



## **AMENDMENT REPORT**

### **CUSC Proposed Amendment CAP155**

#### **Exhibit I Revisions**

*The purpose of this report is to assist the Authority in their decision of whether to implement Amendment Proposal CAP155*

|               |                                |
|---------------|--------------------------------|
| Amendment Ref | CAP155                         |
| Issue         | 1.0                            |
| Date of Issue | 24 <sup>th</sup> December 2007 |
| Prepared by   | National Grid                  |

## I DOCUMENT CONTROL

### a National Grid Document Control

| Version | Date     | Author        | Change Reference                         |
|---------|----------|---------------|------------------------------------------|
| 0.1     | 5/12/07  | National Grid | Draft for Industry comment               |
| 0.2     | 13/12/07 | National Grid | Draft for Panel Vote                     |
| 0.3     | 17/12/07 | National Grid | Draft for Panel comments                 |
| 1.0     | 24/12/07 | National Grid | Final version submitted to the Authority |

### b Document Location

National Grid Website:

[www.nationalgrid.com/uk/Electricity/Codes/](http://www.nationalgrid.com/uk/Electricity/Codes/)

### c Distribution

| Name                                       | Organisation |
|--------------------------------------------|--------------|
| The Gas and Electricity Markets Authority  | Ofgem        |
| CUSC Parties                               | Various      |
| Panel Members                              | Various      |
| National Grid Industry Information Website |              |

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## 1.0 SUMMARY AND RECOMMENDATIONS

### Executive Summary

- 1.1 CAP155, Revision to CUSC Exhibits D Connection Application form, was proposed by National Grid and submitted to the CUSC Amendments Panel on the 29<sup>th</sup> June 2007. CAP155 aims to improve and update the CUSC Exhibit D, to provide greater clarity for Users when completing the Application forms and ensures the processes for application is efficient for all parties.
- 1.2 It should be noted that although CAP152-155 are separate CUSC Amendment Proposal the CUSC Amendments Panel permitted the Working Group to produce one Working Group report for all of the Amendment Proposals.

### National Grid Recommendation

- 1.3 National Grid, as the proposer of CAP155 is supportive of the Working Group Alternative, believing that it would better and BEST facilitate the achievement of the Applicable CUSC Objectives (a) and (b).

### Amendment Panel Recommendation

- 1.4 The result of the Panel Recommendation Vote as to whether the following BETTER facilitated the Applicable CUSC Objectives was as follows:
- |          |                    |
|----------|--------------------|
| Original | Yes - majority     |
| WGAA     | Yes - unanimously  |
| Best     | WGAA - unanimously |

## 2.0 PURPOSE AND INTRODUCTION

- 2.1 This Amendment Report has been prepared and issued by National Grid under the rules and procedures specified in the Connection and Use of System Code (CUSC) as designated by the Secretary of State.
- 2.2 Further to the submission of Amendment Proposal CAP155(see Annex 2) and the subsequent wider industry consultation that was undertaken by National Grid, this document is addressed and furnished to the Gas and Electricity Markets Authority (“the Authority”) in order to assist them in their decision whether to implement Amendment Proposal CAP155.
- 2.3 CAP155 was proposed by National Grid and submitted to the CUSC Amendments Panel for consideration at their meeting on 26<sup>th</sup> June 2007. CAP 152 Working Group Report was submitted to the CUSC panel meeting on 30<sup>th</sup> September 2007. Following evaluation by the Working Group, the Amendments Panel determined that CAP155 was appropriate to proceed to wider industry consultation by National Grid.
- 2.4 This document outlines the nature of the CUSC changes that are proposed. It incorporates National Grid’s recommendations to the Authority concerning the Amendment. Copies of all representations received in response to the consultation have been also been included and a ‘summary’ of the representations received is also provided. Copies of each of the responses to the consultation are included as Annex 3 to this document.

- 2.6 This Amendment Report has been prepared in accordance with the terms of the CUSC. An electronic copy can be found on the National Grid website, at [www.nationalgrid.com/uk/Electricity/Codes/](http://www.nationalgrid.com/uk/Electricity/Codes/).

### **3.0 PROPOSED AMENDMENT**

- 3.1 CAP155 amends CUSC Exhibit I – Modification Application, in summary CAP155 seeks to improve the guidance notes for Users, address minor typographical errors, alignment and formatting issues. More substantially CAP155 ensures Users update National Grid when changes occur, introduces new questions regarding the modification and consents. In addition CAP155 clarifies technical questions and requests additional project programme data relating to key project milestones.

### **4.0 WORKING GROUP ALTERNATIVE**

- 4.1 The Working Group developed an alternative for CAP155. The Working Group agreed with the intent of CAP155 and the Working Group Alternative is based on the proposed amendments described in section 3 of this report. The Working Group Alternative for CAP155 provides additional clarity to the draft legal text in terms of guidance notes and the questions / information requests.

### **5.0 ASSESSMENT AGAINST APPLICABLE CUSC OBJECTIVES**

#### **Proposed Amendment**

- 5.1 CAP155 would better facilitate the CUSC Objective(s);
- (a) *the efficient discharge by the Licensee of the obligations imposed upon it by the act and the Transmission Licence; and*
  - (b) *facilitating effective competition in generation and supply of electricity and facilitating such competition in the sale, distribution and purchase of electricity.*
- 5.2 CAP155 ensures that the application forms are clear which will assist Users and National Grid in the efficient completion and processing of such forms.
- 5.3 The Working Group believed that CAP155 Original proposal would better facilitate the CUSC Applicable Objectives however the proposed amendment failed to address all the defects and clarifications identified by the Working Group.

#### **Working Group Alternative Amendment**

- 5.4 The Working Group believed that CAP155 Working Group Alternative would better and best facilitate the CUSC Objective(s);
- (a) *the efficient discharge by the Licensee of the obligations imposed upon it by the act and the Transmission Licence; and*
  - (b) *facilitating effective competition in generation and supply of electricity and facilitating such competition in the sale, distribution and purchase of electricity.*



- 5.5 CAP155 ensures that the application forms are clear which will assist Users and National Grid in the efficient completion and processing of such forms.

## **6.0 PROPOSED IMPLEMENTATION**

- 6.1 The Working Group and National Grid propose CAP155 should be implemented 10 business days after an Authority decision because there is no adverse impact on CUSC Parties. In accordance with 8.19(b) views were invited on this proposed implementation date and National Grid did not receive differing views.

