

Annex 10 – Legal Text for Original and all WAGCMs

For GC0107 Original solution:

OPERATING CODE NO. 3 (OC3) CONNECTION AGREEMENTS – GENERIC AND SPECIFIC VALUES

CONTENTS

(This contents page does not form part of the Grid Code)

OC3.1 INTRODUCTION

OC3.1.1 Operating Code No.3 ("OC3") is concerned with those settings or requirements that are either set within the Grid Code and **Distribution Code** or that can be defined bilaterally within a connection agreement and which stem from the requirements written into the Grid Code to comply with, in OC3.4A, **European Regulation (EU) 2016/631** establishing a network code on requirements for grid connection of generators.

OC3.1.2 **The Company** and **Network Operators** are required to record such settings or requirements as they are first made and communicate these to **The Company** who will then maintain a publicly accessible register of these.

OC3.2 OBJECTIVE

The objectives of OC3 are:

- a) to assemble in one place the complete scope of GB settings or requirements stemming from the **European Regulation (EU) 2016/631** 'Requirements for Generators';
- b) to enable the collection of data on the initial generic settings or requirements of general application and further on those bilaterally agreed settings or requirements made in connection agreements; and
- c) to set out the mechanisms for the public accessibility and maintenance of such data.

OC3.3 SCOPE

OC3 applies to **The Company** and to **Network Operators**.

OC3.4A RECORDING AND COMMUNICATION OF GENERIC AND BILATERALLY AGREED SETTINGS OR REQUIREMENTS FOR GENERATION CONNECTION AGREEMENTS

OC3.4.1A **The Company** shall maintain on its website a fully completed and publicly accessible report of settings or requirements of general application derived from **European Regulation (EU) 2016/631** 'Requirements for Generators' together with any of these settings or requirements as set specifically for a **Power Station** and agreed bilaterally with **The Company** or a **Network Operator**, and will update this as described in OC3.4.2 to OC3.4.5 to incorporate OC3 Schedule 1A submissions received from **Network Operators**.

OC3.4.2A In respect of any bilateral agreement for connection entered into, or substantially modified (as the case may be), and in relation to an **EU Code User** or an **Embedded Generator** connecting a Type A, B, C or D **Power Generating Module** to the **System** after 27 April 2019, **The Company** or the relevant **Network Operator** will record those settings or requirements set out in OC3 Schedule 1A concerning the specification or performance of such **Main Plant and Apparatus**, contained in the body or appendices of such a bilateral agreement.

OC3.4.3A **The Company** or the relevant **Network Operator** will assess each of the settings or requirements in OC3 Schedule 1A for each of the bilateral agreements within the scope of OC3.4.2A and, where there are four or more equal settings or requirements, update the relevant part of OC3

Schedule 1A. The relevant part of OC3 Schedule 1A updated by **The Company** or relevant **Network Operators** should be submitted to **The Company** in the timescales set out in OC3.4.4A. Where there are less than four equal settings or requirements, **The Company** or the relevant **Network Operator** should retain such data for submission to the **Authority** upon its request, and so that it can be used in future assessments of the number of equal settings or requirements.

OC3.4.4A Following the initial publication of the report described in OC3.4.1A, which shall be within 3 months of [the implementation date], where any settings or requirements are to be added or updated in accordance with OC3.4A by **The Company** or the relevant **Network Operator**, such party shall update these settings or requirements, in the relevant part of OC3 Schedule 1A, and submit these to **The Company**, or upon request the **Authority**, within no more than 10 business days of a new or substantially modified bilateral agreement, falling within the scope of OC3, being entered into.

OC3.4.5A **The Company** shall update the report described in OC3.4.1A published on its website with the information received within no more than 5 business days of such information being received in accordance with OC3.4.4A and which shall not include information available only to the **Authority**.

For GC0107 WAGCM1:

OPERATING CODE NO. 3 (OC3) POWER GENERATING MODULES – GENERIC AND SPECIFIC VALUES

CONTENTS

(This contents page does not form part of the Grid Code)

OC3.1 INTRODUCTION

OC3.1.1 Operating Code No.3 ("OC3") is concerned with those settings or requirements that are either set within the Grid Code and **Distribution Code** or can be defined bilaterally within a **CUSC Party** connection agreement and which stem from the requirements written into the Grid Code to comply with, in OC3.4A, **European Regulation (EU) 2016/631** establishing a network code on requirements for grid connection of generators.

OC3.1.2 **The Company** and **Network Operators** are required to record such settings or requirements as they are first made. **The Company** will then maintain a publicly accessible register of these.

