

Customer Requirement Playback

EMR Portal – 27th April 2023

Contents

- Outstanding Feedback Items
- Relevant Planning Consents – Deferral Process
- Dispute Creation and Submission (Prequalification Decision)
- Continuing the Conversation

Slido Access

We will be using Slido for questions and feedback in today's session. Please go to sli.do and enter the event code **#169 4704** .

If you would like to ask any questions ahead of the session please either send them in by email or use [this link](#) to ask them on Slido, the event code will only work on 27/04/2023



Date raised	Feature Area	Customer Comment	DB Response
15/12/2022	CMU Transfer	Why does the transferor need to provide certificates? they should already be there anyway as they currently hold the agreement?	<p>The Delivery Body has reviewed how company level Exhibits (A and C) are provided, the Delivery Body are committing to amending the proposal of uploading Exhibits A and C from an Application level to a Company level removing the requirement to upload multiple Exhibits during the CMU Transfer process.</p> <p>Please note this change will also amend the need to upload the Exhibits during Secondary Trading.</p> <p>Update: Enhancement prioritised for delivery, further details to be shared in the next playback session.</p>

Relevant Planning Consents

Feature Description

During Prequalification each Applicant for a Prospective Generating CMU must declare that it has obtained or will obtain all Relevant Planning Consents and has the Legal Right to use the land on which the CMU is, or will be, located. Where the Relevant Planning Consents has been deferred during Prequalification, the Applicant will have until 22 Working Days before the commencement of the relevant Capacity Auction to provide the Relevant Planning Consent confirmation.

Customer Feedback Considered

- Once the Planning Consent information has been submitted and assessed, the Applicant is unable to update their Planning Consent information.
- The Secure Message associated with the approval of the Relevant Planning Consent is currently unclear as to which CMU Application it relates to.

High-level Requirements Proposed

- Where the outcome of the Relevant Planning Consent is deferred at Prequalification leading to a Conditionally Prequalified Application, following the Prequalification Results Day a Relevant Planning Consents Post Assessment Request (PAR) is created on the Outstanding Activities page.
- The due by date is shown in the Outstanding Activities page, and there are filter options on this list view to help manage the different PAR deadlines the Applicant might have.
- An Applicant with multiple Relevant Planning Consent outstanding for the same CMU can provide one Relevant Planning Consents information for multiple requests.
- The Applicant has an option to Withdraw the submitted Relevant Planning Consents PAR at any time provided the DB assessment has not already begun.

My EMR

CMU and Auction Management

**Company CMUs**

A complete list of all company CMU's that can be something something something.

**My Applications & Opt-Out Notifications**

Edit and update all your Auction applications related to this company.

**Exhibit Library**

All the Exhibits we require you to provide categorised by each CMU type.

**Application Results**

Body copy to be placed in here. Body copy to be placed in here.

**Outstanding Activities**

Submit required Information and or Documentation Post PQRD.

**Delivery Body Notices**

Body copy to be placed in here. Body copy to be placed in here.

**Management Activities**

Body copy to be placed in here. Body copy to be placed in here.

**Capacity Agreement Notices (CANs)**

Body copy to be placed in here. Body copy to be placed in here.

Available Auctions

Title	Type	Year	Action
2022-23 T-1 Capacity Auction	T-1	2023	Apply
[Title]	T-4	2027	Apply

CMU Auction Status

Awaiting information.



My EMR / Outstanding Activities

Display: Outstanding Activities Completed Activities[Download .CSV](#)

Filter Auction

Filter Company

Filter Submission Status

Filter Requirement

- Display All -

- Display All -

- Display All -

Submit Planning Consent

Search CMU or Application ID



Due Date ↓	Requirement ↓	Company Name ↓	CMU ID ↓	Application ID ↓	Agreement ID ↓	Submission St.. ↓	Delivery Bo.. ↓	Action
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submission Outstand...	First 25 characters...	Update submission
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submission Outstand...	First 25 characters...	
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submission Outstand...	First 25 characters...	
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submission Outstand...	First 25 characters...	
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submission Outstand...	First 25 characters...	



My EMR / Outstanding Activities

Display: Outstanding Activities Completed Activities[Download .CSV](#)

Filter Auction

Filter Company

Filter Submission Status

Filter Requirement

- Display All -

- Display All -

- Display All -

Submit Planning Consent

Search CMU or Application ID



Due Date ↓

Requirement ↓

Company Name ↓

CMU ID ↓

Application ID ↓

Assessment ID ↓

Submission St.. ↓

Delivery Bo.. ↓

Action

XX/XX/XXXX

Submit Planning Consent

Company Name
Spread over

123456

T-3-2026-123456-0001

T-X-20XX-XXXXXX-XXXX

Submission Outstand...