## **7.0 IMPACT ON THE CUSC**

- 7.1 CAP155 requires amendments to Exhibit D of the CUSC. The text to give effect to the original Amendment Proposal is attached as Part A of Annex 1 of this document
- 7.2 CAP155 Working Group Alternative requires amendments to CUSC Exhibit D. The text to give affect to CAP155 Working Group Alternative is detailed in Annex 1 Part B of this document.

## **8.0 IMPACT ON CUSC PARTIES**

### **Proposed Amendment**

- 8.1 CAP155 has no impact upon CUSC parties.

### **Working Group Alternative Amendment**

- 8.2 CAP155 Working Group Alternative Amendment no impact upon CUSC parties.

## **9.0 IMPACT ON INDUSTRY DOCUMENTS**

### **Impact on Core Industry Documents**

- 9.1 CAP155 and Working Group Alternative have no impact upon Core Industry Documents

### **Impact on other Industry Documents**

- 9.2 CAP155 and Working Group Alternative have no impact upon other Industry Documents.

## **10.0 IMPACT ON INDUSTRY COMPUTER SYSTEMS OR PROCESSES**

- 10.1 CAP155 has no impact upon on Industry Computer Systems or Processes.

## 11.0 VIEWS AND REPRESENTATIONS

11.1 This Section contains a summary of the views and representations made by consultees during the consultation period in respect of the Proposed Amendment.

### Views of Panel Members

11.2 No views or representations were made by Panel Members in their capacity as Panel Members during the Consultation.

### View of Core Industry Document Owners

11.3 No views or representations were made by Core Industry Document Owners.

### Working Group

11.4 The Working Group unanimously supports CAP155 Working Group Alternative Amendment and believes the Alternative better facilitates Applicable CUSC Objective (a) and (b).

### Responses to Consultation

11.5 The following table provides an overview of the representations received. Copies of the representations are attached as Annex 3.

| Reference    | Company             | Supportive | Comments                                            |
|--------------|---------------------|------------|-----------------------------------------------------|
| CAP155-CR-01 | Centrica            | Yes - WGA  | Broadly supportive of the Working Group Alternative |
| CAP155-CR-02 | EDF                 | Yes - WGA  | Supportive of the Working Group                     |
| CAP155-CR-03 | Scottish & Southern | Yes        | Supportive                                          |
| CAP155-CR-04 | Scottish Power      | Yes - WGA  | Supportive of WGA                                   |
| CAP155-CR-05 | RWE                 | Yes - WGA  | Supportive of WGA                                   |

## 12.0 NATIONAL GRID RECOMMENDATION

12.1 National Grid, as the proposer of CAP155 is supportive of the Working Group Alternative, believing that it would better and BEST facilitate the achievement of the Applicable CUSC Objectives (a) and (b), as the original amendment failed to address all the defects and clarifications identified by the Working Group. The points raised by Scottish and Southern will be addressed by either CAP149 of a housekeeping amendment.

### 13.0 COMMENTS ON DRAFT AMENDMENT REPORT

13.1 National Grid received 1 response following the publication of the draft Amendment Report. The following table provides an overview of each representation. Copies of the representations are attached as Annex 4.

| Reference    | Company | Summary of Comments                                                                                                                                                        |
|--------------|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CAP155-AR-01 | RWE     | Original response had been omitted from draft Amendment Report for industry commented – this has now been corrected and the response is included with the Amendment Report |

### 14.0 AMENDMENT PANEL RECOMMENDATION

14.1 The result of the Panel Recommendation Vote as to whether the following BETTER facilitated the Applicable CUSC Objectives was as follows:

|          |                    |
|----------|--------------------|
| Original | Yes - majority     |
| WGAA     | Yes - unanimously  |
| Best     | WGAA - unanimously |

**ANNEX 1 – PROPOSED LEGAL TEXT TO MODIFY THE CUSC**  
**Part A - Text to give effect to the Original Proposed Amendment**

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**CUSC - EXHIBIT I**

| THE CONNECTION AND USE OF SYSTEM CODE  
| MODIFICATION APPLICATION ..... Deleted: 1

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### MODIFICATION APPLICATION – NOTES

Please study the following notes before completing and signing this application form.

1. **The Company** requires the information requested in this application form for the purposes of preparing an offer (the "**Offer**") of a **Construction Agreement** for the construction of a proposed **Modification** and for the variation of the existing [**Bilateral Construction Agreement** or **Bilateral Embedded Generation Agreement**] affected by the **Modification**. It is essential that the **Applicant** should supply all information requested in this application form and that every effort should be made to ensure that such information is accurate.

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Please note that certain expressions which are used in this application form are defined in the Interpretation and Definitions (contained in Section 11 of the **CUSC**) and when this occurs the expressions have capital letters at the beginning of each word and are in bold.

2. Should **The Company** consider that **Section A** information provided is incomplete or unclear or should **The Company** require further information in order that it may prepare the **Offer**, the **Applicant** will be requested to provide further information or clarification.
3. Should there be any change in any information provided by the **Applicant** after it has been submitted to **The Company**, the **Applicant** must immediately inform **The Company** of such a change.
4. **The Company** shall charge the **Applicant** and the **Applicant** shall pay to **The Company** **The Company's** Engineering Charges in relation to the application. A fee will be charged by **The Company** in accordance with the **Transmission Licence Charging Statements**. No application will be considered until such fee has been received.

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If **The Company** does not make an **Offer** to the **Applicant** in accordance with the **Transmission Licence** otherwise than by reason of withdrawal of the application by the **Applicant**, **The Company** will return the charges to the **Applicant**.

5. The effective date upon which the application is made shall be the later of the date when **The Company** has received the application fee under Paragraph 4 above and the date when **The Company** is reasonably satisfied that the **Applicant** has completed Sections A-D. **The Company** shall notify the **Applicant** of such date.
6. **The Company** will make the **Offer** in accordance with the terms of Paragraphs 6.9 (Modification) and 6.10 (**Modifications and New Connection Sites**) of the **CUSC** and the **Transmission Licence**.