OC3.2 OBJECTIVE

The objectives of OC3 are:

- a) to enable the collection of data on bilaterally agreed settings or requirements made in CUSC bilateral agreements; and
- b) to set out the mechanisms for the public accessibility and maintenance of such data.

OC3.3 SCOPE

OC3 applies to **The Company**.

OC3.4A RECORDING AND COMMUNICATION OF DEFINED OR BILATERALLY AGREED SETTINGS OR REQUIREMENTS

OC3.4.1A **The Company** shall maintain on its website a fully completed and publicly accessible report of settings or requirements of general application derived from **European Regulation (EU) 2016/631** 'Requirements for Generators' together with any of these settings or requirements as set specifically for a **Power Station** and agreed bilaterally with **The Company**, and will update this as described in OC3.4.2 to OC3.4.5.

OC3.4.2A In respect of any bilateral agreement for connection entered into, or substantially modified (as the case may be), and in relation an **EU Code User** relating to the connection of any Types A, B, C or D **Power Generating Module** after 27 April 2019 to the **System**, **The Company** will record those settings or requirements set out in OC3 Schedule 1 concerning the specification or performance of such **Main Plant and Apparatus**, contained in the body or appendices of such a bilateral agreement.

OC3.4.3A **The Company** will assess each of the settings or requirements in OC3 Schedule 1A for each of the bilateral agreements within the scope of OC3.4.2 and, where there are four or more equal settings or requirements, update the relevant part of OC3 Schedule 1A. The relevant part of OC3 Schedule 1A updated by **The Company** should be submitted to **The Company** in the timescales set out in OC3.4.4. Where there are less than four equal settings or requirements, **The Company** should

retain such data for submission to the **Authority** upon its request, and so that it can be used in future assessments of the number of equal settings or requirements.

OC3.4.4A Following the initial publication of the report described in OC3.4.1A, which shall be within 3 months of [the implementation date], where any settings or requirements are to be added or updated in accordance with OC3.4A by **The Company**, **The Company** shall update these settings or requirements, in the relevant part of OC3 Schedule 1, and submit these upon request to the **Authority**, within no more than 10 business days of a new or substantially modified bilateral agreement, falling within the scope of OC3, being entered into.

OC3.4.5A **The Company** shall update the report described in OC3.4.1A published on its website with the information received within no more than 5 business days of such information being received in accordance with OC3.4.4A and which shall not include information available only to the **Authority**.

For GC0107 WAGCM2:

OPERATING CODE NO. 3 (OC3) POWER GENERATING MODULES – GENERIC AND SPECIFIC VALUES

CONTENTS

(This contents page does not form part of the Grid Code)

OC3.1 INTRODUCTION

OC3.1.1 Operating Code No.3 ("OC3") is concerned with those settings or requirements that are either set within the Grid Code or can be defined bilaterally within a **CUSC Party** bilateral agreement and which stem from the requirements written into the Grid Code to comply with, in OC3.4A, **European Regulation (EU) 2016/631** establishing a network code on requirements for grid connection of generators.

OC3.1.2 **The Company** is required to record such settings or requirements as they are first made and maintain a publicly accessible register of these.

OC3.2 OBJECTIVE

The objectives of OC3 are:

- a) to enable the collection of data on bilaterally agreed settings or requirements made in connection agreements; and
- b) to set out the mechanisms for the public accessibility and maintenance of such data.

OC3.3 SCOPE

OC3 applies to **The Company**

OC3.4A RECORDING AND COMMUNICATION OF DEFINED OR BILATERALLY AGREED SETTINGS OR REQUIREMENTS

OC3.4.1A **The Company** shall maintain on its website a fully completed and publicly accessible report of settings or requirements of general application derived from **European Regulation (EU) 2016/631** 'Requirements for Generators' together with any of these settings or requirements as set specifically for a **Power Station** and agreed bilaterally with **The Company**, and will update this as described in OC3.4.2 to OC3.4.5.

OC3.4.2A In respect of any bilateral agreement for connection entered into, or substantially modified (as the case may be), and in relation an **EU Code User** relating to the connection of any Types A, B, C or D **Power Generating Module** after 27 April 2019 to the **System**, **The Company** will record those settings or requirements set out in OC3 Schedule 1A concerning the specification or performance of such **Main Plant and Apparatus**, contained in the body or appendices of such a bilateral agreement.

