

AMENDMENT REPORT

STC Proposed Amendments CA001-CA012 "Housekeeping" Amendments

The purpose of this report is to assist the Authority in their decision of whether to implement Amendment Proposals CA001-CA012

Amendment Ref	CA001-CA012
Issue	1.0
Date of Issue	26 th May 2005
Prepared by	STC Committee

I DOCUMENT CONTROL**a National Grid Document Control**

Version	Date	Author	Change Reference
0.1	25/05/05	STC Committee	Draft for STC Committee
1.0	26/05/05	STC Committee	Formal version for submission to the Authority

b Document Location

National Grid Website:

<http://www.nationalgrid.com/uk/indinfo/stc>

c Distribution

Name	Organisation
The Gas and Electricity Markets Authority	Ofgem
STC Parties	Various
Interested Parties	Various
Core Industry Document Owners	Various
National Grid Industry Information Website	

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1 SUMMARY AND RECOMMENDATION

- 1.1 Code Amendments CA001-CA012 propose to amend the STC in order to correct a number of minor errors such as incorrect paragraph numbering, spelling errors, incorrect cross-references and obsolete text.
- 1.2 Amendment Proposals CA001-CA012 were proposed by National Grid and submitted for consideration to the STC Committee Meeting on Tuesday, 19th April 2005. Following their submission SP Transmission Ltd proposed an Alternative Amendment to CA003.
- 1.3 The STC Committee has discussed the Proposed Amendments CA001-CA012 and the Alternative Amendment to CA003 and has recommended that they proceed to the Assessment and Report Phase.

STC Committee Recommendation

- 1.4 The STC Committee recommends that CA001, CA002 and CA004-CA012 be approved for implementation.
- 1.5 The STC Committee recommends that the Alternative Amendment to CA003 be approved for implementation.
- 1.6 Should the Authority approve any of the Amendment Proposals CA001-CA012 and/or the Alternative Amendment to CA003 it is provisionally recommended that the STC be modified 5 business days after the Authority's decision.

2 PURPOSE AND SCOPE OF THE REPORT

- 2.1 This Proposed Amendment Report has been prepared and issued by the STC Committee under the rules and procedures specified in the System Operator – Transmission Owner Code (STC) as designated by the Secretary of State. It addresses issues relating to proposed housekeeping amendments to the STC.
- 2.2 Further to the submission of Amendment Proposals CA001-012 (see Annex 1) and the subsequent wider industry consultation that was undertaken by the STC Committee, this document is addressed and furnished to the Gas and Electricity Markets Authority (“the Authority”) in order to assist them in their decision whether to implement Amendment Proposals CA001-012.
- 2.3 This document outlines the nature of the changes that are proposed. It incorporates STC Committee's recommendations to the Authority concerning the Amendments.
- 2.4 This Amendment Report has been prepared in accordance with the terms of the STC. An electronic copy can be found on the NGC Industry Information website, at <http://www.nationalgrid.com/uk/indinfo/stc>