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7. **The Company** will make an **Offer** as soon as is reasonably practicable and, in any event, within three (3) months of the effective date of the application or such later period as the **Authority** may agree. The **Offer** may, where it is necessary to carry out additional extensive system studies to evaluate more fully the impact of the proposed development, indicate the areas that require more detailed analysis. Before such additional studies are required, the **Applicant** shall indicate whether it wishes **The Company** to undertake the work necessary to proceed to make a revised **Offer** within the three (3) month period or, where relevant, the timescale consented to by the **Authority**. To enable **The Company** to carry out any of the above mentioned necessary detailed system studies the **Applicant** may, at the request of **The Company**, be required to provide some or all of the **Detailed Planning Data** listed in Part 2 of the Appendix to the **Planning Code** which is part of the **Grid Code**
8. In the course of processing your application, it may be necessary for **The Company** to consult the appropriate **Public Distribution System Operator(s)** on matters of technical compatibility of the **GB Transmission System** with their **Distribution System(s)** or to consult the **Relevant Transmission Licensees** to establish the works required on the **GB Transmission System**. On grounds of commercial confidentiality, **The Company** shall need your authorisation to the release to the **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** of certain information contained in your application. Any costs incurred by **The Company** in consulting the **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** would be included in **The Company** charges for the **Modification Application**. If it is found by the **Public Distribution System Operator(s)** that any work is required on their **Distribution System(s)**, then it will be for the **Public Distribution System Operator(s)** and the **Applicant** to reach agreement in accordance with Paragraph 6.10.3 of the **CUSC**.
9. In accordance with Paragraph 6.30.3 of **CUSC**, **The Company** will need to disclose details of any agreement to vary **Bilateral Agreements** and shall need authorisation from the **Applicant** in respect of this.
10. Data submitted pursuant to this application shall be deemed submitted pursuant to the **Grid Code**.
11. **The Company's Offer** will, to the extent appropriate, be based upon its standard form terms of **Modification Offer** and the statement of charges issued by **The Company** under Standard Conditions C4 and

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C6 of the **Transmission Licence**. The **Applicant** should bear in mind **The Company's** standard form terms of **Offer** when making this application.

- 12 **Applicants** who are licensed generators should appreciate that they will be required to perform **Mandatory Ancillary Services** to ensure that System Operational Standards can be achieved. This requirement may have implications towards Plant specification. You should be satisfied before an application is made that your intended Plant design can meet the requirements. **Applicants** are therefore recommended to contact **The Company's**.

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- 13 **Applicants** have the ability to choose whether they wish to apply on a fixed or variable application fee basis. Fixed application fee is derived from analysis of historical costs of similar applications. Variable application fee is based on an advance of the Transmission Licensee's Engineering and out of pocket expenses and will vary according to the size of the scheme and the amount of work involved. **Applicants** are requested to indicate their preferred application fee in question 6. **Applicants** are advised that further information can be obtained from the **Charging Statements** which can be found on **The Company** website. If Applicants require further assistance they are recommended to contact National Grid Electricity Transmission plc's Headquarters, on the number below.

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- 14 Please complete this application form in black print and return it duly signed to Customer Services Manager, National Grid Electricity Transmission plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 65 4634).

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- 15 For the most up to date contact details applicants are advised to contact the The Company's website at [www.nationalgrid.com/uk](http://www.nationalgrid.com/uk).

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**MODIFICATION – APPLICATION**

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**SECTION A. DETAILS OF APPLICANT**

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↑  
Please study the following notes before completing and signing this application form.

**1. Registered Company**

Name:.....

Address:.....

.....

.....

Company Number:.....

Parent Company (if applicable):.....

**2. Company Secretary**

Name:.....

Email:.....

Telephone:.....

Fax:.....

**3. Commercial Contact/Agent**

Name:.....

Title:.....

Address:.....

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Telephone:.....

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4. Please identify which application fee basis you wish to use for this application.

Fixed price application

Variable price application

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**MODIFICATION – APPLICATION**

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**SECTION B THE CONNECTION SITE TO BE MODIFIED**

1. Please provide agreement reference number

.....

2. Please identify by name the **Connection Site** at which the **Modification** is to be undertaken.

.....

3. Give details of the rights in any additional land which you are proposing to acquire at the **Connection Site** (to include leasehold and freehold interests and in the case of **Connection Sites** in Scotland legal interests and heritable or leasehold interests including servitudes or other real rights) so as to undertake the **Modification**).

.....

.....

.....

4. Is space available on the **Connection Site** for working storage and accommodation areas for **The Company** contractors or, for **Connection Sites**, in Scotland the contractors of the Relevant Transmission Licensees? If so, please indicate by reference to a plan the location of such areas, giving the approximate dimensions of the same.

.....

.....

.....

4. Please provide details (including copies of any surveys or reports) of the physical nature of any additional land the subject to your answer to Question 2 above including the nature of the ground and the sub-soil.

.....

5. Please give details and provide copies of all existing relevant planning and other consents (statutory or otherwise) held by you relating to the **Connection Site** or the **Modification** and/or details of any pending applications for the same.

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6 Please indicate what, if any, of the necessary construction works necessary for the **Modification** you would like **The Company** to conduct upon your behalf.

.....  
.....

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**MODIFICATION – APPLICATION**

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**SECTION C. TECHNICAL INFORMATION**

1. Summary of Application .....

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2. Please provide full details of the proposed **Modification** together with the relevant **Standard Planning Data** as listed in Part 1 of the Appendix to the **Planning Code** to the extent that the data will change from previously submitted Committed Project Planning Data or Connected Planning Data as a result of the proposed **Modification**. Note: the data concerned form part of the **Planning Code** and **Data Registration Code**. **Applicants** should refer to these sections of the **Grid Code** for an explanation.

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3. Please notify **The Company** as to whether the **Modification** is associated with a **BELLA/BEGA Application** and if so details of the relevant **BELLA/BEGA Application**.

BELLA/BEGA ref. .....

Site of Connection .....

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**SECTION D. PROGRAMME**

Please provide a suggested construction programme in bar chart form for the construction works necessary to install the **Modification** (not the **Transmission Connection Assets** needing to be installed) indicating the anticipated date when the connection will be required to be made.

If embedded generator then please report on the following milestones (working backwards from expected connection date at 'Year 0'):-

- Planning Application Submitted
- Planning Consent Awarded
- Powerstation Procurement
- Construction Started
- Construction Completed

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**MODIFICATION APPLICATION**

**Please study the notes before completing and signing this application form.**

1. We hereby apply to modify our connection to the **GB Transmission System** at [            ] **Connection Site**.
2. We agree to pay **The Company's** Engineering Charges on the terms specified in the Notes to the **Connection Application**.
3. We will promptly inform **The Company** of any change in the information given in this **Application** as quickly as practicable after becoming aware of any such change.
4. We authorise the release of certain information, on the grounds of commercial confidentiality, to the appropriate **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** should it be considered necessary.
5. We confirm that we do/do not meet **The Company's Credit Rating/Approved Credit Rating**.
6. We confirm our agreement to the disclosure in the manner set out in Paragraph 6.30.3 of **CUSC** of the information specified in such Paragraph.

