

Customer Requirement Playback

EMR Portal – 21st September 2023

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Slido Access

We will be using Slido for questions and feedback in today's session. Please go to sli.do and enter the event code **#1805921**

If you would like to ask any questions ahead of the session please either send them in by email or use [this link](#) to ask them on Slido, the event code will only work on 21/09/2023



Outstanding Feedback

Sli.do #1805921

Date raised	Feature Area	Customer Comment	DB Response
15/12/2022	CMU Transfer	Why does the transferor need to provide certificates? they should already be there anyway as they currently hold the agreement?	<p>The Delivery Body has reviewed how company level Exhibits (A and C) are provided, the Delivery Body are committing to amending the proposal of uploading Exhibits A and C from an Application level to a Company level removing the requirement to upload multiple Exhibits during the CMU Transfer process.</p> <p>Please note this change will also amend the need to upload the Exhibits during Secondary Trading.</p> <p>Update 21st September: Based on current expectations, detailed requirement development will commence in September 2023.</p>
15/03/2023	FCM Deadlines	<p>On the new Outstanding Activities page how will the deadline for FCM be shown?</p> <p><i>This relates to the 11/12 month deadline that leads to a credit cover increase and a 16 month deadline that triggers the termination event.</i></p>	<p>Update 21st September:</p> <p>Thank you for your feedback on FCM, all responses agreed with our recommended position for FCM deadlines. Outstanding Activities will show the 11 month FCM deadline from PQRD to be changed to the 16 month deadline the working day before the 11 month deadline.</p> <p>We will keep this under review as we manage deadlines on the new system.</p>

Date raised	Feature Area	Customer Comment	DB Response
06/07/2023	Capacity Market Register	<p>Feedback received via Sli-do survey:</p> <ol style="list-style-type: none"> 1) Keen to see CMU ID and Applicant details at Component level to enable better filtering and analysis of the Capacity Market Register 2) Interest in Delivery Year register alongside the main registers for easier visibility 3) Consistency of the headers within the registers 4) Interest in accessing register data via API or relational database format rather than CSV/XLS 	<p>We appreciate the feedback provided and have issued a survey to all Capacity Market customers to gain further insights. The survey will be open until 25th August. We will update this group in September.</p> <p>Updates on items raised in slido:</p> <ol style="list-style-type: none"> 1) Feedback noted, we will review this in combination with wider feedback and consider in overall CMR design. 2) We are exploring the potential of having a Delivery Year register with our development team and will update in a future session 3) We are reviewing options to implement a format that can be consistent across all registers. 4) This will not be possible within our first implementation but will be considered as a potential future improvement. <p>Update 21st September – We have received additional feedback from other Capacity Market customers, details of which can be found on the next slide. We are working with our technical team and developers to consider what can be considered for the 1st release of the new portal and what can be considered as a potential future improvement.</p>

Customer feedback on Capacity Market Registers

We recently asked for customer to feedback on how they use CMRs, how we can improve usability and whether a Delivery Year CMR would be beneficial. We received eight responses back and these broadly covered:

Feedback area	To be considered for existing CMRs generated by Legacy Portal	To be considered for Day 1 SF driven CMRs	Post Day 1 consideration
Making clearer link between applicant name and component field data	Yes - we will investigate visibility of creating and publishing	Yes - we will investigate visibility of creating and publishing	Yes
Make the post code and Ordnance Survey Grid reference separate fields/columns	Yes - we will investigate visibility of creating and publishing	Yes - we will investigate visibility of creating and publishing	Yes
Publish a Delivery Year CMR	Yes - we will investigate visibility of creating and publishing	Yes - we will investigate visibility of creating and publishing	Yes
Improve how we communicate changes to the structure of CMRs	Yes – will ensure we explain any changes and also provide a template of changes to support any scripting	Yes – enduring	Yes – enduring
Provide CSV extract of CMR data	No - but considered for SF solution	Yes - we will investigate visibility of creating and publishing	Yes
Provide API	No - but considered for post Day 1 SF solution	No - but considered for post Day 1 SF solution	Yes
Create a Data Dictionary to add use of CMR and how to interpret the information	Yes - we will investigate visibility of creating and publishing	Yes - we will investigate visibility of creating and publishing	Yes
Provide all potential CMR columns across all CMRs	Partial – we can't include data that the CM rule did not require for that relevant Auction but could consider adding in columns but null values?	Partial – we can't include data that the CM rule did not require for that relevant Auction but could consider adding in columns but null values?	Partial – we can't include data that the CM rule did not require for that relevant Auction but could consider adding in columns but null values?