3 THE PROPOSED AMENDMENTS

3.1 The following table is a summary of the Amendment Proposals represented by CA001-012:

Ref No	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address
CA001	Section J, Paragraph 1.1 refers to 'Section I' and requires correction to read 'Section J'	Correction of referencing error at the beginning of Section J, Paragraph 1.1
CA002	Sub-paragraph numbering for Section I, paragraph 9.1 starts at 9.1.2 instead of 9.1.1	Correction of sub-paragraph numbering of Section I, Paragraph 9.1 and amend subsequent paragraph numbering and cross referencing accordingly throughout paragraph 9.
CA003	Introduction of version control throughout STC documentation i.e. Sections, and Schedules.	Currently there is no version control of the STC sections and schedules, the introduction of which would assist in the electronic servicing of the STC.
CA004	Section I, Paragraph 1.2 finishes with an end bracket ")" instead of full stop".	The authority decision letter for SLC C18 introduced within Section 1 sub-paragraph 1.2 however the legal text finishes the sentence with an end bracket instead of a full stop.
CA005	There are currently no definitions listed for: 'Local Switching Procedure', 'Local Joint Restoration Plan' and 'De-Synchronised Island Procedure'	The authority decision letter for OC7 and OC9 introduced the following terminology into the STC: Local Switching Procedure, Local Joint Restoration Plan and De-Synchronised Island Procedure but did not provide the relevant definition in Section J.
CA006	Removal of obsolete text – 'to the effect that the law governing the place in which such immovable property' – at the end of Section G, paragraph 18.1	The authority decision letter for STC Housekeeping amendment deleted words from Section G, paragraph 18.1 but did not remove 'to the effect that the law governing the place in which such immovable property'. Resulting in the current paragraph becoming ineffectual.
CA007	Sub-paragraph numbering for Section I, paragraph 13.1 starts at 13.1.2 instead of 13.1.	Correction of sub-paragraph numbering of Section I, Paragraph 13.1
CA008	Reference to 'Construction Agreement' should be amended to 'TO Construction Agreement'	Amending incorrect terminology within Schedule 3, paragraph 2.1.3 (a).
CA009	Cross-reference should be amended to refer to sub-paragraphs 2.4.7(a) – (g), not 2.4.6 (a) – (g).	Reference to sub-paragraphs 2.4.6 (a) – (g) will be corrected to read 2.4.7 (a) to (g).
CA010	Reference to 'Construction Agreement' should be amended to 'TO Construction Agreement'	Amending incorrect terminology within Schedule 3, paragraph 2.5.1.
CA011	Insert Heading G20 and associated wording in the Table of Contents Document	Recent authority direction in relation to Schedule 3 inserted paragraph G20 into the STC but did not add the corresponding heading into the Table of Contents Document.
CA012	Insert Heading I14 and associated wording in the Table of Contents Document	Recent authority direction in relation to EELPS inserted paragraph I14 into the STC but did not add the corresponding heading into the Table of Contents Document.

4 ALTERNATIVE AMENDMENTS

- 4.1 SP Transmission Ltd has proposed an Alternative Amendment in relation to CA003 in accordance with Paragraph B7.2.2.8 of the STC.
- 4.2 This Alternative Amendment proposes that upon each update of a section of the STC the whole section should be re-issued as an updated version. This proposal contrasts with the original CA003 that proposed that upon each update only the pages that had changed should be re-issued as an updated version. In support of the Alternative Amendment Proposal SP Transmission cited the greater ease of updating a paper copy of the STC through simply replacing an entire section of the STC rather than the individual pages that had changed. This SP Transmission Ltd stated would therefore better facilitate the Applicable STC Objectives as opposed to the original CA003.

5 EVALUATION PHASE

- 5.1 The STC Committee has considered the Proposed Amendments CA001-CA012 and the Alternative Amendment to CA003 and believes that no further information is required in order that the Parties may assess the Proposed Amendments. The Evaluation Phase is therefore not required for Amendment Proposals CA001-CA012.

6 STC PARTIES' ASSESSMENTS

- 6.1 This Section contains a summary of the views and representations made by STC Parties during the Assessment Phase in respect of the Proposed Amendments, in accordance with Section B, Paragraph 7.2.5.2 of the STC.
- 6.2 **National Grid Company (NGC) View**
- 6.2.1 NGC is supportive of Amendment Proposals CA001, CA002 and CA004-012 and the Alternative Amendment to CA003. The implementation of the proposed amendments will not have any impact on NGC's Transmission System or IS Systems. No additional works or monies will be required to implement the proposed changes
- 6.3 **Scottish Hydro-Electric Transmission Limited (SHETL) View**
- 6.3.1 SHETL is supportive of Amendment Proposals CA001, CA002 and CA004-012 and the Alternative Amendment to CA003. The implementation of the proposed amendments will not have any impact on SHETL's Transmission System or IS Systems. No additional works or monies will be required to implement the proposed changes
- 6.4 **SP Transmission Limited (SPTL) View**
- 6.4.1 SPTL is supportive of Amendment Proposals CA001, CA002 and CA004-012 and the Alternative Amendment to CA003. The implementation of the proposed amendments will not have any impact on SPTL's Transmission System or IS Systems. No additional works on monies will be required to implement the proposed changes.

7 IMPACT ON THE STC/STCPs

- 7.1 The Proposed Amendments would require amendment to those areas of the STC detailed in the table contained in Paragraph 3.1 above.
- 7.2 The text required to give effect to the Proposed Amendments is contained at Annex 2 of this document.

