a **Final Operational Notification** cannot be issued because the requirements of ECP.8.2 have not been successfully met prior to 5 years from the date of issue of the **Final Operational Notification**, then **The Company** will issue the **Generator** or **HVDC System Owner** (where licensed in respect of its activities) a **Limited Operational Notification** with respect to the **Unresolved Issues**. The provisions of ECP.9 shall then apply.

#### ECP.9 LIMITED OPERATIONAL NOTIFICATION

- ECP.9.1 Following the issue of a Final Operational Notification (or Final-Balancing Compliance Notification) for a Power Station consisting of Type B, Type C or Type D Power Generating Module or an HVDC System or Network Operators or Non-Embedded Customers Plant and Apparatus if:
  - (i) the Generator or HVDC System Owner or Network Operator or Non-Embedded Customer becomes aware, that its Plant and/or Apparatus' (including OTSUA if applicable) capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available then the Generator or HVDC System Owner or Network Operator or Non-Embedded Customer shall follow the process in ECP.9.2 to ECP.9.11; or,
  - (ii) a Network Operator becomes aware, that the capability of Plant and/or Apparatus belonging to an Embedded Power Station or Embedded HVDC Equipment Station (other than Embedded Medium Power Stations not subject to a Bilateral Agreement and Embedded HVDC Equipment Stations not subject to a Bilateral Agreement) is failing to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement then the Network Operator shall inform The Company and The Company shall inform

- the **Generator** or **HVDC System Owner** to then follow the process in ECP.9.2 to ECP.9.11; or,
- (iii) The Company becomes aware through monitoring as described in OC5.4, that a Generator or HVDC System Owner Plant and/or Apparatus (including OTSUA if applicable) capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available then The Company shall inform the other party. Where The Company and the Generator or HVDC System Owner cannot agree from the monitoring as described in OC5.4 whether the Plant and/or Apparatus (including OTSUA if applicable) is fully available and/or is compliant with the requirements of the Grid Code and where applicable the Bilateral Agreement, the parties shall first apply the process in OC5.5.1, before applying the process defined in ECP.9 (LON) if applicable. Where the testing instructed in accordance with OC.5.5.1 indicates that the Plant and/or Apparatus (including OTSUA if applicable) is not fully available and/or is not compliant with the requirements of the Grid Code and/or the Bilateral Agreement, or if the parties so agree, the process in ECP.9.2 to ECP.9.11 shall be followed.
- (iv) The Company becomes aware that a Network Operator's or Non-Embedded Customer's Plant and Apparatus capability to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement, is not fully available then The Company shall inform the other party and the process in ECP.9.2 to ECP.9.11 shall be followed.
- (v) The Company becomes aware that a Generator's ability in respect of an Embedded Small Power Station with a Bilateral Embedded Generation Agreement and a Completion Date on or after 05-09-2024, to meet any provisions of the Grid Code, or where applicable the Bilateral Agreement is not fully available, then The Company shall issue a Limited-Balancing Compliance Notification.
- Immediately upon a Generator, HVDC System Owner, Network Operator or Non-Embedded Customer becoming aware that its Power Generating Module, OTSUA (if applicable), HVDC Equipment or Plant and Apparatus, as applicable may be unable to comply with certain provisions of the Grid Code or (where applicable) the Bilateral Agreement, the Generator, HVDC System Owner Network Operator or Non-Embedded Customer shall notify The Company in writing. Additional details of any operating restrictions or changes in applicable data arising from the potential non-compliance and an indication of the date from when the restrictions will be removed and full compliance demonstrated shall be provided as soon as reasonably practical.
- If the nature of any unavailability and/or potential non-compliance described in ECP.9.1 causes or can reasonably be expected to cause a material adverse effect on the business or condition of **The Company** or other **Users** or the **National Electricity Transmission System** or any **User Systems**, then **The Company** may, notwithstanding the provisions of this ECP.9, follow the provisions of Paragraph 5.4 of the **CUSC**.
- ECP.9.4 Except where the provisions of ECP.9.3 apply, where the restriction notified in ECP.9.2 is not resolved in 28 days, then
  - (i) the Generator or HVDC System Owner with input from and discussion of conclusions with The Company, and the Network Operator where the Synchronous Power Generating Module,

CCGT Module, Power Park Module or Power Station as applicable is Embedded, shall undertake an investigation to attempt to determine the causes of and determine a solution to the non-compliance. Such investigation shall continue for no longer than 56 days. During such investigation, the Generator or HVDC System Owner shall provide to The Company the relevant data which has changed due to the restriction in respect of ECP.7.3.1 as notified to the Generator or HVDC System Owner by The Company as being required to be provided; or

(ii) the Network Operator or Non-Embedded Customer in discussion with The Company, shall undertake an investigation to attempt to determine the causes of and a solution to the non-compliance. Such investigation shall continue for no longer than 56 days. During such investigation the Network Operator or Non-Embedded Customer shall provide to The Company the relevant data which has changed due to the restriction in respect of ECP.7.8 as being required to be provided by The Company.

#### ECP.9.5 <u>Issue and Effect of LON</u>

- Following the issue of a **Final Operational Notification**, **The Company** will issue to the **Generator**, **HVDC System Owner**, **Network Operator** or **Non-Embedded Customer** a **Limited Operational Notification** if:
  - (a) by the end of the 56 day period referred to at ECP.9.4, the investigation has not resolved the non-compliance to **The Company's** satisfaction; or
  - (b) The Company is notified by a Generator, HVDC System Owner (including OTSUA if applicable), Network Operator or Non-Embedded Customer of a Modification to its Plant and Apparatus; or
  - (c) The Company receives a submission of data, or a statement from a Generator, HVDC System Owner (including OTSUA if applicable), Network Operator or Non-Embedded Customer indicating a change in Plant or Apparatus or settings (including but not limited to governor and excitation control systems) that may in The Company's reasonable opinion, acting in accordance with Good Industry Practice be expected to result in a material change of performance.

In the case of an Embedded Generator or Embedded HVDC System Owner, The Company will issue a copy of the Limited Operational Notification to the Network Operator.

- The Limited Operational Notification will be time limited (in the case of Type D Power Generating Modules, HVDC Systems, Network Operator's or Non-Embedded Customer's Plant and Apparatus to expire no later than 12 months from the start of the non-compliance or restriction or from reconnection following a change). The Company may agree a longer duration in the case of a Limited Operational Notification following a Modification or whilst the Authority is considering the application for a derogation in accordance with ECP.10.1.
- The Limited Operational Notification will notify the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer of any restrictions on the operation of the Synchronous Power Generating Module(s), CCGT Module(s), Power Park Module(s), OTSUA if applicable, HVDC Equipment or Plant and Apparatus and will specify the Unresolved Issues. The Generator, HVDC System Owner, Network Operator or Non-

**Embedded Customer** must operate in accordance with any notified restrictions and must resolve the **Unresolved Issues**.

- ECP.9.5.4 The **User** and **The Company** will be deemed compliant with all the relevant provisions of the **Grid Code** provided operation is in accordance with the **Limited Operational Notification**, whilst it is in force, and that the provisions of and referred to in ECP.9 are complied with.
- ECP.9.5.5 The **Unresolved Issues** included in a **Limited Operational Notification** will show the extent that the provisions of ECP.7.2 (testing) and ECP.7.3 (final data submission) or ECP.7.8 (d) (e) (testing) and ECP7.8 (a) (c) (data submission, as applicable, shall apply. In respect of selecting the extent of any tests which may in **The Company's** view reasonably be needed to demonstrate the restored capability and in agreeing the time period in which the tests will be scheduled, **The Company** shall, where reasonably practicable, take account of the **Generator** or **HVDC System Owner**'s input to contain its costs associated with the testing.
- In the case of a change or Modification, the Limited Operational Notification may specify that the affected Plant and Apparatus (including OTSUA if applicable) or associated Synchronous Power Generating Module(s) or Power Park Unit(s) must not be Synchronised or, in the case of Network Operator's or Non-Embedded Customer's Plant and Apparatus, operated until all of the following items, that in The Company's reasonable opinion are relevant, have been submitted to The Company to The Company's satisfaction:
  - updated Planning Code data (both Standard Planning Data and Detailed Planning Data);
  - (b) details of any relevant special Power Station, Synchronous Power Generating Module(s), Power Park Module(s), OTSUA (if applicable), HVDC Equipment Station(s) or Network Operator's or Non-Embedded Customer's Plant and Apparatus protection as applicable. This may include Pole Slipping protection and islanding protection schemes; and
  - (c) simulation study provisions of Appendix ECP.A.3 or Appendix ECP.A.8 as appropriate and the results demonstrating compliance with Grid Code requirements relevant to the change or Modification as agreed by The Company; and
  - (d) a detailed schedule of the tests and the procedures for the tests required to be carried out by the Generator, HVDC Equipment Station, Network Operator or Non-Embedded Customer to demonstrate compliance with relevant Grid Code requirements as agreed by The Company. The schedule of tests shall be consistent with Appendix ECP.A.5, Appendix ECP.A.6 or Appendix ECP.A.8 as appropriate; and
  - (e) an interim Compliance Statement and a User Self Certification of Compliance completed by the User (including any Unresolved Issues) against the relevant Grid Code requirements including details of any requirements that the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer has identified that will not or may not be met or demonstrated; and
  - (f) any other items specified in the **LON**.

- The items referred to in ECP.9.5.6 shall be submitted by the **Generator** (including in respect of any **OTSUA** if applicable) or **HVDC System Owner** using the **User Data File Structure** or **Power Generation Module Document** as applicable.
- In the case of **Synchronous Power Generating Module(s)** only, the **Unresolved Issues** of the **LON** may require that the **Generator** must complete the following tests to **The Company's** satisfaction to demonstrate compliance with the relevant provisions of the **ECCs** prior to the **Synchronous Power Generating Module** being **Synchronised** to the **Total System**:
  - (a) those tests required to establish the open and short circuit saturation characteristics of the **Synchronous Power Generating Module** (as detailed in Appendix ECP.A.5.3) to enable assessment of the short circuit ratio in accordance with ECC.6.3.2.3.4 or ECC.6.3.2.5. Such tests may be carried out at a location other than the **Power Station** site; and
  - (b) open circuit step response tests (as detailed in Appendix ECP.A.5.2) to demonstrate compliance with ECC.A.6.2.4.1.
- ECP.9.6 In the case of a change or **Modification**, not less than 28 days, or such shorter period as may be acceptable in **The Company's** reasonable opinion:
  - (a) prior to the Generator or HVDC System Owner (including OTSUA if applicable) wishing to Synchronise its Plant and Apparatus for the first time following the change or Modification, the Generator or HVDC System Owner will:
  - (i) submit a Notification of User's Intention to Synchronise; and
  - (ii) submit to **The Company** the items referred to at ECP.9.5.6.
  - (b) prior to the Network Operator or Non-Embedded Customer wishing to operate its Plant and Apparatus for the first time following the change or Modification, the Network Operator or Non-Embedded Customer will;
    - (i) submit a Notification of User's intention to operate; and
    - (ii) submit to **The Company** the items referred to at ECP.9.5.6
- Other than Unresolved Issues that are subject to tests to be witnessed by The Company, the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must resolve any Unresolved Issues prior to the commencement of the tests, unless The Company agrees to a later resolution. The Generator, HVDC System Owner, Network Operator or Non-Embedded Customer must liaise with The Company in respect of such resolution. The tests that may be witnessed by The Company are specified in ECP.7.2.2.
- Not less than 28 days, or such shorter period as may be acceptable in The Company's reasonable opinion, prior to the Generator or HVDC System Owner wishing to commence tests listed as Unresolved Issues to be witnessed by The Company, the Generator or HVDC System Owner will notify The Company that the Synchronous Power Generating Module(s), CCGT Module(s), Power Park Module(s), OTSUA if applicable or HVDC Equipment as applicable is ready to commence such tests.
- ECP.9.9 The items referred to at ECP.7.3 or ECP.7.8 as applicable and listed as **Unresolved Issues** shall be submitted by the **Generator**, **HVDC System**

**Owner**, **Network Operator** or **Embedded Customer** after successful completion of the tests.

- ECP.9.10 Where the **Unresolved Issues** have been resolved a **Final Operational Notification** will be issued to the **User**.
- If a **Final Operational Notification** has not been issued by **The Company** as referred to at ECP.9.5.2 (or where agreed following a **Modification** by the expiry time of the **LON**) then the **Generator**, **HVDC System Owner**, **Network Operator** or **Non-Embedded Customer** (where licensed in respect of its activities) and **The Company** shall apply to the **Authority** for a derogation.

# ECP.10 PROCESSES RELATING TO DEROGATIONS

Whilst the Authority is considering the application for a derogation, the Interim Operational Notification or Limited Operational Notification will be extended to remain in force until the Authority has notified The Company and the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer of its decision. Where the Generator or HVDC System Owner is not licensed, The Company may propose any necessary changes to the Bilateral Agreement with such unlicensed Generator or HVDC System Owner.

#### ECP.10.2 If the **Authority**:

- (a) grants a derogation in respect of the **Plant** and/or **Apparatus**, then **The Company** shall issue **Final Operational Notification** once all other **Unresolved Issues** are resolved; or
- (b) decides a derogation is not required in respect of the Plant and/or Apparatus then The Company will reconsider the relevant Unresolved Issues and may issue a Final Operational Notification once all other Unresolved Issues are resolved; or
- (c) decides not to grant any derogation in respect of the Plant and/or Apparatus, then there will be no Operational Notification in place and The Company and the User shall consider its rights pursuant to the CUSC.
- Where an Interim Operational Notification or Limited Operational Notification is so conditional upon a derogation and such derogation includes any conditions (including any time limit to such derogation) the Generator, HVDC System Owner, Network Operator or Non-Embedded Customer will progress the resolution of any Unresolved Issues and / or progress and / or comply with any conditions upon such derogation and the provisions of ECP.6 to ECP.7.11 shall apply and shall be followed.

## ECP.11 MANUFACTURER'S DATA & PERFORMANCE REPORT

- ECP.11.1.1 Data and performance characteristics in respect of certain **Grid Code** requirements may be registered with **The Company** by **Power Park Unit** manufacturers in respect of specific models of **Power Park Units** by submitting information in the form of a **Manufacturer's Data and Performance Report** to **The Company**.
- A Generator planning to construct a new Power Station containing the appropriate version of Power Park Units in respect of which a Manufacturer's Data & Performance Report has been submitted to The Company may reference the Manufacturer's Data & Performance Report in its submissions to The Company. Any Generator considering referring to a Manufacturer's

Data & Performance Report for any aspect of its Plant and Apparatus may contact The Company to discuss the suitability of the relevant Manufacturer's Data & Performance Report to its project to determine if, and to what extent, the data included in the Manufacturer's Data & Performance Report contributes towards demonstrating compliance with those aspects of the Grid Code applicable to the Generator. The Company will inform the Generator if the reference to the Manufacturer's Data & Performance Report is not appropriate or not sufficient for its project.

- ECP.11.1.3 The process to be followed by **Power Park Unit** manufacturers submitting a **Manufacturer's Data & Performance Report** is agreed by **The Company**. ECP.11.2 indicates the specific **Grid Code** requirement areas in respect of which a **Manufacturer's Data & Performance Report** may be submitted.
- The Company will maintain and publish a register of those Manufacturer's Data & Performance Reports which The Company has received and accepted as being an accurate representation of the performance of the relevant Plant and / or Apparatus. Such register will identify the manufacturer, the model(s) of Power Park Unit(s) to which the report applies and the provisions of the Grid Code in respect of which the report contributes towards the demonstration of compliance. The inclusion of any report in the register does not in any way confirm that any Power Park Modules which utilise any Power Park Unit(s) covered by a report is or will be compliant with the Grid Code.
- A Manufacturer's Data & Performance Report in respect of Power Park Units may cover one (or part of one) or more of the following provisions of the Grid Code:
  - (a) Fault Ride Through capability ECC.6.3.15, ECC.6.3.16.
  - (b) Power Park Module mathematical model PC.A.5.4.2.
- Reference to a **Manufacturer's Data & Performance Report** in a **EU Code User's** submissions does not by itself constitute compliance with the **Grid Code**.
- A Generator referencing a Manufacturer's Data & Performance Report should insert the relevant Manufacturer's Data & Performance Report reference in the appropriate place in the DRC data submission, Power Generating Module Document and / or in the User Data File Structure. The Company will consider the suitability of a Manufacturer's Data & Performance Report:
  - (a) in place of DRC data submissions, a mathematical model suitable for representation of the entire Power Park Module as per ECP.A.3.4.4. For the avoidance of doubt only the relevant sections as specified in PC.A.2.5.5.7 apply. Site specific parameters will still need to be submitted by the Generator.
  - (b) Not Used.
  - (c) to reduce the scope of compliance site tests as follows;
    - (i) Where there is a Manufacturer's Data & Performance Report in respect of a Power Park Unit which covers Fault Ride Through, The Company may agree that no Fault Ride Through testing is required.

- It is the responsibility of the EU Code User to ensure that the correct reference for the Manufacturer's Data & Performance Report is used and the EU Code User by using that reference accepts responsibility for the accuracy of the information. The EU Code User shall ensure that the manufacturer has kept The Company informed of any relevant variations in plant specification since the submission of the relevant Manufacturer's Data & Performance Report which could impact on the validity of the information.
- The Company may contact the Power Park Unit manufacturer directly to verify the relevance of the use of such Manufacturer's Data & Performance Report. If The Company believe the use some or all of such Manufacturer's Data & Performance Report information is incorrect or the referenced data is inappropriate, then the reference to the Manufacturer's Data & Performance Report may be declared invalid by The Company. Where, and to the extent possible, the data included in the Manufacturer's Data & Performance Report is appropriate, the compliance assessment process will be continued using the data included in the Manufacturer's Data & Performance Report.
- ECP.11.7 In the case of a co-located site, for example Electricity Storage Modules or Grid Forming Plant connected within a new or existing Power Station, The Company will accept demonstration of compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules and Electricity Storage Modules (which could include Grid Forming Plant) or Electricity Storage Modules and Generating Units or Power Park Modules (which could include Grid Forming Plant). Generators or Grid Forming Plant Owners should however be aware that for the purposes of compliance, full Grid Code compliance should be demonstrated when, for example, the Electricity Storage Module or Grid Forming Plant is out of service and the remaining Power Generating Module is in service or the Electricity Storage Module or Grid Forming Plant is in service and the Power Generating Module is out of service. Equally, The Company will accept Manufacturer's Data & Performance Reports for the purposes of proving compliance at co-located sites.

APPENDIX 1 NOT USED

#### **APPENDIX 2**

**USER SELF CERTIFICATION OF COMPLIANCE (Interim/Final)** 

This User Self Certification of Compliance records the compliance by the EU Code User in respect of [NAME] Power Station/HVDC Equipment Station with the Grid Code and the requirements of the Bilateral Agreement and Construction Agreement dated [ ] with reference number [ ]. It is completed by the Power Station/HVDC System Owner in the case of Plant and/or Apparatus connected to the National Electricity Transmission System and for Embedded Plant.

We have recorded our compliance against each requirement of the **Grid Code** which applies to the **Power Station/HVDC Equipment Station**, together with references to supporting evidence and a commentary where this is appropriate, and have provided this to **The Company**. A copy of the **Compliance Statement** is attached.

Supporting evidence, in the form of simulation results, test results, manufacturer's data and other documentation, is attached in the **User Data File Structure**.

The **EU Code User** hereby certifies that, to the best of its knowledge and acting in accordance with **Good Industry Practice**, the **Power Station** is compliant with the **Grid Code** and the **Bilateral Agreement** in all aspects [with the following **Unresolved Issues**\*] [with the following derogation(s)\*\*]:

| Connection Condition | Requirement | Ref: | Issue |
|----------------------|-------------|------|-------|
|                      |             |      |       |
|                      |             |      |       |
|                      |             |      |       |
|                      |             |      |       |
|                      |             |      |       |

Compliance<br/>certified by:Name:<br/>[PERSON]Title:<br/>[PERSON DESIGNATION]Signature:<br/>[PERSON]<br/>Date:Of<br/>[User details]

<sup>\*</sup> Include for Interim User Self Certification of Compliance ahead of Interim Operational Notification

<sup>\*\*</sup> Include for final **User Self Certification of Compliance** ahead of **Final Operational Notification** where derogation(s) have been granted. If no derogation(s) required delete wording and Table.

#### **APPENDIX 3**

#### SIMULATION STUDIES

#### ECP.A.3.1 SCOPE

- ECP.A.3.1.1 This Appendix sets out the simulation studies required to be submitted to **The Company** to demonstrate compliance with the **European Connection Conditions** unless otherwise agreed with **The Company**. This Appendix should be read in conjunction with ECP.6 with regard to the submission of the reports to **The Company**. Where there is any inconsistency in the technical requirements in respect of which compliance is being demonstrated by simulation in this Appendix and ECC.6.3 and the **Bilateral Agreement**, the provisions of the **Bilateral Agreement** and ECC.6.3 prevail. The studies specified in this Appendix will normally be sufficient to demonstrate compliance. However, **The Company** may agree an alternative set of studies proposed by the **Generator** or **HVDC System Owner** provided **The Company** deem the alternative set of studies sufficient to demonstrate compliance with the **Grid Code** and the **Bilateral Agreement**.
- ECP.A.3.1.2 The **Generator** or **HVDC System Owner** shall submit simulation studies in the form of a report to demonstrate compliance. In all cases the simulation studies must utilise models applicable to the **Synchronous Power Generating Module**, **HVDC Equipment** or **Power Park Module** with proposed or actual parameter settings. Reports should be submitted in English with all diagrams and graphs plotted clearly with legible axes and scaling provided to ensure any variations in plotted values is clear. In all cases, the simulation studies must be presented over a sufficient time period to demonstrate compliance with all applicable requirements.
- ECP.A.3.1.3 In the case of an **Offshore Power Station** where **OTSDUW Arrangements** apply simulation studies, the **Generator** should include the action of any relevant **OTSUA** where applicable to demonstrate compliance with the **Grid Code** and the **Bilateral Agreement** at the **Interface Point**.
- The Company will permit relaxation from the requirement ECP.A.3.2 to ECP.A.3.8 where an Equipment Certificate for the Power Generating Module or HVDC Equipment has been provided which details the characteristics from appropriate simulations on a representative installation with the same equipment and settings and the performance of the Power Generating Module or HVDC Equipment can, in The Company's opinion, reasonably represent that of the installed Power Generating Module or HVDC Equipment.
- For Type B, Type C and Type D Power Generating Modules the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable. For HVDC Equipment the relevant Equipment Certificates must be supplied in the Users Data File structure.
- ECP.A.3.1.6 In the case of a co-located site, for example Electricity Storage Modules or Grid Forming Plant connected within a new or existing Power Station, The Company will accept simulation studies to demonstrate compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules (which could include Grid Forming Plant) and Electricity Storage Modules or Electricity Storage Modules (which could include Grid Forming Plant) and Generating Units or Power Park Modules. Generators should however be aware that for the purposes of simulations, full Grid Code compliance should be demonstrated when, for example, the Electricity Storage Module or Grid

Forming Plant is out of service and the remaining Power Generating Module is in service or the Electricity Storage Module or Grid Forming Plant is in service and the Power Generating Module is out of service.