Component Reallocation

Feature Description

Rule changes have been proposed to the Capacity Market Advisory Group (CP373) to transfer responsibility for managing the DSR component reallocation from the Delivery Body to the Settlement Body.

The proposal is subject to formal review by CMAG and to an Ofgem consultation. If this change is approved, the initial notification of DSR Components will stay with Delivery Body but all subsequent DSR Component reallocations will be managed by the Settlement Body.

Customer Feedback Considered

- Clarity on where to go for component notification and reallocation activities
- Robust data sharing between DB and Settlement Body so that relevant component information is available with both of them
- Minimal handoffs between Settlement Body and DB

High-level Requirements Proposed

- Users will go to the Settlement Body in case of DSR component Reallocation.
- Data sharing improvements have been implemented, though these are not visible to the external users.
- DSR component status will be part of the proposed Capacity Market Metering Register
- After component Reallocation with EMRS, capacity provider will be able to see the component in DB new portal from next working day.

Metering Aggregation

Feature Description

The Rule change proposal (CP373) also proposes that responsibility for managing Metering Assessments and receiving Metering Information should be transferred from the Delivery Body to the Settlement Body who will ensure that Aggregation rules are in place. The Delivery Body will be informed regularly by the Settlement Body about the Metering status of External Party so that Delivery Body can validate deadlines and initiate various tasks.

Customer Feedback Considered

- Reduce the lengthy Prequalification Metering Assessment questionnaire
- Clarity on where to go for Metering assessment activities
- Unnecessary questions removed from the assessment
- Robust data sharing between the Delivery Body and Settlement Body

High-level Requirements Proposed

- Capacity Provider will go to the Settlement Body for Metering Assessment.
- Data sharing improvements have been implemented, though these are not visible to the external users.
- Delivery Body users will be able to view EPs latest Metering position in Section 15.

Meter Test Certificate Invalidation

Feature Description

The Delivery Body will no longer be required to manually 'Invalidate' the Meter Test Certificate. The Settlement Body will inform the Delivery Body about an invalidation in a dedicated Metering file. The portal will automatically trigger a termination event if the MTC has been invalidated 3 times (as per Rule 6.10.1).

Customer Feedback Considered

- Minimal handoffs between Delivery Body, Settlement Body and Capacity Provider
- Automatic trigger of Termination process after 3 invalidations
- Robust data sharing between Delivery Body and Settlement Body

High-level Requirements Proposed

- Settlement Body will inform Delivery Body in case of MTC Invalidation.
- Delivery Body will keep track of how many times MTC has been invalidated.
- System will automatically trigger Termination event immediately after 3rd invalidation.

DSR Test Certificate Invalidation

Feature Description

Delivery Body will invalidate a DSR Test Certificate following the instruction from Settlement Body to do so after a Metering Test Certificate Invalidation, metering configuration changes, Component Reallocation or if the Customer requests an invalidation.

Customer Feedback Considered

- Too much interaction with Settlement Body & EMR Delivery Body over single processes
- No way of easily tracking one-off requirement scenarios

High-level Requirements Proposed

- Minimal handoffs between Delivery Body, Settlement Body and Capacity Provider - Settlement Body will inform Delivery Body that a Metering Test Certificate Invalidation has been completed or that a DSR Component Re-allocation has occurred
- For a DSR CMU, Delivery Body will then invalidate the DSR Test Certificate for one of the above-named scenarios in the Feature Description.
- New portal will send an e mail notification to the customer that the DSR Test Certificate has been invalidated
- Where applicable the 'current' Test Certificate will remain valid for the rest of the Agreement length, but a new DSR Test will be required for any subsequent Capacity Market Agreement
- Customer will see an entry automatically generated in the Outstanding Activities area of the portal to prompt for a new DSR Test Request within the applicable time frame