7. We confirm that this **Modification** [is/is not] associated with a

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- BELLA Application
- BEGA Application
- Neither

**SIGNED BY:**

**For and on behalf of the Applicant**

**Date.....**

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**END OF EXHIBIT I**



## Part B - Text to give effect to the Working Group Alternative Amendment

The proposed text to modify the CUSC is detailed below by inserting and deleting the coloured text

NB: A key and detailed notes on the amendments are provided at the end of this section.

### CUSC - EXHIBIT I

#### THE CONNECTION AND USE OF SYSTEM CODE MODIFICATION APPLICATION

#### MODIFICATION APPLICATION – NOTES

**Please study the following notes before completing and signing this application form.**

*Please note that certain expressions which are used in this application form are defined in the Interpretation and Definitions (contained in Section 11 of the CUSC) and when this occurs the expressions have capital letters at the beginning of each word and are in bold. If the Applicant has any queries regarding this application or any related matters then the Applicant is recommended to contact The Company<sup>1</sup> where our staff will be pleased to help.*

1. The Company (National Grid Electricity Transmission plc (~~“The Company”~~)) requires the information requested in this application form for the purposes of preparing an offer (the “Offer”) of a **Construction Agreement** for the construction of a proposed **Modification** and for the variation of the existing [Bilateral ~~Construction~~**Connection Agreement** or **Bilateral Embedded Generation Agreement**] affected by the **Modification**. It is essential that the **Applicant** should supply all information requested in this application form and that every effort should be made to ensure that such information is accurate.

*Please note that certain expressions which are used in this application form are defined in the Interpretation and Definitions (contained in Section 11 of the CUSC) and when this occurs the expressions have capital letters at the beginning of each word and are in bold.*

2. ~~Should~~Where **The Company** ~~consider~~considers that any information provided by the Applicant is incomplete or unclear, ~~or should The Company require~~ further information ~~in order that it may prepare the Offer, the is required, the~~ **Applicant** will be requested to provide further information or clarification. The provision/clarification of this information may impact on The Company’s ability to commence preparation of an Offer.
3. Should there be any change in ~~any~~the information provided by the **Applicant** ~~after it has been submitted to The Company, the then the Applicant must~~should immediately inform **The Company** of such a change. Where this is a change in the information provided for Sections B to D then the Applicant should contact The Company to see if such a change can be accommodated as it is unlikely that material changes could be accommodated. If The Company cannot accommodate such a change bearing in mind the timescales within which the Offer must be made then the application will be processed on the original information although it is open to the Applicant to withdraw the application.

<sup>1</sup> Customer Services, National Grid Electricity Transmission plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 654634)

4. **The Company** shall charge the **Applicant**, and the **Applicant** shall pay to **The Company**, ~~The Company's Engineering Charges~~ engineering charges in relation to the application. A fee will be charged by **The Company** in accordance with the ~~Transmission Licence Charging Statements~~. No application will be considered until such ~~fee~~ payment has been received.

~~If The Company does not make an Offer to the Applicant in accordance with the Transmission Licence otherwise than by reason of withdrawal of the application by the Applicant, The Company will return the charges to the Applicant.~~

5. The effective date upon which the application is made shall be the later of the date when **The Company** has received the application fee ~~under Paragraph~~ pursuant to paragraph 4 above ~~and/or~~ the date when **The Company** is reasonably satisfied that the **Applicant** has completed Sections A-D. **The Company** shall notify the **Applicant** of such date.
6. **The Company** will make the **Offer** in accordance with the terms of Paragraphs 6.9 (Modification) and 6.10 (**Modifications and New Connection Sites**) of the **CUSC** and the **Transmission Licence**.

~~Please study the following notes before completing and signing this application form.~~

7. **The Company** will make an **Offer** as soon as is reasonably practicable and, in any event, within three (3) months of the effective date of the application or such later period as the **Authority** may agree. The **Offer** may, where it is necessary to carry out additional extensive system studies to evaluate more fully the impact of the proposed development, indicate the areas that require more detailed analysis. Before such additional studies are required, the **Applicant** shall indicate whether it wishes **The Company** to undertake the work necessary to proceed to make a revised **Offer** within the three (3) month period or, where relevant, the timescale consented to by the **Authority**. To enable **The Company** to carry out any of the above mentioned necessary detailed system studies the **Applicant** may, at the request of **The Company**, be required to provide some or all of the **Detailed Planning Data** listed in Part 2 of the Appendix to the **Planning Code** which is part of the **Grid Code**.
8. In the course of processing ~~your~~ the application, it may be necessary for **The Company** to consult the appropriate **Public Distribution System Operator(s)** on matters of technical compatibility of the **GB Transmission System** with their **Distribution System(s)** or to consult the **Relevant Transmission Licensees** to establish the works required on the **GB Transmission System**. On grounds of commercial confidentiality, **The Company** shall need ~~your~~ authorisation ~~to~~ for the release to the **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** of certain information contained in your application. Any costs incurred by **The Company** in consulting the **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** would be included in **The Company** charges for the **Modification Application** application. If it is found by the **Public Distribution System Operator(s)** that any work is required on their **Distribution System(s)**, then it will be for the **Public Distribution System Operator(s)** and the **Applicant** to reach agreement in accordance with Paragraph 6.10.3 of the **CUSC**.
9. In accordance with Paragraph 6.30.3 of **CUSC**, **The Company** will need to disclose details of any agreement to vary **Bilateral Agreements** and shall need authorisation from the **Applicant** in respect of this.
10. ~~10-~~ Data submitted pursuant to this application shall be deemed submitted pursuant to the **Grid Code**.
11. ~~11-~~ **The Company's Offer** will, to the extent appropriate, be based upon its standard form terms of **Modification Offer** and the statement of charges issued by **The Company** under Standard Conditions C4 and C6 of the **Transmission Licence**. The