# ECP.A.3.2 Power System Stabiliser Tuning

- ECP.A.3.2.1 In the case of a **Synchronous Power Generating Module** with an **Excitation System Power System Stabiliser** the **Power System Stabiliser** tuning simulation study report required by ECC.A.6.2.5.6 or required by the **Bilateral Agreement** shall contain:
  - (i) the Excitation System model including the Power System Stabiliser with settings as required under the Planning Code (PC.A.5.3.2(c)).
  - (ii) open circuit time series simulation study of the response of the **Excitation System** to a +10% step change from 90% to 100% terminal voltage.
  - (iii) on load time series dynamic simulation studies of the response of the Excitation System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the higher voltage side of the Synchronous Power Generating Module transformer for 100ms. The simulation studies should be carried out with the Synchronous Power Generating Module operating at full Active Power and maximum leading Reactive Power import\_with the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. The results should show the Synchronous Power Generating Module field voltage, terminal voltage, Power System Stabiliser output, Active Power and Reactive Power output.
  - (iv) gain and phase Bode diagrams for the open loop frequency domain response of the **Synchronous Power Generating Module Excitation System** with and without the **Power System Stabiliser**. These should be in a suitable format to allow assessment of the phase contribution of the **Power System Stabiliser** and the gain and phase margin of the **Excitation System** with and without the **Power System Stabiliser** in service.
  - (v) an eigenvalue plot to demonstrate that all modes remain stable when the **Power System Stabiliser** gain is increased by at least a factor of 3 from the designed operating value.
  - (vi) gain Bode diagram for the closed loop on load frequency domain response of the **Synchronous Power Generating Module Excitation System** with and without the **Power System Stabiliser**. The **Synchronous Power Generating Module** operating at full load and at unity power factor. These diagrams should be in a suitable format to allow comparison of the **Active Power** damping across the frequency range specified in ECC.A.6.2.6.3 with and without the **Power System Stabiliser** in service.

In the case of a Synchronous Power Generating Module that may operate as **Demand** (e.g. **Pump Storage**) the on-load simulations (ii) to (vi) should also carried out in both modes of operation.

ECP.A.3.2.2 In the case of **Onshore Non-Synchronous Power Generating Module**, **Onshore HVDC Equipment** and **Onshore Power Park Modules** and

**OTSDUW Plant** and **Apparatus** at the **Interface Point** the **Power System Stabiliser** tuning simulation study report required by ECC.A.7.2.4.1 or ECC.A.8.2.4 or required by the **Bilateral Agreement** shall contain:

- (i) the **Voltage Control System** model including the **Power System Stabiliser** with settings as required under the **Planning Code**(PC.A.5.4) and **Bilateral Agreement**.
- (ii) on load time series dynamic simulation studies of the response of the Voltage Control System with and without the Power System Stabiliser to 2% and 10% steps in the reference voltage and a three phase short circuit fault applied to the Grid Entry Point or the Interface Point in the case of OTSDUW Plant and Apparatus for 100ms. The simulation studies should be carried out operating at full Active Power and maximum leading Reactive Power import condition with the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. The results should show appropriate signals to demonstrate the expected damping performance of the Power System Stabiliser.
  - (iii) any other simulation as specified in the **Bilateral Agreement** or agreed between the **Generator** or **HVDC System Owner** or **Offshore Transmission Licensee** and **The Company**.

#### ECP.A.3.3 Reactive Capability across the Voltage Range

#### ECP.A.3.3.1

- (a) For a **Synchronous Power Generating Module**, the **Generator** shall supply simulation studies to demonstrate the capability to meet ECC.6.3.2 by submission of a report containing load flow simulation study results to demonstrate:
  - (i) the maximum lagging Reactive Power capability at Maximum Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 105% of nominal.
  - (ii) the maximum leading Reactive Power capability at Maximum Capacity when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 95% of nominal.
  - (iii) the maximum lagging Reactive Power capability at the Minimum Stable Operating Level when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 105% of nominal.
  - (iv) the maximum leading Reactive Power capability at the Minimum Stable Operating Level when the Grid Entry Point or User System Entry Point if Embedded or Interface Point (in the case of OTSUA) voltage is at 95% of nominal.
- (b) For an **OSTUA** with an **Interface Point** above 33kV or **Power Park Modules** with a **Grid Entry Point** or **User System Entry Point** above 33kV, the **Generator** shall demonstrate the capability to meet ECC.6.3.2 by submission of a report containing load flow simulation study results to demonstrate operation at points A, B, E and F in accordance with Figure ECC.A.7.2.2(b) or Figure ECC.A.8.2.2(b). The studies should be run with both the **OTSUA** and **Power Park Module** operating at **Maximum Capacity** and at the **Minimum Stable Operating Level**.

- (c) For an **OSTUA** with an **Interface Point** at or below 33kV or **Power Park Modules** with a **Grid Entry Point** or **User System Entry Point** at or below 33kV, a load flow simulation study results to demonstrate operation at points A, B, E and F in accordance with Figure ECC.A.7.2.2(c) or Figure ECC.A.8.2.2(b). The studies should be run with both the **OTSUA** and **Power Park Module** operating at **Maximum Capacity** and at the **Minimum Stable Operating Level**.
- (d) For an HVDC system, the HVDC System Owner shall supply simulation studies to demonstrate the capability to meet ECC.6.3.2 by submission of a report containing load flow simulation study results to demonstrate operation at points A, B, E and F in accordance with Figure ECC.A.7.2.2(b). The studies should be run with both the HVDC System operating at the Maximum HVDC Active Power Transmission Capacity and Minimum HVDC Active Power Transmission Capacity.:
- ECP.A.3.3.2 In the case of a **Synchronous Power Generating Module** the terminal voltage in the simulation should be the nominal voltage for the machine.
- ECP.A.3.3.3 In the case of a **Power Park Module** where the load flow simulation studies show that the individual **Power Park Units** deviate from nominal voltage to meet the **Reactive Power** requirements then evidence must be provided from factory (e.g. in a **Manufacturer's Data & Performance Report**) or site testing that the **Power Park Unit** is capable of operating continuously at the operating points determined in the load flow simulation studies.

#### ECP.A.3.4 Voltage Control and Reactive Power Stability

ECP.A.3.4.1 This section applies to HVDC Equipment; and Type C & Type D Power Park Modules to demonstrate the voltage control capability and Type B Power Park Modules to demonstrate the voltage control capability if specified by The Company.

In the case of a **Power Station** containing **Power Park Modules** and/or **OTSUA**, the **Generator** shall provide a report to demonstrate the dynamic capability and control stability of the **Power Park Module**. The report shall contain:

- a dynamic time series simulation study result of a sufficiently large negative step in **System** voltage to cause a change in **Reactive Power** from zero to the maximum lagging value at **Rated MW**.
- (ii) a dynamic time series simulation study result of a sufficiently large positive step in **System** voltage to cause a change in **Reactive Power** from zero to the maximum leading value at **Rated MW**.
- (iii) a dynamic time series simulation study result to demonstrate control stability at the lagging **Reactive Power** limit by application of a -2% voltage step while operating within 5% of the lagging **Reactive Power** limit.
- (iv) a dynamic time series simulation study result to demonstrate control stability at the leading **Reactive Power** limit by application of a +2% voltage step while operating within 5% of the leading **Reactive Power** limit.

 a dynamic time series simulation study result of a sufficiently large negative step in **System** voltage to cause a change in **Reactive Power** from the maximum leading value to the maximum lagging value at **Rated**

The **Generator** should also provide the voltage control study specified in ECP.A.3.7.4.

All the above studies should be completed with a network operating at the voltage applicable for zero **Reactive Power** transfer at the **Grid Entry Point** or **User System Entry Point** if **Embedded** or, in the case of **OTSUA**, **Interface Point** unless stated otherwise. The fault level at the HV connection point should be set at the minimum level as agreed with **The Company**.

## ECP.A.3.5 Fault Ride Through and Fast Fault Current Injection

This section applies to Type B, Type C and Type D Power Generating Modules and HVDC Equipment to demonstrate the modules Fault Ride Through and Fast Fault Current injection capability.

The **Generator** or **HVDC System Owner** shall supply time series simulation study results to demonstrate the capability of **Synchronous Power Generating Module**, **HVDC Equipment**, and **Power Park Modules** and **OTSUA** to meet ECC.6.3.15 and ECC.6.3.16 by submission of a report containing:

- (i) a time series simulation study of a 140ms three phase short circuit fault with a retained voltage as detailed in table A.3.5.1 below applied at the Grid Entry Point or (User System Entry Point if Embedded) of the Power Generating Module or HVDC Equipment or OTSUA.
- (ii) a time series simulation study of 140ms unbalanced short circuit faults with a retained voltage as detailed in table 1 on the faulted phase(s) applied at the **Grid Entry Point** or (**User System Entry Point** if **Embedded**) of the **Power Generating Module** or **HVDC Equipment** or **OTSUA.** The unbalanced faults to be simulated are:
  - 1. a phase to phase fault
  - 2. a two phase to earth fault
  - 3. a single phase to earth fault.

| Power Generating Module                          | Retained<br>Voltage |
|--|---------------------|
| Synchronous Power Generating Module              | -                   |
| Type B   | 30%                 |
| Type C or Type D with Grid connection point      | 10%                 |
| voltage <110kV                                   |                     |
| Type D with connection point voltage >110kV      | 0%                  |
| Power Park Module                                |                     |
| Type B or Type C or Type D with connection point | 10%                 |
| voltage < 110kV                                  |                     |
| Type D with connection point voltage >110kV      | 0%                  |
| HVDC Equipment                                   | 0%                  |

Table A.3.5.1

For a **Power Generating Module** or **HVDC Equipment** or **OTSUA** the simulation study should be completed with the **Power Generating Module** or **HVDC Equipment** or **OTSUA** operating at full **Active Power** and maximum leading **Reactive Power** and the fault level at

the **Supergrid** HV connection point at minimum or as otherwise agreed with **The Company** as detailed in ECC.6.3.15.8.

- (iii) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the Synchronous Power Generating Module or OTSUA. The simulation studies should include:
  - 1. 50% retained voltage lasting 0.45 seconds
  - 2. 70% retained voltage lasting 0.81 seconds
  - 3. 80% retained voltage lasting 1.00 seconds
  - 4. 85% retained voltage lasting 180 seconds.

For a Synchronous Power Generating Module or OTSUA, the simulation study should be completed with the Synchronous Power Generating Module or OTSUA operating at full Active Power and zero Reactive Power output and the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. Where the Synchronous Power Generating Module is Embedded, the minimum Network Operator's System impedance to the Supergrid HV Connection Point shall be used which may be calculated from the maximum fault level at the User System Entry Point.

- (iv) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the HVDC Equipment or Power Park Module. The simulation studies should include:
  - 1. 30% retained voltage lasting 0.384 seconds
  - 2. 50% retained voltage lasting 0.71 seconds
  - 3. 80% retained voltage lasting 2.5 seconds
  - 4. 85% retained voltage lasting 180 seconds.

For Power Park Modules the simulation study should be completed with the HVDC Equipment or Power Park Module operating at full Active Power and zero Reactive Power output and the fault level at the Supergrid HV Connection Point at minimum or as otherwise agreed with The Company. Where the Power Park Module is Embedded the minimum Network Operator's System impedance to the Supergrid HV Connection Point shall be used which may be calculated from the maximum fault level at the User System Entry Point.

- (v) time series simulation studies of balanced Supergrid voltage dips applied on the nearest point of the National Electricity Transmission System operating at Supergrid voltage to the HVDC Equipment. The simulation studies should include:
  - 1. 30% retained voltage
  - 2. 50% retained voltage
  - 3. 80% retained voltage
  - 4. 85% retained voltage

For HVDC Equipment the simulation study should be completed with the HVDC Equipment operating at full Active Power transfer and zero Reactive Power output and the fault level at the Supergrid HV connection point at minimum or as otherwise agreed with The Company. Where the HVDC Equipment is Embedded the minimum Network Operator's System impedance to the Supergrid HV connection point shall be used which may be calculated from the maximum fault level at the **User System Entry Point**.

For **HVDC Equipment** the duration of each voltage dip 1 to 4 above should demonstrate the requirements of the **Bilateral Agreement**.

- ECP.A.3.5.2 Not Used.
- In the case of a **Power Park Module** the studies detailed in ECP.A.3.5.1 should be repeated to demonstrate compliance during foreseeable running arrangements resulting from outages of major **Plant** and **Apparatus** (for example outage of the main export cable in the case of **OTSDUW** or module step up transformer where alternative export connections are possible). For these conditions, the **Power Park Module Active Power** output may be reduced to levels appropriate to the planned operating regime proposed by the **Generator**. The **Generator** shall consult **The Company** on alternative running arrangements and agree with **The Company** the running arrangements that will be studied prior to the **Generator** undertaking the studies. For the avoidance of doubt, compliance of a **Power Park Module** with **Fault Ride Through** requirements remains the responsibility of the **Generator** under all operating conditions.
- ECP.A.3.5.4 In the case of a **Power Park Module** with a **Registered Capacity** greater or equal to 100MW, the studies detailed in ECP.A.3.5.1 should be repeated with 50% of the **Power Park Units Synchronised** to the **Total System**. In the case of a **Power Station** containing multiple **Power Park Modules** or multiple **Offshore Power Park Modules** connected to an **Offshore Transmission System** or **OTSDUW** the study should include all **Power Park Modules** with 50% of the **Power Park Units Synchronised** to the **Total System**.
- In the case of HVDC Equipment the studies detailed in ECP.A.3.5.1 should be repeated to demonstrate compliance during foreseeable running arrangements resulting from outages of major Plant and Apparatus (for example outage of an HVDC cable or convertor. For these conditions, the HVDC Equipment Active Power transfer may be reduced to levels appropriate to the planned operating regime. The Generator or HVDC System Owner shall consult The Company on alternative running arrangements and agree with The Company the running arrangements that will be studied prior to the Generator or HVDC System Owner undertaking the studies. For the avoidance of doubt, compliance of HVDC Equipment with Fault Ride Through requirements remains the responsibility of the Generator or HVDC System Owner under all operating conditions.
- ECP.A.3.6 <u>Limited Frequency Sensitive Mode Over Frequency (LFSM-O)</u>
- This section applies to **Type B**, **Type C and Type D Power Generating Modules**, **HVDC Equipment** to demonstrate the capability to modulate **Active Power** at high frequency as required by ECC6.3.7.3.5(ii).
- The simulation study should comprise of a **Power Generating Module** or **HVDC Equipment** connected to the total **System** with a local load shown as "X" in figure ECP.A.3.6.1. The load "X" is in addition to any auxiliary load of the **Power Station** connected directly to the **Power Generating Module** or **HVDC Equipment** and represents a small portion of the **System** to which the **Power Generating Module** or **HVDC Equipment** is attached. The value of "X" should be the minimum for which the **Power Generating Module** or **HVDC**

**Equipment** can control the power island **Frequency** to less than 52Hz consistent with ECC.6.3.7.3.5(ii). Where transient excursions above 52Hz occur the **Generator** or **HVDC Equipment Owner** should ensure that the duration above 52Hz is less than any high **Frequency** protection system applied to the **Power Generating Module** or **HVDC Equipment**.

- For HVDC Equipment and Power Park Modules consisting of units connected wholly by power electronic devices the simulation methodology may be modified by the addition of a Synchronous Power Generating Module (G2) connected as indicated in Figure ECP.A.3.6.2. This additional Synchronous Power Generating Module should have an inertia constant of 3.5MWs/MVA, be initially operating at rated power output and unity Power Factor. The mechanical power of the Synchronous Power Generating Module (G2) should remain constant throughout the simulation.
- ECP.A.3.6.4 At the start of the simulation study the **Power Generating Module** or **HVDC Equipment** will be operating maximum **Active Power** output. The **Power Generating Module** or **HVDC Equipment** will then be islanded from the **Total System** but still supplying load "X" by the opening of a breaker, which is not the **Power Generating Module** or **HVDC Equipment** connection circuit breaker (the governor should therefore, not receive any signals that the breaker has opened other than the reduction in load and subsequent increase in speed). A schematic arrangement of the simulation study is illustrated by Figure ECP.A.3.6.1.

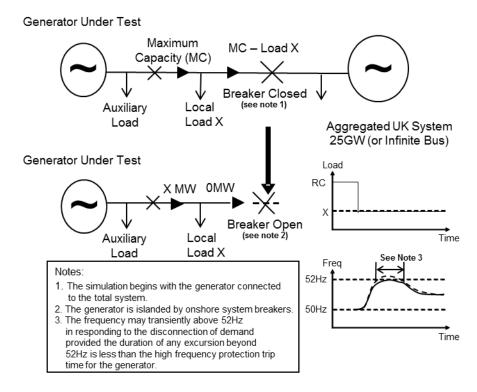


Figure ECP.A.3.6.1 - Diagram of Load Rejection Study

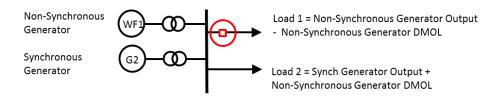


Figure ECP.A.3.6.2 – Addition of Generator G2 if applicable

- A simulation study shall be performed for Type B, C & D Power Generating Modules in Limited Frequency Sensitive Mode (LFSM) and Frequency Sensitive Mode (FSM) for Type C & D Power Generating Modules. The simulation study results should indicate Active Power and Frequency.
- ECP.A.3.6.6 To allow validation of the model used to simulate load rejection in accordance with ECC.6.3.7.3.5 as described, a further simulation study is required to represent the largest positive **Frequency** injection step or fast ramp (BC1 and BC3 of Figure 2) that will be applied as a test as described in ECP.A.5.8 and ECP.A.6.6.
- ECP.A.3.6.7 The above suite of simulation studies equally apply for **Electricity Storage Modules** when in an export mode of operation and should also demonstrate transition to an import mode of operation in line with the stated **Droop** characteristics of the **Electricity Storage Module** when in an import mode of operation. Three simulation studies need to be carried out:
  - i) The Electricity Storage Module should initially be operating at zero Active Power output and have sufficient capability so that it is possible to operate the Electricity Storage Module at Maximum Capacity and Maximum Import Power. The above suite of simulation studies as detailed in ECP.A.3.6.1 ECP.A.3.6.6 should then be conducted to ensure the Electricity Storage Modules Active Power output achieves its Maximum Import Power in line with the Droop and response time settings as declared by the Generator.
  - ii) The Electricity Storage Module should be operating at 50% of its Maximum Import Power and have sufficient capability so that it is possible to operate the Electricity Storage Module at Maximum Capacity and Maximum Import Power. The above suite of simulation studies should then be conducted to ensure the Electricity Storage Modules Active Power output achieves its Maximum Import Power in line with the Droop and response time settings as declared by the Generator.
  - iii) The Electricity Storage Module should be operating at its Maximum Import Power. The above suite of simulation studies should then be conducted to ensure the Electricity Storage Modules Active Power remains at its Maximum Import Power, unless it is in Frequency Sensitive Mode and the tested Frequency falls below 50.5Hz.

# <u>Limited Frequency Sensitive Mode – Under Frequency (LFSM-U)</u>

ECP.A.3.6.7 This section applies to:

Synchronous Power Generating Modules, Type C & D; or, HVDC Equipment: or.

**Power Park Modules**, **Type C & D** to demonstrate the modules capability to modulate Active Power at low frequency.

- To demonstrate the LFSM-U low Frequency control when operating in Limited Frequency Sensitive Mode the Generator or HVDC System Owner shall submit a simulation study representing the response of the Power Generating Module or HVDC Equipment operating at 80% of Maximum Capacity. The simulation study event shall be equivalent to:
  - (i) a sufficiently large reduction in the measured **System Frequency** ramped over 10 seconds to cause an increase in **Active Power** output to the **Maximum Capacity** followed by

- (ii) 60 seconds of steady state with the measured **System Frequency** depressed to the same level as in ECP.A.3.6.8.1 (i) as illustrated in Figure ECP.A.3.6.1 below.
- (iii) then increase of the measured System Frequency ramped over 10 seconds to cause a reduction in Active Power output back to the original Active Power level followed by at least 60 seconds of steady output.

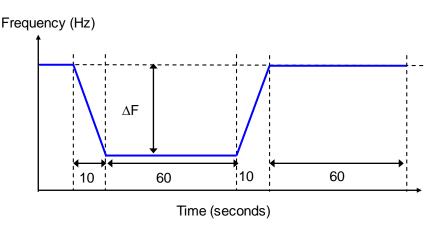


Figure ECP.A.3.6.1

Operation of Electricity Storage Modules in an import mode of operation during low System Frequencies

ECP.A.3.6.9 For **Generators** in respect of **Electricity Storage Modules** who are unable to deload from an import mode of operation to an export mode of operation during low **System Frequencies** as defined in ECC.6.3.7.2.3 and have agreed with **The Company** that they can comply with the requirements of OC6.6.6 as provided for in ECC.6.3.7.2.3.1, the simulation studies as detailed in ECP.A.3.6.10 shall apply.

For **Generators** in respect of **Electricity Storage Modules** who can satisfy the requirements of ECC.6.3.7.2.3 (except ECC.6.3.7.2.3.1 to which OC6.6.6 refers) the simulation studies as detailed in ECP.A.3.6.11 shall apply.

- ECP.A.3.6.10 The **Generator** shall submit a simulation study representing the response of the **Electricity Storage Module** operating at **Maximum Import Power** followed by a simulated fall in **System Frequency**. The simulation study shall demonstrate that:-
  - (i) For a sufficiently large reduction in the simulated **System Frequency** ramped over 10 seconds over the **Frequency** range 49.5 Hz to 48.85 Hz, the simulation shall be sufficient to demonstrate the tripping of each **Demand** block (as specified in the **Bilateral Agreement**).
  - (ii) The simulation study shall demonstrate the tripping of each **Demand** block at the specified **Frequency** and time of disconnection following the **Frequency** excursion at the specified setting. The simulation study results shall be assessed against the settings in the **Bilateral Agreement**.
- ECP.A.3.6.11 For **Generators** in respect of **Electricity Storage Modules** who can satisfy the **Droop** requirements of ECC.6.3.7.2.3, the **Generator** shall submit simulation studies representing the response of the **Electricity Storage Module**. The simulation studies shall comprise:-

- (i) Initial conditions where the **Electricity Storage Module** shall be operating at its **Maximum Import Power** with the **Electricity Storage Module** in **Limited Frequency Sensitive Mode**.
- (ii) A simulation signal shall be applied which ramps the **System Frequency** from 50Hz to 49.0Hz at a rate of 2Hz/s. The **System Frequency** shall be held at 49.0Hz for 60s and the then ramped back to 50Hz in 10s as shown in Figure ECP.3.6.4.
- (iii) The simulated results should show a reduction in **Active Power** in accordance with the requirement of ECC.6.3.7.2.3.1. When the test injection signal is held at 49.0Hz, the **Active Power** output of the **Electricity Storage Module** should achieve a steady state operating point in no more than 10s and this should be maintained whilst the test frequency signal is held at 49.0Hz.
- (iv) The above simulation described (i) (iii) above shall be repeated but the minimum test frequency applied shall be to 48.8Hz as shown in Figure ECP.3.6.5.
- (v) The above tests shall be repeated when the **Electricity Storage Module** is operating at 40% of its **Maximum Import Power**.