8 IMPACT ON CORE INDUSTRY DOCUMENTS

- 8.1 The Proposed Amendments will have no impact on Core Industry Documents or other industry documentation or require any changes to computer systems established under Core Industry Documents.

9 STC COMMITTEE VIEWS & RECOMMENDATION

- 9.1 The STC Committee believes that amendment of the STC on the basis of the housekeeping amendments represented by CA001, CA002, CA004-012 and the Alternative Amendment to CA003 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC. The original CA003 would also serve to aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC but it is the view of the STC Committee that it would do so to a lesser extent than the Alternative Amendment to CA003.
- 9.2 The STC Committee therefore recommends that Amendment Proposals CA001, CA002, the Alternative Amendment to CA003 and CA004-012 inclusive should be approved for implementation to retain consistency and improve clarity and hence enable the STC Parties to more efficiently discharge their licence obligations.

10 IMPLEMENTATION AND TIMESCALES

- 10.1 Should the Authority approve any of the Amendment Proposals CA001-CA012 and/or the Alternative Amendment to CA003 it is recommended that the STC be modified 5 business days after the Authority's decision.

11 COMMENTS ON PROPOSED AMENDMENT REPORT

- 11.1 Views were invited from Industry parties upon the Amendments contained within the Proposed Amendment Report.
- 11.2 The STC Committee received 0 responses following the publication of the Proposed Amendment Report.

Annex 1 – Amendment Proposal Form

STC Amendment Proposal Form

CA001 to CA012

Details of Proposer: Organisation's Name:	The National Grid Company plc
Capacity in which the Amendment is being proposed (i.e. STC Party or other Party as designated by the Authority pursuant to STC section B7.2.2.1 (b))	STC Party
Details of Proposer's Representative: Name: Organisation: Telephone Number: Email Address:	Mark Duffield National Grid Company 01926 654971 Mark.Duffield@ngtuk.com
Details of Proposer's Alternate: Name: Organisation: Telephone Number: Email Address:	Ben Graff National Grid Company 01926 656312 Ben.Graff@ngtuk.com

STC Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the STC	Impact on Other Frameworks	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by STC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable STC Objectives
CA001	Section J, Paragraph 1.1 – Correction of Referencing Error	Section J, Paragraph 1.1 refers to 'Section I' and requires correction to read 'Section J'	Correction of referencing error at the beginning of Section J, Paragraph 1.1	Correction of referencing error	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. Correction of the referencing error in Section J, Paragraph 1.1 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.
CA002	Section I, Paragraph 9.1 – Correction of sub-paragraphs numbering.	Sub-paragraph numbering for Section I, paragraph 9.1 starts at 9.1.2 instead of 9.1.1	Correction of sub-paragraph numbering of Section I, Paragraph 9.1 and amend subsequent paragraph numbering and cross referencing accordingly throughout paragraph 9.	Correction of inaccurate sub-paragraph numbering	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. Correction of the inaccurate sub-paragraphing numbering in Section I, Paragraph 9.1 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.

STC Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the STC	Impact on Other Frameworks	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by STC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable STC Objectives
CA003	Introduction of Version Control	Introduction of version control throughout STC documentation i.e. Sections, and Schedules.	Currently there is no version control of the STC sections and schedules, the introduction of which would assist in the electronic servicing of the STC.	Introduction of version control - please refer to Appendix A.	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The introduction of version control throughout the STC would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.
CA004	Section I, Paragraph 1.2, Correction of Typing Error	Section I, Paragraph 1.2 finishes with an end bracket “)” instead of full stop”.	The authority decision letter for SLC C18 introduced within Section 1 sub-paragraph 1.2 however the legal text finishes the sentence with an end bracket instead of a full stop.	The ending of Section I, sub-paragraph 1.2 will have the correct grammatical ending.	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The correct grammatical ending for Section I, Paragraph 1.2 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.

STC Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the STC	Impact on Other Frameworks	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by STC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable STC Objectives
CA005	Section J – New Definitions	There are currently no definitions listed for: 'Local Switching Procedure', 'Local Joint Restoration Plan' and 'De-Synchronised Island Procedure'	The authority decision letter for OC7 and OC9 introduced the following terminology into the STC: Local Switching Procedure, Local Joint Restoration Plan and De-Synchronised Island Procedure but did not provide the relevant definition in Section J.	Insertion of three new definitions.	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The insertion of three new definitions into Section J would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.
CA006	Section G, paragraph 18.1 – removal of obsolete text.	Removal of obsolete text – 'to the effect that the law governing the place in which such immovable property' – at the end of Section G, paragraph 18.1	The authority decision letter for STC Housekeeping amendment deleted words from Section G, paragraph 18.1 but did not remove 'to the effect that the law governing the place in which such immovable property'. Resulting in the current paragraph becoming ineffectual.	Deletion of ineffectual text.	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The removal of the ineffectual text in Section G, Paragraph 18.1 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.