First 25 characters...



XX/XX/XXXX

Submit Planning Consent

Company Name
Spread over

123456

T-3-2026-123456-0001

T-X-20XX-XXXXXX-XXXX

Submission Outstand...

First 25 characters...



XX/XX/XXXX

Submit Planning Consent

Company Name
Spread over

123456

T-3-2026-123456-0001

T-X-20XX-XXXXXX-XXXX

Submission Outstand...

First 25 characters...



XX/XX/XXXX

Submit Planning Consent

Company Name
Spread over two lines

123456

T-3-2026-123456-0001

T-X-20XX-XXXXXX-XXXX

Submission Outstand...

First 25 characters...



XX/XX/XXXX

Submit Planning Consent

Company Name
Spread over two lines

123456

T-3-2026-123456-0001

T-X-20XX-XXXXXX-XXXX

Submission Outstand...

First 25 characters...



Multiple Planning Consents required

You have multiple planning consents in outstanding activities, and they will be automatically added to this request.

You will be able to remove planning consents before submission should you not want to include them.

[Cancel](#)[Continue](#)



Applicant Company	CMU ID	Application ID	Agreement ID
Company Name	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX

Cancel

Save

Submit Request

Confirm Application Selection

You can see the selected Applications on the list below. You can deselect the ones you don't want to include in this submission.

Due date	Application ID	Select
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>

Planning Consent Declaration *

Tick the box to declare that All Relevant Planning Consents have been obtained and you have the Legal Right to use the land.

I confirm

Max Output (MW) *

Submit Director's Certificate *

Please upload the relevant Director Certificate for this CMU.

The following filetypes are accepted: .JPG, .PDF, .PNG. max 2Gb

or Drop Files

Successfully uploaded

[file name.PDF]

[View](#)

[Delete](#)

My EMR / Outstanding Activities

Display: Outstanding Activities Completed Activities[Download .CSV](#)

Filter Auction

Filter Company

Filter Submission Status

Filter Requirement

- Display All -

- Display All -

- Display All -

Submit Planning Consent

Search CMU or Application ID



Due Date ↓	Requirement ↓	Company Name ↓	CMU ID ↓	Application ID ↓	Agreement ID ↓	Submission St.. ↓	Delivery Bo.. ↓	Action
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submitted for Review	First 25 characters...	Manage submission
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submitted for Review	First 25 characters...	
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submitted for Review	First 25 characters...	
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submitted for Review	First 25 characters...	
XX/XX/XXXX	Submit Planning Consent	Company Name Spread over two lines	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX	Submitted for Review	First 25 characters...	



Applicant Company	CMU ID	Application ID	Agreement ID
Company Name	123456	T-3-2026-123456-0001	T-X-20XX-XXXXXX-XXXX

Cancel

Withdraw Submission

Confirm Application Selection

You can see the selected Applications on the list below. You can deselect the ones you don't want to include in this submission.

Due date	Application ID	Select
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>
XX/XX/XXXX	T-X-20XX-XXXXXX-XXXX	<input checked="" type="checkbox"/>

Planning Consent Declaration *

Tick the box to declare that All Relevant Planning Consents have been obtained and you have the Legal Right to use the land.

I confirm

Max Output (MW) *

20.367

Submit Director's Certificate *

Please upload the relevant Director Certificate for this CMU.

The following filetypes are accepted: .JPG, .PDF, .PNG. max 2Gb

or Drop Files

Successfully uploaded

[file name.PDF]

[View](#)

[Delete](#)



You have withdrawn the Planning Consent submission for CMU AB73WV



My EMR / Outstanding Activities

Display: Outstanding Activities

Completed Activities

Download .CSV

Filter Auction

Filter Company

Filter Submission Status

Filter Requirement

- Display All -

- Display All -

- Display All -

Submit Planning Consent

Search CMU or Application ID



Due Date ↓

Requirement ↓

Company Name ↓

CMU ID ↓

Application ID ↓

Agreement ID ↓

Submission St.. ↓

Delivery Bo.. ↓

Action

XX/XX/XXXX

Submit Planning Consent

Company Name
Spread over two lines

123456

T-3-2026-123456-0001

T-X-20XX-XXXXXX-XXXX

Withdrawn

First 25 characters...



Dispute Creation and Submission (Prequalification Decision)

Feature Description

An Applicant requires the ability to dispute a Delivery Body reviewable decision. The dispute process gives the Applicant an opportunity to provide all the relevant information, including documentation, to the Delivery Body for review. The information provided is directly linked to the relevant application and can be viewed and tracked through a Disputes workflow screen.