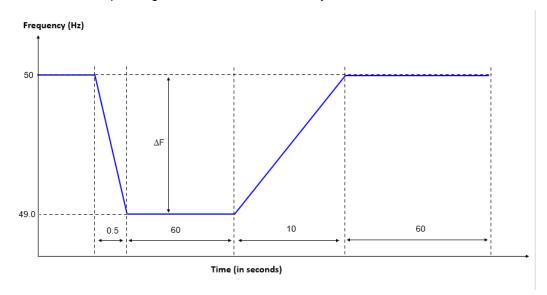


Figure ECP.A.3.6.4

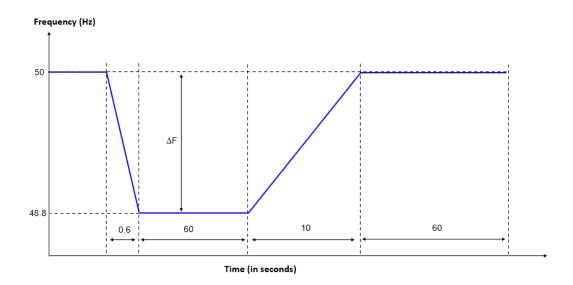


Figure ECP.A.3.6.5

- ECP.A.3.6.12 In addition to the requirements of ECP.A.3.6.11 a set of simulation studies shall be submitted to demonstrate the performance of the **Electricity Storage Module** during extreme **Frequency** conditions. The simulated studies shall comprise:-
  - Initial conditions where the Electricity Storage Module shall be operating at its Maximum Import Power with the Electricity Storage Module in Limited Frequency Sensitive Mode.
  - (ii) A simulation signal which ramps the **System Frequency** from 50Hz to 48.3Hz over 20s. The **System Frequency** shall be held at 48.3Hz for 60s and the then ramped back to 50Hz in 20s as shown in Figure ECP.3.6.6.
  - (iii) The simulation shall demonstrate of the ability of the **Electricity Storage Module** to reach its **Maximum Capacity** (or otherwise) in accordance with the requirements of ECC.6.3.7.2.3. When the test injection signal is held at 48.3Hz, the **Active Power** output of the **Electricity Storage Module** should achieve a steady state operating point in no more than 10s and this should be maintained whilst the test frequency signal is held at 48.3Hz.
  - (iv) An applied simulated signal which ramps from 48.3Hz to 50Hz over a 20s period. The Electricity Storage Module should return back to its Maximum Import Power at 49.5Hz in line with the performance requirements of ECC.6.3.7.2.3.

The above test shall be repeated with the **Electricity Storage Module** is operating at 50% of its **Maximum Import Power**.

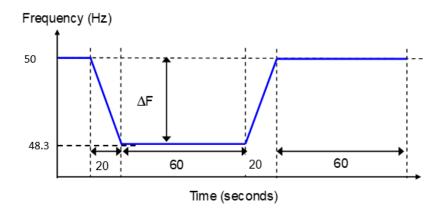


Figure ECP.A.3.6.6

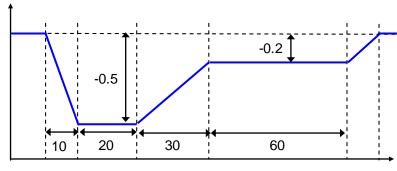
# ECP.A.3.7 <u>Voltage and Frequency Controller Model Verification and Validation</u>

ECP.A.3.7.1 For Type C and Type D Synchronous Power Generating Modules, HVDC Equipment, OTSDUW Plant and Apparatus or Power Park Modules, the Generator (including those undertaking OTSDUW) or HVDC System Owner shall provide simulation studies to verify that the proposed controller models supplied to The Company under the Planning Code are fit for purpose. These simulation study results shall be provided in the timescales stated in the Planning Code.

ECP.A.3.7.2 To demonstrate the **Frequency** control or governor/load controller/plant model the **Generator** or **HVDC System Owner** shall submit a simulation study representing the response of the **Synchronous Power Generating Module**, **HVDC Equipment** or **Power Park Module** operating at 80% of **Maximum Capacity**. The simulation study event shall be equivalent to:

- (i) a ramped reduction in the measured **System Frequency** of 0.5Hz in 10 seconds followed by
- (ii) 20 seconds of steady state with the measured **System Frequency** depressed by 0.5Hz followed by
- (iii) a ramped increase in measured **System Frequency** of 0.3Hz over 30 seconds followed by
- (iv) 60 seconds of steady state with the measured **System Frequency** depressed by 0.2Hz as illustrated in Figure ECP.A.3.7.2 below.





Time (seconds)

#### Figure ECP.A.3.7.2

The simulation study shall show **Active Power** output (MW) and the equivalent of **Frequency** injected.

- ECP.A.3.7.3 To demonstrate the **Excitation System** model the **Generator** shall submit simulation studies representing the response of the **Synchronous Power Generating Module** as follows:
  - (i) operating open circuit at rated terminal voltage and subjected to a 10% step increase in terminal voltage reference from 90% to 100%.
  - (ii) operating at **Rated MW**, nominal terminal voltage and unity **Power Factor** subjected to a 2% step increase in the voltage reference. Where a **Power System Stabiliser** is included within the **Excitation System** this shall be in service.

The simulation study shall show the **Synchronous Power Generating Module** terminal voltage, field voltage, **Active Power**, **Reactive Power** and **Power System Stabiliser** output signal as appropriate.

- ECP.A.3.7.4 To demonstrate the Voltage Controller model the **Generator** (including those undertaking **OTSDUW**) or **HVDC System Owner** shall submit a simulation study representing the response of the **HVDC Equipment**, **OTSDUW Plant** and **Apparatus** or **Power Park Module** operating at **Rated MW** and unity **Power Factor** at the connection point to a 2% step increase in the voltage reference. The simulation study shall show the terminal voltage, **Active Power**, **Reactive Power** and **Power System Stabiliser** output signal as appropriate.
- ECP.A.3.7.5 To validate that the excitation and voltage control models submitted under the Planning Code are a reasonable representation of the dynamic behaviour of the Synchronous Power Generating Module, OTSDUW Plant and Apparatus, HVDC Equipment or Power Park Module as built, the Generator or HVDC System Owner shall repeat the simulation studies outlined above but using the operating conditions of the equivalent tests. The simulation study results shall be displayed overlaid on the actual test results.
- ECP.A.3.7.6 For Type C and Type D Synchronous Power Generating Modules or HVDC Equipment to validate that the governor/load controller/plant or Frequency control models submitted under the Planning Code is a reasonable representation of the dynamic behaviour of the Synchronous Power Generating Module or HVDC Equipment Station as built, the Generator or HVDC System Owner shall repeat the simulation studies outlined above but using the operating conditions of the equivalent tests. The simulation study results shall be displayed overlaid on the actual test results.
- ECP.A.3.8 <u>Sub-synchronous Resonance control and Power Oscillation Damping control</u> for **HVDC System.**
- ECP.A.3.8.1 To demonstrate the compliance of the sub-synchronous control capability with ECC.6.3.17.1) and the terms of the **Bilateral Agreement**, the **HVDC System Owner** shall submit a simulation study report.
- ECP.A.3.8.2 Where power oscillation damping control function is specified on a **HVDC Equipment** the **HVDC System Owner** shall submit a simulation study report to demonstrate the compliance with ECC.6.3.17.2 and the terms of the **Bilateral Agreement**.
- ECP.A.3.8.3 The simulation studies should utilise the **HVDC Equipment** control system models including the settings as required under the **Planning Code**

(PC.A.5.3.2). The network conditions for the above simulation studies should be discussed with **The Company** prior to commencing any simulation studies.

#### ECP.A.3.9 **Grid Forming Plant** verification and validation

- ECP.A.3.9.1 This section applies to **Users** and **Non-CUSC Parties** who own and operate **GBGF-I Plant** to demonstrate the ability of their **Grid Forming Plant** to satisfy the requirements of ECC.6.3.19. For the avoidance of doubt these requirements are not necessary from owner and operators of **GBGF-S Plant**.
- ECP.A.3.9.2 For initial approval **Users** and **Non-CUSC Parties** are required to submit the following data of their **Grid Forming Plant** to **The Company**:
  - a) The representation of their **Grid Forming Plant** in a format either the same as Figure PC.A.5.8.1 of PC.A.5.8.1 or in an equivalent format.
  - b) The data associated with their **Grid Forming Plant** as required in PC.A.5.8.1
  - c) A linearised model and parameters of the Grid Forming Plant in the frequency domain in the same format as required in PC.A.5.8.1 or equivalent.
  - d) A **Network Frequency Perturbation Plot** with a **Nichols Chart** demonstrating the equivalent **Damping Factor**.
  - e) For the items a) to d) the **User** or **Non-CUSC Party** can submit the data in any equivalent format as agreed with **The Company**.
- For **GBGF-I**, the **User** or **Non-CUSC Party** may be required to supply other versions of the **Network Frequency Perturbation Plot** for different input and output signals as defined by **The Company**.
- For final approval, **Users** and **Non-CUSC Parties** are required to demonstrate that the **GBGF\_I** model is capable of supplying **Active ROCOF Response Power**, and **Active Phase Jump Power**, and submit a full 3 phase simulation study in the time domain representing the response of the **Grid Forming Plant** over a range of operating conditions. The simulation study shall comprise of the following stages.
  - i) A simulation study to the equivalent shown in Figure ECP.A.3.9.4.

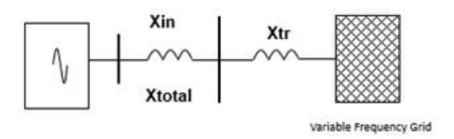


Figure ECP.A.3.9.4

ii) The first simulation test is to demonstrate that the GBGF-I model is capable of supplying Active ROCOF Response Power to the Total System as a result of a System Frequency change. In this simulation, with the Grid Forming Plant initially running at Registered Capacity or Maximum Capacity, the Grid System Frequency is increased from 50Hz to 51Hz at a rate of 1Hz/s with measurements of

- the **Grid Forming Plant's Active ROCOF Response Power**, **System Frequency** and time in (ms). The simulation is required to assess correct operation of the **Grid Forming Plant** without saturating. Repeat for 50Hz to 49Hz at 1Hz.s
- iii) The second simulation test is to demonstrate the **GBGF-I's** ability to supply **Active ROCOF Response Power** and asses its withstand capability under extreme **System Frequencies**. The Grid **System Frequency** is increased from 50Hz to 52Hz at a rate of 1Hz/s with measurements of the **Active ROCOF Response Power**, **System Frequency** and time in (ms). This is repeated when the Grid **System Frequency** is increased from 50Hz to 52Hz at a rate of 2 Hz/s with measurements of the **Active ROCOF Response Power**, **System Frequency** and time in (ms). Repeat for 50Hz to 48 Hz at 1 Hz/s and 50Hz to 48 Hz at 2 Hz/s.
- iv) The third simulation is to demonstrate the **Grid Forming Plant's** ability to supply **Active ROCOF Response Power** over the full **System Frequency** range.
  - (a) With the System Frequency set to 50Hz, the Grid Forming Plant should be initially running at 75% Maximum Capacity or 75% Registered Capacity, zero MVAr output and both Limited Frequency Sensitive Mode and Frequency Sensitive Mode disabled.
  - (b) The System Frequency is then increased from 50Hz to 52Hz at a rate of 1Hz/s over a 2 second period. Allow conditions to stabilise for 5 seconds and then decrease the System Frequency from 52Hz to 47Hz at a rate of 1Hz/s over a 5 second period. Allow conditions to stabilise.
  - (c) Record results of phase based **Active ROCOF Response Power**, **Reactive Power**, voltage and **System Frequency**.
  - (d) The simulation now needs to be re-run in the opposite direction. The same initial conditions should be applied as per ECP.A.3.9.2iv) (a).
  - (e) The System Frequency is then decreased from 50Hz to 47Hz at a rate of 1Hz/s over a 3 second period. Allow conditions to stabilise for 5 seconds and then increase the System Frequency from 47Hz to 52Hz at a rate of 1Hz/s over a 5 second period. Allow conditions to stabilise.
  - (f) Record results of **Active ROCOF Response Power**, **Reactive Power**, voltage and **System Frequency**.
  - (g) The simulation is required to ensure the Grid Forming Plant can deliver Active ROCOF Response Power without going into saturation and that a behaviour that is equivalent to pole slipping does not occur.
- v) The fourth simulation is to demonstrate the **Grid Forming Plant's** ability to supply **Active Phase Jump Power** under normal operation.
  - (a) With the System Frequency set to 50Hz, the Grid Forming Plant should initially be running at Maximum Capacity or Registered Capacity or a suitable loading point to demonstrate Grid Forming Capability as agreed with The Company, zero MVAr output and all control actions (e.g. Limited Frequency Sensitive Mode, Frequency Sensitive Mode and voltage control) disabled.
  - (b) Apply a positive phase jump of the **Phase Jump Angle Limit** value at the **Grid Entry Point** or **User System Entry Point**.

- (c) Record traces of Active Power, Reactive Power, voltage, current and System Frequency for a period of 10 seconds after the step change in phase has been applied. Repeat with a negative phase jump.
- <u>vi)</u> The fifth simulation is to demonstrate the **Grid Forming Plant's** ability to supply **Active Phase Jump Power** under extreme conditions.
  - (a) With the System Frequency set to 50Hz, the Grid Forming Plant should be initially running at its Minimum Stable Operating Level or Minimum Stable Generation, zero MVAr output and all control actions (e.g. Limited Frequency Sensitive Mode, Frequency Sensitive Mode and voltage control) disabled.
  - (b) Apply a phase jump equivalent to the positive **Phase Jump Angle Withstand** value at the **Grid**.
  - (c) Record traces of Active Power, Reactive Power, voltage, current and System Frequency for a period of 10 seconds after the step change in phase has been applied. Repeat with a negative phase jump.
  - (d) Repeat steps (a), (b) and (c) of ECP.A.3.9.4(vi) but on this occasion apply a phase jump equivalent to the positive **Phase Jump Angle Limit** at the Grid.
- vii) The sixth simulation is to demonstrate the **Grid Forming Plant's** ability to supply **Fault Ride Through** and **GBGF Fast Fault Current Injection** during a faulted condition
  - (a) With the System Frequency set to 50Hz, the Grid Forming Plant should be initially running at its Maximum Capacity or Registered Capacity, zero MVAr output and all control actions (e.g., Limited Frequency Sensitive Mode, Frequency Sensitive Mode, GBGF Fast Fault Current Injection, Fault Ride Through and voltage control other than current limiters) disabled.
  - (b) Apply a solid three phase short circuit fault at the **Grid Entry Point** or **User System Entry Point** for 140ms.
  - (c) Record traces of Active Power, Reactive Power, voltage, current and System Frequency for a period of 10 seconds after the fault has been applied. The GBGF-I's current limit should be observed to operate.
  - (d) Repeat steps (a) to (c) but on this occasion with Fault Ride Through, GBGF Fast Fault Current Injection, Limited Frequency Sensitive Mode and voltage control switched into service.
  - (e) Record traces of **Active Power**, **Reactive Power**, voltage, current and **System Frequency** for a period of 10 seconds after the fault has been applied and confirm correct operation.
- ECP.A.3.9.5 To demonstrate the **GBGF-I** model is capable of supplying **Active ROCOF Response Power** and **Active Phase Jump Power**, under extreme conditions the **Grid Forming Plant Owner** shall submit a simulation study representing the response of the **Grid Forming Plant**. To demonstrate the performance of the **Grid Forming Plant** under these conditions, the simulation study shall represent the following scenario.

i) The **User** or **Non-CUSC Party** in respect of **GBGF-I** should supply a simulation study to **The Company** equivalent to Figure ECP.A.3.9.5.

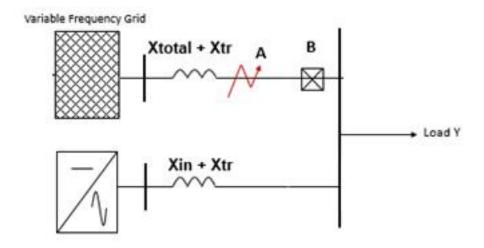


Figure ECP.A.3.9.5

- ii) In this simulation (as shown in Figure ECP.A.3.9.5) the parameters of the variable frequency Grid shall be supplied by **The Company**. The Load Y is also defined by **The Company**.
- iii) With the system running in steady state the **GBGF-I** and the variable frequency AC Grid should each be running at load Y/2 with the **System Frequency** of the test network being 50Hz. All control actions (e.g., **Limited Frequency Sensitive Mode**, **Frequency Sensitive Mode** and voltage control) should be disabled.
- <u>iv)</u> With the system in steady state, apply a solid (zero impedance) three phase short circuit fault at point A of Figure ECP.A.3.9.3 and then open circuit breaker B, 140ms after the fault has been applied.
- v) Record traces of **Active Power**, **Reactive Power**, voltage and **System Frequency** and record for a period of time after fault inception after allowing conditions to stabilise.
- ECP.A.3.9.6 To demonstrate the **Grid Forming Plant** model is capable of contributing to **Active Damping Power**, the **GBGF-I** owner is required to supply a simulation study by injecting a **Test Signal** in the time domain into the model of the **GBGF-I**.

The **GBGF-I** model should take the equivalent form shown in either Figure ECP.A.3.9.6(a) or Figure ECP.A.3.9.6(b) as applicable. Each **User** or **Non-CUSC Party** can use their own design, that may be very different to Figures ECP.A.3.9.6(a) or ECP.A.3.9.6 (b) but should contain all relevant functions. In either case the following tests should be completed, and results supplied to

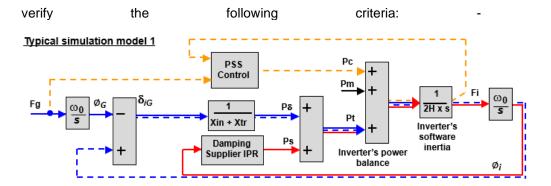


Figure ECP.A.3.9.6(a)

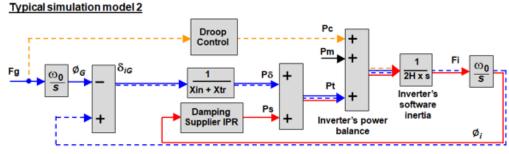


Figure ECP.A.3.9.6(b)

- i) Demonstration of **Damping** by injecting a **Test Signal** in the time domain at the **Grid Oscillation Value** and frequency into the model of the **GBGF-I**. An acceptable performance will be judged when the result matches the **NFP Plot** declared by the **Grid Forming Plant Owner** as submitted in PC.A.5.8.1(i)
- ii) Test i) is repeated with variations in the frequency of the **Test Signal**. An acceptable performance will be judged when the result matches the **NFP Plot** declared by the **Grid Forming Plant Owner** as submitted in PC.A.5.8.1(i).
- Demonstration of phase based Active Control Output Power (or Pc) by injecting a Test Signal into the Grid Forming Plant controller to demonstrate that the Active Control Based Power output is supplied below the 5Hz bandwidth limit. An acceptable performance will be judged where the overshoot and decay matches the Damping Factor declared by the Grid Forming Plant Owner as submitted in PC.A.5.8.1 in addition to assessment against the requirements of CC.A.6.2.6.1 or ECC.A.6.2.6.1 or CC.A.7.2.2.5 or ECC.A.7.2.5.2 as applicable.

# APPENDIX 4

# ONSITE SIGNAL PROVISION FOR WITNESSING TESTS

During any tests witnessed on-site by **The Company**, the following signals shall be provided to **The Company** by the **Generator** undertaking **OTSDUW or HVDC System Owner** in accordance with ECC.6.6.3.

# **ECP.A.4.2** Synchronous Power Generating Modules

| ECP.A.4.2(a)      | MW - Active Power at Synchronous   |  |  |  |  |  |
|-------------------|--|--|--|--|--|--|
| All Tests         | Generating Unit terminals  |  |  |  |  |  |
| ECP.A.4.2(b)      | MVAr - Reactive Power at terminals   |  |  |  |  |  |
| Reactive &        | Vt - Synchronous Generating Unit terminal  |  |  |  |  |  |
| Excitation        | voltage  |  |  |  |  |  |
| System            | • Efd- Synchronous Generating Unit field   |  |  |  |  |  |
|                   | voltage and/or main exciter field voltage  |  |  |  |  |  |
|                   | Ifd — Synchronous Generating Unit Field  |  |  |  |  |  |
|                   | current (where possible)   |  |  |  |  |  |
|                   | Power System Stabiliser output, where  |  |  |  |  |  |
|                   | applicable.  |  |  |  |  |  |
|                   | Noise – Injected noise signal (where applicable)                                 |  |  |  |  |  |
|                   | and possible)  |  |  |  |  |  |
| ECP.A.4.2(c)      |  |  |  |  |  |  |
| Governor System   | <ul><li>Fsys - System Frequency</li><li>Finj - Injected Speed Setpoint</li></ul> |  |  |  |  |  |
| & Frequency       |  |  |  |  |  |  |
| Response          | Logic - Stop / Start Logic Signal     For Gas Turbines:                          |  |  |  |  |  |
|                   | GT Fuel Demand   |  |  |  |  |  |
|                   |  |  |  |  |  |  |
|                   | GT Fuel Valve Position     GT Inlat Oxide Vans Basition                          |  |  |  |  |  |
|                   | GT Inlet Guide Vane Position   |  |  |  |  |  |
|                   | GT Exhaust Gas Temperature   |  |  |  |  |  |
|                   | For Steam Turbines at >= 1Hz:  |  |  |  |  |  |
|                   | Pressure before Turbine Governor Valves  |  |  |  |  |  |
|                   | Turbine Governor Valve Positions   |  |  |  |  |  |
|                   | Governor Oil Pressure*   |  |  |  |  |  |
|                   | Boiler Pressure Set Point *  |  |  |  |  |  |
|                   | Superheater Outlet Pressure *  |  |  |  |  |  |
|                   | <ul> <li>Pressure after Turbine Governor Valves*</li> </ul>                      |  |  |  |  |  |
|                   | Boiler Firing Demand*  |  |  |  |  |  |
|                   | *Where applicable (typically not in CCGT module)                                 |  |  |  |  |  |
|                   | For Hydro Plant:   |  |  |  |  |  |
|                   | Speed Governor Demand Signal   |  |  |  |  |  |
|                   | Actuator Output Signal   |  |  |  |  |  |
|                   | Guide Vane / Needle Valve Position   |  |  |  |  |  |
| ECP.A.4.2(d)      | Fsys - System Frequency  |  |  |  |  |  |
| Compliance with   | Finj - Injected Speed Setpoint   |  |  |  |  |  |
| ECC.6.3.3         | <ul> <li>Appropriate control system parameters as</li> </ul>                     |  |  |  |  |  |
|                   | agreed with <b>The Company</b> (See ECP.A.5.9)                                   |  |  |  |  |  |
|                   |  |  |  |  |  |  |
| ECP.A.4.2(e)      | MW - Synchronous Power Generating  |  |  |  |  |  |
| Real Time on site | Module Active Power at the Grid Entry  |  |  |  |  |  |
| or Down-          | Point or (User System Entry Point if   |  |  |  |  |  |
| loadable          | Embedded).   |  |  |  |  |  |
|                   | MVAr - Synchronous Power Generating  |  |  |  |  |  |
|                   | Module Reactive Power at the Grid Entry  |  |  |  |  |  |
|                   | Point or (User System Entry Point if   |  |  |  |  |  |
|                   | Embedded).   |  |  |  |  |  |

| • | Line-line           | Voltage | (kV) at | the G | rid Entry |
|---|---------------------|---------|---------|-------|-----------|
|   | Point or<br>Embedde | `       | System  | Entry | Point if  |

# ECP.A.4.3 Power Park Modules, OTSDUA and HVDC Equipment

|  | Each Power Park Module and HVDC Equipment  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  | at Grid Entry Point or User System Entry Point   |  |  |  |  |  |  |
| ECP.A.4.3.1(a)                                     | Total Active Power (MW)  |  |  |  |  |  |  |
| Real Time on site.                                 | Total Reactive Power (MVAr)  |  |  |  |  |  |  |
|  | Line-line Voltage (kV)   |  |  |  |  |  |  |
|  | System Frequency (Hz)  |  |  |  |  |  |  |
| ECP.A.4.3.1(b) Real Time on site or Down- loadable | <ul> <li>Injected frequency signal (Hz) or test logic signal (Boolean) when appropriate</li> <li>Injected voltage signal (per unit voltage) or test logic signal (Boolean) when appropriate</li> <li>In the case of an Onshore Power Park Module the</li> </ul>  |  |  |  |  |  |  |
|  | Onshore Power Park Module site voltage (MV) (kV)   |  |  |  |  |  |  |
|  | Power System Stabiliser output, where appropriate  |  |  |  |  |  |  |
|  | <ul> <li>In the case of a Power Park Module or HVDC Equipment where the Reactive Power is provided by more than one Reactive Power source, the individual Reactive Power contributions from each source, as agreed with The Company.</li> <li>In the case of HVDC Equipment appropriate control system parameters as agreed with The Company (See ECP.A.7)</li> <li>In the case of an Offshore Power Park Module the Total Active Power (MW) and the Total Reactive Power (MVAr) at the offshore Grid Entry Point</li> </ul> |  |  |  |  |  |  |
| ECP.A.4.3.1(c) Real Time on site or Down- loadable | <ul> <li>Available power for Power Park Module (MW)</li> <li>Power source speed for Power Park Module (e.g. wind speed) (m/s) when appropriate</li> <li>Power source direction for Power Park Module (degrees) when appropriate</li> <li>See ECP.A.4.3.2</li> </ul>  |  |  |  |  |  |  |

ECP.A.4.3.2 **The Company** accept that the signals specified in ECP.A.4.3.1(c) may have lower effective sample rates than those required in ECC.6.6.3 although any signals supplied for connection to **The Company's** recording equipment which do not meet at least the sample rates detailed in ECC.6.6.3 should have the actual sample rates indicated to **The Company** before testing commences.