Factual Inaccuracies

Feature Description

- Formal process for the Customer to raise any errors noted in the content of a newly issued Capacity Agreement Notice or within a published Capacity Market Register (Capacity Market Rules 6.3.3 and 7.7.1 with 7.7.1A respectively)
- The process will naturally follow on to the dispute process if the outcome of the Delivery Body Assessment of the Factual Inaccuracy is Not Approved and the Customer wishes to contest the outcome

Customer Feedback Considered

- Current process is only via e mail and hard to track progress

High-level Requirements Proposed

- The Customer will be able to raise a Factual Inaccuracy with the Delivery Body against a newly issued CAN within 10 workings days from the date it was published if it contains incorrect information.
- The Customer will be able to raise a Factual Inaccuracy with the Delivery Body against the Capacity Market Register (CMR)
- The Customer will be able to raise the Factual Inaccuracy as part of Management Activities and indicate whether it is against the CAN or CMR or the first PQ CMR and supply information both in free text form and also supported by any uploaded documents
- The Customer will see a list of any Factual Inaccuracies submitted to the Delivery Body and the status of that submission
- Once the Factual Inaccuracy is processed the Customer will have the ability to dispute the outcome if it is not approved in line with Regulation 68

My EMR

CMU and Auction Management



Company CMUs

A complete list of all company CMU's that can be something something something.



My Applications & Opt-Out Notifications

Edit and update all your Auction applications related to this company.



Exhibit Library

All the Exhibits we require you to provide categorised by each CMU type.



Application Results

Body copy to be placed in here. Body copy to be placed in here.



Outstanding Activities

Submit required Information and or Documentation Post PQRD.



Delivery Body Notices

Body copy to be placed in here. Body copy to be placed in here.



Management Activities

Body copy to be placed in here. Body copy to be placed in here.



Capacity Agreement Notices (CANs)

Body copy to be placed in here. Body copy to be placed in here.

Prequalification Auctions

Title	Type	Year	Action
2022-23 T-1 Capacity Auction	T-1	2023	Apply
[Title]	T-4	2027	Apply
[Title]	T-4	2027	Apply
2022-23 T-1	T-1	2023	Apply
[Title]	T-4	2027	Apply

Secondary Trade Entrant

Title	Type	Year	Action
2022-23 T-1 Capacity Auction	T-1	2023	Apply
[Title]	T-4	2027	Apply
[Title]	T-4	2027	Apply
2022-23 T-1	T-1	2023	Apply
[Title]	T-4	2027	Apply



My EMR / Management Activities

[← Back to Homepage](#)



Manage Security Interests



CMU Transfer



Change of Address



Price Maker Status
Update



Factual Inaccuracy



CfD Transfer Notice



My EMR / Management Activities / Factual Inaccuracy

Create Factual Inaccuracy

Filter Factual Inaccuracy Type

Filter Submission Status

- Display All -

- Display All -

Date Created ↓	Factual Inaccuracy Type ↓	Reference ID ↓	Submission Status ↓	Delivery Body Comments ↓	Action
XX/XX/XXXX	CAN	T-3-2026-123456-0001	Submitted to DB	First 25 characters...	▼
XX/XX/XXXX	CAN	T-3-2026-123456-0001	Submitted to DB	First 25 characters...	▼
XX/XX/XXXX	CAN	T-3-2026-123456-0001	Accepted by DB	First 25 characters...	▼

My EMR / Management Activities / Factual Inaccuracy

Reference ID	Status	Applicant Company
T-3-2026-123456-0001	Created	Company Name long long name

Cancel

Save

Submit

Factual Inaccuracy

Please fill out the details below to explain your factual inaccuracy for review.

Factual Inaccuracy Type

CAN

CAN ID

CAN-20XX-CMXXXX-XX1

Reason for Factual Inaccuracy Submission

Lorem ipsum dolor sit amet consectetur adipiscing elit.

Upload Evidence

Upload evidence to support your Factual Inaccuracy submission.

The following filetypes are accepted: .JPG, .PDF, .PNG, .XLS., max 2Gb

 Upload Files or Drop File

✔ You have successfully created a Factual Inaccuracy for Lorem Ipsum Dolar Amit Sit ✕



My EMR / Management Activities / Factual Inaccuracy

Create Factual Inaccuracy

Filter Factual Inaccuracy Type

Filter Submission Status

- Display All -

- Display All -

Search Factual Inaccuracy



Date Created ↓	Factual Inaccuracy Type ↓	Reference ID ↓	Submission Status ↓	Delivery Body Comments ↓	Action
----------------	---------------------------	----------------	---------------------	--------------------------	--------

XX/XX/XXXX

CAN

T-3-2026-123456-0001

Submitted to DB

First 25 characters...