STC Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the STC	Impact on Other Frameworks	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by STC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable STC Objectives
CA007	Section I, Paragraph 13.1 – Correction of sub-paragraph numbering.	Sub-paragraph numbering for Section I, paragraph 13.1 starts at 13.1.2 instead of 13.1.	Correction of sub-paragraph numbering of Section I, Paragraph 13.1	Correction of inaccurate sub-paragraph numbering	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. Correction of the inaccurate sub-paragraph numbering in Section I, Paragraph 13.1 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.
CA008	Schedule 3 – Amendment to paragraph 2.1.3 (a)	Reference to 'Construction Agreement' should be amended to 'TO Construction Agreement'	Amending incorrect terminology within Schedule 3, paragraph 2.1.3 (a).	Schedule 3, paragraph 2.1.3 (a) amended to reflect correct terminology	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The introduction of the correct terminology in Schedule 3, Paragraph 2.1.3 (a) would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.

STC Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the STC	Impact on Other Frameworks	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by STC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable STC Objectives
CA009	Schedule 3, – Amendment to Paragraph 2.3.2 – Incorrect cross referencing	Cross-reference should be amended to refer to sub-paragraphs 2.4.7(a) – (g), not 2.4.6 (a) – (g).	Reference to sub-paragraphs 2.4.6 (a) – (g) will be corrected to read 2.4.7 (a) to (g).	Schedule 3, paragraph 2.3.2 amendment to reference the correct sub-paragraphs.	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. Correction of the referencing error in Schedule 3, Paragraph 2.3.2 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.
CA010	Schedule 3 – Amendment to paragraph 2.5.1	Reference to 'Construction Agreement' should be amended to 'TO Construction Agreement'	Amending incorrect terminology within Schedule 3, paragraph 2.5.1.	Schedule 3, paragraph 2.5.1 amended to reflect correct terminology	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The introduction of the correct terminology in Schedule 3, Paragraph 2.5.1 would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.

STC Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the STC	Impact on Other Frameworks	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by STC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable STC Objectives
CA011	STC – Table of Contents	Insert Heading G20 and associated wording in the Table of Contents Document	Recent authority direction in relation to Schedule 3 inserted paragraph G20 into the STC but did not add the corresponding heading into the Table of Contents Document.	Table of Contents Document will be consistent with the text in the same section.	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The updating of the Table of Contents document would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.
CA012	STC – Table of Contents	Insert Heading I14 and associated wording in the Table of Contents Document	Recent authority direction in relation to EELPS inserted paragraph I14 into the STC but did not add the corresponding heading into the Table of Contents Document.	Table of Contents Document will be consistent with the text in the same section.	None	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the STC, including the modification procedures themselves so as to better facilitate achievement of the Applicable STC Objectives. The updating of the Table of Contents document would aid the promotion of good industry practise and efficiency in the implementation and administration of the arrangements described in the STC.

Notes:

1. Those wishing to propose an Amendment to the STC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 7.2 of the STC.
2. The Committee Secretary will check that the form has been completed, in accordance with the requirements of the STC, prior to submitting it to the Committee. If the Committee Secretary accepts the Amendment Proposal form as complete, then she/he will write back to the Proposer informing them of the reference number for the Amendment Proposal and the date on which the Committee will consider the Proposal. If, in the opinion of the Committee Secretary, the form fails to provide the information required in the STC, then he/she may reject the Proposal. The Committee Secretary will inform the Proposer of the rejection and report the matter to the Committee at their next meeting. The Committee can reverse the Committee Secretary's decision and if this happens the Committee Secretary will inform the Proposer.