## ECP.A.4.3.3 For all **The Company** witnessed testing either;

- (i) the **Generator** or **HVDC System Owner** shall provide to **The Company** all signals outlined in ECP.A.4.3.1 direct from the **Power Park Module** control system without any attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and with a signal update rate corresponding to ECC.6.6.3.2; or
- (ii) in the case of **Onshore Power Park Modules**, the **Generator** or **HVDC System Owner** shall provide signals ECP.A.4.3.1(a) direct from one or more transducer(s) connected to current and voltage transformers for monitoring in real time on site; or,

- (iii) In the case of **Offshore Power Park Modules** and **OTSDUA** signals ECP.A.4.3.1(a) will be provided at the **Interface Point** by the **Offshore Transmission Licensee** pursuant to the **STC** or by the **Generator** when **OTSDUW Arrangements** apply.
- ECP.A.4.3.4 Options ECP.A.4.3.3 (ii) and (iii) will only be available on condition that;
  - (a) all signals outlined in ECP.A.4.3.1 are recorded and made available to The Company by the Generator or HVDC System Owner from the Power Park Module or OTSDUA or HVDC Equipment control systems as a download once the testing has been completed; and
  - (b) the full test results are provided by the **Generator HVDC System**Owner within 2 working days of the test date to **The Company** unless
    The Company agrees otherwise; and
  - (c) all data is provided with a sample rate in accordance with ECC.6.6.3.3 unless **The Company** agrees otherwise; and
  - (d) in **The Company's** reasonable opinion, the solution does not unreasonably add a significant delay between tests or impede the volume of testing which can take place on the day.
- ECP.A.4.3.5 In the case of where transducers connected to current and voltage transformers are installed (ECP.A.4. 3.3(ii) and (iii)), the transducers shall meet the following specification
  - (a) The transducer(s) shall be permanently installed to easily allow safe testing at any point in the future, and to avoid a requirement for recalibration of the current transformers and voltage transformers.
  - (b) The transducer(s) should be directly connected to the metering quality current transformers and voltage transformers or similar.
  - (c) The transducers shall either have a response time no greater than 50ms to reach 90% of output, or no greater than 300ms to reach 99.5%.
- ECP.A.4.3.6 In the case of a **GBGF-I** system, the following signals shall be supplied to **The Company** by the **Grid Forming Plant Owner** in accordance with ECC.6.6.3. For the avoidance of doubt, **User's** and **Non-CUSC Parties** will also be required to undertake the necessary testing of their **Plant** in accordance with the requirements of ECC.A.4 and OC5 as applicable.

|                                       | Each Grid Forming Plant at the Grid Entry Point or User System Entry Point                                     |
|---------------------------------------|--|
| ECP.A.4.3.6(a) Real Time Downloadable | Signals required shall be agreed with <b>The Company</b> in accordance with ECC.6.6.3.2(iv) and ECC.6.6.3.2(v) |

- ECP.A.4.3.7 Testing not witnessed by **The Company** on-site
- ECP.A.4.3.7.1.1 Where **The Company** has decided not to witness testing on-site, the results shall be submitted to **The Company** in spreadsheet format with the signal data in columns arranged as follows. Signal data denoted by "#" is not essential but if not provided the column should remain in place but without values entered. Where two signal names are given in a column these are alternatives related to the type of plant under test.
- ECP.A.4.3.7.1.2 Where **The Company** has requested addition signals to be recorded prior to the testing these signals shall be placed in columns to the right of the spreadsheet.
- ECP.A.4.3.7.2.1 Onshore Synchronous Generating Unit Excitation System and Reactive Capability

|     | Col 1     | Col 2       | Col 3         | Col 4      | Col 5            | Col 6        | Col 7   | Col 8   |
|-----|-----------|-------------|---------------|------------|------------------|--------------|---------|---------|
| 1   | Time      | Active      | Reactive      | Terminal   | Speed            | Freq         | Logic / | Field   |
|     |           | Power       | Power         | Voltage    | /Frequency       | Injection    | Test    | Voltage |
|     |           |             |               |            | #                | #            | Start   |         |
|     |           |             |               |            |                  |              | #       |         |
|     | Col 9     | Col 10      | Col 11        | Col 12     | Col 13           | Col 14       | Col 15  | Col 16  |
| 1   | Field     | PSS         | Noise         |            |                  |              |         |         |
|     | Current   | Output      | Injection     |            |                  |              |         |         |
|     |           | #           | #             |            |                  |              |         |         |
| # ( | Columns n | nay be left | blank but the | e column m | ust still be inc | luded in the | files   |         |

# ECP.A.4.3.7.2.2 Onshore Synchronous Generating Unit Frequency Response and ECC.6.3.3

|     | Col 1                     | Col 2                  | Col 3                  | Col 4                    | Col 5                 | Col 6                | Col 7                    | Col 8                           |
|-----|---------------------------|------------------------|------------------------|--------------------------|-----------------------|----------------------|--------------------------|---------------------------------|
| 2   | Time                      | Active<br>Power        | Reactive<br>Power<br># | Terminal<br>Voltage<br># | Speed<br>/Frequency   | Freq<br>Injection    | Logic /<br>Test<br>Start | Fuel Demand Guide Vane Setpoint |
|     | Col 9                     | Col 10                 | Col 11                 | Col 12                   | Col 13                | Col 14               | Col 15                   | Col 16                          |
| 1   | Inlet<br>Guide<br>Vane    | Exhaust<br>Gas<br>Temp | ST<br>Valve            | Fuel<br>Valve            | HP Steam<br>Valve Pos | IP<br>Steam<br>Valve | LP<br>Steam<br>Valve     |                                 |
| 2   | Guide<br>Vane<br>Position | Head                   | Pos                    | Pos                      | vaive FUS             | Pos                  | Pos                      |                                 |
| # ( | Columns m                 | ay be left b           | olank but mi           | ust still be ir          | ncluded in the        | files                |                          |                                 |

# ECP.A.4.3.7.3.1 Onshore Power Park Modules Voltage Control & Reactive Capability

|   | Col 1 | Col 2  | Col 3    | Col 4     | Col 5     | Col 6    | Col 7   | Col 8      |
|---|-------|--------|----------|-----------|-----------|----------|---------|------------|
| 1 | Time  | Active | Reactive | Connectio | Speed     | Freq     | Logic / | Statcom or |
|   |       | Power  | Power    | n Point   | /Frequenc | Injectio | Test    | Windfarm   |
|   |       |        |          | Voltage   | у         | n        | Start   | Reactive   |
|   |       |        |          |           | #         | #        | #       | Power      |
|   |       |        |          |           |           |          |         | #          |
|   |       |        |          |           |           |          |         |            |

|   | Col 9  | Col 10 | Col 11    | Col 12              | Col 13 | Col 14 | Col 15 | Col 16 |  |  |
|---|--|--------|-----------|---------------------|--------|--------|--------|--------|--|--|
| 1 | Power  |        |           |                     |        |        |        |        |  |  |
|   | Availa   |        |           |                     |        |        |        |        |  |  |
|   | ble  | Wind   | Wind      | Voltogo             |        |        |        |        |  |  |
| 2 | State  | Speed  | Direction | Voltage<br>Setpoint |        |        |        |        |  |  |
|   | of   | Speed  | Direction | Setponit            |        |        |        |        |  |  |
|   | Charg  |        |           |                     |        |        |        |        |  |  |
|   | е  |        |           |                     |        |        |        |        |  |  |
| # | # Columns may be left blank but the column must still be included in the files |        |           |                     |        |        |        |        |  |  |

ECP.A.4.3.7.3.2 Offshore Power Park Modules Voltage Control & Reactive Capability

|     | Col 1      | Col 2          | Col 3       | Col 4     | Col 5             | Col 6      | Col 7 | Col 8    |
|-----|------------|----------------|-------------|-----------|-------------------|------------|-------|----------|
| 1   | Time       | Onshore        | Onshore     | Onshore   | Speed             | Freq       | Logic | Statcom  |
|     |            | Interface      | Interface   | Interface | /Frequency        | Injection  | /     | or       |
|     |            | Point          | Point       | Point     | #                 | #          | Test  | Windfarm |
|     |            | Active         | Reactive    | Voltage   |                   |            | Start | Reactive |
|     |            | Power          | Power       |           |                   |            | #     | Power    |
|     |            |                |             |           |                   |            |       | #        |
|     | Col 9      | Col 10         | Col 11      | Col 12    | Col 13            | Col 14     | Col   | Col 16   |
|     |            |                |             |           |                   |            | 15    |          |
| 1   | Power      | Wind           |             |           |                   |            |       |          |
|     | Available  | Speed          | Wind        | Voltage   |                   |            |       |          |
| 2   | State of   | m/s            | Direction   | Setpoint  |                   |            |       |          |
|     | Charge     | 111/5          |             |           |                   |            |       |          |
| # ( | Columns ma | ay be left bla | ank but the | column mu | st still be inclu | ded in the | files |          |

ECP.A.4.3.7.3.3 Power Park Module Frequency Control

|   | Col 1   | Col 2  | Col 3    | Col 4     | Col 5     | Col 6    | Col 7 | Col 8    |
|---|---|--------|----------|-----------|-----------|----------|-------|----------|
| 1 | Time  | GEP    | GEP      | GEP       | Speed     | Freq     | Logi  | Statcom  |
|   |   | Active | Reactive | Connectio | /Frequenc | Injectio | с/    | or       |
|   |   | Power  | Power    | n         | У         | n        | Test  | Windfar  |
|   |   |        | #        | Voltage   |           |          | Start | m        |
|   |   |        |          | #         |           |          |       | Reactive |
|   |   |        |          |           |           |          |       | Power    |
|   |   |        |          |           |           |          |       | #        |
|   | Col 9   | Col    | Col 11   | Col 12    | Col 13    | Col 14   | Col   | Col 16   |
|   |   | 10     |          |           |           |          | 15    |          |
| 1 |   | Wind   |          |           |           |          |       |          |
|   | Availabl  | Spee   | Wind     |           |           |          |       |          |
|   | е   | d      | Directio |           |           |          |       |          |
| 2 |   | m/s    | n        |           |           |          |       |          |
|   | Charge  | 11//3  |          |           |           |          |       |          |
| # | # Columns may be left blank but must still be included in the files |        |          |           |           |          |       |          |

ECP.A.4.3.8.1 Where test results are completed without the presence of **The Company** but are relied upon as evidence of the compliance they should be accompanied by a logsheet. This sheet should be legible, in English and detail the items as indicated below:

Time and Date of test;

Name of Power Station and Power Generating Module if applicable;

Name of Test engineer(s) and company name;

Name of **Users** representative(s) and company name;

Type of testing being undertake eg Voltage Control;

Ambient conditions eg. temperature, pressure, wind speed, wind direction; and

Controller settings, eg voltage slope, frequency droop, voltage setpoint, UEL & OEL settings

ECP.A.4.3.8.2 For each test the following items should be recorded as relevant to the type of test being undertaken. Where there is uncertainty on the information to be recorded this should be discussed with **The Company** in advance of the test.

# ECP.A.4.3.8.2 .1 Voltage Control Tests

Start time of each test step;

**Active Power**;

#### Reactive Power;

Connection voltage;

Voltage Control Setpoint, if applicable or changed;

Voltage Control Slope, if applicable or changed;

Terminal Voltage if applicable;

Generator transformer tap position or grid transformer tap position, as applicable;

Number of **Power Park Units** in service in each **Power Park Module**, if applicable; and

For offshore connections **Offshore Grid Entry Point** voltage.

# ECP.A.4.3.8.2.2 Reactive Power Capability Tests

Start time of test;

**Active Power**;

**Reactive Power:** 

Connection Voltage;

Terminal Voltage if applicable;

**Generating Unit** transformer tap position or grid transformer tap position as applicable;

Number of **Power Park Units** in service in each **Power Park Module**, if applicable; and

For offshore connections **Offshore Grid Entry Point** voltage.

# ECP.A.4.3.8.2.3 Frequency Response Capability Tests

Start time of test;

**Active Power**;

# System Frequency;

For **CCGT Modules**, **Active Power** for the individual units (GT &ST);

For boiler plant, HP steam pressure;

Droop setting of controller if applicable;

Number of **Power Park Units** in service in each **Power Park Module**, if applicable; and

For offshore connections **Offshore Grid Entry Point Active Power** for each **Power Park Module**.

ECP.A.4.3.8.3 Material changes during the test period should be recorded e.g. **Generating Units** tripping / starting, changes to tapchange positions.

ECP

## **APPENDIX 5**

#### COMPLIANCE TESTING OF SYNCHRONOUS POWER GENERATING MODULES

## ECP.A.5.1 <u>SCOPE</u>

- ECP.A.5.1.1 This Appendix sets out the tests contained therein to demonstrate compliance with the relevant clauses of the **European Connection Conditions** of the **Grid Code**. This Appendix shall be read in conjunction with the ECP with regard to the submission of the reports to **The Company**.
- ECP.A.5.1.2 The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **The Company** may:
  - agree an alternative set of tests provided The Company deem the alternative set of tests sufficient to demonstrate compliance with the Grid Code and Bilateral Agreement; and/or
  - (ii) require additional or alternative tests if information supplied to The Company during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the Grid Code or Bilateral Agreement.
  - (iii) Agree a reduced set of tests for subsequent Synchronous Power Generating Module following successful completion of the first Synchronous Power Generating Module tests in the case of a Power Station comprised of two or more Synchronous Power Generating Modules which The Company reasonably considers to be identical.

lf:

- (a) the tests performed pursuant to ECP.A.5.1.2(iii) in respect of subsequent **Synchronous Power Generating Modules** do not replicate the full tests for the first **Synchronous Power Generating Module**, or
- (b) any of the tests performed pursuant to ECP.A.5.1.2(iii) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

- ECP.A.5.1.3 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **The Company** will witness all of the tests outlined or agreed in relation to this Appendix unless **The Company** decides and notifies the **Generator** otherwise. Reactive Capability tests may be witnessed by **The Company** remotely from **The Company** control centre. For all on site, **The Company** witnessed tests the **Generator** should ensure suitable representatives from the **Generator** and manufacturer (if appropriate) are available on site for the entire testing period. In all cases the **Generator** shall provide suitable monitoring equipment to record all relevant test signals as outlined below in ECP.A.6.1.5.
- ECP.A.5.1.4 The **Generator** shall submit a schedule of tests to **The Company** in accordance with CP.4.3.1.
- ECP.A.5.1.5 Prior to the testing of a Synchronous Power Generating Module the

**Generator** shall complete the **Integral Equipment Test** procedure in accordance with OC.7.5.

- ECP.A.5.1.6 Full **Synchronous Power Generating Module** testing as required by CP.7.2 is to be completed as defined in ECP.A.5.2 through to ECP.A.5.9.
- The Company will permit relaxation from the requirement ECP.A.5.2 to ECP.A.5.9 where an Equipment Certificate for the Synchronous Power Generating Module has been provided which details the characteristics from tests on a representative machine with the same equipment and settings and the performance of the Synchronous Power Generating Module can, in The Company's opinion, reasonably represent that of the installed Synchronous Power Generating Module at that site. For Type B, Type C and Type D Power Generating Modules the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable.
- ECP.A.5.1.8 In the case of a co-located site, for example Electricity Storage Modules or Grid Forming Plant connected within a new or existing Power Station, The Company will accept test results to demonstrate compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules (which could include Grid Forming Plant) and Electricity Storage Modules or Electricity Storage Modules (which could include a Grid Forming Plant) and Generating Units or Power Park Modules. Generators should however be aware that for the purposes of testing, full Grid Code compliance should be demonstrated when, for example, the Electricity Storage Module or Grid Forming Plant is out of service and the remaining Power Generating Module is in service or the Electricity Storage Module or Grid Forming Plant is in service and the Power Generating Module is out of service. In the case of a Synchronous Electricity Storage Module, The Company would expect the full set of tests to be completed as detailed in ECP.A.5.2 to ECP.A.5.9.
- ECP.A.5.2 Excitation System Open Circuit Step Response Tests
- ECP.A.5.2.1 The open circuit step response of the **Excitation System** will be tested by applying a voltage step change from 90% to 100% of the nominal **Synchronous Power Generating Module** terminal voltage, with the **Synchronous Power Generating Module** on open circuit and at rated speed.
- ECP.A.5.2.2 The test shall be carried out prior to synchronisation in accordance with CP.6.4. This is not witnessed by **The Company** unless specifically requested by **The Company**. Where **The Company** is not witnessing the tests, the **Generator** shall supply the recordings of the following signals to **The Company** in an electronic spreadsheet format:
  - Vt Synchronous Generating Unit terminal voltage
    Efd Synchronous Generating Unit field voltage or main exciter field voltage
    Ifd- Synchronous Generating Unit field current (where possible)
    Step injection signal
- ECP.A.5.2.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.
- ECP.A.5.3 Open & Short Circuit Saturation Characteristics
- ECP.A.5.3.1 The test shall normally be carried out prior to synchronisation in accordance with ECP.6.2.4 or ECP.6.3.4 **Equipment Certificates** or **Manufacturer's Test Certificates** may be used where appropriate may be used if agreed by **The Company**.

- ECP.A.5.3.2 This is not witnessed by **The Company**. Graphical and tabular representations of the results in an electronic spreadsheet format showing per unit open circuit terminal voltage and short circuit current versus per unit field current shall be submitted to **The Company**.
- ECP.A.5.3.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

## ECP.A.5.4 Excitation System On-Load Tests

ECP.A.5.4.1 The time domain performance of the **Excitation System** shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage.

## ECP.A.5.4.2 Where a **Power System Stabiliser** is present:

- (i) The PSS must only be commissioned in accordance with BC2.11.2. When a PSS is switched on for the first time as part of on-load commissioning or if parameters have been adjusted the Generator should consider reducing the PSS output gain by at least 50% and should consider reducing the limits on PSS output by at least a factor of 5 to prevent unexpected PSS action affecting the stability of the Synchronous Generating Unit or the National Electricity Transmission System.
- (ii) The time domain performance of the **Excitation System** shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage, repeating with and without the **PSS** in service.
- (iii) The frequency domain tuning of the PSS shall also be demonstrated by injecting a 0.2Hz-3Hz band limited random noise signal into the Automatic Voltage Regulator Setpoint with the Synchronous Generating Unit operating at points specified by The Company (up to rated MVA output).
- (iv) The **PSS** gain margin shall be tested by increasing the **PSS** gain gradually to threefold and observing the **Synchronous Generating Unit** steady state **Active Power** output.
- (v) The interaction of the PSS with changes in Active Power shall be tested by application of a +0.5Hz frequency injection to the governor while the Synchronous Generating Unit is selected to Frequency Sensitive Mode.
- (vi) If the Synchronous Power Generating Module is of the Pumped Storage type then the step tests shall be carried out, with and without the PSS, in the pumping mode in addition to the generating mode. In the case of a Synchronous Electricity Storage Module the tests shall be carried out with and without the PSS in both importing and exporting modes of operation.
- (vii) Where the **Bilateral Agreement** requires that the **PSS** is in service, at a specified loading level, additional testing witnessed by **The Company** will be required during the commissioning process before the **Synchronous Power Generating Module** may exceed this output level.
- (viii) Where the **Excitation System** includes a **PSS**, the **Generator** shall provide a suitable noise source to facilitate noise injection testing.