**Applicant** should bear in mind **The Company's** standard form terms of **Offer** when making this application.

12. ~~12 Applicants who are licensed generators~~ As provided for in [Grid Code CC 8.1 Generators and DC Converter Station owners](#) should appreciate that they will be required to perform **Mandatory Ancillary Services** to ensure that System Operational Standards can be achieved. This requirement may have implications towards **Plant** specification. You should be satisfied that before an application is made that your intended **Plant** design can meet the requirements. **Applicants** are ~~therefore~~ recommended to contact **The Company's Headquarters for further information**<sup>1</sup> where our staff will be pleased to help.
13. ~~13 Applicants have the ability to choose whether they wish to apply on a fixed or~~ The Applicant has the ability to pay a fixed price application fee in respect of their application or pay the actual costs incurred (variable price application fee-basis). ~~Fixed~~ The fixed price application fee is derived from analysis of historical costs of similar applications. ~~Variable~~ The variable price application fee is based on an advance of the Transmission Licensee's Engineering and out of pocket expenses and will vary according to the size of the scheme and the amount of work involved. ~~Applicants are~~ The Applicant is requested to indicate their preferred basis of application fee in Section A question 6. ~~Applicants are~~ 4. The Applicant is advised that further information can be obtained from the **Charging Statements** which can be found on ~~National Grid Electricity Transmission plc's website.~~ ~~If Applicants require further assistance they are recommended to contact National Grid Electricity Transmission plc's Headquarters, where our staff will be pleased to help.~~ The Company's Website<sup>2</sup>.
14. ~~14~~ Please complete this application form in black print and return it duly signed to Customer ~~Agreements~~ Services Manager, National Grid Electricity Transmission plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 65 ~~3000~~ 4634). In addition to returning the application form to the Customer Services Manager an electronic copy of the application form may be e-mailed to The Company at [camdata@uk.ngrid.com](mailto:camdata@uk.ngrid.com).
15. ~~15~~ For the most up to date contact details applicants are advised to ~~contact the National Grid website at [www.nationalgrid.com/uk](http://www.nationalgrid.com/uk).~~ visit [The Company's Website](#)<sup>2</sup>.

---

<sup>2</sup> [www.nationalgrid.com/uk/electricity](http://www.nationalgrid.com/uk/electricity)

**SECTION A. DETAILS OF APPLICANT (in respect of this application)**

**1. Registered Company**

Name:.....

Address (of Registered Office in the case of a Company):

.....

.....

.....

Company Number:.....

Parent Company Name (if applicable):.....

**2. Company Secretary or person to receive CUSC notices**

Name:.....

Email:.....

Telephone:.....

Fax:.....

**3. Commercial Contact/Agent (person to receive Offer if different from Company Secretary or person to receive CUSC notices as identified in 2 above)**

Name:.....

Title:.....

Address:.....

.....

.....

Email:.....

Telephone:.....

Fax:.....

**4. Please identify which application fee basis you wish to use for this application.**

**MODIFICATION—APPLICATION**

Fixed price application fee [ ]

**Please study the following notes before completing and signing this application form.**

Variable price application fee [ ]

**A. DETAILS OF APPLICANT**

1. Name: \_\_\_\_\_  
\_\_\_\_\_

2. Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Registered Office/Address  
(including e-mail address for CUSC  
notices and Registration  
Number): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Name, title and address of contacts for the purposes of this application, giving description of the field of responsibility of each person:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

***Please study the following notes before completing and signing this application form.***

~~5. If **Applicant** is an agent, please give name(s) and address(es) of person(s) for whom the **Applicant** is acting:~~

~~.....~~

~~.....~~

~~6. Please identify which application fee basis you wish to use for this application.~~

~~Fixed application fee~~

~~Variable application fee~~

~~Please study the following notes before completing and signing this application form.~~

**SECTION B THE CONNECTION SITE TO BE MODIFIED**

1. Please provide agreement reference number.

.....

~~.....~~

1.2. Please identify by name the **Connection Site** at which the **Modification** is to be undertaken.

.....

2.3. Give details of the rights in any additional land which you are proposing to acquire at the **Connection Site** (to include leasehold and freehold interests and in the case of **Connection Sites** in Scotland legal interests and heritable or leasehold interests including servitudes or other real rights) so as to undertake the **Modification**).

.....

.....

.....

4. ~~3.~~ Is space available on the **Connection Site** for working storage and accommodation areas for **The Company** contractors or, for **Connection Sites**, in Scotland the contractors of the **Relevant Transmission Licensees**? If so, please indicate by reference to a plan the location of such areas, giving the approximate dimensions of the same.

.....

.....

.....

4.5. Please provide details (including copies of any surveys or reports) of the physical nature of any additional land the subject to your answer to Question 2 above including the nature of the ground and the sub-soil including the results of the following tests:-  
**[The Company to specify]**

.....

6. Please give details and provide copies of all existing relevant planning and other

consents (statutory or otherwise) held by you relating to the **Connection Site** or the **Modification** and/or details of any pending applications for the same.

.....  
.....

7. Please indicate what, if any, of the necessary construction works necessary for the **Modification** you would like **The Company** to conduct upon your behalf.

.....  
.....

***Please study the following notes before completing and signing this application form.***

~~5. Please give details and provide copies of all existing relevant planning and other consents (statutory or otherwise) held by you relating to the **Connection Site** or the **Modification** and/or details of any pending applications for the same.~~

.....  
.....

~~6. Please indicate what, if any, of the necessary construction works necessary for the **Modification** you would like **The Company** to conduct upon your behalf.~~

.....  
.....

***Please study the following notes before completing and signing this application form.***

**SECTION C. TECHNICAL INFORMATION**

1. Summary of Application (brief description of plant to be connected):

.....  
.....  
.....

2. ~~1.~~ Please provide full details of the proposed **Modification** together with the relevant **Standard Planning Data** as listed in Part 1 of the Appendix to the **Planning Code** to the extent that the data will change from previously submitted Committed Project Planning Data or Connected Planning Data as a result of the proposed **Modification**. Note: the data concerned form part of the **Planning Code** and **Data Registration Code**. Applicants should refer to these sections of the **Grid Code** for an explanation. Further guidance is available from **The Company**<sup>3</sup> on request.

3. ~~2.~~ Please notify **The Company** as to whether the **Modification** is associated with a **BELLA/BEGA Application** and if so details of the relevant **BELLA/BEGA Application**.

BELLA/BEGA Agreement Ref: .....

Site of Connection.....

**SECTION D. PROGRAMME**

<sup>3</sup> Customer Services, National Grid Electricity Transmission plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 654634)

Please provide a suggested development and construction programme in bar chart form for the ~~construction works~~work necessary to install the **Modification**User Development (not the **Transmission Connection Assets** needing to be installed) indicating the anticipated date when the connection will be required to be made and any other key dates such as back feed date.