Raise a Dispute

XX/XX/XXXX

CAN

T-3-2026-123456-0001

Submitted to DB

First 25 characters...

XX/XX/XXXX

CMR

T-3-2026-123456-0001

Accepted by DB

First 25 characters...

XX/XX/XXXX

CAN

T-3-2026-123456-0001

Accepted by DB

First 25 characters...

CMU & Component Cloning

Feature Description

We have previously covered the Enhanced Cloning feature of the New Portal in the October 2022 customer engagement session. This feature has been updated to include up to date screen designs, as well as ensuring an easier method of Cloning requiring less administrative work when it comes to completing the CMU and Component fields, as well as attaching the relevant documentation to the CMU.

Customer Feedback Considered

- Current CMU Cloning feature in Legacy Portal does not clone all of the relevant CMU & Component fields
- The relevant CMU documentation of the previous CMU is not copied over to the Cloned CMU
- Where the CMU has been Cloned multiple times; the Legacy Portal does not give an indication as to which CMU the most recent Cloned CMU is associated with.

High-level Requirements Proposed

- When an External Participant selects to Clone the CMU, there will be an opportunity to select if the Cloned CMU is part of the same asset as the original CMU, which will make it easier to determine the Credit Cover Requirement where applicable.
- The External Participant will be able to view and select which documents should be attached to the new CMU, and this will reduce the amount of administrative work.
- The information entered in the original CMU and its associated Component(s) fields will be cloned to the new CMU, which again should reduce the amount of administrative work required.
- CMU which has been cloned can not be deleted until the relevant clone(s) have been deleted ensuring better management of a CMU portfolio
- The Delivery Body have also repositioned some features from the CMU to the relevant Application e.g. Emissions Exhibits. This should reduce the number of instances where a clone is necessary.

My EMR

CMU and Auction Management

**Company CMUs**

A complete list of all company CMU's that can be something something something.

**Exhibit Library**

All the Exhibits we require you to provide categorised by each CMU type.

**Outstanding Activities**

Submit required Information and or Documentation Post PQRD.

**Management Activities**

Body copy to be placed in here. Body copy to be placed in here.

**My Applications & Opt-Out Notifications**

Edit and update all your Auction applications related to this company.

**Application Results**

Body copy to be placed in here. Body copy to be placed in here.

**Delivery Body Notices**

Body copy to be placed in here. Body copy to be placed in here.

**Capacity Agreement Notices (CANs)**

Body copy to be placed in here. Body copy to be placed in here.

Prequalification Auctions

Title	Type	Year	Action
2022-23 T-1 Capacity Auction	T-1	2023	Apply
[Title]	T-4	2027	Apply
[Title]	T-4	2027	Apply
2022-23 T-1	T-1	2023	Apply
[Title]	T-4	2027	Apply

Secondary Trade Entrant

Title	Type	Year	Action
2022-23 T-1 Capacity Auction	T-1	2023	Apply
[Title]	T-4	2027	Apply
[Title]	T-4	2027	Apply
2022-23 T-1	T-1	2023	Apply
[Title]	T-4	2027	Apply



CMU Management

Create a CMU

Filter Company

Filter Application Status

Filter CMU Type

- Display All -

- Display All -

- Display All -

Search for CMU ID or Name



Status ↓	CMU ID ↓	CMU Name ↓	CMU Type ↓	Company Name ↓	Components	Application Submitted	More
● Created	AB73WV	AB73WV	Existing Generating	Company Name Spread over two lines	6	<input type="checkbox"/>	⋮

- Manage CMU
- Add Component
- Clone CMU
- Linked CMUs
- Delete CMU

AB73WV

Existing Generating

Status

Created

Applicant Company

Company Name long long name

Cancel

Save

Clone CMU

CMU ID Creation

Select Documents

Link to Original CMU

CMU ID Creation

Below is an overview of the cloned CMU and new details that need to be filled out.

