

STCP Amendment Proposal Form

PA018

1. Title of Amendment Proposal

STCP 14-1 – Incorporation of Outstanding Change Requests

2. Description of the Proposed Amendment (*mandatory field*)

Incorporation of the following Change Requests that were outstanding at BETTA Go-Live:

C222.

This Change Request is attached at Attachment 1 to this STCP Amendment Proposal Form.

Minor changes to the Headers and Footers within the document are also proposed. These changes serve to ensure that the name of the STCP and its Issue Number and date are clearly visible on all pages.

The following text has also been inserted before each of the Flow Diagrams within the STCPs clarifying that the text of the STCP has precedence in the event there is any disparity between the text and the flow diagrams:

“Note that the Process Diagrams shown in this Appendix [B] are for information only. In the event of any contradiction between the process represented in this Appendix and the process described elsewhere in this STCP, then the text elsewhere in this STCP shall prevail.”

This statement was included in some but not all STCPs during drafting and its inclusion here allows a consistent approach to be taken across all STCPs.

All of the above changes are reflected within the change-marked STCP attached as Attachment 2 to this STCP Amendment Proposal Form.

3. Description of Issue or Defect that Proposed Amendment seeks to Address (*mandatory field*)

Prior to Go-Live a number of changes to “signed off” STCPs were identified by the User Groups. The incorporation of these Change Requests before Go-Live was not however deemed by the same User Groups as critical for Go-Live and it was agreed between the Parties that such Change Requests should be considered and where appropriate incorporated within the STCP following Go-Live. This STCP Amendment Proposal therefore summarises the outstanding Change Requests for this STCP and outlines draft legal text that would give effect to those outstanding Change Requests.

4. Impact on the STC (*information should be given where possible*)

Effects on STCP 14-1 Data Exchange for Annual Charge Setting Issue 001 are as detailed in the Change Marked version attached at Attachment 2 to this STCP Amendment Proposal.

5. Impact on other frameworks e.g. CUSC, BSC (*information should be given where possible*)

NONE

6. Impact on Core Industry Documentation (*information should be given where possible*)

NONE

7. Impact on Computer Systems and Processes used by STC Parties (*information should be given where possible*)

NONE

8. Details of any Related Modifications to Other Industry Codes (where known)

NONE

9. Justification for Proposed Amendment with Reference to Applicable STC Objectives (mandatory field)

Although these changes were not deemed as critical for Go-Live it is the view of the proposer that should these Change Requests now be incorporated within STCP 14-1 this would better facilitate the following Applicable STC Objectives:

- the development, maintenance and operation of an efficient, economical and co-ordinated system of electricity transmission
- protection of the security and quality of supply and safe operation of the GB Transmission System insofar as it relates to the interactions between transmission licensees
- promotion of good industry practice and efficiency in the implementation and administration of the arrangements described in the STC.

Details of Proposer Organisation's Name	National Grid Company plc
Capacity in which the Amendment is being proposed (i.e. STC Party or other Party as designated by the Authority pursuant to STC section B7.2.2.1 (b))	STC Party
Details of Proposer's Representative Name Organisation Telephone Number Email Address	Mark Duffield National Grid Company plc 01926 654971 mark.duffield@ngtuk.com
Details of Representative's Alternate Name Organisation Telephone Number Email Address	Ben Graff National Grid Company plc 01926 656368 Ben.Graff@ngtuk.com
Attachments (Yes/No): Yes If yes, title and number of pages of each attachment: Attachment 1: Original Change Requests Attachment 2: Revised legal text for STCP 14-1: Data Exchange for Annual Charge Setting	

Notes:

1. Those wishing to propose an Amendment to the STC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 7.2 of the STC.
2. The Committee Secretary will check that the form has been completed, in accordance with the requirements of the STC, prior to submitting it to the Committee. If the Committee Secretary accepts the Amendment Proposal form as complete, then she/he will write back to the Proposer informing them of the reference number for the Amendment Proposal and the date on which the Committee will consider the Proposal. If, in the opinion of the Committee Secretary, the form fails to provide the information required in the STC, then he/she may reject the Proposal. The Committee Secretary will inform the Proposer of the rejection and report the matter to the Committee at their next meeting. The Committee can reverse the Committee Secretary's decision and if this happens the Committee Secretary will inform the Proposer.