If not already included in the above bar chart please provide details of when the Applicant expects to be completing the following relevant phases of the User Development or reach the following relevant key milestones below and other additional milestones as necessary (working backwards from expected connection date at 'year 0'). Where applicable this information is expected to provide the anticipated project overview at the time of application:-

- Planning Application Submitted (Town & Country Planning, S36,S37\*)
- Planning Consent Awarded
- Plant Ordered (i.e. Power Station or substation)
- Construction Started (site mobilisation)
- Construction Completed

Notes

\* The consent for the User's Power Station granted under Section 36 of the Electricity Act or planning permission for the User's Power Station granted under the Town and Country Planning Act 1990 or any amendment thereto in England and Wales or the Town and Country Planning (Scotland) Act 1997 or any amendment thereto in Scotland.

**MODIFICATION APPLICATION**

**Please study the notes before completing and signing this application form.**

1. We hereby apply to modify our connection to the **GB Transmission System** at [                    ] **Connection Site.**
2. We agree to pay **The Company's** Engineering Charges on the terms specified in the Notes to the **Connection Application.**
3. We will promptly inform **The Company** of any change in the information given in this **Application** as quickly as practicable after becoming aware of any such change.
4. We authorise the release of certain information, on the grounds of commercial confidentiality, to the appropriate **Public Distribution System Operator(s)** or **Relevant Transmission Licensees** should it be considered necessary.
5. We confirm that we do/do not meet **The Company's Credit Rating/Approved Credit Rating.**
6. We confirm our agreement to the disclosure in the manner set out in Paragraph 6.30.3 of **CUSC** of the information specified in such Paragraph.
7. We confirm that this **Modification** [is/is not] associated with a ~~BELLA Application.~~

- BELLA Application    [ ]
- BEGA Application    [ ]
- Neither                    [ ]

Signed By  
SIGNED BY:

\_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )



.....

For and on behalf of the **Applicant**

Date.....

**END OF EXHIBIT I**

**Key and detailed notes for proposed legal text for CAP155 Exhibit I**

|                   |  |
|-------------------|--|
| <b>Key:</b>       |  |
| Insertion         |  |
| Deletion          |  |
| Moved from        |  |
| Moved to          |  |
| Style change      |  |
| Format change     |  |
| Moved deletion    |  |
| Inserted cell     |  |
| Deleted cell      |  |
| Moved cell        |  |
| Split/Merged cell |  |
| Padding cell      |  |

### CUSC Amendment Proposal Form – CAP155

|                                                                                                               |                                                                            |
|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| <b>Details of Proposer:</b><br>Organisation's Name:                                                           | National Grid                                                              |
| <b>Capacity in which the Amendment is being proposed:</b><br>(i.e. CUSC Party, BSC Party or "energywatch")    | CUSC Party*                                                                |
| <b>Details of Proposer's Representative:</b><br>Name:<br>Organisation:<br>Telephone Number:<br>Email Address: | Clare Talbot<br>National Grid<br>01926 656377<br>Clare.talbot@uk.ngrid.com |
| <b>Details of Proposer's Alternate:</b><br>Name:<br>Organisation:<br>Telephone Number:<br>Email Address:      | Phil Collins<br>National Grid<br>01926 656143<br>Phil.collins@uk.ngrid.com |

\* For the avoidance of doubt, National Grid as Proposer of the above Amendments and as a CUSC Party has granted the licence and given the warranty as required by Paragraph 8.15.7 of the CUSC.

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                                                                           | Description of Issue or Defect that Proposed Amendment seeks to Address                                                                                                                   | Impact on the CUSC            | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CAP150     | Exhibit I Revisions         | Delete 'National Grid Electricity Transmission plc' replace with 'The Company' in paragraph 1                               | 'The Company' is a defined term in the CUSC and as such does not need to be defined again here.                                                                                           | Changes Exhibit I of the CUSC | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Delete 'any information' replace with 'Section A information' in paragraph 3                                                | This is to help clarify that it is only Section A information the Applicant can change. If they wish to change any other information it is likely to result in a Modification Application | Changes Exhibit I of the CUSC | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Delete 'for further information where our staff will be pleased to help' replace with 'on the number below' in paragraph 12 | This is to ensure that there is a single point of contacts for all customer queries.                                                                                                      | Changes Exhibit I of the CUSC | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                                                   | Description of Issue or Defect that Proposed Amendment seeks to Address                                                                               | Impact on the CUSC     | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Format Applicant to be bold in paragraph 13 (three places)                                          | This is a defined term within the CUSC and as such should be in bold                                                                                  | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Format 'Charging Statements' to bold in paragraph 13                                                | This is a defined term within the CUSC and as such should be in bold                                                                                  | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Delete ' National Grid Electricity Transmission plc's' replace with 'The Company's' in paragraph 13 | The term 'The Company' is defined in the CUSC and as such does not need to be defined here as well. Also brings all of the application forms in line. | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                                                                           | Description of Issue or Defect that Proposed Amendment seeks to Address                                                                | Impact on the CUSC     | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Delete 'for further information where our staff will be pleased to help' replace with 'on the number below' in paragraph 13 | Helps to ensure there is a single point of contact for customer queries.                                                               | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Delete '01926 653000' replace with '01926 654634' in paragraph 14                                                           | Changes number to correct telephone number for Customer Services rather than sending people through to the main switchboard at Warwick | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Delete 'Agreements' replace with 'Services' in Paragraph 14                                                                 | Reflects the change with of the department name, the department is no longer known as Customer Agreements                              | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                                                                                   | Description of Issue or Defect that Proposed Amendment seeks to Address                                                                               | Impact on the CUSC     | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Delete 'National Grid' replace with ' The Company's' in paragraph 15                                                                | The term 'The Company' is defined in the CUSC and as such does not need to be defined here as well. Also brings all of the application forms in line. | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Delete 'Application for use of system – please study notes before completing this application for.' Replaced this text as a header. | Creating this as a header means that it will appear on each page in the correct position                                                              | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                                                                                                                                                                                                                                                            | Description of Issue or Defect that Proposed Amendment seeks to Address                                                                            | Impact on the CUSC     | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Insert 'Section' in front of 'A'                                                                                                                                                                                                                                                                             | Adds clarity to the application form and allows easier referencing to the section in the notes paragraphs                                          | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revision          | Details of Applicant updated to reflect information The Company require:-<br>Registered Company details: Name, Address, Company Number, Parent Company (if applicable).<br>Company Secretary details: Name, email, telephone, fax.<br>Commercial Contact/Agent Details: Name, Address, email, telephone, fax | Change to ensure that telephone calls come through to the Customer Services team rather than going to the main switch board at National Grid House | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment   | Description of Issue or Defect that Proposed Amendment seeks to Address                                  | Impact on the CUSC     | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Moved choice of application fee up. | Moving this question up in the application forms helps it to flow in a more understandable manner        | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Added Section in Front of B, C & D. | This is to match with the change made to A and keep a consistent format throughout the application form. | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |



| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                   | Description of Issue or Defect that Proposed Amendment seeks to Address                                    | Impact on the CUSC     | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Added 'Please provide agreement reference number' as an input field | This has been added for completeness, knowing the agreement number is useful to help identify the project. | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Formatted 'Connection Site' to be in bold in question 4             | This is a defined term within the CUSC and as such should be in bold                                       | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Formatted 'The Company' to be in bold in question 4                 | This is a defined term within the CUSC and as such should be in bold                                       | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                               | Description of Issue or Defect that Proposed Amendment seeks to Address                                                                                                                                                      | Impact on the CUSC            | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Delete 'including the results of the following test:- [The Company to specify]' | This has been deleted because The Company never specify any tests that must be carried out at the connection site, removing it helps avoid confusion                                                                         | Changes Exhibit I of the CUSC | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Add 'Summary of Application' as a question to Section C                         | Gives a brief overview of the application that helps give a quick idea of what the application involves. In the past this section has been added to the form by Applicants in order to express what it is they intend to do. | Changes CUSC Exhibit I        | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                                                                  | Description of Issue or Defect that Proposed Amendment seeks to Address                                                      | Impact on the CUSC     | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|--------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Add 'BEGA' to 'BELLA/BEGA Application' in Section C question3 (two places)                                         | This is to capture both BEGA's and BELLA's as it should previously it has only mentioned BELLA's and as such is not complete | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Add lines for Applicants to specify their 'BELLA/BEGA ref' and 'Site of Cobnnection' under question 3 in Section C | This change means that the Applicant is able to provide the information that is being asked for in question3                 | Changes CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

| CAP Ref No | Title of Amendment Proposal | Description of Proposed Amendment                                                                                                                                                                                                       | Description of Issue or Defect that Proposed Amendment seeks to Address                                                                                                                                 | Impact on the CUSC    | Impact on Core Industry Documents | Impact on Computer Systems and Processes used by CUSC Parties | Details of any Related mods to Other Industry Codes | Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives                                                                                                        |
|------------|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------------------|---------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | Exhibit I Revisions         | Add request for reporting against milestones to Section D including examples of what these may be ('Planning Application Submitted, Planning Consent Awarded, Power Station procurement, Construction started, Construction completed') | This change will help to give the company a better idea of where the Applicant is planning to be at each stage of the project, helps to ensure that projects are likely to meet their completion dates. | Change CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |
|            | Exhibit I Revisions         | Add tick list for whether the modification relates to a BELLA, BEGA or Neither.                                                                                                                                                         | This makes it easier for the Applicant to indicate what the Modification applies to.                                                                                                                    | Change CUSC Exhibit I | None Identified                   | None Identified                                               |                                                     | Amendment ensures clarity and helps The Company get the information it needs at the earliest time to help increase the efficiency of the application process in line with CUSC objective (a) |

**ANNEX 3 – REPRESENTATIONS RECEIVED DURING CONSULTATION**

This Annex includes copies of any representations received following circulation of the Consultation Document (circulated on 19<sup>th</sup> October 2007 requesting comments by close of business on 16<sup>th</sup> November 2007).

Representations were received from the following parties:

| <b>No.</b> | <b>Company</b>      | <b>File Number</b> |
|------------|---------------------|--------------------|
| 1          | Centrica            | CAP155-CR-01       |
| 2          | EDF                 | CAP155-CR-02       |
| 3          | Scottish & Southern | CAP155-CR-03       |
| 4          | Scottish Power      | CAP155-CR-04       |
| 5          | RWE                 | CAP155-CR-05       |

|                  |              |
|------------------|--------------|
| <b>Reference</b> | CAP155-CR-01 |
| <b>Company</b>   | Centrica     |



taking care of the essentials

By Email  
Beverley Viney  
Amendments Panel Secretary  
Electricity Codes  
National Grid  
National Grid House  
Warwick Technology Park  
Gallows Hill  
Warwick  
CV34 6DA

Millstream East  
Maidenhead Road  
Windsor  
SL4 5GD

Tel. (01753) 492606  
Fax (01753) 431150  
www.centrica.com

Friday 16<sup>th</sup> November, 2007

**CAP152-155 - Revision to CUSC Exhibits B, D, F and I**

Dear Beverley,

Centrica welcome the opportunity to comment on CUSC Modifications 152-155 regarding revisions to CUSC exhibits B, D, F and I.

Please note that this response is non-confidential and covers CUSC Modification numbers 152 to 155 inclusive.

Centrica are broadly supportive of the Alternative Amendment Proposal and believe that it better facilitates CUSC Objective (a) in terms of simplifying the exhibits and making them consistent with one another and the terminology used in the CUSC.

With regards to the additional requests for information in the proposed modifications, Centrica would like to note that it will not be feasible to provide accurate timeline data relating to the project milestones set-out in the exhibits, at the point of application. The current planning and consents procedures are lengthy and unpredictable and therefore, milestone data / estimates provided in the connection application forms should not be used as concrete timelines, but instead aspirational guidelines. Quarterly reports and bilateral discussions should be used to monitor progress against milestones, and to adjust milestones, however, consequences for slippage of these milestones should not be penal if it can be demonstrated that the connection date in the connection offer can still be honoured by both parties.

Further additions to the exhibits, relating to information requests regarding GBSQSS compliance and ownership boundaries appear to be sensible and Centrica support these proposed changes.

In summary, Centrica believe that the Alternative Amendment Proposal seeks to improve the efficiency of the CUSC exhibits in question but remain cautious about the treatment of data supplied in accordance with project milestones.

**A *centrica* business**

Centrica plc - The group includes British Gas Trading, British Gas Services and Accord Energy  
Registered in England No.3033654, Registered Office: Millstream, Maidenhead Road, Windsor, Berkshire SL4 5GD

If you have any questions or comments relating to this response, please contact me on the number above or at [laura.jeffs@centrica.com](mailto:laura.jeffs@centrica.com).

Yours sincerely,

Laura Jeffs  
Commercial Manager

**A *centrica* business**

Centrica plc - The group includes British Gas Trading, British Gas Services and Accord Energy  
Registered in England No.3033654. Registered Office: Millstream, Maidenhead Road, Windsor, Berkshire SL4 5GD

|                  |              |
|------------------|--------------|
| <b>Reference</b> | CAP155-CR-02 |
| <b>Company</b>   | EDF Energy   |

Beverley Viney  
 Amendments Panel Secretary  
 Electricity Codes  
 National Grid [National Grid House]  
 Warwick Technology Park  
 Gallows Hill, Warwick  
 CV34 6DA

14 November 2007



Dear Beverley,

**CAP152 to 155, Revision to CUSC Exhibits B, D, F and I**

EDF Energy is pleased to have the opportunity to comment on the CUSC amendment proposals, CAP152-155.