OC3.4.3A **The Company** will assess each of the settings or requirements in OC3 Schedule 1 for each of the bilateral agreements within the scope of OC3.4.2A and, where there are four or more equal settings or requirements, update the relevant part of OC3 Schedule 1A. The relevant part of OC3 Schedule 1 updated by **The Company** should be submitted to **The Company** in the timescales set out in OC3.4.4A. Where there are less than four equal settings or requirements, **The Company** should

retain such data for submission to the **Authority** upon its request, and so that it can be used in future assessments of the number of equal settings or requirements.

OC3.4.4A Following the initial publication of the report described in OC3.4.1A, which shall be within 3 months of [the implementation date], where any settings or requirements are to be added or updated in accordance with OC3.4A by **The Company** shall update these settings or requirements, in the relevant part of OC3 Schedule 1, and submit these upon request to the **Authority**, within no more than 10 business days of a new or substantially modified bilateral agreement, falling within the scope of OC3, being entered into.

OC3.4.5 **The Company** shall update the report described in OC3.4.1A published on its website with the information received within no more than 5 business days of such information being received in accordance with OC3.4.4A and which shall not include information available only to the **Authority**.

For GC0113 Original solution:

OPERATING CODE NO. 3 (OC3) CONNECTION AGREEMENTS – GENERIC AND SPECIFIC VALUES

CONTENTS

(This contents page does not form part of the Grid Code)

OC3.1 INTRODUCTION

OC3.1.1 Operating Code No.3 ("OC3") is concerned with those settings or requirements that are either set within the Grid Code and **Distribution Code** or can be defined within a bilateral agreement and which stem from the requirements written into the Grid Code and **Distribution Code** to comply with, in OC3.4B, **European Regulation (EU) 2016/1388** of 17 August 2016 establishing a Network Code on Demand Connection

OC3.1.2 **The Company** and **Network Operators** are required to record such settings or requirements as they are first made and communicate these to **The Company** who will then maintain a publicly accessible register of these.

OC3.2 OBJECTIVE

The objectives of OC3 are:

- a) to assemble in one place the complete scope of GB settings or requirements stemming from the **European Regulation (EU) 2016/1388** 'establishing a Network Code on Demand Connection';
- b) to enable the collection of data on the initial generic settings or requirements of general application and further on those bilaterally agreed settings and requirements; and
- c) to set out the mechanisms for the public accessibility and maintenance of such data.

OC3.3 SCOPE

OC3 applies to **The Company** and to **Network Operators**.

OC3.4B RECORDING AND COMMUNICATION OF GENERIC AND BILATERALLY AGREED SETTINGS OR REQUIREMENTS FOR DEMAND CONNECTION AGREEMENTS

OC3.4.1B **The Company** shall maintain on its website a fully completed and publicly accessible report of settings and requirements of general application derived from **European Regulation (EU) 2016/1388** 'Demand Connection' together with any of these settings or requirements as set specifically for any of the following agreed bilaterally with **The Company**:

- (i) **Transmission Connected Demand Facility;**
- (ii) **EU Grid Supply Point;**
- (iii) **Demand Unit;**

and also for any settings or requirements set specifically for any **Demand Unit** connected within a **Demand Facility** connected to a **Network Operator's System** and agreed bilaterally with that **Network Operator**.

The Company will update this report as described in OC3.4.2B to OC3.4.5B.

OC3.4.2B In respect of the following:

- (i) Any bilateral agreement for connection entered into, or substantially modified (as the case may be), and in relation to an **EU Code User** connecting a **Transmission Connected Demand Facility** or **EU Grid Supply Point** or **Transmission Connected CDSO** to the **Transmission System** after 7 September 2019,
- (ii) **Demand Units** in **Transmission Connected Demand Facilities** or in any **Demand Facility** which are installed after 7 September 2019, or which undergo modification after this date such that the bilateral agreement for connection itself has been substantially modified, and which are included in any agreement to provide **Demand Response Services** to **The Company** as a **Demand Response Provider**; and
- (iii) **Demand Units** in **Demand Facilities** connected to **Network Operators' Systems** which were installed after 7 September 2019, or which undergo modification after this date such that the bilateral agreement for connection itself has been substantially modified, and which are included in any agreement to provide demand side services to that **Network Operator**,

The Company or the relevant **Network Operator** will record those settings or requirements set out in OC3 Schedule 1B concerning:

- (iv) For such a **Transmission Connected Demand Facility** or **EU Grid Supply Point**, or **Transmission Connected Distribution System** or **Transmission Connected CDSO** the specification or performance of their **Main Plant and Apparatus** contained in the body or appendices of their bilateral connection agreement; and
- (v) For such a **Demand Unit**, the settings and requirements contained in the body or appendices of any agreement entered into for provision of **Demand Response Services** to **The Company** or demand side services to **Network Operators**.