The completed form should be returned to:

Lilian Macleod
STC Committee Secretary
Commercial Frameworks
National Grid Company plc
NGT House
Warwick Technology Park
Gallows Hill
Warwick, CV34 6DA


Or via e-mail to: STCTeam@uk.ngrid.com

Attachment 1: Incorporated Outstanding Change Requests

ID	Raised On	Originating Body	Description	Affected Category 2 Documents	Business Owner	CDA Owner	Status	DG3	Last Updated	Comments
C222	22/04/2005	ChUG	Changes required to reflect the agreed comments as described in the CDA Consistency Form relating to 14-1 STCP Issue 1 dated 22 March 2005.	STCP 14-1 Issue 001	Stuart Easterbrook	Tony Mason	Accepted	X	22-Apr-05	22 April 05: CR raised 22 April 05: ChUG accepted the CR

Change Request

(Yellow Shaded Boxes For CDA Use Only)

CDA CR ID	C222	Status¹	Accepted	
Company CR ID		Associated CR's		
Description of Change				
Changes required to reflect the agreed comments as described in the CDA Consistency Form relating to 14-1 STCP Issue 1 dated 22 March 2005. For a breakdown of the comments see consistency form.				
Reasons for Change				
To reflect the agreed actions to the CDA Consistency check				
Affected Category 2 Document(s)²			DG's Informed	
STCP14-1 Data Exchange for Annual Charge Setting			ChUG	
Originating Body³	ChUG		HLIA ID	
Raised On	22nd April 2005		DLIA ID	
Business Owner	Stuart Easterbrook		Time Impact⁴	
CDA Owner	Tony Mason		Effort Impact⁵	
IPUG Action				
Change Request Source Document				
 STCP14-1 Consistency Form				
Document Version Included In				
To Be Included In	<input type="checkbox"/> Testing	<input checked="" type="checkbox"/> STCP Drafting	<input checked="" type="checkbox"/> Post "Go-Live"	

¹ One of *Raised*, *HLIA Submitted*, *DLIA*, *DLIA Submitted*, *Accepted*, *Rejected* or *Pending*.

² Identifies the directly affected Category 2 documents. A full list of affected Category 1 & 2 documents will be identified by the HLIA.

³ One of DG1, DG2, DG3, DG4, Ofgem/DTI or Companies.

⁴ One of **Red** (impact on critical path), **Amber** (impact on plan but not critical path) or **Green** (no impact on plan)

⁵ One of **High** (>5 Working Days), **Amber** (>1 and <5 Working Days) or **Green** (<1 Working Day)

Category 2 Document Consistency Form

Consistency Form Status		Owning DG/User Group Review	Consistency Form Version		D0.1
Document Name	STCP14-1 Data Exchange for Annual Charge Setting			Version	Issue 1 22/3/2005
				Review Ref	1
Reviewer	Tony Mason			Date	12/04/05
Checked by	Nadim Al-Hariri			Date	12/04/05
Category 2 Documents – Detailed Level Processes and STCPs					
C: Indicates documents have been checked for consistency and no action required .					
A: Indicates documents have been checked for consistency and action may be required .					
N: Indicates documents are related but are unavailable to be checked for consistency.					
DG	Id	Issue	STCP/DL	Name	Action
3	14-1	Issue 1	STCP	Internal Consistency	A
0	-	Designated	-	SO-TO Code	C
0	-	09/03/04	-	STC Procedure Drafting Guidelines	C
3	14-2	Issue 1	STCP	Data Requirements for Charging Consultations	C

Key:**Cat** (Category of comment)

I Inconsistency comment

C Other type of comment

Q Question

Type (Type of comment)

O Inconsistent with Other doc.