Asset Group

Tick the box to confirm that this is the same group as AB73WV.

I confirm

New CMU ID

123XXX

New CMU Name

123XXX

CMU Type

Existing Generating

Company Name

Lorem Ipsum

CMU Components

6

AB73WV

Existing Generating

Status

Created

Applicant Company

Company Name long long name

Cancel

Clone CMU

CMU ID Creation

Select Documents

Link to Original CMU

Confirm Application Selection

You can see the selected Applications on the list below. You can deselect the ones you don't want to include in this submission.

Document Name	Document Type	Action	Select
Single Line Diagram	Lorem Ipsum	View	<input checked="" type="checkbox"/>

*CMU Document Declaration

Tick the box to declare that all documents reflect a true and accurate reflection of the CMU. This will provide the ability to associate Documentation with all linked CMU IDs at the relevant Milestones.

I confirm

Link to Original CMU

The information presented below reflects the data that will be cloned and become part of AB73WV. Please confirm that all the details presented below are correct before continuing.

Original CMU

CMU ID	AB73WV
CMU Name	AB73WV
CMU Type	Existing Generating
Company Name	Lorem Ipsum
CMU Components	6 Components

Clone CMU

CMU ID	123XXX
CMU Name	123XXX
CMU Type	Existing Generating
Company Name	Lorem Ipsum
CMU Components	6 Components



CMU Management

Create a CMU

Filter Company

Filter Application Status

Filter CMU Type

- Display All -

- Display All -

- Display All -

Search for CMU ID or Name



Status ↓	CMU ID ↓	CMU Name ↓	CMU Type ↓	Company Name ↓	Components	Application Submitted	More
● Created	AB73WV	AB73WV	Existing Generating	Company Name Spread over two lines	6	<input type="checkbox"/>	<ul style="list-style-type: none">Manage CMUAdd ComponentClone CMULinked CMUsDelete CMU

AB73WV

Existing Generating

Date Cloned

XX/XX/XXXX

Linked CMUs

Below is a table of your previous and current CMU clones in chronological order of creation. The CMU you selected has been highlighted.

Search CMU ID

CMU ID	Clone Date
AB73WV	XX/XX/XXXX
AB73WV	XX/XX/XXXX
AB73WV	XX/XX/XXXX
AB73WV	XX/XX/XXXX
AB73WV	XX/XX/XXXX

Any questions?

Please go to sli.do and enter the event code **#1805921** or raise your hand on the call.



In addition to feedback received today, we are keen to hear from you with any additional feedback via Email box.newemr.itteam@nationalgrid.com

If you have any further views on the feature areas explored please contact me at Richard.Griffiths@Nationalgrideso.com

We are happy to continue to engage with you further on the topics we discussed today and look forward to demonstrating the delivery of the requirements in the New Portal in future sessions.

User Group Plan – next 6 months

Expected Scope	Requirements Playback	Familiarisation Demo
SPD, Extend and withdraw terminations, MCR, Construction Reports, Exhibit ST entry application, Evergreen Exhibit, Exhibit Generation, Confirmation of Entry, Secondary Trade Transferor	Completed	October / Nov
Secondary Trading Transferee, Emissions ZB- ZA, Issue Final Termination, Dispute Results, PM/PT, SoS Changes, Digital Signature , 2023 Regulatory Requirements (Prequal), Emissions Related Material Change, Final Termination, CMU Cloning	Updates on CMU Cloning September 21 Update on Process for handling opt-outs in the event of SOS Auction parameter change	December / January 2024
Secondary Trade Approval, Component Reallocation, Metering Information/ aggregation, CAN suspension, , Exhibit J Resubmit, EYC, MTC Invalidation, CAN termination dispute AI management, Bidding Group Management, CMU Bulk Uploads, Volume Reallocation Registration, Integrated Guidance.	August 17, September 21 & End-October	January 2024 / February 2024
End to End Testing of CM Functionality	We are planning a full end-to-end testing window with CM users in 2024 prior to go-live. We plan to discuss our proposed approach in the next session.	

Continuing the Conversation

Notes: Some development items are broken down in to multiple development increments and will be played back and demonstrated at an appropriate time in the development process. The Delivery Body are working with the Electricity Settlements Company to review Metering related process options, which are likely to result in change in those processes.