OC3.4.3B **The Company** or the relevant **Network Operator** will assess each of the settings or requirements in OC3 Schedule 1B for each of the contracts within the scope of OC3.4.2B and, where there are four or more equal settings or requirements, update the relevant part of OC3 Schedule 1B. The relevant part of OC3 Schedule 1B updated by **The Company** or relevant **Network Operators** should be submitted to **The Company** in the timescales set out in OC3.4.4B. Where there are less than four equal settings or requirements, **The Company** or the relevant **Network Operator** should retain such data for submission to the **Authority** upon its request, and so that it can be used in future assessments of the number of equal settings or requirements.

OC3.4.4B Following the initial publication of the report described in OC3.4.1B, which shall be within 3 months of [the implementation date], where any settings or requirements are to be added or updated in accordance with OC3.4B by **The Company** or the relevant **Network Operator**, such party shall update these settings or requirements, in the relevant part of OC3 Schedule 1, and submit these to **The Company**, or upon request the **Authority**, within no more than 10 business days of a new or substantially modified bilateral agreement, falling within the scope of OC3, being entered into.

OC3.4.5B **The Company** shall update the report described in OC3.4.1B published on its website with the information received within no more than 5 business days of such information being received in accordance with OC3.4.4B and which shall not include information available only to the **Authority**.

For GC0113 WAGCM1:

OPERATING CODE NO. 3 (OC3) CONNECTION AGREEMENTS – GENERIC AND SPECIFIC VALUES

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OC3.1 INTRODUCTION

OC3.1.1 Operating Code No.3 ("OC3") is concerned with those settings or requirements that are either set within the Grid Code and **Distribution Code** or can be defined bilaterally within a **CUSC Party** connection agreement and which stem from the requirements written into the **Grid Code** and **Distribution Code** to comply with, in OC3.4B, **European Regulation (EU) 2016/1388** of 17 August 2016 establishing a Network Code on Demand Connection

OC3.1.2 **The Company** and **Network Operators** are required to record such settings or requirements as they are first made. **The Company** will then maintain a publicly accessible register of these.

OC3.2 OBJECTIVE

The objectives of OC3 are:

- a) to enable the collection of data on the initial generic settings or requirements of general application and further on those bilaterally agreed settings and requirements; and
- b) to set out the mechanisms for the public accessibility and maintenance of such data.

OC3.3 SCOPE

OC3 applies to **The Company**..

OC3.4B RECORDING AND COMMUNICATION OF GENERIC AND BILATERALLY AGREED SETTINGS OR REQUIREMENTS FOR DEMAND CONNECTION AGREEMENTS

OC3.4.1B **The Company** shall maintain on its website a fully completed and publicly accessible report of settings and requirements of general application derived from **European Regulation (EU) 2016/1388** 'Demand Connection' together with any of these settings or requirements as set specifically for any of the following agreed bilaterally with **The Company**:

- (i) **Transmission Connected Demand Facility;**
- (ii) **EU Grid Supply Point;**
- (iii) **Demand Unit;**

The Company will update this report as described in OC3.4.2B to OC3.4.5B.

OC3.4.2B In respect of the following:

- (i) Any bilateral agreement for connection entered into, or substantially modified (as the case may be), and in relation to an **EU Code User** connecting a **Transmission Connected Demand Facility** or **EU Grid Supply Point** or **Transmission Connected CDSO** to the **Transmission System** after 7 September 2019,
- (ii) **Demand Units** in **Transmission Connected Demand Facilities** or in any **Demand Facility** which are installed after 7 September 2019, or which undergo modification after this date such that the bilateral agreement for connection itself has been substantially modified, and which are

included in any agreement to provide **Demand Response Services** to **The Company** as a **Demand Response Provider**; and

The Company will record those settings or requirements set out in OC3 Schedule 1B concerning:

- (iii) For such a **Transmission Connected Demand Facility** or **Transmission Connected Distribution Facility**, or **Transmission Connected Distribution System** or **Transmission Connected CDSO** the specification or performance of their **Main Plant and Apparatus** contained in the body or appendices of their bilateral connection agreement; and
- (iv) For such a **Demand Unit**, the settings and requirements contained in the body or appendices of any agreement entered into for provision of **Demand Response Services** to **The Company**.

OC3.4.3B **The Company** will assess each of the settings or requirements in OC3 Schedule 1B for each of the contracts within the scope of OC3.4.2B and, where there are four or more equal settings or requirements, update the relevant part of OC3 Schedule 1B. The relevant part of OC3 Schedule 1B updated by **The Company** should be submitted to **The Company** in the timescales set out in OC3.4.4B. Where there are less than four equal settings or requirements, **The Company** should retain such data for submission to the **Authority** upon its request, and so that it can be used in future assessments of the number of equal settings or requirements.