ECP.A.5.4.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for **The Company** witnessed **PSS** Tests.

| Test | Injection  | Notes |
|------|--|-------|
|      | Synchronous Generating Unit running at Maximum                         |       |
|      | Capacity, unity pf, PSS Switched Off                                   |       |
| 1    | Record steady state for 10 seconds                                     |       |
|      | • Inject +1% step to <b>AVR</b> voltage setpoint and hold for at least |       |
|      | 10 seconds until stabilised  |       |
|      | Remove step returning AVR voltage setpoint to nominal and              |       |
|      | hold for at least 10 seconds   |       |
| 2    | Record steady state for 10 seconds                                     |       |
|      | • Inject +2% step to <b>AVR</b> voltage setpoint and hold for at least |       |
|      | 10 seconds until stabilised  |       |
|      | • Remove step returning <b>AVR</b> voltage setpoint to nominal and     |       |
|      | hold for at least 10 seconds   |       |
| 3    | • Inject band limited (0.2-3Hz) random noise signal into voltage       |       |
|      | Setpoint and measure frequency spectrum of <b>Real Power</b> .         |       |
|      | Remove noise injection.  |       |
|      | Switch On Power System Stabiliser                                      |       |
| 4    | Record steady state for 10 seconds                                     |       |
|      | • Inject +1% step to <b>AVR</b> voltage setpoint and hold for at least |       |
|      | 10 seconds until stabilised  |       |
|      | Remove step returning AVR voltage setpoint to nominal and              |       |
|      | hold for at least 10 seconds   |       |
| 5    | Record steady state for 10 seconds                                     |       |
|      | • Inject +2% step to AVR Voltage Setpoint and hold for at least        |       |
|      | 10 seconds until stabilised  |       |
|      | Remove step returning AVR Voltage Setpoint to nominal and              |       |
|      | hold for at least 10 seconds   |       |
| 6    | • Increase PSS gain at 30second intervals. i.e.                        |       |
|      | x1 - x1.5 - x2 - x2.5 - x3   |       |
| _    | Return PSS gain to initial setting                                     |       |
| 7    | • Inject band limited (0.2-3Hz) random noise signal into voltage       |       |
|      | Setpoint and measure frequency spectrum of Real Power.                 |       |
|      | Remove noise injection.  |       |
| 8    | Select the governor to FSM   |       |
|      | • Inject +0.5 Hz step into governor.                                   |       |
|      | Hold until generator MW output is stabilised                           |       |
|      | Remove step  |       |

## ECP.A.5.5 <u>Under-excitation Limiter Performance Test</u>

- ECP.A.5.5.1 Initially the performance of the **Under-excitation Limiter** should be checked by moving the limit line close to the operating point of the **Synchronous Generating Unit** when operating close to unity **Power Factor**. The operating point of the **Synchronous Generating Unit** is then stepped into the limit by applying a 2% decrease in **Automatic Voltage Regulator** Setpoint voltage.
- ECP.A.5.5.2 The final performance of the **Under-excitation Limiter** shall be demonstrated by testing its response to a step change corresponding to a 2% decrease in **Automatic Voltage Regulator Setpoint** voltage when the **Synchronous Generating Unit** is operating just off the limit line, at the designed setting as indicated on the **Performance Chart** [P-Q Capability Diagram] submitted to **The Company** under OC2.
- ECP.A.5.5.3 Where possible the **Under-excitation Limiter** should also be tested by operating the tap- changer when the **Synchronous Generating Unit** is

operating just off the limit line, as set up.

- ECP.A.5.5.4 The **Under-excitation Limiter** will normally be tested at low active power output and at maximum **Active Power** output.
- ECP.A.5.5.5 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for **The Company** witnessed **Under-excitation Limiter** Tests.

| Test | Injection  | Notes |
|------|--|-------|
|      | Synchronous Generating Unit running at Maximum Capacity and unity Power Factor. Under-excitation limit temporarily moved close to the operating point of the Synchronous Generating Unit.  |       |
| 1    | <ul> <li>PSS on.</li> <li>Inject -2% voltage step into AVR voltage setpoint and hold at least for 10 seconds until stabilised</li> <li>Remove step returning AVR voltage setpoint to nominal and hold for at least 10 seconds</li> </ul> |       |
|      | Under-excitation limit moved to normal position.  Synchronous Generating Unit running at Maximum  Capacity and at leading Reactive Power close to  Under-excitation limit.   |       |
| 2    | <ul> <li>PSS on.</li> <li>Inject -2% voltage step into AVR voltage setpoint and hold at least for 10 seconds until stabilised</li> <li>Remove step returning AVR voltage setpoint to nominal and hold for at least 10 seconds</li> </ul> |       |

#### ECP.A.5.6 Over-excitation Limiter Performance Test

The performance of the Over-excitation Limiter, where it exists, shall be demonstrated by testing its response to a step increase in the Automatic Voltage Regulator Setpoint Voltage that results in operation of the Over-excitation Limiter. Prior to application of the step the Synchronous Generating Unit shall be generating Maximum Capacity and operating within its continuous Reactive Power capability. The size of the step will be determined by the minimum value necessary to operate the Over-excitation Limiter and will be agreed by The Company and the Generator. The resulting operation beyond the Over-excitation Limit shall be controlled by the Over-excitation Limiter without the operation of any protection that could trip the Synchronous Power Generating Module. The step shall be removed immediately on completion of the test.

- ECP.A.5.6.2 If the **Over-excitation Limiter** has multiple levels to account for heating effects, an explanation of this functionality will be necessary and if appropriate, a description of how this can be tested.
- ECP.A.5.6.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for **The Company** witnessed **Under-excitation Limiter** Tests.

| Test | Injection   | Notes |  |
|------|---|-------|--|
|      | Synchronous Generating Unit running at Maximum                |       |  |
|      | Capacity and maximum lagging Reactive Power.                  |       |  |
|      | Over-excitation Limit temporarily set close to this operating |       |  |
|      | point. <b>PSS</b> on.   |       |  |
| 1    | Inject positive voltage step into AVR voltage setpoint and    |       |  |

| hold  |  |
|---|--|
| <ul> <li>Wait until Over-excitation Limiter operates after sufficient time delay to bring back the excitation back to the limit.</li> <li>Remove step returning AVR voltage setpoint to nominal.</li> </ul> |  |
| Over-excitation Limit restored to its normal operating value. <b>PSS</b> on.  |  |

## ECP.A.5.7 Reactive Capability

- ECP.A.5.7.1 The **Reactive Power** capability on each **Synchronous Power Generating Module** will normally be demonstrated by:
  - (a) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and **Maximum Capacity** for 1 hour
  - (b) operation of the Synchronous Power Generating Module at maximum leading Reactive Power and Maximum Capacity for 1 hour.
  - (c) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and **Minimum Stable Operating Level** for 1 hour
  - (d) operation of the Synchronous Power Generating Module at maximum leading Reactive Power and Minimum Stable Operating Level for 1 hour.
  - (e) operation of the **Synchronous Power Generating Module** at maximum lagging **Reactive Power** and a power output between **Maximum Capacity** and **Minimum Stable Operating Level**.
  - (f) operation of the **Synchronous Power Generating Module** at maximum leading **Reactive Power** and a power output between **Maximum Capacity** and **Minimum Stable Operating Level**.

In the case of a **Synchronous Electricity Storage Module**, **The Company** shall have discretion to reduce the durations of the tests set out in ECP.A.5.7.1 (a) - (f), depending upon the capacity of the energy store.

- ECP.A.5.7.2 In the case of an **Embedded Synchronous Power Generating Module** where distribution network considerations restrict the **Synchronous Power Generating Module Reactive Power** output, **The Company** will only require demonstration within the acceptable limits of the **Network Operator's System**.
- ECP.A.5.7.3 The test procedure, time and date will be agreed with **The Company** and will be to the instruction of **The Company** control centre and shall be monitored and recorded at both **The Company** control centre and by the **Generator**.
- ECP.A.5.7.4 Where the **Generator** is recording the voltage, **Active Power** and **Reactive Power** at the HV connection point the voltage for these tests **Active Power** and **Reactive Power** at the **Synchronous Power Generating Module** terminals may also be included. The results shall be supplied in an electronic spreadsheet format. Where applicable the **Synchronous Power Generating Module** transformer tapchanger position should be noted throughout the test period.
- ECP.A.5.8 Governor and Load Controller Response Performance

- ECP.A.5.8.1 The governor and load controller response performance will be tested by injecting simulated frequency deviations into the governor and load controller systems. Such simulated frequency deviation signals must be injected simultaneously at both speed governor and load controller setpoints. For **CCGT modules**, simultaneous injection into all gas turbines, steam turbine governors and module controllers is required.
- ECP.A.5.8.2 Prior to witnessing the governor tests set out in ECP.A.5.8.6, **The Company** requires the **Generator** to conduct the preliminary tests detailed in ECP.A.5.8.4 and send the results to **The Company** for assessment unless agreed otherwise by **The Company**. The results should be supplied in an electronic spreadsheet format. These tests shall be completed at least two weeks prior to the witnessed governor response tests.
- ECP.A.5.8.3 Where a **CCGT module** or **Synchronous Power Generating Module** is capable of operating on alternative fuels, tests will be required to demonstrate performance when operating on each fuel. **The Company** may agree a reduction from the tests listed in ECP.A.5.8.6 for demonstrating performance on the alternative fuel. This includes the case where a main fuel is supplemented by bio-fuel.

Preliminary Governor Frequency Response Testing

ECP.A.5.8.4 Prior to conducting the full set of tests as per ECP.A.5.8.6, **Generators** are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. With the plant running at 80% of full load, the following frequency injections shall be applied.

| Test No   | Frequency Injection   | Notes |
|-----------|---|-------|
| (Figure1) |   |       |
| 8         | Inject -0.5Hz frequency fall over 10 sec                          |       |
|           | Hold for a further 20 sec   |       |
|           | • At 30 sec from the start of the test, Inject a +0.3Hz frequency |       |
|           | rise over 30 sec.   |       |
|           | Hold until conditions stabilise                                   |       |
|           | Remove the injected signal as a ramp over 10 seconds              |       |
| 13        | Inject - 0.5Hz frequency fall over 10 sec                         |       |
|           | Hold until conditions stabilise                                   |       |
|           | Remove the injected signal as a ramp over 10 seconds              |       |
| 14        | Inject +0.5Hz frequency rise over 10 sec                          |       |
|           | Hold until conditions stabilise                                   |       |
|           | Remove the injected signal as a ramp over 10 seconds              |       |
| Н         | Inject - 0.5Hz frequency fall as a stepchange                     |       |
|           | Hold until conditions stabilise                                   |       |
|           | Remove the injected signal as a stepchange                        |       |
| 1         | Inject +0.5Hz frequency rise as a stepchange                      |       |
|           | Hold until conditions stabilise                                   |       |
|           | Remove the injected signal as a stepchange                        |       |

ECP.A.5.8.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **Generator** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company

ECP.A.5.8.6 The tests are to be conducted at a number of different Module Load Points (MLP). The load points are conducted as shown below unless agreed otherwise by **The Company**.

| Module Load Point 6                                 | 100% MEL   |
|---|------------|
| (Maximum Export Limit)                              |            |
| Module Load Point 5                                 | 95% MEL    |
| Module Load Point 4                                 | 80% MEL    |
| (Mid-point of Operating Range)                      |            |
| Module Load Point 3                                 | 70% MEL    |
| Module Load Point 2                                 | MRL+10% or |
| (Lower of MRL+10% or Minimum Stable Operating Level | MSOL       |
| Module Load Point 1                                 | MRL        |
| (Minimum Regulating Level)                          |            |

## ECP.A.5.8.7 The tests are divided into the following three types;

- (i) Frequency response compliance and volume tests as per ECP.A.5.8. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to the target frequency setpoint as per ECP.5.8 Figure 3.
- (ii) System islanding and step response tests as shown by ECP.A.5.8. Figure 2.
- (iii) Frequency response tests in **Limited Frequency Sensitive Mode (LFSM)** to demonstrate **LFSM-O** and **LFSM-U** capability as shown by ECP.A.5.8 Figure 2.
- There should be sufficient time allowed between tests for control systems to reach steady state. Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Synchronous Power Generating Module** or **CCGT Module** has stabilised or 90 seconds, whichever is the longer. The frequency response capability test (see Figure 1) injection signal shall be returned to zero at the same rate at which it was applied. **The Company** may require repeat tests should the tests give unexpected results. When witnessed by **the Company** each test should be carried out as a separate injection, when not witnessed by **the Company** there must be sufficient time allowed between tests for the **Plant** to have reached a stable steady state operating condition or 90 seconds, whichever is the longer.

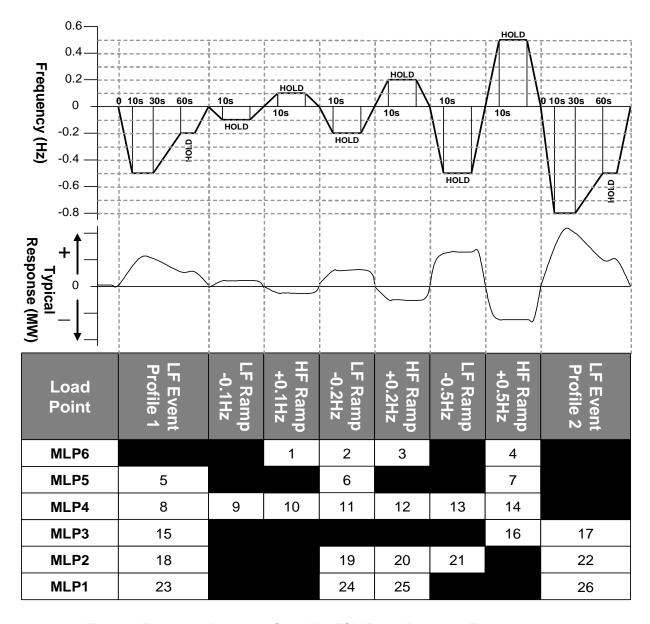


Figure 1: Frequency Response Capability FSM Ramp Response Tests

ECP

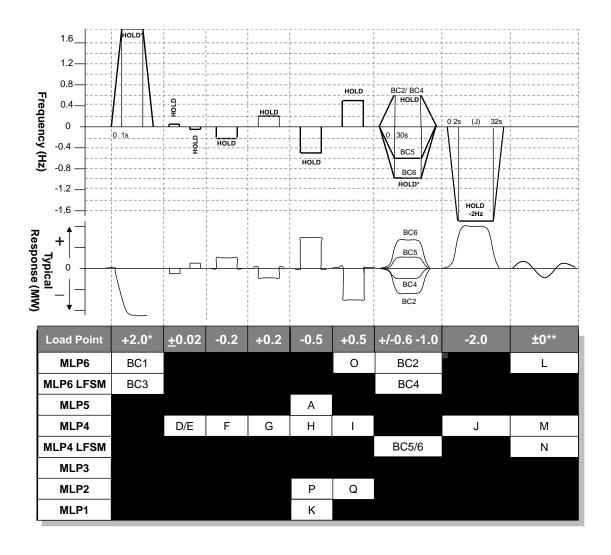


Figure 2: Frequency Response Capability LFSM-O, LFSM-U and FSM Step Response Tests

\* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below the **Minimum Regulating Level** in which case an appropriate injection should be calculated in accordance with the following:

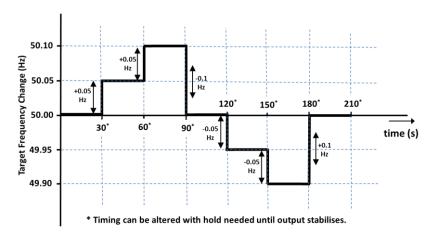
For example, 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Regulating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

| Initial Output                                     | 65%   |
|--|-------|
| Minimum Regulating Level                           | 20%   |
| Frequency Controller Droop                         | 4%    |
| Frequency to be injected = $(0.65-0.20)x0.04x50 =$ | 0.9Hz |

<sup>\*\*</sup> Tests L and M in Figure 2 shall be conducted if in this range of tests the **System Frequency** feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Synchronous Power Generating Module and CCGT Module** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in figure 2 shall be

conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.5.8.9 The **Target Frequency** adjustment facility should be demonstrated from the normal control point within the range of 49.9Hz to 50.1Hz by step changes to the **Target Frequency** setpoint as indicated in ECP.A.5.8 Figure 3 while operating at MLP4.



ECP.A.5.8 Figure 3 – Target Frequency setting changes

### ECP.A.5.9 Compliance with ECC.6.3.3 Functionality Test

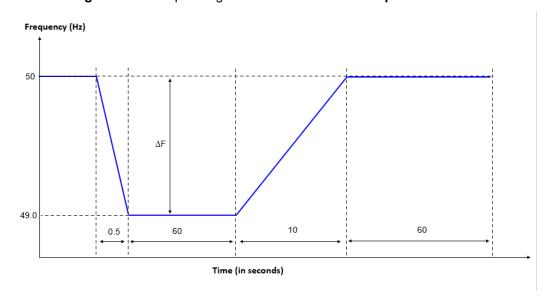
Where the plant design includes active control function or functions to deliver ECC.6.3.3 compliance, the **Generator** will propose and agree a test procedure with **The Company**, which will demonstrate how the **Synchronous Power Generating Module Active Power** output responds to changes in **System Frequency** and ambient conditions (e.g. by **Frequency** and temperature injection methods).

- ECP.A.5.9.2 The **Generator** shall inform **The Company** if any load limiter control is additionally employed.
- ECP.A.5.9.3 With the setpoint to the signals specified in ECP.A.4, **The Company** will agree with the **Generator** which additional control system parameters shall be monitored to demonstrate the functionality of ECC.6.3.3 compliance systems. Where **The Company** recording equipment is not used, results shall be supplied to **The Company** in an electronic spreadsheet format
- ECP.A.5.10 Compliance of **Synchronous Electricity Storage Modules** during low **System Frequencies**

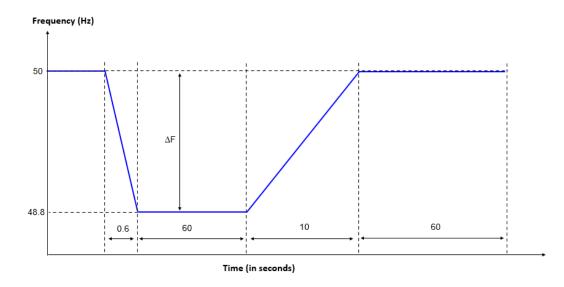
In order to assess the capability of the **Synchronous Electricity Storage Module** the following steps shall be undertaken:

- (i) Prior to the test, the Synchronous Electricity Storage Module shall be operating at its Maximum Import Power with the Synchronous Electricity Storage Module in Limited Frequency Sensitive Mode.
- (ii) A test signal shall be applied which ramps the **System Frequency** from 50Hz to 49.0Hz at a rate of 2Hz/s. The **System Frequency** shall be held at 49.0Hz for 60s and the then ramped back to 50Hz in 10s as shown in Figure 4.

- (iii) The test result shall demonstrate the ability of the **Electricity Storage Module** to meet the requirements of ECC.6.3.7.2.3. When the test injection signal is held at 49.0Hz, the **Active Power** output of the **Synchronous Electricity Storage Module** should achieve a steady state operating point in no more than 0.5 seconds and this should be maintained whilst the test **Frequency** signal is held at 49.0Hz.
- (iv) The above tests described (i) (iii) above shall be repeated but the minimum test frequency applied shall be to 48.8Hz as shown in Figure 5.
- (v) The above tests shall be repeated when the **Synchronous Electricity Storage Module** is operating at 40% of its **Maximum Import Power**.



ECP.A.5.8 Figure 4



ECP.A.5.8 Figure 5

## **APPENDIX 6**

### COMPLIANCE TESTING OF POWER PARK MODULES

#### ECP.A.6.1 SCOPE

- ECP.A.6.1.1 This Appendix outlines the general testing requirements for **Power Park Modules** and **OTSDUA** to demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **The Company** may:
  - agree an alternative set of tests provided The Company deem the alternative set of tests sufficient to demonstrate compliance with the Grid Code, Ancillary Services Agreement and Bilateral Agreement; and/or
  - ii) require additional or alternative tests if information supplied to **The Company** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code**, **Ancillary Services Agreement** or **Bilateral Agreement**; and/or
  - iii) require additional tests if a Power System Stabiliser is fitted; and/or
  - iv) agree a reduced set of tests if a relevant Manufacturer's Data & Performance Report has been submitted to and deemed to be appropriate by The Company; and/or
  - v) agree a reduced set of tests for subsequent Power Park Modules or OTSDUA following successful completion of the first Power Park Module or OTSDUA tests in the case of a Power Station comprised of two or more Power Park Modules or OTSDUA which The Company reasonably considers to be identical.

If:

- (a) the tests performed pursuant to ECP.A.6.1.1(iv) do not replicate the results contained in the **Manufacturer's Data & Performance Report**, or
- (b) the tests performed pursuant to ECP.A.6.1.1(v) in respect of subsequent **Power Park Modules** or **OTSDUA** do not replicate the full tests for the first **Power Park Module** or **OTSDUA**, or
- (c) any of the tests performed pursuant to ECP.A.6.1.1(iv) or ECP.A.6.1.1(v) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

ECP.A.6.1.2 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **The Company** will witness all of the tests outlined or agreed in relation to this Appendix unless **The Company** decides and notifies the **Generator** otherwise. Reactive Capability tests may be witnessed by **The Company** remotely from **The Company** control centre. For all on site **The Company** witnessed tests the **Generator** 

must ensure suitable representatives from the **Generator** and / or **Power Park Module** manufacturer (if appropriate) and/or **OTSDUA** manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by **The Company**, the **Generator** shall record all relevant test signals as outlined in ECP.A.4.

- ECP.A.6.1.3 In addition to the dynamic signals supplied in ECP.A.4 the **Generator** shall inform **The Company** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:
  - (i) All relevant transformer tap numbers; and
  - (ii) Number of **Power Park Units** in operation
- ECP.A.6.1.4 The **Generator** shall submit a detailed schedule of tests to **The Company** in accordance with CP.6.3.1, and this Appendix.
- Prior to the testing of a **Power Park Module** or **OTSDUA**, the **Generator** shall complete the **Integral Equipment Tests** procedure in accordance with OC.7.5.
- ECP.A.6.1.6 Partial **Power Park Module** or **OTSDUA** testing as defined in ECP.A.6.2 and ECP.A.6.3 is to be completed at the appropriate stage in accordance with ECP.6, ECP6.4A, ECP6.4B.
- ECP.A.6.1.7 Full **Power Park Module** or **OTSDUA** testing as required by CP.7.2 is to be completed as defined in ECP.A.6.4 through to ECP.A.6.7.
- ECP.A.6.1.8 Where **OTSDUW Arrangements** apply and prior to the **OTSUA Transfer Time** any relevant **OTSDUW Plant and Apparatus** shall be considered within the scope of testing described in this Appendix. Performance shall be assessed against the relevant Grid Code requirements for **OTSDUW Plant and Apparatus** at the **Interface Point** and other **Generator Plant and Apparatus** at the **Offshore Grid Entry Point**. This Appendix should be read accordingly.
- ECP.A.6.1.9 The Company will permit relaxation from the requirement ECP.A.6.2 to ECP.A.6.8 where an Equipment Certificate for the Power Park Module has been provided which details the characteristics from tests on a representative installation with the same equipment and settings and the performance of the Power Park Module can, in The Company's opinion, reasonably represent that of the installed Power Park Module at that site. For Type B, Type C and Type D Power Park Modules, the relevant Equipment Certificate must be supplied in the Power Generating Module Document or Users Data File structure as applicable.
- In the case of a co-located site, for example Electricity Storage Modules or Grid Forming Plant connected within a new or existing Power Station, The Company will accept test results to demonstrate compliance at the Grid Entry Point or User System Entry Point (if Embedded) through a combination of the capabilities of the Power Generating Modules (which could include a Grid Forming Plant) and Electricity Storage Modules or Electricity Storage Modules (which could include a Grid Forming Plant) and Generating Units or Power Park Modules. Generators should however be aware that for the purposes of testing, full Grid Code compliance should be demonstrated when, for example, the Electricity Storage Module or Grid Forming Plant is out of service and the remaining Power Generating Module is in service and the Power Generating Module is out of service. In the case of a Non-Synchronous

**Electricity Storage Module**, **The Company** would expect the full set of tests to be completed as detailed in ECP.A.6.2 to ECP.A.6.8.