The completed form should be returned to:

Lilian Macleod
STC Committee Secretary
Commercial Frameworks
National Grid Company plc
NGT House
Warwick Technology Park
Gallows Hill
Warwick, CV34 6DA

Or via e-mail to: STCTeam@uk.ngrid.com

Annex 2 – Proposed Text to modify STC

Part A - Text to give effect to the Proposed Amendments

Conformed Version

CA001

Amend Section J, Paragraph 1.1 as follows:

1.1 This Section J sets out the general rules to be applied in interpreting this Code . . .

CA002

Amend the following sub-paragraph numbering in Section I, Paragraph 9.1 as follows:

9.1.2~~1~~ Each Transmission Owner shall immediately forward to NGC a complete copy of any application received from a User in respect of a Connection Site (including any application for use of system) during the period commencing on 1 January 2005 and ending on the Go Live Date.

9.1.3~~2~~ NGC may submit an application (a "**New Transitional Application**"), in accordance with this paragraph 9 and the Agreed Transitional Timetable (referred to in paragraph 10.1), to:

9.1.3~~2~~.1 the Transmission Owner, if any, whose Transmission System is located at the Connection Site which is the subject of the relevant application or offer (the "**Transitional Connection Site**");

9.1.3~~2~~.2 any Transmission Owner in relation to whose Transmission System the Transitional Connection Site satisfies the criteria referred to in paragraph 11; and

9.1.3~~2~~.3 any Transmission Owner which does not receive a New Transitional Application pursuant to sub-paragraphs 9.1.3~~2~~.1 or 9.1.3~~2~~.2 above, but who otherwise receives New Construction Planning Assumptions pursuant to paragraph 9.2 in relation to the Transitional Connection Site.

9.1.4~~3~~ A New Transitional Application submitted pursuant to sub-paragraph 9.1.3~~2~~ shall contain the information reasonably necessary in order to enable each Transmission Owner to:

9.1.4~~3~~.1 identify whether construction works would be required in respect of the Connection Site which is the subject of such New Transitional Application; and

9.1.4~~3~~.1 where relevant, prepare a New Transitional Offer.

9.1.5~~4~~ A New Transitional Application submitted pursuant to sub-paragraph 9.1.3 shall be deemed to be effective from the date on which it is received by the relevant Transmission Owner.

9.1.6~~5~~ If a Transmission Owner reasonably considers that a New Transitional Application is not effective by reason of it not containing sufficient information, pursuant to sub-paragraph 9.1.4~~3~~, to enable it to identify construction works or prepare a New Transitional Offer, it shall:

9.1.6~~5~~.1 as soon as reasonably practicable and in any event in accordance with the Agreed Transitional Timetable (referred to in paragraph 10.1), notify NGC of the further information or clarifications required; and

- 9.1.6~~5~~.2 Otherwise use its best endeavours to liaise with and assist NGC (and, where requested by NGC, relevant third parties) so that the New Transitional Application is made effective as soon as reasonably practicable.
- 9.1.7~~6~~ Each Transmission Owner shall charge NGC and NGC shall pay Engineering Charges in relation to a New Transitional Application in accordance with Schedule Ten.
- 9.1.8~~7~~ NGC and each Transmission Owner shall keep each other informed of any changes in an application or any associated information provided by a User to either NGC or the relevant Transmission Owner and notice of any withdrawal by a User of an application which is the subject of a New Transitional Application shall also constitute notice of withdrawal by NGC of the relevant New Transitional Application from the time at which both NGC and the relevant Transmission Owner received notice of the User's withdrawal.

CA003

Original Amendment

It is proposed to introduce version control on all STC Sections and Schedules, illustrated in red text below:

Sample using Section A, Paragraph 4:

4 PRECEDENCE

- 4.1 In the event of any inconsistency between the provisions of a Code Procedure and any other parts of the Code that are not Code Procedures then such other parts of the Code shall prevail.
- 4.2 In the event of any inconsistency between the provisions of the Code and the provisions of any other document established or adopted under and pursuant to the Code then the provisions of the Code shall prevail.
- 4.3 In the event of any inconsistency between the provisions of one Code Procedure and another, the Relevant Parties to such Code Procedures as are inconsistent shall agree which provision(s) shall take precedence pending amendment of the Code Procedure(s) as relevant under Section B paragraph 7.3, and such Relevant Parties shall promptly take such steps in accordance with Section B paragraph 7.3 to remove such inconsistency.
- 4.4 A Party may refer a failure to agree pursuant to paragraph 4.3 to the Authority as a Dispute pursuant to Section H, paragraph 4.1.