D Inconsistent within review doc.

F Clarification/Format/ Grammatical

Sevty (Impact Severity)

H(igh) e.g. Process will not work

M(edium) e.g. Work-a-round required

L(ow) e.g. Cosmetic

Eff (Effort to correct)

H(igh) More than 4 hours

M(edium) More than 10 minutes but less than 4 hours

L Up to 10 minutes

Consistency Form Status

Author Review -

Sent to Author for review

Owning DG Review -

Sent to owning DG for agreed actions

Other DG Review -

Sent to other DG's affected for agreeing actions

Issued -

Issued

Category 2 Document		Internal Consistency			Version		N/A
Id	Sect	Comment	Cat	Type	Sevty	Effort	Proposed/Agreed Action
1	Formatting/ Typos	<p>Following 3.2.3 – the paragraph reference is missing. It should be 3.2.4 with 3.2.4 becoming 3.2.5</p> <p>3.3.1 – “STCP 14-2: Data exchange for charging consultations” should read “STCP14-2 Data Requirements for Charging Consultations”</p> <p>3.1.1 – The diagram in Appendix B is an overview of the annual charge setting process, the swim lane is in Appendix C. Suggest rewording to: “An overview of the charge setting process is pictorially represented in Appendix B and interfaces between NGC and TOs is represented in the swim lane diagram in Appendix C.”</p>	C	F	L	L	Proposed Action: Amend as stated Agreed Action: As proposed action
2	1.1.1	This section can be removed as the statement is repeated in 1.1.2	I	D	L	L	Proposed Action: Amend as stated Agreed Action: As proposed action
3	2.1.1.2 and 2.1.1.3	These definitions can be removed as they are not referred to in the text.	I	D	L	L	Proposed Action: Amend as stated. Agreed Action: As proposed action
4	Definitions	The following definitions are missing from the section NGC (STC) Authority (STC)	I	D	L	L	Proposed Action: Add to Definitions section. Agreed Action: As proposed action

Attachment 2: Revised Legal Text for STCP 14-1 Data Exchange for Annual Charge Setting

STCP 14-1 14-1 Issue 002 Data Exchange for Annual Charge Setting

STC Procedure Document Authorisation

Company	Name of Representative	Signed off (date)
Ofgem		
NGT		
SP		
SSE		

<u>Company</u>	<u>Name of Party Representative</u>	<u>Signature</u>	<u>Date</u>
National Grid Company plc			
SP Transmission Ltd			
Scottish Hydro-Electric Transmission Ltd			

STC Procedure Change Control History

~~Issue 1 issued 22/3/5~~

Issue 1	22/03/2005	BETTA Go-Live version
Issue 2	22/06/2005	Issue 002 incorporating PA018

1 Introduction

1.1 Scope

~~1.1.1 Connection Charges and Transmission Network Use of System (TNUoS) Charges are set on an annual basis and apply to each Financial Year.~~

1.1.1 NGC is responsible for the calculation, development and invoicing of Connection and Transmission Network Use of System (TNUoS) Charges. Connection and TNUoS Charges are set on an annual basis and apply to each Financial Year and NGC requires information from each TO to calculate these charges in accordance with the Charging Methodologies.

1.1.2 This document describes the data exchange process between NGC and TOs required so that NGC can calculate these charges in accordance with the Charging Methodologies.

1.1.3 This procedure applies to NGC and TOs. For the purposes of this document, TO means:

- SPT; and
- SHETL.

1.2 Objectives

1.2.1 The objective of this document is to provide for effective data exchange between NGC and TOs to enable NGC to calculate Connection Charges and Transmission Network Use of System (TNUoS) Charges.