- ECP.A.6.2 Pre 20% (or <50MW) Synchronised Power Park Module Basic Voltage Control Tests
- ECP.A.6.2.1 Before 20% of the **Power Park Module** (or 50MW if less) has commissioned, either voltage control test ECP.A.6.5.6(i) or (ii) must be completed in accordance with ECP.6, ECP.6A or ECP.6B. In the case of an **Offshore Power Park Module** the test must be completed by the **Generator** undertaking **OTSDUW** or the **Offshore Transmission Licencee** under STCP19-5.
- In the case of an **Offshore Power Park Module** which provides all or a portion of the **Reactive Power** capability as described in ECC.6.3.2.5.2 or ECP.6.3.2.6.3 and / or voltage control requirements as described in ECC.6.3.8.5 to enable an **Offshore Transmission Licensee** to meet the requirements of **STC** Section K, the **Generator** is required to cooperate with the **Offshore Transmission Licensee** to conduct the 20% voltage control test. The results in relation to the **Offshore Power Park Module** will be assessed against the requirements in the **Bilateral Agreement**.
- ECP.A.6.3 Power Park Modules with Maximum Capacity ≥100MW Pre 70% Power Park Module Tests
- ECP.A.6.3.1 Before 70% but with at least 50% of the **Power Park Module** commissioned the following **Limited Frequency Sensitive** tests as detailed in ECP.A.6.6.2 must be completed.
  - (a) BC3
  - (b) BC4
- ECP.A.6.4 Reactive Capability Test
- This section details the procedure for demonstrating the reactive capability of an Onshore Power Park Module or an Offshore Power Park Module or OTSDUA which provides all or a portion of the Reactive Power capability as described in ECC.6.3.2.5.2 or ECP.6.3.2.6.3 as applicable (for the avoidance of doubt, an Offshore Power Park Module which does not provide part of the Offshore Transmission Licensee Reactive Power capability as described in ECC.6.3.2.5.1 and ECP.6.3.2.6.1 should complete the Reactive Power transfer / voltage control tests as per section ECP.A.6.8). These tests should be scheduled at a time where there are at least 95% of the Power Park Units within the Power Park Module in service. There should be sufficient MW resource forecasted in order to generate at least 85% of Maximum Capacity of the Power Park Module.
- The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **Power Park Module** or **OTSDUA** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in ECP.A.6.4.5.
- An Embedded Generator or Embedded Generator undertaking OTSDUW should liaise with the relevant Network Operator to ensure the following tests will not have an adverse impact upon the Network Operator's System as per OC.7.5. In situations where the tests have an adverse impact upon the Network Operator's System, The Company will only require demonstration within the acceptable limits of the Network Operator. For the avoidance of doubt, these tests do not negate the requirement to produce a complete Power Park Module or OTSDUA performance chart as specified in OC2.4.2.1

ECP.A.6.4.4 In the case where the **Reactive Power** metering point is not at the same location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **Generator** and **The Company**.

## ECP.A.6.4.5 The following tests shall be completed:

- (i) Operation in excess of 60% Maximum Capacity and maximum continuous lagging Reactive Power for 30 minutes. For the avoidance of doubt this test must start with Active Power output in excess of 85% of Maximum Capacity of the Power Park Module as ECP.A.6.4.1 and must not fall below 60% of Maximum Capacity of the Power Park Module during the 30 minutes.
- (ii) Operation in excess of 60% Maximum Capacity and maximum continuous leading Reactive Power for 30 minutes. For the avoidance of doubt this test must start with Active Power output in excess of 85% of Maximum Capacity of the Power Park Module as ECP.A.6.4.1 and must not fall below 60% of Maximum Capacity of the Power Park Module during the 30 minutes.
- (iii) Operation at 50% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 30 minutes.
- (iv) Operation at 50% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 30 minutes.
- (v) Operation at 20% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.
- (vi) Operation at 20% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- (vii) Operation at less than 20% Maximum Capacity and unity Power Factor for 5 minutes. This test only applies to systems which do not offer voltage control below 20% of Maximum Capacity.
- (viii) Operation at the lower of the Minimum Regulating Level or 0% Maximum Capacity and maximum continuous leading Reactive Power for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.
- (ix) Operation at the lower of the **Minimum Regulating Level** or 0% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.

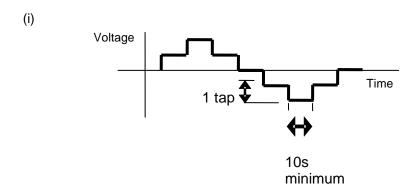
In the case of a **Non-Synchronous Electricity Storage Module**, **The Company** shall have discretion to reduce the duration of the tests required in ECP.A.6.4.5 (i) - (viii) depending upon the capability of the energy store.

ECP.A.6 Within this ECP, lagging Reactive Power is the export of Reactive Power from the Power Park Module to the Total System and leading Reactive Power is the import of Reactive Power from the Total System to the Power Park Module or OTSDUA.

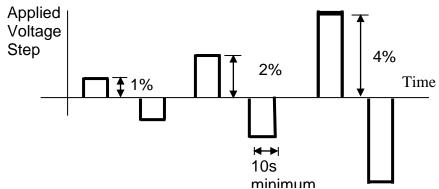
### ECP.A.6.5 Voltage Control Tests

- ECP.A.6.5.1 This section details the procedure for conducting voltage control tests on Onshore Power Park Modules or OTSDUA or an Offshore Power Park Module which provides all or a portion of the voltage control capability as described in ECC.6.3.8.5 (for the avoidance of doubt, Offshore Power Park Modules which do not provide part of the Offshore Transmission Licensee voltage control capability as described in CC6.3.8.5 should complete the Reactive Power transfer / voltage control tests as per section ECP.A.6.8). These tests should be scheduled at a time when there are at least 95% of the Power Park Units within the Power Park Module in service. There should be sufficient MW resource forecasted in order to generate at least 65% of Maximum Capacity of the Onshore Power Park Module. An Embedded Generator or Embedded Generators undertaking OTSDUW should also liaise with the relevant Network Operator to ensure all requirements covered in this section will not have a detrimental effect on the Network Operator's System.
- ECP.A.6.5.2 The voltage control system shall be perturbed with a series of step injections to the **Power Park Module** voltage setpoint, and where possible, multiple upstream transformer taps. In the case of an **Offshore Power Park Module** providing part of the **Offshore Transmission Licensee** voltage control capability this may require a series of step injections to the voltage setpoint of the **Offshore Transmission Licensee** control system.
- ECP.A.6.5.3 For steps initiated using network tap changers, the **Generator** will need to coordinate with **The Company** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per ECP.A.6.5 Figure 1.
- For a step injection into the **Power Park Module** or **OTSDUA** voltage setpoint, steps of ±1%, ±2% and ±4% (or larger if required by **The Company**) shall be applied to the voltage control system setpoint summing junction. The injection shall be maintained for a minimum of 10 seconds as per ECP.A.6.5 Figure 2.
- Where the voltage control system comprises of discretely switched **Plant** and **Apparatus** (eg. mechanically switched shunt reactors or capacitors) additional tests will be required to demonstrate that overall performance of the voltage control system when switching these devices as part of the response is in accordance with **Grid Code** and **Bilateral Agreement** requirements.

## ECP.A.6.5.6 Tests to be completed:



ECP.A.6.5 Figure 1 – Transformer tap sequence for voltage control tests



ECP.A.6.5 Figure 2 – Step injection sequence for voltage control tests

- ECP.A.6.5.7 In the case of **OTSDUA**, where the **Bilateral Agreement** specifies additional damping facilities, additional testing to demonstrate these damping facilities may be required.
- ECP.A.6.5.8 In the case of **Power Park Modules** that do not provide voltage control down to zero **Active Power** a test to demonstrate the smooth transition from voltage control mode to unity **Power Factor** shall be carried out. The **Power Park Module** voltage setpoint should be altered to produce lagging **Reactive Power** or absorbing leading **Reactive Power** at a low **Active Power** level where voltage control is provided. The **Power Park Module Active Power** should then be reduced to zero **Active Power** as a ramp over a short period (60 seconds is suggested).

## ECP.A.6.6 Frequency Response Tests

- This section describes the procedure for performing frequency response testing on a **Power Park Module**. These tests should be scheduled at a time where there are at least 95% of the **Power Park Units** within the **Power Park Module** in service. There should be sufficient MW resource forecasted in order to generate at least 65% of **Maximum Capacity** of the **Power Park Module**.
- The frequency controller shall be in **Frequency Sensitive Mode** or **Limited Frequency Sensitive Mode** as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller setpoint/feedback summing junction. If the injected frequency signal replaces rather than sums with the real **System Frequency** signal then the additional tests outlined in ECP.A.6.6.6 shall be performed with the **Power Park Module** or **Power Park Unit** in normal **Frequency Sensitive Mode** monitoring actual **System Frequency**, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real **System Frequency** for normal variations over a period of time.
- ECP.A.6.6.3 In addition to the frequency response requirements it is necessary to demonstrate the **Power Park Module** ability to deliver a requested steady state power output which is not impacted by power source variation as per ECC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive Mode** at a part-loaded output for a period of 10 minutes as per ECP.A.6.6.6.

Preliminary Frequency Response Testing

ECP.A.6.6.4 Prior to conducting the full set of tests as per ECP.A.6.6.6, **Generators** are

required to conduct the preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. The test should be conducted when sufficient MW resource is forecast in order to generate at least 65% of **Maximum Capacity** of the **Power Park Module**. The following frequency injections shall be applied when operating at module load point 4.

| Test No<br>(Figure1) | Frequency Injection                                   | Notes |
|----------------------|---|-------|
| 8                    | Inject -0.5Hz frequency fall over 10 sec              |       |
|                      | Hold for a further 20 sec                             |       |
|                      | At 30 sec from the start of the test, Inject a +0.3Hz |       |
|                      | frequency rise over 30 sec.                           |       |
|                      | Hold until conditions stabilise                       |       |
|                      | Remove the injected signal as a ramp over 10 seconds  |       |
| 13                   | Inject - 0.5Hz frequency fall over 10 sec             |       |
|                      | Hold until conditions stabilise                       |       |
|                      | Remove the injected signal as a ramp over 10 seconds  |       |
| 14                   | Inject +0.5Hz frequency rise over 10 sec              |       |
|                      | Hold until conditions stabilise                       |       |
|                      | Remove the injected signal as a ramp over 10 seconds  |       |
| Н                    | Inject - 0.5Hz frequency fall as a stepchange         |       |
|                      | Hold until conditions stabilise                       |       |
|                      | Remove the injected signal as a stepchange            |       |
| I                    | Inject +0.5Hz frequency rise as a stepchange          |       |
|                      | Hold until conditions stabilise                       |       |
|                      | Remove the injected signal as a stepchange            |       |

ECP.A.6.6.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **Generator** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company.

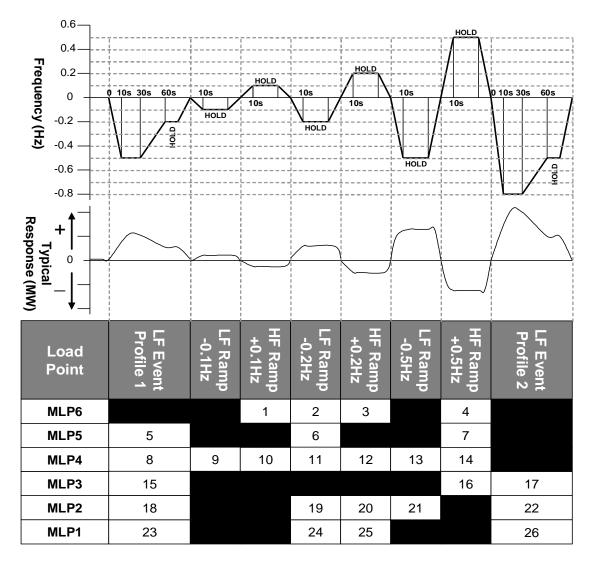
ECP.A.6.6.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of a **Power Park Module** the module load points are conducted as shown below unless agreed otherwise by **The Company**.

| Module Load Point 6                                | 100% MEL              |
|--|-----------------------|
| (Maximum Export Limit)                             |                       |
| Module Load Point 5                                | 90% MEL               |
| Module Load Point 4                                | 80% MEL               |
| Module Load Point 3                                | MRL+0.6 x (MEL – MRL) |
| Module Load Point 2                                | MRL+0.3 X (MEL – MRL) |
| Lower of MRL + 0.3 x (MEL - MRL) or Minimum Stable | or MSOL               |
| Operating Level                                    |                       |
| Module Load Point 1                                | MRL                   |
| (Minimum Regulating Level)                         |                       |

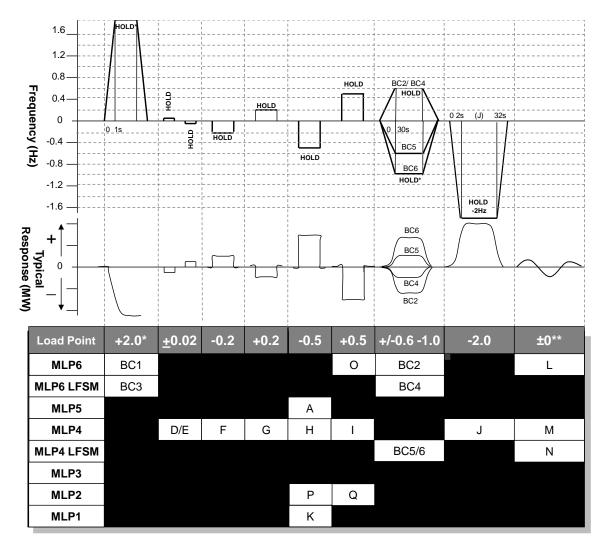
- ECP.A.6.6.7 The tests are divided into the following two types;
  - (i) Frequency response compliance and volume tests as per ECP.A.6.6. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to target frequency setpoint as per ECP.A.6.6 Figure 3.

- (ii) System islanding and step response tests as shown by ECP.A.6.6. Figure 2.
- (iii) Frequency response tests in **Limited Frequency Sensitive Mode (LFSM)** to demonstrate **LFSM-O** and **LFSM-U** capability as shown by ECP.A.6.6 Figure 2.

There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Power Park Module** has stabilised or 90 seconds, whichever is the longer. All frequency response tests should be removed over the same timescale for which they were applied. **The Company** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results. When witnessed by **The Company** each test should be carried out as a separate injection, when not witnessed by **The Company** there must be sufficient time allowed between tests for the **Active Power** (MW) output of the **Power Park Module** to have stabilised or 90 seconds, whichever is the longer.



ECP.A.6.6. Figure 1 – Frequency Response Capability FSM Ramp Response tests



ECP.A.6.6. Figure 2 – Frequency Response Capability LFSM-O, LFSM-U, FSM Step Response tests

\* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below the **Minimum Regulating Level** in which case an appropriate injection should be calculated in accordance with the following:

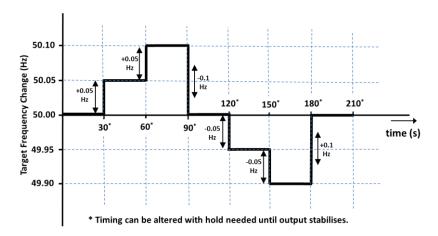
For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Regulating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

| Initial Output                                     | 65%   |
|--|-------|
| Minimum Regulating Level                           | 20%   |
| Frequency Controller Droop                         | 4%    |
| Frequency to be injected = $(0.65-0.20)x0.04x50 =$ | 0.9Hz |

\*\* Tests L and M in Figure 2 shall be conducted if in this range of tests the **System Frequency** feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Power Park Module** in **Frequency Sensitive Mode** during normal **System Frequency** variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

### ECP.A.6.6.9 The **Target Frequency** adjustment facility should be demonstrated from the

normal control point within the range of 49.9Hz to 50.1Hz by step changes to the **Target Frequency** setpoint as indicated in ECP.A.6.6 Figure 3 while operating at MLP4.

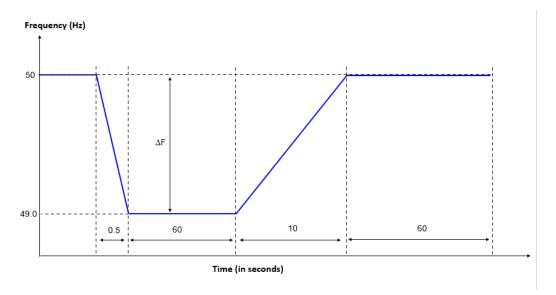


ECP.A.6.6. Figure 3 – Target Frequency setting changes

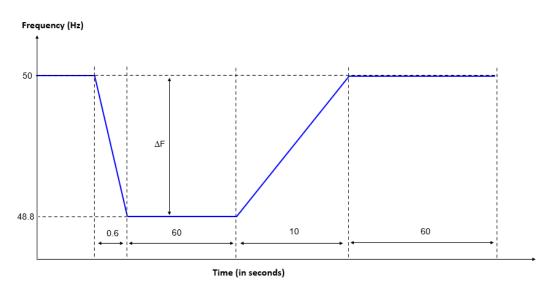
# ECP.A.6.6.10 Compliance of **Non-Synchronous Electricity Storage Modules** during low **System Frequencies**

In order to assess the capability of the **Non-Synchronous Electricity Storage Module** the following steps shall be undertaken.

- (i) Prior to the test, the Non-Synchronous Electricity Storage Module shall be operating at its Maximum Import Power with the Non-Synchronous Electricity Storage Module in Limited Frequency Sensitive Mode.
- (ii) A test signal shall be applied which ramps the **System Frequency** from 50Hz to 49.0Hz at a rate of 2Hz/s. The **System Frequency** shall be held at 49.0Hz for 60s and the then ramped back to 50Hz in 10s as shown in Figure 4.
- (iii) The test result shall demonstrate the ability of the **Electricity Storage Module** to meet the requirements of ECC.6.3.7.2.3. When the test injection signal is held at 49.0Hz, the **Active Power** output of the **Non-Synchronous Electricity Storage Module** should achieve a steady state operating point in no more than 0.5 seconds and this should be maintained whilst the test **Frequency** signal is held at 49.0Hz.
- (iv) The above tests described (i) (iii) above shall be repeated but the minimum test frequency applied shall be to 48.8Hz as shown in Figure 5.
- (v) The above tests shall be repeated when the Non-Synchronous Electricity Storage Module is operating at 40% of its Maximum Import Power.



ECP.A.6.6 Figure 4



ECP.A.6.6 Figure 5

## ECP.A.6.7 Fault Ride Through Testing

ECP.A.6.7.1 This section describes the procedure for conducting **Fault Ride Through** tests on a single **Power Park Unit** as required by ECP.7.2.2(d).

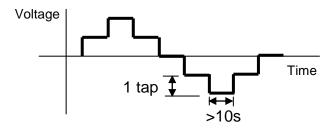
The test circuit will utilise the full **Power Park Unit** (e.g. in the case of a wind turbine it would include the full wind turbine nacelle structure, all inverters and converters along with step up transformer to medium voltage, all control systems including pitch control emulation) and shall be conducted with sufficient power input resource available to produce at least 95% of the **Maximum Capacity** of the **Power Park Unit**. The test will comprise of a number of controlled short circuits applied to a test network to which the **Power Park Unit** is connected, typically comprising of the **Power Park Unit** transformer and a test impedance or other decoupling equipment to shield the connected network from voltage dips at the **Power Park Unit** terminals.

- ECP.A.6.7.3 In each case, the tests should demonstrate the minimum voltage at the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer which the **Power Park Unit** can withstand for the length of time specified in ECP.A.6.7.5. Any test results provided to **The Company** should contain sufficient data pre and post fault in order to determine steady state values of all signals, and the power recovery timescales.
- In addition to the signals outlined in ECP.A.4.2. the following signals from either the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer should be provided for this test only:
  - (i) Phase voltages
  - (ii) Positive phase sequence and negative phase sequence voltages
  - (iii) Phase currents
  - (iv) Positive phase sequence and negative phase sequence currents
  - (v) Estimate of **Power Park Unit** negative phase sequence impedance
  - (vi) MW Active Power at the Power Generating Module.
  - (vii) MVAr Reactive Power at the Power Generating Module.
  - (viii) Mechanical Rotor Speed
  - (ix) Real / reactive, current / power Setpoint as appropriate
  - (x) **Fault Ride Through** protection operation (e.g. a crowbar in the case of a doubly fed induction generator)
  - (xi) Any other signals relevant to the control action of the **Fault Ride Through** control deemed applicable for model validation.

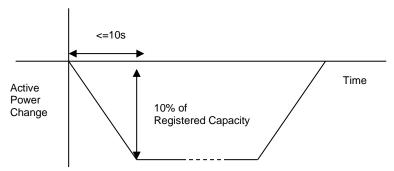
At a suitable frequency rate for fault ride through tests as agreed with **The Company**.

- ECP.A.6.7.5 The tests should be conducted for the times and fault types indicated in ECC.6.3.15 as applicable.
- ECP.A.6.8 Reactive Power Transfer / Voltage Control Tests for Offshore Power Park
  Modules
- In the case of an Offshore Power Park Module which provides all or a portion of the Reactive Power capability as described in ECP.6.3.2.5.2 or ECP.6.3.6.3 and / or voltage control requirements as described in ECC.6.3.8.5 to enable an Offshore Transmission Licensee to meet the requirements of STC Section K, the testing, will comprise of the entire control system responding to changes at the onshore Interface Point. Therefore, the tests in this section ECP.A.6.8 will not apply. The Generator shall cooperate with the relevant Offshore Transmission Licensee to facilitate these tests as required by The Company. The testing may be combined with testing of the corresponding Offshore Transmission Licensee requirements under the STC. The results in relation to the Offshore Power Park Module will be assessed against the requirements in the Bilateral Agreement.
- In the case of an Offshore Power Park Module which does not provide part of the Offshore Transmission Licensee Reactive Power capability the following procedure for conducting Reactive Power transfer control tests on Offshore Power Park Modules and / or voltage control system as per ECC.6.3.2.5 and ECC.6.3.2.6 apply. These tests should be carried out prior to 20% of the Power Park Units within the Offshore Power Park Module being synchronised, and again when at least 95% of the Power Park Units within the Offshore Power Park Module in service. There should be sufficient power resource forecast to generate at least 85% of the Maximum Capacity of the Offshore Power Park Module.