Version 2

A-2

1st April 2005

The version control of the relevant page(s) will be updated when there has been an approved change to the STC. For example if a revision is made on 21st May 2005 then the footer on the pages that have been amended to read “Rev 1 – 21st May 2005” in place of “1st April 2005”. This will assist with the identification of changes to the STC and with the electronic servicing of the STC.

Alternative Amendment

It is proposed to introduce version control on all STC Sections and Schedules, illustrated in red text below:

Sample using Section A, Paragraph 4:

4 PRECEDENCE

- 4.1 In the event of any inconsistency between the provisions of a Code Procedure and any other parts of the Code that are not Code Procedures then such other parts of the Code shall prevail.
- 4.2 In the event of any inconsistency between the provisions of the Code and the provisions of any other document established or adopted under and pursuant to the Code then the provisions of the Code shall prevail.
- 4.3 In the event of any inconsistency between the provisions of one Code Procedure and another, the Relevant Parties to such Code Procedures as are inconsistent shall agree which provision(s) shall take precedence pending amendment of the Code Procedure(s) as relevant under Section B paragraph 7.3, and such Relevant Parties shall promptly take such steps in accordance with Section B paragraph 7.3 to remove such inconsistency.
- 4.4 A Party may refer a failure to agree pursuant to paragraph 4.3 to the Authority as a Dispute pursuant to Section H, paragraph 4.1.

Version 2

A-2

1st April 2005

The version control of the relevant **section** will be updated when there has been an approved change to the STC. For example if a revision is made on 21st May 2005 then the footer on **all of the pages within the section** that has been amended will read “Rev 1 – 21st May 2005” in place of “1st April 2005”. This will assist with the identification of changes to the STC and with the electronic servicing of the STC.

CA004

Amend Section I, Paragraph 1.2 as follows:

This Section I also sets out various steps and processes which shall be undertaken by the Parties on and after Go Live date to the extent necessary for the purposes of, in the case of NGC, Standard Condition C18 of its Transmission Licence and, in the case of each Transmission Owner, Standard Condition D15 of its Transmission Licence).

CA005

Insert the following definitions within Section J, Paragraph 3:

“Local Switching Procedure”	As defined in the Grid Code as at the Code Effective Date
“Local Joint Restoration Plan”	As defined in the Grid Code as at the Code Effective Date
“De-Synchronised Island Procedure”	As defined in the Grid Code as at the Code Effective Date

CA006

Delete the following text from Section G, Paragraph 18.1:

18.1 This Code and any TO Construction Agreement shall be governed by and construed in accordance with English law. ~~to the effect that the law governing the place in which such immovable property~~

CA007

Amend the following sub-paragraph numbering in Section I, Paragraph 13.1 as follows:

13.1 Any matter arising between two or more Parties:

13.1.2~~1~~ during the Transition Period, under the Code; and
13.1.3~~2~~ on and from the Go Live Date, under paragraph 8, 9 and 10 of this Section I.

CA008

Amend Schedule 3, Paragraph 2.1.3(a) as follows:

(a) only Disclose User Data or Transmission Information which, in its reasonable opinion, is necessary for the Receiving Transmission Owner to comply with this Code or a TO Construction Agreement.

CA009

Amendment Schedule 3, Paragraph 2.3.2 as follows:

2.3.2 A Party may Disclose to a Transmission Owner Transmission Information including, but not limited to, the matters set out in sub-paragraphs 2.4.6~~7~~(a)-(g), related to the past or present physical properties, including both actual and designed physical properties, of any circuits of the Disclosing Party's Transmission System which are directly connected to the Receiving Transmission Owner's Transmission System.

CA010

Amend Schedule 3, Paragraph 2.5.1 as follows:

2.5.1 NGC may Disclose the following Transmission Information and User Data to a Transmission Owner which is party to a TO Construction Agreement provided that Disclosure is only made in connection with such TO Construction Agreement:

CA011

Insert the following heading into the Table of Contents Document (Section G: General Provisions):

20. TRANSMISSION DEROGATIONS

CA012

Insert the following heading into the Table of Contents Document (Section I: Transition):

14. TRANSITIONAL PROVISIONS IN RELATION TO LARGE POWER STATIONS