1.2.2 To meet this objective, this document specifies the following:

- the responsibilities of NGC and TOs in relation to data provision related to the calculation of Connection Charges and TNUoS charges; and
- the lines of communication to be used.

2 Key Definitions and Interpretation

2.1.1 The following definitions apply for the purposes of this document:

2.1.1.1 **Charging Methodologies** means the Statement of Use of System Charges, the Statement of the Use of System Charging Methodology and the Statement of the Connection Charging Methodology.

~~2.1.1.2 NGC Charging Contact is the named contact within NGC for charging issues as advised to TOs from time to time.~~

~~2.1.1.3 2.1.1.2 TO Charging Contact is the named contact within each TO for charging issues as advised to NGC from time to time.~~

3 Procedure

3.1 Overview of Charge Setting Process

3.1.1 An overview of the annual charge setting process is pictorially represented in the Swim Lane Appendix B and interfaces between NGC and TOs is represented in the swim lane diagram in Appendix B-C.

3.2 Connection Charge Setting

3.2.1 By 1 October each year, NGC shall send an email to each TO requesting a forecast of:

- the direct cost of maintaining that TO's connection assets in £m; and
- the percentage of connection assets (as referenced in 3.2.3) in relation to Total Transmission System assets.

3.2.2 By 31 October each year each TO shall provide the forecasts requested by NGC in 3.2.1 by email.

3.2.3 The request from NGC shall include a schedule of all connection assets owned by that TO as currently included in the relevant Appendix A of each Bilateral Connection Agreement between NGC and relevant Users for the following Financial Year, listed by site, to enable the TO to apportion their forecast costs to connection assets.

3.2.4 NGC shall provide all necessary assistance in response to any reasonable query from TOs regarding the data request in 3.2.1 from NGC.

3.2.5 Each TO shall provide all necessary assistance in response to any reasonable query from NGC regarding the data submitted by that TO pursuant to 3.2.2.

3.3.13.3 Charge Setting Parameter Review

3.3.1 NGC's charging methodologies may contain parameters used in the calculation of charges which are normally fixed but which may be reviewed at regular intervals, e.g. for the start of a new price control period. Additional data may be required by NGC in order to undertake a review of a charging parameter, and any such data will be requested in accordance with STCP 14-2: [Data exchange for charging consultations-Requirements for Charging Consultations](#).

4 Use of Substitute Data

4.1.1 Where no data is provided or the data is subject to dispute, NGC shall use the data that it believes to be the most accurate until NGC is satisfied with the data provided or any dispute has been resolved.

4.1.2 Where NGC has used substitute data, NGC shall notify the relevant TO(s).

4.1.3 If applicable, once any dispute has been resolved, charges shall be revised on the basis of the appropriate data.

5 Dispute resolution

5.1.1 Any dispute may be referred to the Authority in accordance with Section H of the STC.

Appendix A: General Terms/Conditions

A1: Terminology/Abbreviations

STC System Operator –Transmission Owner Code

STCP System Operator –Transmission Owner Code Procedure

TO Transmission Owner

A2: Definitions used (in addition to the Key definitions in section 2)

A2.1 STC definitions used:

- [Authority](#)

- [Financial Year](#)

- [NGC](#)

- Transmission Licence

- User

~~[Financial Year](#)~~

A2.2 CUSC definitions used:

- [Bilateral Connection Agreement](#)