- ECP.A.6.8.3 The **Reactive Power** control system shall be perturbed by a series of system voltage changes and changes to the **Active Power** output of the **Offshore Power Park Module**.
- **System** voltage changes should be created by a series of multiple upstream transformer taps. The **Generator** should coordinate with **The Company** or the relevant **Network Operator** in order to conduct the required tests. The time between transformer taps should be at least 10 seconds as per ECP.A.6.8 Figure 1.
- ECP.A.6.8.5 The **Active Power** output of the **Offshore Power Park Module** should be varied by applying a sufficiently large step to the frequency controller Setpoint/feedback summing junction to cause a 10% change in output of the **Maximum Capacity** of the **Offshore Power Park Module** in a time not exceeding 10 seconds. This test does not need to be conducted provided that the frequency response tests as outlined in ECP.A.6.6 are completed.
- ECP.A.6.8.6 The following diagrams illustrate the tests to be completed:



ECP.A.6.8 Figure 1 – Transformer tap sequence for reactive transfer tests



ECP.A.6.8 Figure 2 – **Active Power** ramp for reactive transfer tests

#### APPENDIX 7

### COMPLIANCE TESTING FOR HVDC EQUIPMENT

### ECP.A.7.1 SCOPE

- ECP.A.7.1.1 This Appendix outlines the general testing requirements for HVDC System Owners to demonstrate compliance with the relevant aspects of the Grid Code, Ancillary Services Agreement and Bilateral Agreement. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however The Company may:
  - agree an alternative set of tests provided The Company deem the alternative set of tests sufficient to demonstrate compliance with the Grid Code, Ancillary Services Agreement and Bilateral Agreement; and/or
  - require additional or alternative tests if information supplied to The Company during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the Grid Code, Ancillary Services Agreement or Bilateral Agreement; and/or
  - iii) require additional tests if control functions to improve damping of power system oscillations and/or subsynchronous resonance torsional oscillations required by the **Bilateral Agreement** or included in the control scheme and active: and/or
  - iv) agree a reduced set of tests for subsequent HVDC Equipment following successful completion of the first HVDC Equipment tests in the case of an installation comprising of two or more HVDC Systems or DC Connected Power Park Modules which The Company reasonably considers to be identical.

If:

- (a) the tests performed pursuant to ECP.A.7.1.1(iv) in respect of subsequent HVDC Systems or DC Connected Power Park Modules do not replicate the full tests for the first HVDC Equipment, or
- (b) any of the tests performed pursuant to ECP.A.7.1.1(iv) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral**
- ECP.A.7.1.2 The HVDC System Owner is responsible for carrying out the tests set out in and in accordance with this Appendix and the HVDC System Owner retains the responsibility for the safety of personnel and plant during the test. The **HVDC System Owner** is responsible for ensuring that suitable arrangements are in place with the Externally Interconnected System Operator to facilitate testing. The Company will witness all of the tests outlined or agreed in relation to this Appendix unless The Company decides and notifies the HVDC System Owner otherwise. Reactive Capability tests if required, may be witnessed by The Company remotely from The Company control centre. For all on site at The Company witnessed tests, the HVDC System Owner must ensure suitable representatives from the HVDC System Owner and / or HVDC **Equipment** manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by The Company, the HVDC System Owner shall record all relevant test signals as outlined in ECP.A.4.

- ECP.A.7.1.3 In addition to the dynamic signals supplied in ECP.A.4 the **HVDC System**Owner shall inform **The Company** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:
  - (i) All relevant transformer tap numbers.
- ECP.A.7.1.4 The **HVDC System Owner** shall submit a detailed schedule of tests to **The Company** in accordance with CP.6.3.1, and this Appendix.
- Prior to the testing of **HVDC Equipment**, the **HVDC System Owner** shall complete the **Integral Equipment Tests** procedure in accordance with OC.7.5.
- ECP.A.7.1.6 Full **HVDC Equipment** testing as required by ECP.7.2 is to be completed as defined in ECP.A.7.2 through to ECP.A.7.5.
- The Company will permit relaxation from the requirement ECP.A.7.2 to ECP.A.7.5 where an Equipment Certificate for HVDC Equipment has been provided which details the characteristics from tests on a representative installation with the same equipment and settings and the performance of the HVDC Equipment can, in The Company's opinion, reasonably represent that of the installed HVDC Equipment at that site. The relevant Equipment Certificate must be supplied in the Users Data File structure.
- ECP.A.7.1.8 The Company may agree a reduction from the requirement ECP.A.7.2 to ECP.A.7.5 for on-site testing where suitable factory acceptance testing on a representative installation with the same equipment and settings of the HVDC Equipment that can, in The Company's opinion, reasonably represent the performance of the installed HVDC Equipment at that site. This is also conditional on The Company and the DC Converter Station owner agreeing sufficient on site testing of the fully commissioned DC Converter Station to demonstrate that the factory acceptance tests are valid. If in the reasonable opinion of The Company, the on-site testing does not demonstrate the factory acceptance tests are valid then the full set of on-site tests should be carried out.

## ECP.A.7.2 Reactive Capability Test

- This section details the procedure for demonstrating the reactive capability of HVDC Equipment. These tests should be scheduled at a time where there are sufficient MW resource forecasted in order to import and export full Maximum Capacity of the HVDC Equipment.
- ECP.A.7.2.2 The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **HVDC Equipment** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in ECP.A.7.2.5.
- ECP.A.7.2.3 Embedded HVDC System Owners should liaise with the relevant Network Operator to ensure the following tests will not have an adverse impact upon the Network Operator's System as per OC.7.5. In situations where the tests have an adverse impact upon the Network Operator's System, The Company will only require demonstration within the acceptable limits of the Network Operator. For the avoidance of doubt, these tests do not negate the requirement to produce a complete HVDC Equipment performance chart as specified in OC2.4.2.1
- ECP.A.7.2.4 In the case where the **Reactive Power** metering point is not at the same

location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **HVDC System Owner** and **The Company**.

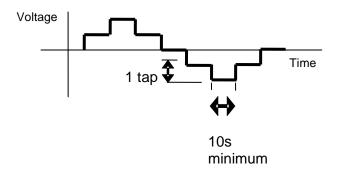
- ECP.A.7.2.5 The following tests shall be completed for both importing and exporting of **Active Power** for a **DC Converter**:
  - (i) Operation at **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
  - (ii) Operation at **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.
  - (iii) Operation at 50% **Maximum Capacity** and maximum continuous leading **Reactive Power** for 60 minutes.
  - (iv) Operation at 50% **Maximum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
  - (v) Operation at **Minimum Capacity** and maximum continuous leading Reactive Power for 60 minutes.
  - (vi) Operation at **Minimum Capacity** and maximum continuous lagging **Reactive Power** for 60 minutes.
- For the avoidance of doubt, lagging Reactive Power is the export of Reactive Power from the HVDC Equipment to the Total System and leading Reactive Power is the import of Reactive Power from the Total System to the HVDC Equipment.
- ECP.A.7.3 Not used

## ECP.A.7.4 <u>Voltage Control Tests</u>

- ECP.A.7.4.1 This section details the procedure for conducting voltage control tests on HVDC Equipment. These tests should be scheduled at a time where there is sufficient MW resource in order to import and export Maximum Capacity of the HVDC Equipment. An Embedded HVDC System Owner should also liaise with the relevant Network Operator to ensure all requirements covered in this section will not have a detrimental effect on the Network Operator's System.
- ECP.A.7.4.2 The voltage control system shall be perturbed with a series of step injections to the **HVDC Equipment** voltage Setpoint, and where possible, multiple upstream transformer taps.
- ECP.A.7.4.3 For steps initiated using network tap changers the **HVDC System Owner** will need to coordinate with **The Company** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per ECP.A.7.4 Figure 1.
- For step injection into the **HVDC Equipment** voltage setpoint, steps of ±1%, ±2% and ±4% shall be applied to the voltage control system setpoint summing junction. The injection shall be maintained for 10 seconds as per ECP.A.7.4 Figure 2.
- ECP.A.7.4.5 Where the voltage control system comprises of discretely switched plant and apparatus, additional tests will be required to demonstrate that its performance is in accordance with **Grid Code** and **Bilateral Agreement** requirements.

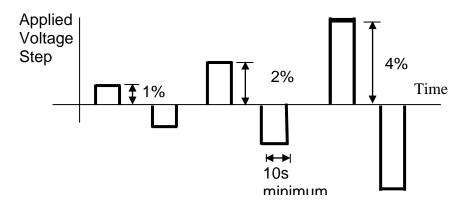
## ECP.A.7.4.6 Tests to be completed:

(i)



ECP.A.7.4 Figure 1 – Transformer tap sequence for voltage control tests

**ECP** 



ECP.A.7.4 Figure 2 – Step injection sequence for voltage control tests

## ECP.A.7.5 <u>Frequency Response Tests</u>

- ECP.A.7.5.1 This section describes the procedure for performing frequency response testing on HVDC Equipment. These tests should be scheduled at a time where there is sufficient MW resource in order to import and export full Maximum Capacity of the HVDC Equipment. The HVDC System Owner is responsible for ensuring that suitable arrangements are in place with the Externally Interconnected System Operator to facilitate the Active Power changes required by these tests
- Frequency Sensitive Mode as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller Setpoint/feedback summing junction. If the injected frequency signal replaces rather than sums with the real System Frequency signal, then the additional tests outlined in ECP.A.7.5.6 shall be performed with the HVDC Equipment in normal Frequency Sensitive Mode monitoring actual System Frequency, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real System Frequency for normal variations over a period of time.
- ECP.A.7.5.3 In addition to the frequency response requirements, it is necessary to demonstrate the **HVDC Equipment** ability to deliver a requested steady state power output which is not impacted by power source variation as per ECC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive**Mode at a part-loaded output for a period of 10 minutes as per ECP.A.7.5.6.

Preliminary Frequency Response Testing

ECP.A.7.5.4 Prior to conducting the full set of tests as per ECP.A.7.5.6, **HVDC System Owners** are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. These tests should be scheduled at a time where there is sufficient MW resource in order to export full **Maximum Capacity** from the **HVDC Equipment**. The following frequency injections shall be applied when operating at module load point 4.

| Test No Frequency Injection Notes |
|-----------------------------------|
|-----------------------------------|

| (Figure1) |   |  |
|-----------|---|--|
| 8         | <ul> <li>Inject -0.5Hz frequency fall over 10 sec</li> <li>Hold for a further 20 sec</li> <li>At 30 sec from the start of the test, Inject a +0.3Hz frequency rise over 30 sec.</li> <li>Hold until conditions stabilise</li> <li>Remove the injected signal as a ramp over 10 seconds</li> </ul> |  |
| 13        | <ul> <li>Inject - 0.5Hz frequency fall over 10 sec</li> <li>Hold until conditions stabilise</li> <li>Remove the injected signal as a ramp over 10 seconds</li> </ul>  |  |
| 14        | <ul> <li>Inject +0.5Hz frequency rise over 10 sec</li> <li>Hold until conditions stabilise</li> <li>Remove the injected signal as a ramp over 10 seconds</li> </ul>   |  |
| Н         | <ul> <li>Inject - 0.5Hz frequency fall as a stepchange</li> <li>Hold until conditions stabilise</li> <li>Remove the injected signal as a stepchange</li> </ul>  |  |
| 1         | <ul> <li>Inject +0.5Hz frequency rise as a stepchange</li> <li>Hold until conditions stabilise</li> <li>Remove the injected signal as a stepchange</li> </ul>   |  |

ECP.A.7.5.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1Hz to allow **The Company** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **The Company**. The **HVDC System Owner** shall supply the recordings including data to **The Company** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by The Company

ECP.A.7.5.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of **HVDC Equipment** the load points are conducted as shown below unless agreed otherwise by **The Company**.

| Module Load Point 6                               | 100% MaxHAPTC       |
|---|---------------------|
| (Maximum HVDC Active Power Transmission Capacity) |                     |
| Module Load Point 5                               | 90% MaxHAPTC        |
| Module Load Point 4                               | 80% MaxHAPTC        |
| Module Load Point 3                               | MinHAPTC+0.6 x (80% |
|   | MaxHAPTC-MinHAPTC)  |
| Module Load Point 2                               | MinHAPTC+0.3 x (80% |
|   | MaxHAPTC-MinHAPTC)  |
| Module Load Point 1                               | MinHAPTC            |
| (Minimum HVDC Active Power Transmission Capacity) |                     |

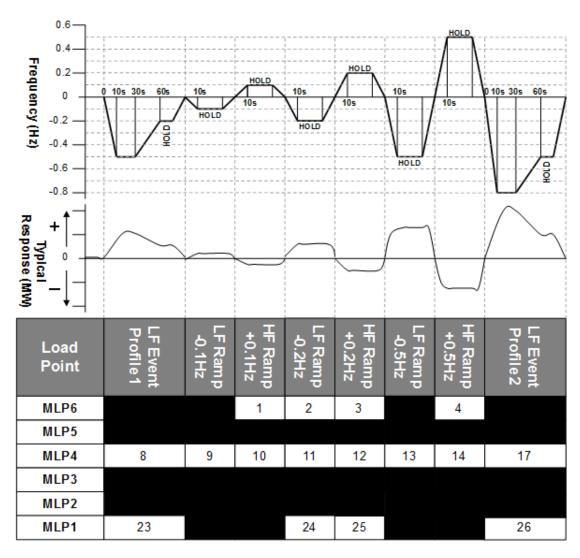
ECP

## ECP.A.7.5.7 The tests are divided into the following two types;

- (i) Frequency response compliance and volume tests as per ECP.A.7.5. Figure 1. These tests consist of frequency profile and ramp tests and adjustments to **Target Frequency** setpoint as per ECP.A.7.5 Figure 3.
- (ii) System islanding and step response tests as shown by ECP.A.7.5 Figure 2

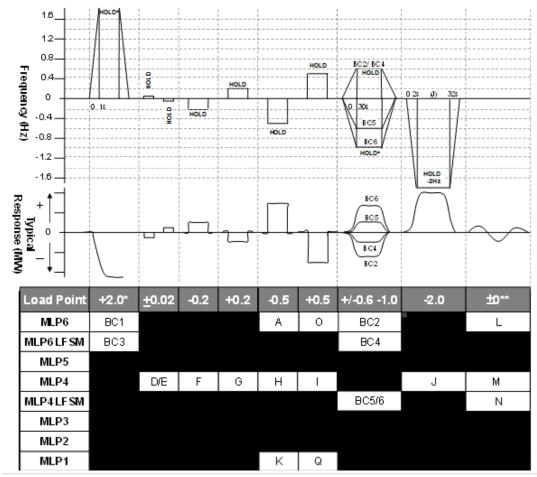
ECP.A.7.5. Fig 1 and 2 are shown for the Importing of **Active Power**, simulated frequency polarity should be reversed when exporting **Active Power**.

There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **HVDC Equipment** has stabilised or 90 seconds whichever is the longer. All frequency response tests should be removed over the same timescale for which they were applied. **The Company** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results. When witnessed by **The Company** each test should be carried out as a separate injection, when not witnessed by **The Company** there must be sufficient time allowed between tests for the **Active Power** (MW) output of the **HVDC Equipment** to have stabilised or 90 seconds, whichever is the longer.



ECP.A.7.5. Figure 1 - Frequency Response Capability FSM Ramp Response tests

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ECP.A.7.5. Figure 2 – Frequency Response Capability LFSM-O, LFSM-U, FSM Step Response tests

\* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below the **Minimum Regulating Level** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Minimum Regulating Level** is not 20%, then the injected step should be adjusted accordingly as shown in the example given below

Initial Output 65%

Minimum Regulating Level 20%

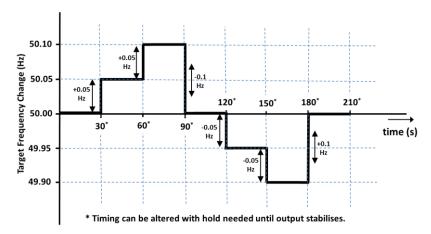
Frequency Controller Droop 4%

Frequency to be injected = (0.65-0.20)x0.04x50 = 0.9Hz

\*\* Tests L and M in Figure 2 shall be conducted if in this range of tests the **System Frequency** feedback signal is replaced by the injection signal rather than the injection signal being added to the **System Frequency** signal. The tests will consist of monitoring the **HVDC Equipment** in **Frequency Sensitive Mode** during normal **System Frequency** variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

ECP.A.7.5.9 The target frequency adjustment facility should be demonstrated from the normal control point within the range of 49.9Hz to 50.1Hz by step changes to

the **Target Frequency** setpoint as indicated in ECP.A.7.5 Figure 3 while operating at MLP4.



ECP.A.7.5. Figure 3 – Target Frequency setting changes

ECP

### **APPENDIX 8**

## SIMULATION STUDIES AND COMPLIANCE TESTING FOR NETWORK OPERATORS AND NON-EMBEDDED CUSTOMERS PLANT AND APPARATUS

- ECP.A.8.1 <u>Compliance testing for disconnection and reconnection of Network Operator's</u>
  Plant and Apparatus
- ECP.A.8.1.1 **Network Operators** shall comply with the following applicable requirements in respect of **EU Grid Supply Points**:
  - (i) Demand disconnection schemes:
  - (ii) Synchronising; and/or
  - (iii) low frequency demand disconnection;
- ECP.A.8.1.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement**. Any requirements for testing shall be agreed with the **User** where such requirements are applicable.
- ECP.A.8.1.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.
- ECP.A.8.1.4 **Network Operators** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 for their entire distribution **System**.
- ECP.A.8.1.5 An equipment certificate may be submitted to **The Company** instead of part of the tests provided for in ECP.A.8.1.1.
- ECP.A.8.2 Compliance testing for operational metering at EU Grid Supply Points
- The requirements for operational metering (where required) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **The Company**.
- ECP.A.8.3 <u>Compliance testing for disconnection and reconnection of Non-Embedded Customers Plant and Apparatus</u>
- ECP.A.8.3.1 **Non-Embedded Customers** shall comply with the following requirements where applicable:
  - (i) Demand disconnection schemes;
  - (ii) Synchronising; and/or
  - (iii) low frequency demand disconnection;
- ECP.A.8.3.2 The requirements for demand disconnection, other than low frequency demand disconnection, are pursuant to the requirements of the **Bilateral Agreement**. Any requirements for testing shall be agreed with the **User**.
- ECP.A.8.3.3 The requirements for synchronising (where applicable) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.2.3.10. Any requirements for testing (as applicable) shall be agreed with the **User** and carried out during the commissioning process.

- ECP.A.8.3.4 **Non-Embedded Customers** who are **EU Code Users** must demonstrate compliance with the low frequency demand disconnection requirements of ECC.6.4.3, ECC.A.5 and OC.6.6 of their **System**.
- ECP.A.8.3.5 An equipment certificate may be submitted to **The Company** instead of part of the tests provided for in ECP.A.8.3.1.
- ECP.A.8.4 <u>Compliance testing for operational metering on Non-Embedded Customers</u>
  Plant and Apparatus
- ECP.A.8.4.1 The requirements for operational metering (where required)) shall be pursuant to the requirements of the **Bilateral Agreement** and ECC.6.5.6. Any applicable requirements for testing shall be agreed with the **User** and carried out during the commissioning process. An **Equipment Certificate** may be used for this purpose where agreed with **The Company**.ECP.A.8.5 Common Provisions on Compliance Simulations
- ECP.A.8.5.1 **Users** are required to provide simulation studies or equivalent information to the satisfaction of **The Company** in the following circumstances.
  - (i) a new connection to the **Transmission System** is required forming part of an **EU Grid Supply Point**;
  - (ii) a Substantial Modification takes place at an EU Grid Supply Point
  - (iii) The Company becomes aware of a potential non-compliance by the Network Operator or Non-Embedded Customer at an EU Grid Supply Point.
- ECP.A.8.5.2 Notwithstanding the requirements of ECP.A.8.5.1, **The Company** shall be entitled to:-
  - (a) Allow the Network Operator or Non-Embedded Customer to carry out an alternative set of simulations (or equivalent information) provided that they demonstrate that the Network Operators or Non-Embedded Customers Plant and Apparatus is capable of satisfying the applicable requirements of the Data Registration Code.
  - (b) Require the Network Operator or Non-Embedded Customer to carry out additional or alternative simulations (or equivalent information) to those specified in ECP.A.8.5.1 where they would otherwise be insufficient to demonstrate compliance.
  - (c) The Company may check that the Network Operator or Non-Embedded Customer complies with the requirements of the Grid Code by carrying out its own compliance simulations based on the simulation reports, models and test measurements submitted under the Data Registration Code.
- The Company will supply (under PC.A.8) upon request to the Network Operator or Non-Embedded Customer, data to enable the Network Operator or Non-Embedded Customer to carry out the required simulations or supply the equivalent information required under the Data Registration Code.
- ECP.A.8.6 Compliance simulations for EU Grid Supply Points
- ECP.A.8.6.1 **Networks Operators** who are also **EU Code Users**, are required to provide simulation studies (or make available equivalent information) at each **EU Grid Supply Point** to demonstrate compliance with the **Reactive Power** capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum

and minimum demand conditions. In addition, the model or equivalent information provided shall include the conditions when the **Reactive Power** export is at an **Active Power** flow of less than 25% of the **Maximum Import Capability** as detailed under ECC.6.4.5.2. In all cases the models or equivalent information submitted shall be agreed and approved with **The Company**.

## ECP.A.8.7 Compliance simulations for Non-Embedded Customers Plant and Apparatus

ECP.A.8.7.1 None Embedded Customers who are also EU Code Users are required at each EU Grid Supply Point to provide simulation studies (or equivalent information) to demonstrate compliance with the Reactive Power capability requirements set out in ECC.6.4.5. The study or equivalent information provided shall include a steady state simulation model under both maximum and minimum demand conditions and with and without on-site generation. In all cases the models or equivalent information submitted shall be agreed and approved with The Company.

## ECP.A.8.8 Compliance monitoring at EU Grid Supply Points

To satisfy the requirements of ECC.6.4.5, EU Code Users who are either Network Operators or Non-Embedded Customers shall ensure their Plant and Apparatus is equipped (where applicable), with the necessary equipment to measure the Active Power and Reactive Power, at each EU Grid Supply Point. The requirement for and time frame for compliance monitoring shall be agreed between The Company and the EU Code User for each EU Grid Supply Point.

## APPENDIX 9 COMPLIANCE TESTING FOR GRID FORMING PLANT

#### ECP.A.9.1 SCOPE

- ECP.A.9.1.1 This Appendix outlines the general testing requirements for **Users** or **Non-CUSC Parties** to demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**. The tests specified in this Appendix will normally be sufficient to demonstrate compliance of a **GBGF-I**, however **The Company** may:
  - agree to an alternative set of tests provided The Company deem the alternative set of tests sufficient to demonstrate compliance with the Grid Code, Ancillary Services Agreement and Bilateral Agreement; and/or
  - require additional or alternative tests if information supplied to The Company during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the Grid Code, Ancillary Services Agreement or Bilateral Agreement; and/or
  - require additional tests if control functions to improve damping of power system oscillations or additional functions to prove the capability of the GBGF-I is required by the Bilateral Agreement or included in the control scheme; and/or
  - iv) agree a reduced set of tests for the subsequent **GBGF-I** following successful completion of the first **Grid Forming** tests in the case of an installation comprising of two or more **GBGF-Is** which **The Company** reasonably considers to be identical if: -
    - (a) the tests performed pursuant to ECP.A.9.1.9 in respect of subsequent GBGF-I Plants do not replicate the full tests for the first GBGF-I; or
    - (b) any of the tests performed pursuant to ECP.A.9.1.9 do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**.
- The User or Non-CUSC Party is responsible for carrying out the tests set out in and in accordance with this Appendix and the User or Non-CUSC Party retains the responsibility for the safety of personnel and plant during the test. The Company will witness all of the tests outlined or agreed in relation to this Appendix unless The Company decides and notifies the User or Non-CUSC Party otherwise. For all on site at The Company witnessed tests, the User or Non-CUSC Party must ensure suitable representatives from the Grid Forming Plant's manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by The Company, the User or Non-CUSC Party shall record all relevant test signals as outlined in ECP.A.4.
- ECP.A.9.1.3 In addition to the dynamic signals supplied in ECP.A.4, the **User** or **Non-CUSC Party** shall inform **The Company** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:
  - (i) All relevant transformer tap numbers, if used.
  - (ii) Number of **Grid Forming Units** in operation.
- ECP.A.9.1.4 The **User** or **Non-CUSC Party** shall submit a detailed schedule of tests to **The Company** in accordance with ECP.6.3.1, and this Appendix.
- ECP.A.9.1.5 Prior to the testing of the GBGF-I the User or Non-CUSC Party shall complete

the **Integral Equipment Tests** procedure in accordance with OC.7.5.