Customer Feedback Considered

- Dispute process in the current portal is separate to the relevant processes that are being disputed.
- The use of special characters causes a system error.

High-level Requirements Proposed

- For Prequalification application disputes the user will be able to raise a dispute from the Prequalification Applications Results screen against specific applications.
- We envisage that other less frequent disputable decisions will be raised via a Disputes screen based on type of dispute and relevant activity ID selection. Further details on these will be shared in a later session
- Rejection reasons, Conditionally Prequalification reasons and notes from the Prequalification results letter will be displayed on the dispute upon creation. The rejection reasons will be automatically selected, and the other codes will be selectable as a reason for dispute. There will also be an open text box for anything not captured within the results letter.
- Space is available for the Capacity Provider to enter the necessary information and upload the relevant documents.
- A workflow management screen will be created to easily identify the status of a dispute and display key information.
- The ability to save a created dispute for editing.
- The ability to withdraw a submitted dispute, within the submission window.

Rejection reason(s)	<p>F-44 Missing VAT number</p> <p>Capacity Market Rule 3.4.1(g) requires Applicants to provide a value added tax (VAT) identification number at the point of submitting their Application or provide notice that one is not yet available. However, the VAT number has not been provided and no additional information has been provided to clarify that no VAT number is yet available.</p> <p>F-45 Missing Secondary Trade Details</p> <p>The Secondary Trading details were not provided with this Application in accordance with Capacity Market Rule 3.4.1(c)(ii), which states each Applicant must provide an email address and telephone number that can be used by a person wishing to discuss secondary trading in relation to the CMU which is the subject of the Application. The email address and telephone number were not provided.</p> <p>F-28 Deferred Connection Agreement for T-1</p> <p>This Application has been Rejected in accordance with Capacity Market Rule 3.7.3, whereby Connection Agreement cannot be Deferred for T-1 Prequalification Applications.</p>
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Further information relevant to the Application	<p>N-3 Defer Metering Assessment</p> <p>Metering Assessment is deferred in accordance with Capacity Market Rules 3.6.4(b) or 3.6A.3(aa) or 3.9.4(b)).</p> <p>N-11 Credit Cover requirement</p> <p>Please note, the Delivery Body is aware that Credit Cover has been lodged with EMRS against this CMU during a previous Prequalification process. EMRS will contact you in regards to any Credit Cover requirement.</p>
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My EMR / Prequalification Application Results

[Download .CSV](#)

Filter Auction Year and Type

Filter Company

Filter CMU Type

Filter Prequal Decision

- Display All -

- Display All -

- Display All -

Submit Metering Test Cert...

Search CMU or Application ID



0

0

0

0

0

0

0

0

0

Total CMUs

Total Applications

No of Applications
PrequalifiedNo of Applications
Conditionally PrequalifiedNo of Applications
RejectedTotal Connection
Capacity (MW)Total De-Rated
Capacity (MW)Total Prequalified De-Rated
Capacity (MW)

Total Credit Cover

Application ID ↓

CMU Type ↓

Prequal decision ↓

Company Name ↓

Confirmed
Connection
Capacity (MW) ↓De-Rated
Capacity (MW) ↓Maximum
Obligation Period ↓Download
Prequal Letter ↓

More

T-XX-20XX-CMUIII-XXX

Refurbishing
Interconnector● Conditionally
PrequalifiedCompany Name
Spread over two lines

000.000

000.000

XXX

View Results Overview

T-XX-20XX-CMUIII-XXX

Refurbishing
Interconnector

● Prequalified

Company Name
Spread over two lines

000.000

000.000

XXX

Raise a Dispute

PDF



Disputes / Raise a Dispute

CMU ID	Application ID	Submitted by	Deadline
ABC123	T-XX-20XX-CMXXXX-XXX	n/a	n/a

[Cancel](#)[Save](#)[Submit Dispute](#)

01 Disputes Reasons



02 Disputes Details



03 Disputes File Upload



Disputes Reasons



Please confirm your reasons for raising a Dispute against this Application.

Dispute Type

Auction Prequal Decision

Rejected Reason(s)

F-15: CMU Description blank.

F-18: Incorrect Applicant Status document.

F-22: Incorrect Exhibit ZB.

F-40: Agent Nomination Missing.

Conditional Prequalified Reason(s)

Select options



Note Code(s)

Select options



Other Reasons

Lorem ipsum

Disputes Details



Please provide your Dispute Details in as much detail as possible in the 4 boxes below.

Item Under Dispute

Please provide a concise statement identifying the relevant parts of the item under dispute.