- Connection Charges

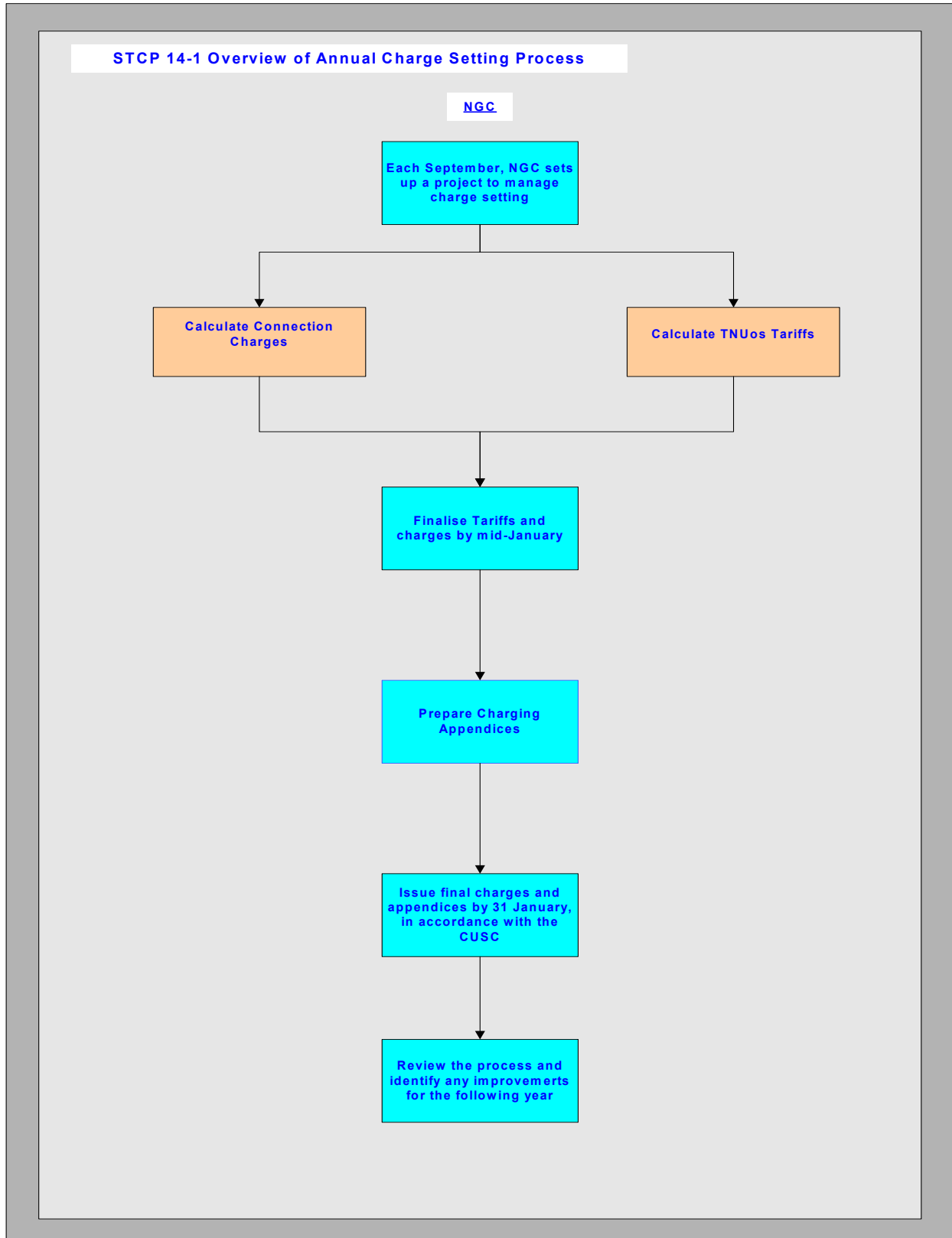
- [Financial Year](#)