- ECP.A.9.1.6 Full **GBGF-I** testing as required by ECP.7.2 is to be completed as defined in ECP.A.9.1.9.
- ECP.A.9.1.7 The Company will permit relaxation from the requirements in ECP.A.9.1.9 where an Equipment Certificate for GBGF-I has been provided which details the characteristics from tests on a representative installation with the same equipment and settings and the performance of the GBGF-I can, in The Company's opinion, reasonably represent that of the installed GBGF-I at that site. The relevant Equipment Certificate must be supplied in the Users Data File Structure.
- Prior to any **GBGF-I** tests taking place, the **User** or **Non-CUSC Party** shall have completed the relevant compliance tests on the **GBGF-I**, **Power Generating Module** or **Generating Unit** as required under ECP.A.5 or OC5. A.2 (as relevant) or **Power Park Module** as required under ECP.A.6 or OC5. A.3 (as applicable) or **HVDC Systems** or **DC Converters** as required under ECP.A.7 or OC5. A.4 (as applicable).
- ECP.A.9.1.9 Demonstration of **Grid Forming Capability**
- ECP.A.9.1.9.1 This section details the procedure for demonstrating **Active ROCOF Response Power**. Ideally if the test is being completed as part of a type test on an isolated network and it is possible to change the frequency of the isolated network then the tests should be completed using a variable network **Frequency**. **The Company** recognise that it is not possible in a large number of cases to adjust the network frequency of the network to which the **Grid Forming Plant** is connected. If a suitable test network is not available, performance of the **GBGF-I** will need to be demonstrated through online monitoring as detailed in CC.6.6 or ECC.6.6 and simulation studies as required under ECP.A.3.9.4 will be required during the **Interim Operational Notification Process** as provided for under CP.6 or ECP.6 (as applicable).
- ECP.A.9.1.9.2 In this test, with the **Grid Forming Plant** initially running at full load, the test network frequency is ideally increased from 50Hz to 51 Hz at a rate of 1Hz/s with measurements of the **Grid Forming Plant's Active ROCOF Response Power**, **System Frequency** and time in (ms). The test is required to assess correct operation of the **Grid Forming Plant** without saturating. This test is then repeated for a 50 Hz to 49 Hz at a rate of 1Hz/s.
- ECP.A.9.1.9.3 These tests are required to assess the **Grid Forming Plant's** withstand capabilities under extreme **System Frequencies**.
  - (i) For **Grid Forming Plant** comprising a **GBGF-I** the frequency of the test network is increased from 50Hz to 52Hz at a rate of 2Hz/s with measurements of the **Grid Forming Plant's Active ROCOF Response Power**, **System Frequency** and time in (ms).
  - (ii) For a **Grid Forming Plant** comprising a **GBGF-I** the frequency of the test network is increased from 50Hz to 52Hz at a rate of 1Hz/s with measurements of the **Grid Forming Plant's Active ROCOF Response Power, System Frequency** and time in (ms).
  - (iii) For **Grid Forming Plant** comprising a **GBGF-I** the frequency of the test network is decreased from 50Hz to 47 Hz at a rate of 2Hz/s with measurements of the **Grid Forming Plant's Active ROCOF Response Power**, **System Frequency** and time in (ms).

- (iii) For **Grid Forming Plant** comprising a **GBGF-I** the frequency of the test network is decreased from 50Hz to 47 Hz at a rate of 1Hz/s with measurements of the **Grid Forming Plant's Active ROCOF Response Power, System Frequency** and time in (ms).
- ECP.A.9.1.9.4 This test is to demonstrate the **Grid Forming Plant's** ability to supply **Active ROCOF Response Power** over the full **System Frequency** range.
  - (a) With the frequency of the test network set to 50Hz, the GBGF-I should be initially running at 75% Maximum Capacity or Registered Capacity, zero MVAr output and both Limited Frequency Sensitive Mode and Frequency Sensitive Mode disabled.
  - (b) The frequency is then increased from 50Hz to 52Hz at a rate of 1Hz/s over a 2 second period. Allow conditions to stabilise for 5 seconds and then decrease the frequency from 52Hz to 47Hz at a rate of 1Hz/s over a 5 second period. Allow conditions to stabilise.
  - (c) Record results of **Active ROCOF Response Power**, **Reactive Power**, voltage and frequency.
  - (d) The test now needs to be re-run in the opposite direction. The same initial conditions should be applied as per ECP.A.9.1.9.4(a).
  - (e) The frequency is then decreased from 50Hz to 47Hz at a rate of 1Hz/s over a 3 second period. Allow conditions to stabilise for 5 seconds and then increase the frequency from 47Hz to 52Hz at a rate of 1Hz/s over a 5 second period. Allow conditions to stabilise.
  - (f) Record results of **Active ROCOF Response Power**, **Reactive Power**, voltage and frequency.
- ECP.A.9.1.9.5 This test is to demonstrate the **Grid Forming Plant's** ability to supply **Active Phase Jump Power** under normal operation.
  - (a) With the frequency of the test network set to 50Hz, the GBGF-I should be initially running at Maximum Capacity or Registered Capacity or at its agreed deloaded point, zero MVAr output and all control actions (e.g. Limited Frequency Sensitive Mode, Frequency Sensitive Mode and voltage control) disabled.
  - (b) Apply a positive phase jump of up to the **Phase Jump Angle Limit** at the **Grid Entry Point** or **User System Entry Point** (if **Embedded**).
  - (c) This test can then be repeated by injecting the same angle into the Grid Forming Plant's control system (as indicatively shown in Figure ECP.A.9.1.9.5). This specific test can be repeated on site as required for a routine performance evaluation test. It should be noted that Figure ECP.A.9.1.9.5 is a simplified representation. Each Grid Forming Plant Owner can use their own design, that may be very different to Figure ECP.A.9.1.9.5 but should contain all relevant functions that can include test points and other equivalent data and documentation. Any additional signals, measurements, parameters and tests shall be agreed between the Grid Forming Plant Owner and The Company.
  - (d) Repeat tests (b) and (c) with a negative injection up to the **Phase Jump Angle Limit**.
  - (e) Record traces of **Active Power**, **Reactive Power**, voltage, current and frequency for a period of 10 seconds after the step change in phase has been applied.

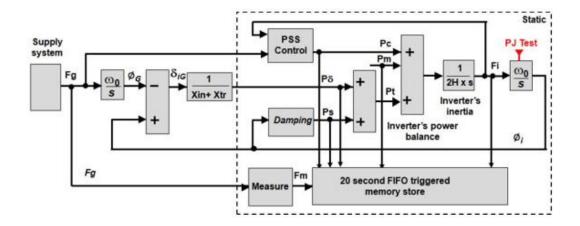


Figure ECP.A.9.1.9.5

As part of these tests, the corresponding **Active Power** change resulting from a phase shift will be a function of the local reactance and the location of where the phase shift is applied in addition to any additional upstream impedance between the **GBGF-I** and phase step location.

- ECP.A.9.1.9.6 This test is to demonstrate the **Grid Forming Plant's** ability to supply **Active Phase Jump Power** under extreme conditions. Where it is not possible to undertake this test as part of a type test, **The Company** will accept demonstration through a combination of simulation studies as required under ECP.A.3.9.4(vi) and online monitoring as required under ECC.6.6.1.9.
  - (a) With the frequency of the test network set to 50Hz, the Grid Forming Plant should be initially running at its Minimum Stable Operating Level or Minimum Stable Generation, zero MVAr output and all control actions (e.g., Limited Frequency Sensitive Mode, Frequency Sensitive Mode and voltage control) disabled.
  - (b) Apply a phase jump of 60 degrees at the connection point of the **GBGF-I** or into the **Grid Forming Plant's** control system as shown in Figure ECP.A.9.1.9.5.
  - (c) Record traces of **Active Power**, **Reactive Power**, voltage, current and frequency for a period of 10 seconds after the step change in phase has been applied.
  - (d) Repeat steps (a), (b) and (c) of ECP.A.9.1.9.6 but on this occasion apply a phase jump equivalent to the positive **Phase Jump Angle Limit** at the Grid.
- ECP.A.9.1.9.7 This test is to demonstrate the **GBGF-Is** ability to supply **Active Phase Jump Power**, **Fault Ride Through** and **GBGF Fast Fault Current Injection** during a faulted condition. Where it is not possible to undertake this test as part of a type test, **The Company** will accept demonstration through a combination of simulation studies as required under ECP.A.3.9.4(vii) and online monitoring as required under CC.6.6 and ECC.6.6.1.9.
  - (a) With the frequency set to 50Hz, the Grid Forming Plant should be initially running at its Maximum Capacity or Registered Capacity or at an alternative loading point as agreed with The Company, zero MVAr output and all control actions (e.g., Limited

- Frequency Sensitive Mode, Frequency Sensitive Mode and voltage control) disabled.
- (b) Apply a solid three phase short circuit fault at the connection point in the test network forming part of the type test for 140ms or alternatively the equivalent of a zero retained voltage for 140ms.
- (c) Record traces of Active Power, Reactive Power, voltage, current and frequency for a period of 10 seconds after the fault has been applied.
- (d) Repeat steps (a) to (c) but on this occasion with fault ride through, GBGF Fast Fault Current Injection Limited Frequency Sensitive Mode and voltage control switched into service.
- (e) Record traces of **Active Power**, **Reactive Power**, voltage, current and frequency for a period of 10 seconds after the step change in phase has been applied and confirm correct operation.
- ECP.A.9.1.9.8 The final test required is to demonstrate the **GBGF-I** is capable of contributing to **Active Damping Power**. The **Grid Forming Plant Owner** should configure their **Grid Forming Plant** in form or equivalent (as agreed with **The Company**) as shown in Figure ECP.A.3.9.6(a) or Figure ECP.A.3.9.6(b) as applicable. Each **Grid Forming Plant Owner** can use their own design, that may be very different to Figures ECP.A.3.9.6(a) or ECP.A.3.9.6 (b) but should contain all relevant functions.

As part of this test, the **Grid Forming Plant Owner** is required to inject a signal into the **Grid Forming Plant** controller. The results supplied need to verify the following criteria:-

i) Inject a **Test Signal** into the **Grid Forming Plant** controller to demonstrate the **Active Control Based Power** output is supplied below the 5Hz bandwidth limit. An acceptable performance will be judged where the overshoot or decay matches the **Damping Factor** declared by the **Grid Forming Plant Owner** as submitted in PC.A.5.8.1 in addition to assessment against the requirements of CC.A.6.2.6.1 or ECC.A.6.2.6.1 or CC.A.7.2.2.5 or ECC.A.7.2.5.2 as applicable.

<END OF ECP>

## **REVISIONS**

(R)

(This section does not form part of the Grid Code)

- R.1 **The Company's Transmission Licence** sets out the way in which changes to the Grid Code are to be made and reference is also made to **The Company's** obligations under the General Conditions.
- R.2 All pages re-issued have the revision number on the lower left hand corner of the page and date of the revision on the lower right hand corner of the page.
- R.3 The Grid Code was introduced in March 1990 and the first issue was revised 31 times. In March 2001 the New Electricity Trading Arrangements were introduced and Issue 2 of the Grid Code was introduced which was revised 16 times. At British Electricity Trading and Transmission Arrangements (BETTA) Go-Active Issue 3 of the Grid Code was introduced and subsequently revised 35 times. At Offshore Go-active Issue 4 of the Grid Code was introduced and has been revised 13 times since its original publication. Issue 5 of the Grid Code was published to accommodate the changes made by Grid Code Modification A/10 which has incorporated the **Generator** compliance process into the Grid Code, which was revised 47 times. Issue 6 was published to incorporate all the non-material amendments as a result of modification GC0136.
- R.4 This Revisions section provides a summary of the sections of the Grid Code changed by each revision to Issue 6.
- R.5 All enquiries in relation to revisions to the Grid Code, including revisions to Issues 1, 2, 3, 4 and 5 should be addressed to the Grid Code development team at the following email address:

Grid.Code@nationalgrideso.com

| Revision | Section                        | Related<br>Modification | Effective Date |
|----------|--------------------------------|-------------------------|----------------|
| 0        | Glossary Definitions           | GC0136                  | 05 March 2021  |
| 0        | Planning Code                  | GC0136                  | 05 March 2021  |
| 0        | Connection Conditions          | GC0136                  | 05 March 2021  |
| 0        | European Connection Conditions | GC0136                  | 05 March 2021  |
| 0        | Demand Response Services       | GC0136                  | 05 March 2021  |
| 0        | Compliance Processes           | GC0136                  | 05 March 2021  |
| 0        | Europeans Compliance Processes | GC0136                  | 05 March 2021  |
| 0        | Operating Code 1               | GC0136                  | 05 March 2021  |
| 0        | Operating Code 2               | GC0136                  | 05 March 2021  |
| 0        | Operating Code 5               | GC0136                  | 05 March 2021  |
| 0        | Operating Code 6               | GC0136                  | 05 March 2021  |
| 0        | Operating Code 7               | GC0136                  | 05 March 2021  |
| 0        | Operating Code 8               | GC0136                  | 05 March 2021  |
| 0        | Operating Code 8A              | GC0136                  | 05 March 2021  |
| 0        | Operating Code 8B              | GC0136                  | 05 March 2021  |
| 0        | Operating Code 9               | GC0136                  | 05 March 2021  |
| 0        | Operating Code 11              | GC0136                  | 05 March 2021  |
| 0        | Operating Code 12              | GC0136                  | 05 March 2021  |
| 0        | Balancing Code 2               | GC0136                  | 05 March 2021  |

| Revision | Section                | Related<br>Modification | Effective Date |
|----------|------------------------|-------------------------|----------------|
| 0        | Balancing Code 3       | GC0136                  | 05 March 2021  |
| 0        | Balancing Code 4       | GC0136                  | 05 March 2021  |
| 0        | Balancing Code 5       | GC0136                  | 05 March 2021  |
| 0        | Data Registration Code | GC0136                  | 05 March 2021  |
| 0        | General Conditions     | GC0136                  | 05 March 2021  |
| 0        | Governance Rules       | GC0136                  | 05 March 2021  |
| 1        | Glossary Definitions   | GC0130                  | 18 March 2021  |
| 1        | Operating Code 2       | GC0130                  | 18 March 2021  |
| 1        | Data Registration Code | GC0130                  | 18 March 2021  |
| 1        | General Conditions     | GC0130                  | 18 March 2021  |
| 2        | Glossary Definitions   | GC0147                  | 17 May 2021    |
| 2        | Operating Code 6B      | GC0147                  | 17 May 2021    |
| 2        | Operating Code 7       | GC0147                  | 17 May 2021    |
| 2        | Balancing Code 1       | GC0147                  | 17 May 2021    |
| 2        | Balancing Code 2       | GC0147                  | 17 May 2021    |
| 3        | Balancing Code 2       | GC0144                  | 26 May 2021    |
| 3        | Balancing Code 4       | GC0144                  | 26 May 2021    |
| 4        | Preface                | GC0149                  | 03 August 2021 |
| 4        | Glossary Definitions   | GC0149                  | 03 August 2021 |
| 4        | Planning Code          | GC0149                  | 03 August 2021 |

| Revision | Section                        | Related<br>Modification   | Effective Date    |
|----------|--------------------------------|---|-------------------|
| 4        | European Connection Conditions | GC0149  | 03 August 2021    |
| 4        | European Compliance Processes  | GC0149  | 03 August 2021    |
| 4        | Demand Response Services Code  | GC0149  | 03 August 2021    |
| 4        | Operating Code 2               | GC0149  | 03 August 2021    |
| 4        | Balancing Code 4               | GC0149  | 03 August 2021    |
| 4        | Data Registration Code         | GC0149  | 03 August 2021    |
| 4        | Governance Rules               | GC0149  | 03 August 2021    |
| 5        | Operating Code 7               | GC0109  | 23 August 2021    |
| 6        | Connection Conditions          | GC0134  | 01 September 2021 |
| 6        | European Connection Conditions | GC0134  | 01 September 2021 |
| 6        | Balancing Code 2               | GC0134  | 01 September 2021 |
| 7        | Operating Code 6B              | GC0150  | 04 October 2021   |
| 8        | Operating Code 2               | GC0151  | 08 November 2021  |
| 8        | Operating Code 3               | GC0151  | 08 November 2021  |
| 8        | Operating Code 5               | GC0151  | 08 November 2021  |
| 9        | Governance Rules               | GC0152  | 29 December 2021  |
| 10       | General Conditions             | Electrical Standards - EDL Instruction Interface Valid Reason Codes | 20 January 2022   |
| 11       | Glossary Definitions           | GC0137  | 14 February 2022  |
| 11       | Planning Code                  | GC0137  | 14 February 2022  |

| Revision | Section                        | Related<br>Modification | Effective Date   |
|----------|--------------------------------|-------------------------|------------------|
| 11       | Connection Conditions          | GC0137                  | 14 February 2022 |
| 11       | European Connection Conditions | GC0137                  | 14 February 2022 |
| 11       | European Compliance Processes  | GC0137                  | 14 February 2022 |
| 11       | Data Registration Code         | GC0137                  | 14 February 2022 |
| 12       | Glossary Definitions           | GC0153                  | 09 March 2022    |
| 12       | Connection Conditions          | GC0153                  | 09 March 2022    |
| 12       | European Connection Conditions | GC0153                  | 09 March 2022    |
| 12       | Operating Code 6               | GC0153                  | 09 March 2022    |
| 12       | Operating Code 8A              | GC0153                  | 09 March 2022    |
| 12       | Operating Code 8B              | GC0153                  | 09 March 2022    |
| 12       | Operating Code 12              | GC0153                  | 09 March 2022    |
| 12       | Balancing Code 2               | GC0153                  | 09 March 2022    |
| 12       | Governance Rules               | GC0153                  | 09 March 2022    |
| 13       | Compliance Processes           | GC0138                  | 24 June 2022     |
| 13       | European Compliance Processes  | GC0138                  | 24 June 2022     |
| 13       | Operating Code 5               | GC0138                  | 24 June 2022     |
| 14       | Glossary & Definitions         | GC0157                  | 06 October 2022  |
| 14       | European Connection Conditions | GC0157                  | 06 October 2022  |
| 14       | Operating Code 2               | GC0157                  | 06 October 2022  |
| 14       | Operating Code 5               | GC0157                  | 06 October 2022  |

| Revision | Section                           | Related<br>Modification | Effective Date   |
|----------|-----------------------------------|-------------------------|------------------|
| 14       | Data Registration Code            | GC0157                  | 06 October 2022  |
| 14       | No changes to published Grid Code | GC0158                  | 06 December 2022 |
| 15       | Glossary & Definitions            | GC0160                  | 07 December 2022 |
| 15       | Balancing Code 1                  | GC0160                  | 07 December 2022 |
| 15       | Balancing Code 2                  | GC0160                  | 07 December 2022 |
| 16       | Planning Code                     | GC0141                  | 05 January 2023  |
| 16       | Connection Conditions             | GC0141                  | 05 January 2023  |
| 16       | European Connection Conditions    | GC0141                  | 05 January 2023  |
| 16       | Compliance Processes              | GC0141                  | 05 January 2023  |
| 16       | European Compliance Processes     | GC0141                  | 05 January 2023  |
| 17       | Connection Conditions             | GC0148                  | 4 September 2023 |
| 17       | European Compliance Processes     | GC0148                  | 4 September 2023 |
| 17       | European Connection Conditions    | GC0148                  | 4 September 2023 |
| 17       | General Conditions                | GC0148                  | 4 September 2023 |
| 17       | Glossary & Definitions            | GC0148                  | 4 September 2023 |
| 17       | Operating Code 5                  | GC0148                  | 4 September 2023 |
| 17       | Operating Code 6                  | GC0148                  | 4 September 2023 |
| 17       | Planning Code                     | GC0148                  | 4 September 2023 |
| 18       | Operating Code 6                  | GC0161                  | 2 October 2023   |
| 19       | European Connection Conditions    | GC0165                  | 4 December 2023  |

| Revision | Section                        | Related<br>Modification | Effective Date   |
|----------|--------------------------------|-------------------------|------------------|
| 19       | Operating Code 12              | GC0165                  | 4 December 2023  |
| 19       | Data Registration Code         | GC0165                  | 4 December 2023  |
| 19       | Governance Rules               | GC0165                  | 4 December 2023  |
| 20       | Operating Code 6               | GC0162                  | 15 December 2023 |
| 21       | Glossary & Definitions         | GC0156                  | 4 March 2024     |
| 21       | Planning Code                  | GC0156                  | 4 March 2024     |
| 21       | Connection Conditions          | GC0156                  | 4 March 2024     |
| 21       | European Connection Conditions | GC0156                  | 4 March 2024     |
| 21       | Operating Code 1               | GC0156                  | 4 March 2024     |
| 21       | Operating Code 2               | GC0156                  | 4 March 2024     |
| 21       | Operating Code 5               | GC0156                  | 4 March 2024     |
| 21       | Operating Code 9               | GC0156                  | 4 March 2024     |
| 21       | Balancing Code 2               | GC0156                  | 4 March 2024     |
| 21       | Balancing Code 4               | GC0156                  | 4 March 2024     |
| 21       | Data Registration Code         | GC0156                  | 4 March 2024     |
| 21       | General Conditions             | GC0156                  | 4 March 2024     |
| 22       | Glossary & Definitions         | GC0154                  | 2 April 2024     |
| 22       | Balancing Code 1               | GC0154                  | 2 April 2024     |
| 22       | Balancing Code 2               | GC0154                  | 2 April 2024     |
| 23       | Glossary & Definitions         | GC0170                  | 22 April 2024    |

| Revision | Section                        | Related<br>Modification  | Effective Date   |
|----------|--------------------------------|--|------------------|
| 23       | Planning Code                  | GC0170   | 22 April 2024    |
| 23       | Connection Conditions          | GC0170   | 22 April 2024    |
| 23       | European Connection Conditions | GC0170   | 22 April 2024    |
| 23       | Operating Code 2               | GC0170   | 22 April 2024    |
| 23       | Operating Code 5               | GC0170   | 22 April 2024    |
| 23       | Operating Code 9               | GC0170   | 22 April 2024    |
| 23       | Data Registration Code         | GC0170   | 22 April 2024    |
| 23       | General Conditions             | GC0170   | 22 April 2024    |
| 24       | General Conditions             | Distribution<br>Restoration Zone<br>Control System<br>Standard | 4 June 2024      |
| 25       | Glossary & Definitions         | GC0163   | 5 July 2024      |
| 25       | European Connection Conditions | GC0163   | 5 July 2024      |
| 26       | Glossary & Definitions         | GC0171   | 5 September 2024 |
| 26       | Compliance Processes           | GC0171   | 5 September 2024 |
| 26       | European Compliance Processes  | GC0171   | 5 September 2024 |

## < END OF REVISIONS >