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FORM A: COMPANY REGISTRATION

NESO Balancing Services

Version control

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*Please note: capitalised and bold terms used in this Form A shall have, where not expressly defined, the meanings given to them in the applicable **Glossary of Terms and Rules of Interpretation**.*

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Guidance Notes

To be entitled to participate as a potential provider of certain **Balancing Services**, **NESO** first requires a company to complete and sign this Form A, in order to apply to register as a “**Registered Service Provider**”.

A company should use this Form A if:

- it is applying (as “**Applicant**”¹) for itself to become a **Registered Service Provider AND/OR**
- it is applying (as “**Agent**”) on behalf of one or more other companies, for example affiliates (“**Related Entities**”) who wish to themselves become **Registered Service Providers**.

Where a company applies as **Agent** for one or more **Related Entities**, its completed and signed Form A must be accompanied by a declaration, in the form set out in the Schedule below, signed by each **Related Entity** (“**Declaration**”).

Registration will not be effective until **NESO** validates that the company details provided in this Form A and in any accompanying **Declaration** are consistent with details shown on the Companies House website at the time of validation.

Following such validation, **NESO** will email the **Applicant/Agent** confirming registration, and where applicable shall provide each **Registered Service Provider** (and where applicable, the **Agent**) with a **Unique Identifier Code** to enable confidential communications.

It is the **Applicant/Agent’s** responsibility to ensure that any changes to the registration details in this Form A (and any accompanying Declaration(s)) are notified to **NESO** promptly and in writing by completion of an additional Form A (incorporating substitute **Declaration(s)** as required), which will be subject to validation as described above.

*Please note: once confirmed as a **Registered Service Provider**, a company will not be entitled to participate in **NESO’s Balancing Services** procurement for a particular **Balancing Service** unless and until it has applied for, and received, separate confirmation from **NESO** that it has pre-qualified to participate in the procurement process for that particular **Balancing Service**.*

*In the case of the **Response Auction Trial, Optional Downward Flexibility Management, Dynamic Containment** or **STOR (Day Ahead)**, this confirmation will not be provided until **NESO** has received a further **Registration Document (Form B)**, validly completed, by which the necessary confirmations, undertakings and certifications relevant to such service (as applicable) are given by or on behalf of the **Registered Service Provider** (and, in the case of **STOR(Day Ahead)**, only after completion of the process of registration of **Eligible Asset(s)** (including testing of relevant communication systems).*

¹ For the purpose of this Form A, where a company is providing a **Balancing Service(s)** as an aggregator, it should apply as the ‘**Applicant**’.

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FORM A – Applicant/Agent Information

Please download this form from NESO’s website and submit the completed and signed form to NESO by email at commercial.operation@uk.nationalenergyso.com.

1 Applicant / Agent Details

1.1 Name:

1.2 Country of Incorporation:

1.3 Company number:

1.4 Registered Office:

1.5 Address for receipt of notices (if not R/O):

1.3 Telephone number (operational):

1.4 Email (operational):

2 Tick one or both of the boxes below²:

The company submitting this form is an **Applicant** and wishes to itself apply to register as a **Registered Service Provider** (including where applying to register as an aggregator)

The company submitting this form is an **Agent** appointed on behalf of one of more **Related Entities** who each wish to apply to register as a **Registered Service Provider**

*Note: if this box is ticked, this Form A must be accompanied by a **Declaration** in the form set out in the Schedule below, signed by each **Related Entity***

By signing and returning this **Form A**, the **Applicant/Agent** makes the following declarations:

- That it has complied with all laws intended to prohibit or restrict anti-competitive practices relevant to its participation in activities associated with procurement by **NESO** of **Balancing Services**;
- That neither it nor any **Affiliate** has engaged in any market manipulation in relation to the wholesale energy markets;
- That neither it nor any **Affiliate** has done anything which would constitute a breach of the Bribery Act 2010 with a view to influencing the outcome of any procurement activity by **NESO** in relation to **Balancing Services**; and
- That neither it nor any **Affiliate** has offered to pay or give any sum of money, inducement or valuable consideration directly or indirectly to any employee of **NESO**.

² Where the company submitting this form is an **Applicant** and also acting as an **Agent**, it should tick both boxes in Section 2.

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**Authorised Signatory of
Applicant/Agent:**

Name/Title:

Date:

SCHEDULE

RELATED ENTITY DECLARATION

Each Related Entity should please complete and sign its own copy of this Declaration

Name:

Country of Incorporation:

Company Number:

Registered Office:

Address for receipt of notices (if not R/O):

Telephone number (operational):

Email (operational):

Agent

Name:

Company
Number:

The **Related Entity** hereby confirms to **NESO** that, with respect to **[the Response Auction Trial] [Optional Downward Flexibility Management] [Dynamic Containment] [STOR (Day Ahead)]** (complete/delete as applicable)³:

- The above-mentioned **Agent** is duly authorised on its behalf to complete, sign and submit to **NESO Form B** of the **Registration Documents** and to act as its agent in relation to all matters relating thereto;
- The **Agent** is accordingly authorised on its behalf to give the undertakings and acknowledgments set out in that Form B, including as to its compliance with all of **NESO's**

³ As and when **NESO** introduces additional **Balancing Services** into the Form B eligibility and procurement process, the scope of appointment of the **Agent** may be extended by a **Related Entity** completing, signing and returning a replacement or additional **Declaration**.

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procurement and contract terms and requirements with respect to such **Balancing Service** by which it hereby agrees to be bound;

- The **Agent** is authorised on its behalf to exercise its rights and perform its obligations pursuant to such procurement and contract terms, and in relation thereto it acknowledges and agrees that it is and will be bound by all acts and omissions of the **Agent**; and
- It will take such actions and provide such information as may be reasonably requested by **NESO** or otherwise necessary to enable the **Agent** to discharge its functions with respect thereto.

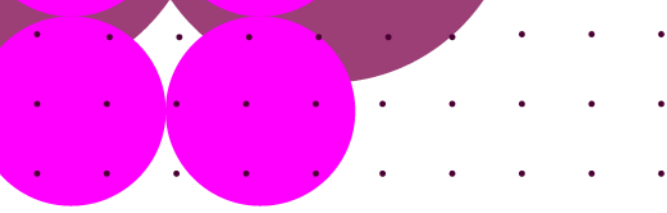
By signing and returning this **Declaration**, the **Related Entity** makes the following declarations:

- That it has complied with all laws intended to prohibit or restrict anti-competitive practices relevant to its participation in activities associated with procurement by **NESO** of **Balancing Services**;
- That neither it nor any **Affiliate** has engaged in any market manipulation in relation to the wholesale energy markets;
- That neither it nor any **Affiliate** has done anything which would constitute a breach of the Bribery Act 2010 with a view to influencing the outcome of any procurement activity by **NESO** in relation to **Balancing Services**; and
- That neither it nor any **Affiliate** has offered to pay or give any sum of money, inducement or valuable consideration directly or indirectly to any employee of **NESO**.

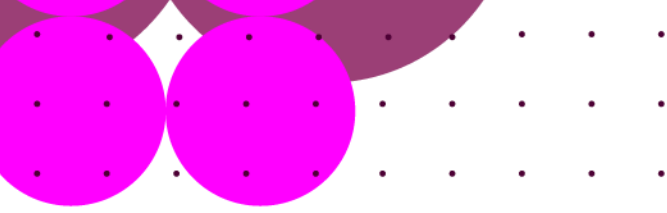
Authorised Signatory of Related Entity

Name/Title:

Date:



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