OC3.4.4B Following the initial publication of the report described in OC3.4.1B, which shall be within 3 months of [the implementation date], where any settings or requirements are to be added or updated in accordance with OC3.4B by **The Company**, **The Company** shall update these settings or requirements, in the relevant part of OC3 Schedule 1, and submit these upon request the **Authority**, within no more than 10 business days of a new or substantially modified bilateral agreement, falling within the scope of OC3, being entered into.

OC3.4.5B **The Company** shall update the report described in OC3.4.1B published on its website with the information received within no more than 5 business days of such information being received in accordance with OC3.4.4B and which shall not include information available only to the **Authority**.

For GC0113 WAGCM2:

OPERATING CODE NO. 3 (OC3) CONNECTION AGREEMENTS – GENERIC AND SPECIFIC VALUES

CONTENTS

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OC3.1 INTRODUCTION

OC3.1.1 Operating Code No.3 ("OC3") is concerned with those settings or requirements that are either set within the Grid Code or can be defined bilaterally within a **CUSC Party** connection agreement and which stem from the requirements written into the **Grid Code** to comply with, in OC3.4B, **European Regulation (EU) 2016/1388** of 17 August 2016 establishing a Network Code on Demand Connection

OC3.1.2 **The Company** is required to record such settings or requirements as they are first made and maintain a publicly accessible register of these.

OC3.2 OBJECTIVE

The objectives of OC3 are:

- a) to enable the collection of data on the initial generic settings or requirements of general application and further on those bilaterally agreed settings and requirements; and
- b) to set out the mechanisms for the public accessibility and maintenance of such data.

OC3.3 SCOPE

OC3 applies to **The Company**.

OC3.4B RECORDING AND COMMUNICATION OF GENERIC AND BILATERALLY AGREED SETTINGS OR REQUIREMENTS FOR DEMAND CONNECTION AGREEMENTS

OC3.4.1B **The Company** shall maintain on its website a fully completed and publicly accessible report of settings and requirements of general application derived from **European Regulation (EU) 2016/1388** 'Demand Connection' together with any of these settings or requirements as set specifically for any of the following agreed bilaterally with **The Company**:

- (i) **Transmission Connected Demand Facility;**
- (ii) **EU Grid Supply Point;**
- (iii) **Demand Unit;**

The Company will update this report as described in OC3.4.2B to OC3.4.5B.

OC3.4.2B In respect of the following:

- (i) Any bilateral agreement for connection entered into, or substantially modified (as the case may be), and in relation to an **EU Code User** connecting a **Transmission Connected Demand Facility** or **EU Grid Supply Point** or **Transmission Connected CDSO** to the **Transmission System** after 7 September 2019; and
- (ii) **Demand Units** in **Transmission Connected Demand Facilities** or in any **Demand Facility** which are installed after 7 September 2019, or which undergo modification after this date such that the bilateral agreement for connection itself has been substantially modified, and which are

included in any agreement to provide **Demand Response Services** to **The Company** as a **Demand Response Provider**,

The Company will record those settings or requirements set out in OC3 Schedule 1B concerning:

- (iii) For such a **Transmission Connected Demand Facility** or **EU Grid Supply Point** or **Transmission Connected CDSO** the specification or performance of their **Main Plant and Apparatus** contained in the body or appendices of their bilateral connection agreement; and
- (iv) For such a **Demand Unit**, the settings and requirements contained in the body or appendices of any agreement entered into for provision of **Demand Response Services** to **The Company**.

OC3.4.3B **The Company** will assess each of the settings or requirements in OC3 Schedule 1B for each of the contracts within the scope of OC3.4.2B and, where there are four or more equal settings or requirements, update the relevant part of OC3 Schedule 1B. The relevant part of OC3 Schedule 1B updated by **The Company** should be submitted to **The Company** in the timescales set out in OC3.4.4B. Where there are less than four equal settings or requirements, **The Company** should retain such data for submission to the **Authority** upon its request, and so that it can be used in future assessments of the number of equal settings or requirements.

OC3.4.4B Following the initial publication of the report described in OC3.4.1B, which shall be within 3 months of [the implementation date], where any settings or requirements are to be added or updated in accordance with OC3.4B by **The Company**, **The Company** shall update these settings or requirements, in the relevant part of OC3 Schedule 1, and submit these upon request the **Authority**, within no more than 10 business days of a new or substantially modified bilateral agreement, falling within the scope of OC3, being entered into.