The proposer of CAP152 to 155 aimed to:

- improve and update the CUSC Exhibits;
- provide greater clarity for Users when completing the Application forms;
- ensure the processes for application is efficient for all parties.

We note, due to a quirk of the CUSC governance rules, the working group was unable to refine the original proposal and had to develop the WGAA.

EDF supports each and every WGAA proposed under CAP152-155.

We hope that you will find these comments helpful. If you have any queries please do not hesitate to contact me.

Yours sincerely,

David Scott  
 Electricity Regulation, Energy Branch

**EDF Energy**  
 40 Grosvenor Place  
 Victoria London SW1X 7EN

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|                  |                       |
|------------------|-----------------------|
| <b>Reference</b> | CAP155-CR-03          |
| <b>Company</b>   | Scottish and Southern |

**From:** Garth.Graham@scottish-southern.co.uk  
**Sent:** 16 November 2007 14:55  
**To:** Viney, Beverley  
**Subject:** Re: CUSC - CAP152,153,154 & 155 Consultations

Dear Sirs,

This response is sent on behalf of Scottish and Southern Energy, Southern Electric, Keadby Generation Ltd., Medway Power Ltd., SSE (Ireland) Ltd and SSE Energy Supply Ltd.

In relation to the Consultation Document associated with CUSC Amendment Proposal CAP155 "Exhibit I Revisions " (contained within your note of 19th October 2007) we believe that this CUSC Amendment Proposal CAP155 does better achieves the applicable CUSC objectives and should therefore be implemented.

Regards

Garth Graham  
Scottish and Southern Energy plc

|                  |                |
|------------------|----------------|
| <b>Reference</b> | CAP155-CR-04   |
| <b>Company</b>   | Scottish Power |



Beverley Viney  
Amendments Panel Secretary  
Electricity Codes  
National Grid  
National Grid House  
Warwick Technology Park  
Gallows Hill  
Warwick  
CV34 6DA

**Ref** CAP152, CAP153, CAP154 &  
CAP155  
**Date** 15<sup>th</sup> November 2007

**Tel No.** 01355 845208  
**Email:** [ukelectricityspoc@saic.com](mailto:ukelectricityspoc@saic.com)

Dear Beverley,

**CUSS Amendment Proposals CAP152 (Exhibit B Revisions), CAP153 (Exhibit D Revisions), CAP154 (Exhibit F Revisions) and CAP155 Exhibit I Revisions)**

Thank you for the opportunity to comment on these Consultations. This response is submitted on behalf of ScottishPower's Energy Wholesale Business which includes ScottishPower Generation Ltd, ScottishPower Energy Management Ltd and CRE Energy Ltd.

ScottishPower supports the changes proposed in CAP152 WG Alternative, CAP153 WG Alternative, CAP154 WG Alternative and CAP155 WG Alternative. These changes will simplify and clarify the application process for new connections to the transmission system. This can only be of benefit to the market as a whole.

Yours sincerely

**Gary Henderson**



For and on behalf of: ScottishPower's Energy Wholesale Business which includes ScottishPower Generation Ltd, ScottishPower Energy Management Ltd and CRE Energy Ltd.

Ps – we note the following typographical errors:

CAP152 Part B Alternative Legal Text  
Section 13 "... of a type set out inAs provided..."  
Section 14 "... users can opt to paya ..."  
Section 15 "The fFixed price application fee..."

CAP154 Part B Alternative Legal Text  
Section 2 several of the superscript references are normal size

|                  |              |
|------------------|--------------|
| <b>Reference</b> | CAP155-CR-05 |
| <b>Company</b>   | RWE          |

RWE Trading



Ms B Viney  
 Amendments Panel Secretary  
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 National Grid Electricity Transmission plc  
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■ 16<sup>th</sup> November 2007

Dear Beverley

**Consultation Document – CUSC Amendment Proposals CAP152, CAP153, CAP154, CAP 155  
 Exhibit Revisions**

Thank you for the opportunity to comment on the above consultations. The following response is provided on behalf of the RWE group of companies, including RWE Trading GmbH and RWE Npower plc.

RWE considers that the changes proposed under the Working Group Alternative Amendment for each proposal would better facilitate the Applicable CUSC Objectives in providing greater clarity for Users seeking connection to the transmission system or wishing to amend their connection. We believe that a number of changes proposed under the Original Amendments would be confusing for Users and also the Original Amendments fails to address a number of deficiencies in the CUSC application form that were identified by the working group. We therefore consider that the Original Amendment for each proposal does not better meet the Applicable CUSC Objectives.

RWE was pleased to participate in the working group which considered the above proposed amendments to the CUSC application forms. We are satisfied that most of our comments raised in the working group have been reflected in the proposed legal text of the Working Group Alternatives. We therefore have no further comments to add.

If you wish to discuss our response further please do not hesitate to contact me.

Yours sincerely

By e-mail

John Norbury  
 Network Connections Manager

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 Swindon Branch  
 Windmill Hill Business  
 Park  
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 (Chairman)  
 Management Board:  
 Peter Terium (CEO)  
 Dr Bernhard Günther  
 Dr Peter Kreuzberg  
 Head Office:  
 Essen, Germany  
 Registered at:  
 Local District Court,  
 Essen

## ANNEX 4 – REPRESENTATIONS RECEIVED UPON THE DRAFT AMENDMENT REPORT

This Annex includes copies of any representations received following circulation of the Draft Amendment Report (circulated on 5<sup>th</sup> December 2007, requesting comments by close of business on 12<sup>th</sup> December 2007).

Representations were received from the following parties:

| No. | Company | File Number  |
|-----|---------|--------------|
| 1   | RWE     | CAP155-AR-01 |

|                  |             |
|------------------|-------------|
| <b>Reference</b> | CAP155-AR-1 |
| <b>Company</b>   | RWE         |

Beverley

I was just having a look through the draft amendment reports for CAP 152-55 (today being the last day for comments) and noticed that RWE's comments have not been included. Did you receive the attached response from me?

Seasons Greetings

**John Norbury**  
**Network Connections Manager**  
 RWE Trading GmbH  
 UK Markets  
 Windmill Hill Business Park  
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 Swindon SN5 6PB  
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