- TNUoS Charges

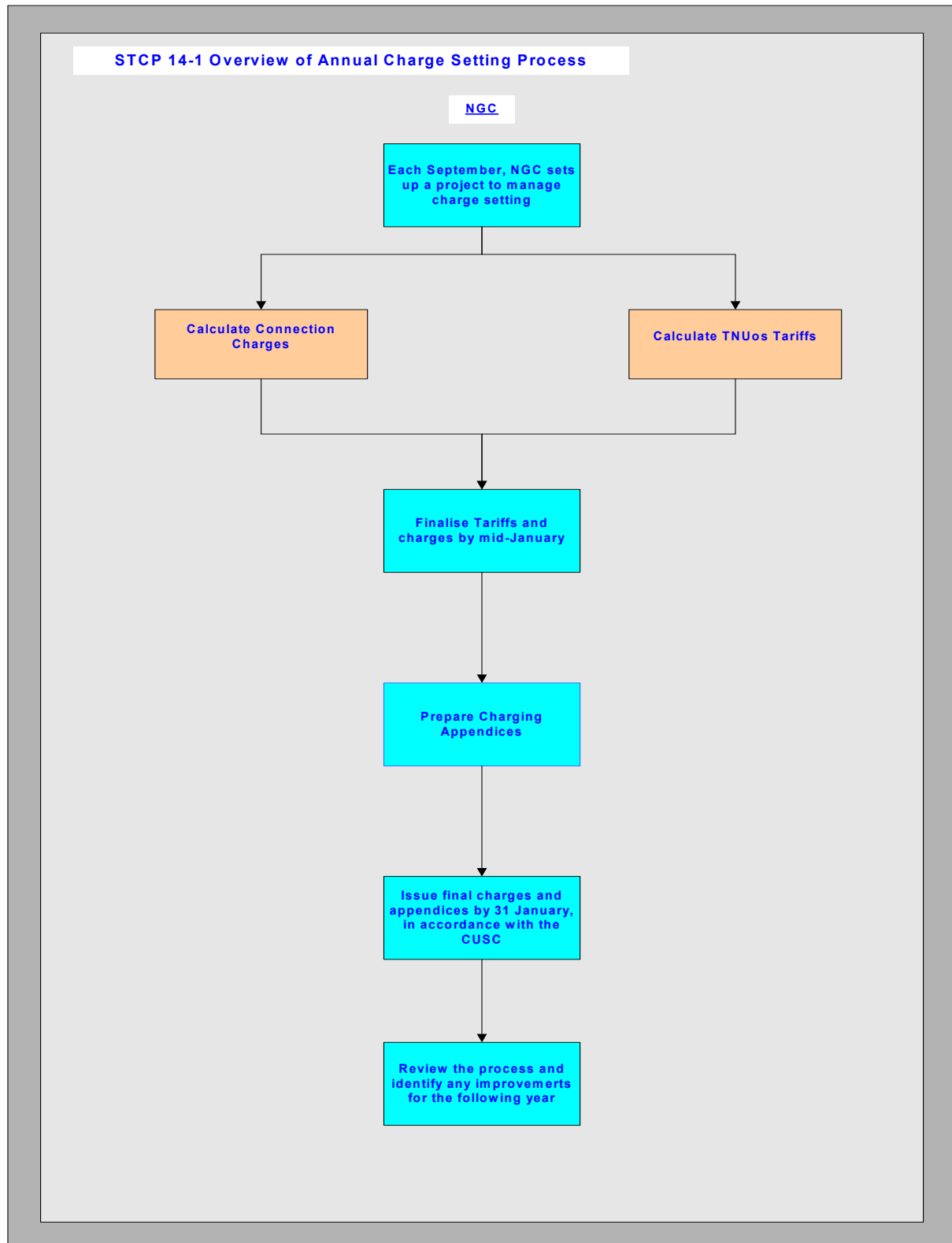
~~[Bilateral Connection Agreement](#)~~

~~[Financial Year](#)~~

Appendix B: Overview of Annual Charge Setting Process



[Note that the Process Diagrams shown in this Appendix B are for information only. In the event of any contradiction between the process represented in this Appendix and the process described elsewhere in this STCP, then the text elsewhere in this STCP shall prevail.](#)



Appendix C: Detailed Flow Diagram

Note that the Process Diagrams shown in this Appendix C are for information only. In the event of any contradiction between the process represented in this Appendix and the process described elsewhere in this STCP, then the text elsewhere in this STCP shall prevail.