Lorem ipsum

Evidence for Item Under Dispute

Please provide a concise statement of facts upon which the affected person relies.

Lorem ipsum

Grounds for Dispute

Please provide a summary of the grounds for disputing the item selected above.

Lorem ipsum

Evidence for Grounds for Dispute

Please provide a succinct presentation of the arguments supporting each of the grounds for dispute.

Lorem ipsum

Disputes File Upload



Document Category

The following filetypes are accepted: .JPG, .PDF, .PNG. max 2Gb

Select option

Upload Files

Open Exhibit Library

File Name	Document Category	Uploaded Date		
File-Name-Very-Long-Name-GF-v1	EMR Delivery Body Documentation	XX/XX/XXXX	View	Delete
File-Name	Secondary Trading Application	XX/XX/XXXX	View	Delete
File-NameFile-Name	Exhibit	XX/XX/XXXX	View	Delete
File-Name	Historic Output Details	XX/XX/XXXX	View	Delete



You have successfully submitted Dispute [DN-XXXX]



Disputes

[Raise a Dispute](#)

Filter Dispute Status

Filter Prequal Status

Filter Company Name

Filter Dispute Type

- Display All -

- Display All -

- Display All -

- Display All -

Search Dispute, CMU, Application IDs or raised by name



Dispute Status ↓

Dispute ID ↓

CMU ID ↓

Application ID ↓

Prequal Status ↓

Company Name ↓

Dispute Type ↓

Raised By ↓

Submission Date ↓

More

Submitted to DB

DN-XXXXX

123456

T-XX-20XX-CMXXXX-XXX

Conditionally
PrequalifiedCompany Name
spread over two linesSecondary Trading
Prequal decisionName Name
Surname

YY/YY/XXXX, YY:YY



Manage Dispute

View Prequalification Results

Withdraw Dispute

Withdrawn

DN-XXXXX

123456

T-XX-20XX-CMXXXX-XXX

Conditionally
PrequalifiedCompany Name
spread over two linesSecondary Trading
Prequal decisionName Na
Surname

Created

DN-XXXXX

123456

T-XX-20XX-CMXXXX-XXX

Conditionally
PrequalifiedCompany Name
spread over two linesSecondary Trading
Prequal decisionName Name
Surname

XX/XX/XXXX, XX:XX



Accepted

DN-XXXXX

123456

T-XX-20XX-CMXXXX-XXX

Conditionally
PrequalifiedCompany Name
spread over two linesSecondary Trading
Prequal decisionName Name
Surname

XX/XX/XXXX, XX:XX



Not Accepted

DN-XXXXX

123456

T-XX-20XX-CMXXXX-XXX

Conditionally
PrequalifiedCompany Name
spread over two linesSecondary Trading
Prequal decisionName Name
Surname

XX/XX/XXXX, XX:XX



Dispute ID

n/a

Status

Created

Application ID

T-XX-20XX-CMXXXX-XXX

Submitted by

n/a

Deadline

n/a

Cancel

Withdraw Dispute

Disputes Reasons



Please confirm your reasons for raising a Dispute against this Application.

Dispute Type

Auction Prequal Decision

Rejected Reason(s)

F-15: CMU Description blank.

F-18: Incorrect Applicant Status document.

F-22: Incorrect Exhibit ZB.

F-40: Agent Nomination Missing.

Conditional Prequalified Reason(s)

2 options selected

C-1 Defer Connection Agreement

C-3 FCM deferred

Note Code(s)

3 options selected

N-1 SLD not met requirement

N-5 Method of Calculation Incorrect

N-6 Planning Max Output lower than Capacity

Q&A

We will be using Slido for questions and feedback in today's session. Please go to sli.do and enter the event code **#169 4704**.

If you would like to ask any questions ahead of the session please either send them in by email or use [this link](#) to ask them on Slido, the event code will only work on 27/04/2023



Continuing the Conversation

In addition to feedback received today, we are keen to hear from you with any additional feedback via Email box.newemr.itteam@nationalgrid.com

If you have any further views on the feature areas explored please contact me at Richard.Griffiths@Nationalgrideso.com

We are happy to continue to engage with you further on the topics we discussed today and look forward to demonstrating the delivery of the requirements in the New Portal in future sessions.

The next User Group sessions

- Requirement Validation – Next session to be planned in May covering further Agreement Management functionality .
- Familiarisation Session – Next session is May 4th reviewing next set of Agreement Management functionality.
- Sandbox testing – Results release and initial Agreement Management – Due to an internal technical error we were unable to release the application results on the planned dates. This has now been resolved and the applications results will soon be available in the sandbox. The remaining functions from this phase of FCM, Metering Assessments and Connection Agreements are also be added.